

Self-organization as a mechanism of resilience in dryland ecosystems

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53 Abstract

54 Self-organized spatial patterns are a common feature of complex systems, ranging from microbial 55 communities to mussel beds and drylands. While the theoretical implications of these patterns for 56 ecosystem-level processes, such as functioning and resilience, have been extensively studied, 57 empirical evidence remains scarce. To address this gap, we analyzed global drylands along an 58 aridity gradient using remote sensing, field data and modeling. We found that the spatial structure 59 of the vegetation strengthens as aridity increases, which is associated with the maintenance of a 60 high level of soil multifunctionality, even as aridity levels rise up to a certain threshold. The 61 combination of these results with those of two individual-based models indicate that self-organized 62 vegetation patterns not only form in response to stressful environmental conditions but also provide 63 drylands with the ability to adapt to changing conditions while maintaining their functioning, an 64 adaptive capacity which is lost in degraded ecosystems. Self-organization thereby plays a vital role 65 in enhancing the resilience of drylands. Overall, our findings contribute to a deeper understanding 66 of the relationship between spatial vegetation patterns and dryland resilience. They also represent 67 a significant step forward in the development of indicators for ecosystem resilience, which are 68 critical tools for managing and preserving these valuable ecosystems in a warmer and more arid 69 world.

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71 Significance Statement

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73 The spatial structure of vegetation in dryland ecosystems has long fascinated scientists due to its 74 striking appearance. Through a combination of global field surveys, mathematical models, and 75 remote sensing, we show that the mechanisms responsible for these patterns enable healthy 76 dryland ecosystems to adapt to changing environmental conditions, including water shortages, by 77 adjusting their spatial structure. Conversely, degraded ecosystems do not have this ability. Our 78 findings underscore the critical role of spatial pattern formation in promoting resilience in dryland 79 ecosystems. Moreover, these spatial patterns could serve as valuable indicators of ecosystem 80 health under a changing climate, opening important perspectives for future research in this field. 81

83 Main Text

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85 Introduction86

87 Abrupt, irreversible changes in ecosystems are a serious concern given the forecasts for future 88 environmental changes and their expected pace (1). Urgently needed tools are being developed to 89 characterize and anticipate shifts in ecosystem functioning and stability. While many of these tools 90 rely on analyzing temporal changes in ecosystem properties, the spatial structure of some 91 ecosystems can also teach us about the way these ecosystems cope with stressors such as 92 changes in climate (2-5). Indeed, interactions between species and their environment can generate 93 emergent spatial patterns even in the absence of underlying heterogeneity, referred to as 'self-94 organized' patterns (3, 6, 7). Drylands are one of the textbook examples of ecosystems showing 95 such patterns, as their vegetation cover presents a striking spatial structure that displays well-96 defined statistical properties across large spatial scales (2, 8-10). One of the most commonly 97 hypothesized underlying mechanisms is that, in the harsh environmental conditions of drylands, 98 established vegetation improves the local environmental conditions and alters the redistribution of 99 resources - in particular water - from bare areas to vegetation patches, which promotes the spatial 100 aggregation of plants (3, 7, 8, 11-14).

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102 Theoretical studies have long suggested that self-organized spatial patterns could increase overall 103 ecosystem function and resilience (3, 4, 6, 11, 13). Indeed, the capacity of drylands to spatially self-104 organize is predicted to allow them to maintain a higher productivity than what would be expected 105 in the absence of spatial structure (3, 7, 11, 13). These self-organized patterns may change with 106 environmental conditions, such as water shortage, giving drylands the ability to adapt and maintain 107 productivity by adjusting their spatial structure (3, 11, 13). This is expected to lead to relatively 108 stable levels of ecosystem functioning despite increasing stress, allowed by changes in spatial 109 patterns. However, empirical support for this hypothesis is still elusive. Furthermore, spatial 110 vegetation patterns can also hold the key to another generic phenomenon of interest: critical 111 slowing down (5). Indeed, theoretical models have shown that self-organized spatial patterns could 112 also be used as indicators of resilience loss because they reflect the speed required by the system 113 to recover from perturbations (15): as a dynamical system approaches a point at which its stability 114 changes drastically, it takes a longer time to recover from small perturbations, which leaves traces 115 both in the temporal and in the spatial dynamics of the system (15, 16). As a consequence, spatial 116 structure is expected to show increasing variance and auto-correlation (referred to as 'spatial early 117 warnings') as the ecosystem loses resilience (meaning as its recovery capacity decreases) (5, 17).

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119 Previous empirical studies have analyzed changes in vegetation patterns along local gradients (2, 120 18, 19) or in specific aspects of the vegetation patches across large spatial scales (9, 10, 20). 121 However, building a robust predictive framework for dryland ecosystems requires going a step 122 further by confronting theoretical predictions from mechanistic models to empirical observations 123 covering large geographical scales and stress gradients. Doing so is essential to validate with confidence the causality of theoretical predictions about vegetation spatial patterns, their 124 125 importance in maintaining dryland ecosystem resilience, and to evaluate whether and how spatial 126 patterns can be used as early warning signals for the onset of desertification and abrupt ecosystem 127 shifts (2-5, 11).

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129 Here, we provide novel empirical support for the hypothesis that changes in the spatial structure of 130 vegetation lead to relatively stable levels of dryland ecosystem functioning despite increasing 131 stress. We used a global data set of 115 dryland sites (Fig. 1), for which field and remotely-sensed 132 data about their soil and vegetation features were gathered (21). After classifying the high 133 resolution remote sensing images of our data set into presence/absence of vegetation, we 134 estimated vegetation cover and quantified its spatial structure using relevant spatial metrics based 135 on theoretical studies (5): patch-based metrics (number and size of the vegetation patches), 136 hydrological connectivity (connectivity of the bare-soil area reflecting the overall potential of the 137 landscape to redistribute or lose resources by runoff), and spatial early warnings (quantifying the 138 resilience of the ecosystem) (see Materials and Methods). At the global scales, we directly 139 compared the observed trends in these metrics along an aridity gradient to those produced by two 140 different theoretical models previously used to investigate the emergence of spatial patterns in 141 drylands (8, 13). These models describe the spatio-temporal dynamics of the vegetation assuming 142 local facilitation (i.e., plants improve their local environment thereby facilitating the recruitment of 143 others in their direct neighborhood) and global competition for limiting resources such as water (see 144 Materials and Methods).

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146 Results147

A two-dimensional clustering analysis of the vegetation cover and soil multifunctionality (i.e., an index derived from field measurements of carbon, nitrogen and phosphorus in the soil) of the field sites surveyed revealed that our dryland sites could be split into two distinct groups of relatively 'healthier' (those with relatively high cover and soil multifunctionality) vs 'degraded' sites (those with relatively low cover and soil multifunctionality; Figs. 2 and S11). These two groups of sites differ significantly in all spatial metrics measured on vegetation cover but spatial auto-correlation (i.e., *Spectral Density Ratio*; Fig. 3). Compared to degraded sites, healthier sites have larger patches, less connected bare areas (i.e., lower flowlength) and an overall less fragmented vegetation cover

less connected bare areas (i.e., lower *flowlength*) and an overall less(i.e., steeper slope of the patch size distribution) (Figs. 3 and 4).

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158 Across all the sites surveyed, the fragmentation of the vegetation cover increases with aridity, 159 driving changes in patch-based metrics that match the expectation from theoretical models (Figs. 160 5 A, B and S7 in SI D). As environmental conditions become more stressful, the loss and 161 fragmentation of vegetation cover led to a change in the shape of the patch size distribution (2, 22, 162 23) and to an increase in the connectivity of bare-soil areas, as shown by increased values of 163 flowlength (24). These trends need to be compared to the expected changes caused by the loss of 164 vegetation cover for random spatial structure, hereafter called null model (see Material & Methods), 165 to assess whether the observed changes can be purely explained by a decrease in cover under 166 more arid conditions. We found that the observed breakdown of the patch size distribution in field 167 sites is weaker than expected in the null model (compare the colored and the grey points for patch-168 based metrics in Figs. 5 A, B and S7). This means that vegetation in drylands is more spatially-169 structured than expected and is growingly so as aridity increases.

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171 Separate analyses of healthier and degraded sites revealed that the relative increase in spatial 172 structure with aridity mainly occurs for the healthier sites (Fig. 5 C left). These results indicate that 173 healthier sites thereby keep adapting their spatial structure as environmental conditions worsen. 174 For all patch-based metrics evaluated, the deviation from randomness increases with aridity. This 175 result suggests an increasing role of mechanisms enhancing the spatial aggregation of plants along 176 the aridity gradient (8) (Fig. S8, S9). Indeed, in the absence of such processes, spatial structure 177 emerges in the two theoretical models but is not different from a null expectation (Fig. S8, 178 S9). Possible underlying mechanisms to explain our results include positive plant interactions (7), 179 eco-hydrological feedbacks driving resource (especially water) redistribution in the landscape (24, 180 25), exogenous phenomena (e.g., spatial structure in soil moisture (26)), or a combination of these 181 mechanisms. The nature of our survey and analyses does not allow us to strictly conclude on the 182 presence and importance of such mechanisms. However, the fact that bare-soil connectivity 183 increases with aridity in the healthier group of sites - as shown by a significant increase in flowlength 184 - and the fact that it does so more than in the null model (Fig. 5 C left), suggests that at least water 185 distribution within the ecosystem plays a role (25). Indeed, an increase in flowlength means that vegetation patches receive resources (e.g., water, nutrients) from a larger bare-soil area than would 186 187 be expected with a randomized spatial structure.

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In the degraded sites, trends in patch-based and in hydrological connectivity metrics break down along the aridity gradient: all trends are weaker than those in the healthier group of sites – several being not significant -, and they are closer to the null expectation (Fig. 5 C right). These findings indicate that the ability of the sites to undergo spatial reorganization under stress diminishes, associated with a decline in functioning. This is evident from the significant decrease in soil

- 194 multifunctionality observed for these sites in response to increasing aridity ($p=1.2 \ 10^{-5}$, Fig. S13 in SI).
- 196

197 For the healthier sites, since only spatial variance changes significantly but not spatial 198 autocorrelation, the spatial early warnings suggest no sign of resilience loss as aridity increases 199 (Fig. 5 C left). This is consistent with those sites showing limited signs of 'suffering' from increasing 200 aridity: cover decreases significantly with aridity because of constraints in water availability (p=3.7201 10⁻⁷, Fig. S13 in SI), but functioning is maintained through the spatial reorganization of the cover 202 (no significant decrease in soil multifunctionality with aridity; p=0.8, Fig. S13 in SI). However, in the 203 degraded group of sites, spatial early warnings do suggest a loss of resilience as aridity increases 204 (Fig. 5 C right), which probably reflects an overall physiological threshold of the vegetation at the 205 end of the aridity gradient (27).

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208Discussion209

Our results, using a thorough evaluation of multiple spatial metrics – which reflect different facets of ecosystem resilience – provide novel insights on how drylands cope with abiotic stress and how their spatial structure contributes to improve their resilience to increased aridity conditions. Despite the large environmental variability found across the different field sites studied, the overall consistency of the observed changes in spatial metrics along an aridity gradient with theoretical predictions is remarkable.

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217 In this work, we have considered two different minimal models of dryland dynamics that share local 218 facilitation and non-local (long-range) effects as the two necessary drivers that generate self-219 organized patterns with fat-tailed cluster distributions. Despite their differences, these two models 220 successfully matched the repertoire of spatial patterns found in our data (2, 8, 22). This supports 221 the idea of universality as defined in physics: macroscopic patterns in far-from-equilibrium systems 222 can be accounted for from minimal interaction rules (28-30). In other words, simple mechanistic 223 models can provide reliable predictions beyond the specific, low-scale details. It is noteworthy that 224 other types of drylands than the ones studied here, such as semiarid savannas, have been found 225 to exhibit a different type of behavior: available data (31) and a different class of stochastic models 226 (26) indicate that their spatial patterns show broadly-similar features as those found in our data but 227 are caused by exogenous phenomena associated with the formation of soil moisture islands that 228 determine the spatiotemporal dynamics of tree clusters (26). In these latter systems, we do not 229 expect the same trends in spatial metrics as those found here along an aridity gradient. 230

231 Disentangling the mechanisms driving the self-organization and stability of drylands may require 232 metrics grounded in empirically-proven mechanisms, such as eco-hydrological feedbacks 233 evaluated in the field by the metric *flowlength*. The fact that bare-soil connectivity increases with 234 aridity in the healthier group of sites, and that it does so more than in the null model (Fig. 5 C left), 235 point towards the fact that such mechanism could include resource distribution within the 236 ecosystem (25). The consequences of this process on ecosystem stability are thought to arise from 237 two main eco-hydrological feedbacks of opposite signs in drylands (25). At a local (patch) scale, 238 an increase in bare-soil connectivity leads to a redistribution of resources from bare areas to 239 vegetation patches; this self-regulating (negative) feedback is overall stabilizing. At the ecosystem 240 scale, bare-soil connectivity increases runoff and therefore the potential losses of resources from 241 the ecosystem; this reinforcing (positive) feedback has been shown to be destabilizing (25). The 242 balance between these two feedback loops determines the hydrological response of the ecosystem 243 in terms of whether connectivity is overall stabilizing or destabilizing (25) and thus the ecosystem 244 ability to maintain itself in a productive state, or degrade into a more barren, less productive state. 245 In the healthier group of sites, the trends in spatial metrics found are consistent with the dominance 246 of a stabilizing feedback: an increase in bare-soil connectivity leads to more resource redistribution 247 from bare to vegetation areas, which leads to more vegetation patchiness (i.e., deviation from 248 random structure) and a further increase in connectivity, which contributes to the overall higher 249 functioning (i.e., higher soil multifunctionality) and cover of these sites compared to the degraded sites. Conversely, the stabilizing feedback appears weaker in the degraded group of sites. Our
 findings thereby empirically support one key prediction of theoretical models, namely that resource
 redistribution from bare to vegetated patches, driven by bare soil connectivity, is a fundamental
 mechanism that determines the emergent spatial structure of arid ecosystems (14, 24, 25).

255 Here, our analyses identified two alternative ways in which global drylands respond to increasing 256 abiotic stress through self-organization: one in which the vegetation patterns are building resilience 257 but also another in which this ability of the ecosystem is lost. In the first case, i.e., in self-organized 258 ecosystems, spatial structure reinforces itself with increasing aridity (i.e., the deviation from a 259 random structure increases). These changes in spatial structure, which are associated with 260 maintaining soil multifunctionality, help to mitigate the increased stress despite a decrease in cover 261 by allowing the ecosystem to retain enough water and maintain its overall functioning, which is 262 consistent with the idea that spatial self-organization is a mechanism of resilience at the ecosystem 263 scale (4). Importantly, we also found that failure to perform such changes in spatial structure, and 264 thereby retain resources, in degraded sites leads to a loss in functioning and resilience. Our results 265 empirically highlight the essential role of spatial patterns, and more specifically of the self-266 organization process, for dryland functioning and resilience.

267 It is noteworthy that if vegetation patchiness allows the maintenance of cover and functioning for a 268 large range of aridity values, it only does so below an aridity threshold of 0.8 (Fig. 2). Indeed, there 269 are no high cover, high soil multifunctionality sites above an aridity level of 0.8. Therefore, if aridity 270 increases beyond that threshold in some of the sites of the healthier group, we expect them to 271 eventually shift to the degraded group of sites, thereby losing their cover and soil multifunctionality. 272 We expect sites to shift because there are only two (or maybe 3; see Fig. S11 in SI) groups of sites 273 globally, meaning that there is a limited number of states for dryland ecosystems to be in. This 274 aridity threshold of 0.8 corresponds to a known documented point at which drylands exhibit a 275 dramatic loss of vegetation cover accompanied by a decrease in species richness as well as a 276 change in plant leaf strategy from stress tolerance to stress avoidance (27). 277

278 Recent studies have suggested that spatial self-organization does not only contribute to increase 279 ecosystem resilience but can also allow them to evade tipping points (4). Interestingly, our results 280 imply that we do not have evidence that the ecosystems studied here are evading a tipping point 281 to desertification thanks to pattern formation (as suggested for regular vegetation patterns (4)). 282 Indeed, the self-organization process seems to only be effective in healthier sites and up to a 283 threshold level in aridity. It is however noteworthy that we are here comparing different ecosystems 284 in space and not following the temporal dynamics of a given ecosystem in time, which could draw 285 a different picture of an ecosystem response to increasing stress. Learning about whether the sites 286 studied are approaching a tipping point or not would require temporal data, a matter for future 287 research. 288

289 The fact that the observed changes in spatial metrics along the aridity gradient in healthier sites 290 are consistent with theoretical predictions is a crucial step in the development of reliable indicators 291 of desertification in drylands. Theoretical studies have suggested for a long time that the spatial 292 structure of vegetation patterns in drylands could be used to inform about the stress level 293 experienced by dryland ecosystems (2, 3, 11). Patch-based and hydrological metrics inform about 294 the ability of the ecosystem to adapt to increasing stress through self-organization (i.e., they inform 295 about 'ecological resilience' sensu C.S. Holling (32)), while spatial early warnings inform about the 296 recovery of the system after a perturbation (i.e., 'engineering resilience'). Both types of metrics 297 provide different but complementary information about the ecosystem's ability to respond to 298 increasing stress (Fig. S4). Finding consistent trends in spatial metrics in data and models is a 299 significant progress, but a knowledge gap still remains before we can build reliable spatial indicators 300 of ecosystem degradation, in particular indicators which can allow us to determine which 301 ecosystems are more fragile than others. In particular, one of the issues is that we need to get a 302 better understanding of how different mechanisms, e.g., due to the external pressures applied on 303 ecosystems, can affect the spatial patterns and possibly blur the signals observed here (23, 33-304 36). Explicit data on land use intensity is needed to be able to address that concern.

305 By combining remote sensing, field data, and model simulations, our study contributes to 306 building a more robust framework to assess dryland degradation status. Our findings are relevant 307 to help identifying which drylands are more fragile, and, therefore, where efforts to preserve them 308 and prevent their degradation should be focused on. They also highlight the need for a system-309 level, spatial picture of dryland vegetation, since spatial structure is both a driver of increasing 310 resilience and an early warning indicator of future ecosystem changes. Such efforts are 311 instrumental to avoid declines in ecosystem functioning that will reduce the delivery of essential 312 ecosystem services, forcing dryland inhabitants (which are already vulnerable) to either migrate or 313 change their livelihood drastically in the near future.

314 315

316 Materials and Methods

317 318 Data

The field data set contains vegetation and soil data from for 115 dryland ecosystems located in 13 countries (the data is described in details in (21)). The sites used (Fig. 1) differ widely in their abiotic (elevation, temperature and precipitation) and biotic (vegetation type, cover and number of species) characteristics (see database in figshare: <u>https://figshare.com/s/3db3640a61ebc975bcda</u>).

323 At each site, a 30 m x 30 m plot representative of the vegetation present in that area was 324 established in the field and plant cover was estimated using the line intercept method (see more 325 details in (21)). Five soil cores (0-7 cm depth) were taken in areas devoid of perennial vegetation 326 (to avoid implicit effects of vegetation cover within multifunctionality measurements) and 16 327 variables were measured related to the carbon (C; organic C, β -glucosidase activity, pentoses, 328 hexoses, aromatic compounds, and phenols), nitrogen (N; nitrate, ammonium, total N, potential N 329 transformation rate, aminoacids and proteins) and phosphorus (P; Available P, phosphatase 330 activity, inorganic P and total P) cycles. Variables are considered to be critical determinants of 331 ecosystem functioning in drylands. They were used to calculate a soil multifunctionality index, 332 multifunctionality, obtained as the average Z-score across these variables (21). High values of soil 333 multifunctionality have been associated with more functional ecosystems (20).

Values of the aridity index (AI, precipitation/potential evapotranspiration) were obtained from Zomer *et al.* (37), who used the data interpolations provided by Worldclim (38). To facilitate the interpretation of the results, we calculated the aridity level of each site as 1 – AI (39). Indeed, as formulated, AI decreases when aridity increases, which is not intuitive; Using 1-AI instead of AI solves this issue as our proxy of aridity increases as aridity does (so higher values of this aridity level indicate drier conditions), which makes our results easier to understand.

340 For each study site, remote sensing data was obtained from ref. (20). The data consists in Google 341 EarthTM (https://earth.google.com/) or VirtualEarthTM (http://www.bing.com/maps) images of 342 sufficient quality to visually identify vegetation patches. For each field site, three 50 m x 50 m 343 images were collected, one of them was centered on the 30 m x 30 m plot surveyed in the field, 344 and the other two were located nearby, avoiding strong slopes and man-made structures like roads 345 or buildings. Each image was transformed to identify vegetation vs bare soil pixels: A k-mean 346 classification approach implemented in Matlab (The MathWorks Inc., MATLAB v. 7.5.0.342, 347 R2007b) was used to partition the pixels in clusters of luminance intensity (using a monochromatic 348 version of the image) (see ref. (20) for details). The transformed images contain information about 349 the presence or absence of vegetation in each pixel.

As a surrogate of plant productivity, we used the Normalized Difference Vegetation Index (NDVI), which provides a global measure of the "greenness" of vegetation across the Earth's landscapes and is positively linked with vegetation productivity (40). This data was retrieved from previous papers (20, 21) in which NDVI data for each plot was acquired using Landsat 5 TM and Landsat 7 ETM+, at a 30 m × 30 m resolution (<u>https://landsat.gsfc.nasa.gov/</u>), i.e., at the resolution of the sampled plots. For each site, the mean annual NDVI for each year between 2000 and 2015 was calculated and then averaged for the entire period.

357

358 Characterization of the spatial structure of the vegetation

We computed the spatial metrics on the matrices of presence-absence of vegetation inferred from the satellite images using the R package spatialwarnings (v3.0.3) (41, 42). Self-organized systems 361 exhibit common changes in spatial structure as they approach a transition (5, 41). We calculated 362 the generic spatial early warnings that are known to capture such changes (5, 41); spatial variance. 363 near-neighbor correlation (Moran's I), and spectral density ratio (sdr). Spatial variance, spatial 364 correlation, and sdr are expected to increase as a dynamical system approaches a transition (a 365 "bifurcation" point) (see Fig. S6 and S7 for expected trends along a stress gradient based on model 366 simulations) (5, 17, 43, 44). Indeed, as an ecosystem is approaching a transition, neighboring cells 367 are expected to become more similar (5). In the results, we did not display Moran's I as it was highly 368 correlated with sdr (correlation=0.897).

For spatial variance, the matrices of presence-absence of vegetation were coarse-grained using 4 x 4 submatrices as explained in refs. (5, 18, 45). Note that this was not the case for spatial correlation which does not require coarse-graining. The principle of coarse-graining is that each matrix of dimension $n \times n$ is transformed into nonoverlapping submatrices of size $s \times s$ (with here s=4). Each submatrix is then replaced by its average to obtain a smaller 'coarse-grained matrix' of size $c_q \times c_q$ where $c_q = n/s$ (5).

For each matrix, two pixels are assumed to be part of the same vegetation patch if they are neighbors (one of the four nearest neighbors, i.e., von Neumann neighborhood). We thereby calculated the size of all the patches in a given matrix and extracted a number of 'patch-based metrics'. We fitted a truncated power law to the patch size distribution of each matrix and recorded the exponent and the cutoff of the fit. We also recorded the fraction of the image covered by the largest patch using log10(largest patch/image size), where 'image size' is the number of pixels (2, 5, 20, 22).

We calculated *flowlength*, a metric that measures the potential hydrological connectivity of runoffsource areas (e.g., bare soil) according to the vegetation cover, its spatial structure and the topography (14). *Flowlength* is defined as the average length of all the potential runoff pathways in the plot. Thus, a higher value of *flowlength* indicates a higher hydrological connectivity of runoff source areas. *Flowlength* has been suggested to be an indicator of dryland functional status by assessing potential water and soil losses in patchy landscapes (14, 24). See SI B and Fig. S3 for additional information about *flowlength* calculations.

389 To estimate whether the spatial metrics for each plot differ from what would be expected based on 390 the amount of cover, null expectations for the values of each of the spatial metric were obtained by 391 reshuffling the pixels of the transformed matrices 199 times (5, 18, 41). The number 199 is 392 estimated to be sufficient in this case because subsequent analyses only depended on the means 393 of the null distributions created. The reshuffling process removes any spatial structure from the 394 original data while keeping the vegetation cover fixed. The same spatial metrics were then 395 calculated on the reshuffled matrices. Note that this works well in the model, where each pixel is 396 assumed to be a plant, but in the images, depending on the plant species, a pixel can contain many 397 individuals or a plant (tree) can be composed of many pixels.

Each of these metrics is quantified on the three matrices obtained for each field site (i.e., 345 values), except for *flowlength* which could only be measured on the plot among the three that was centered on the field plot (i.e., 115 values) since the slope of the field site is required to calculate *flowlength* and that information was only available for the plots sampled in the field.

402

403 <u>Clustering analysis: splitting sites in groups</u>

404 Clustering analyses were performed to see whether the data set could be split in different groups 405 of sites and, if so, in how many groups. We combined multiple clustering methods to build a 406 consensus on the number of groups in the dataset as clustering results are sensible to the chosen 407 method and the underlying assumptions. We started by clustering the distributions of vegetation cover and multifunctionality values in our dataset (i.e., two-dimensional clustering) using 408 409 hierarchical clustering (*hclust*) based on a Euclidean distance matrix and a Ward distance, which 410 is appropriate for globular clusters (using the stats package included in R v.4.2.0 (42)). Inspecting 411 the resulting tree (see Fig. S11) suggested that the dataset could be well-described by either two 412 or three groups, which was confirmed by the result of a permutation-based analysis carried out 413 using the function simprof in the clustsig R package v1.1 (42, 46), suggesting three significant 414 groups. We further investigated this pattern based on a Gaussian mixture approach, using the best 415 number of clusters based on the Bayesian Information Criterion (BIC). This was done using the mclust R package v6.0.0 in R (42, 47). This latter approach suggested the split of the dataset into 416

417 two groups for all but one type of cluster shape, and in this specific case, only a small increase (<2) 418 in BIC was found by aging from two to three groups (see Fig. S11). We thus considered the 419 consensus classification into two groups as the most relevant to characterize the distribution of 420 cover and multifunctionality in our dataset but provide all analyses for three groups in Fig. S16-421 S19. We used the two groups predicted by the original hierarchical clustering (Fig. S11 in SI), but 422 those were in very close agreement (14 sites out of 345 are classified differently, 4%) with the 423 clustering based on the Gaussian mixture approach. We refer to these two groups of sites as 424 'healthier' (high cover - high soil multifunctionality) and 'degraded' (low cover - low soil 425 multifunctionality).

426

427 Identification of potential stable states

We used a density-based approach to detect dominant modes, which potentially reflect alternative states of the ecosystem, along the aridity gradient evaluated (48–50). This approach is based on the relationship between the empirical distribution of a set observations of a dynamical system and its potential. Assuming the following dynamical system with a single state variable z, and dynamics defined by a potential U (i.e., dU/dz = - dz/dt) along with a Wiener process dW

433 $dz = -U'(z)dt + \sigma dW$

434 where dW is a Wiener process and σ is the noise level, it can be shown (48–50) that there is a link 435 between the empirical distribution of observations p_d and U as 436

$$437 \qquad U = \frac{-\sigma^2}{2} \log(p_d)$$
$$438$$

439 p_d can be directly estimated from data using kernel density estimation. The above relationship 440 formalizes the intuition that a dynamical system will tend to spend more time fluctuating around its 441 stable equilibria, and away from its unstable equilibria. It gives a direct way to estimate what are 442 assumed to be stable and unstable equilibria: the local minima of the potential or stable equilibria 443 correspond to the local maxima of the density, and the local maxima of the potential or unstable 444 equilibria correspond the local minima of the density.

445

446 To estimate p_d along a gradient of aridity, we used a rolling-window approach in which for each 447 value of aridity, all observations of cover or multifuncionality are taken within a range of x - wdw/2448 and x + wdw/2, where x is the aridity value and wdw is the window size (here wdw = 0.15). These 449 are used to compute the distribution p_d , and thus the hypothesized stable and unstable equilibria. 450 Doing so for all values of aridity x provides a visualization of possible stable and unstable equilibria 451 along the gradient and an estimation of the assumed potential. The distribution of states p_d was 452 estimated using a gaussian kernel density estimator of width 0.3 (function density() in base R). This 453 analysis was used for Fig. 2 A, B.

454

455 Slope of patterns along aridity & other statistical analyses

For the variables for which there was no replicate per site, i.e., 115 values (meaning all the variables measured in the field and *flowlength*), comparisons among two groups were done with t-tests and comparisons among the three groups with one-way ANOVA with Bonferroni adjustments of Pvalues.

460 For all the spatial metrics for which there are three replicates per site (because of the three images), 461 we used linear regressions to test the trends of the spatial metrics along the aridity gradient 462 evaluated. To do so, we used a mixed-effect linear model with the site as random effect on the 463 intercept and with either aridity or group ('healthier' or 'degraded') as the sole fixed effect. These 464 models were fitted using the R package lme4 v1.1-29 (42). More specifically, for the analysis of the 465 effect of aridity on spatial metrics, for example, the linear mixed model: I ~ Aridity + (1 | site) was 466 fitted to the data for each spatial metric, *I*. Note that the theoretical predictions provide the expected 467 directions of change in the spatial metrics along a stress gradient (i.e., increase or decrease). The 468 significance of the fixed effect (either aridity or group) was tested by likelihood ratio test between 469 the full model (with the fixed and the random effect) and a model without the fixed effect (i.e., with 470 only the random effect).

471 The slope coefficient estimated for the fixed effect in this linear model indicates how the spatial 472 metrics (observed or null) change along the aridity gradient (a positive slope means that the metric increases with aridity). To make the slopes easier to compare across indicators and to be 473 474 represented in figures, we standardized the observed and null indicator values. We computed the 475 mean and standard deviation of all observed and null values taken together, then subtracted this 476 mean to both the observed and null values, and divided by the standard deviation, obtaining a 477 standardized effect size. This yielded slopes that are within the same order of magnitude for all 478 indicators, while still allowing the comparison of observed and null slopes for a given indicator.

479 To obtain confidence intervals on the slope estimates (and thus test significant departure from 480 zero), we used ordinary bootstrap in which the slope was reestimated based on 2999 resampling 481 with replacement of the data used to carry out the fit. To determine confidence intervals using 482 bootstrapping, we need a high number of resamples so that the tails of the resulting distribution of 483 slopes are well-sampled: we used BOOTN=2999 based on recommendations in the literature (51). 484 The flowlength metric had only one value per site, thus it did not require the use of mixed-effect 485 modelling – for this spatial metric, we used a simple linear model but did use bootstrap to get 486 confidence intervals on the slope.

487

488 Spatial models of dryland vegetation dynamics

We ran simulations from two mathematical models of the spatio-temporal dynamics of vegetation in dryland ecosystems. Only the results of Model 1 are displayed in the main text, while the results of Model 2 are in SI E.

- 492 Model 1 (Kéfi et al. 2007). We simulated the spatio-temporal dynamics of a dryland ecosystem 493 using a stochastic cellular automaton model that produces spatial structure of the vegetation like 494 the one observed in empirical data (2, 5, 13, 22–24). In this model, an ecosystem is represented 495 by a grid of cells, each of which can be in one of three states; vegetated, empty, or degraded (2). 496 Empty cells represent fertile soil, whereas degraded cells represented eroded soil locations that 497 are unsuitable for recolonization by vegetation. A key ecological mechanism is local facilitation, i.e., 498 the positive effect of vegetation on its local neighborhood through increased regeneration of 499 degraded cells. Because of this local facilitation, vegetated cells tend to form patches, i.e., sets of 500 vegetated cells connected by a shared edge (von Neumann neighbors, i.e., the four nearest 501 neighbors). When aridity increases, there is a point at which the vegetation dies out and the system 502 becomes a desert through a saddle-node (or fold) bifurcation. The model exhibits bistability for a 503 range of aridity values (parameter 1-b in the model, see SI A for a detailed model description), with 504 the coexistence of a vegetated and a desert state (13). To evaluate the effect of the facilitation 505 mechanism on the trends in spatial metrics observed, we also ran simulations without the facilitation 506 mechanism. A more detailed description of the model as well as the parameter values used are 507 available in SI A.
- **Model 2** (Scanlon et al. 2007). We checked whether the results we obtained were similar in a second model (8), which is also a cellular automaton but considers only two possible states for the cells, namely trees and empty. The probability of establishment of new trees is assumed to increase with the neighborhood tree density, where the effect of the neighborhood tree density is a weighted as a function of the distance to the focal cell. Conversely, the probability of tree mortality increases with more empty cells in the neighborhood of a given tree. The model description, parameter values are in SI A and the results in SI E, Fig. S8 and S9.
- **Simulations of the two models.** We ran simulations on lattices of 100x100 cells. For each aridity level, we recorded the final landscape after 10000 timesteps (for which steady state in overall cover was typically reached). All spatial metrics and their corresponding null values were computed on these landscapes (transformed into matrices of presence/absence of vegetation, i.e., removing information about whether empty sites are fertile or degraded for Model 1) in exactly the same way as previously explained for the data.
- 521 522

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524 Data sharing:

525 The code to reproduce the analyses of the paper is available on GitHub:

526 <u>https://github.com/skefi/spatialews_biocom</u>

527 The data is in this folder and will be put on the GitHub repository once the paper is accepted: 528 <u>https://www.dropbox.com/sh/8j4y4zm9an32rlw/AACB2O3T9vZJNYtOaBSHssEQa?dl=0</u>

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677 Figure legends

Figure 1. Location of the 115 plots in the global drylands data set used. Surveyed sites are
colored in green for the healthier sites (high vegetation cover - high soil multifunctionality, MF)
and yellow for the degraded sites (low vegetation cover - low multifunctionality, MF). Numbers
reflect the number of sites in a given geographical area (characterized by the letters A-N), for
which a corresponding zoom can be found in the panels above and below the map.



689 Figure 2. Dryland ecosystems were categorized into two groups using vegetation cover and 690 soil multifunctionality data. (A) Cover and (B) soil multifunctionality (MF) along aridity for all 115 691 sites colored by the two groups: healthier (high cover-high soil multifunctionality values; in green) 692 and degraded (low cover-low soil multifunctionality values; in yellow). Aridity was calculated as: 1 693 Aridity Index (AI = precipitation/potential evapotranspiration), so that higher values indicate drier 694 conditions. Colored points are the maxima of reconstructed stability landscapes based on potential 695 analysis (i.e., possible attractors), while the white ones are the minima (see Materials and 696 Methods). Small panels below A display examples of stability landscapes for aridity values 0.55, 697 0.7 and 0.85, where valleys in the landscape are the colored points of panel A and the hills the 698 white points (see Materials and Methods). (C and D) Densities of sites for each of the two groups 699 for cover (C) and soil multifunctionality data (D).





Figure 3. Differences in the spatial structure of the vegetation cover between healthier (high cover - high soil multifunctionality) and degraded (low cover - low soil multifunctionality) drylands. The spatial metrics are the proportion of the image covered by the largest vegetation patch (*fmaxpatch*, (largest patch/image size), with the y axis on a log scale), the slope of the patch size distribution, the cutoff of the patch size distribution, spatial variance, the Spatial Density Ratio (*sdr*), and the bare soil connectivity (*flowlength*). For all metrics but *sdr*, the differences between the two groups are significant (Table S3 in SI).



Figure 4: Examples of patch size distributions of a healthier site (A) and a degraded one

(B). Sites are two grasslands (images 148-b and 192-c of the data set). Graphs display the

fraction of patches larger than a certain size. Black points are observations from the image and

grey curves are random expectations (based on 10 randomizations of the image). The red curve

- is the best fit. Snapshots on the top right are the images (black reflects vegetation).



724 Figure 5. Estimated slope of the trends in spatial metrics along the aridity gradient evaluated 725 in the model (A), in all the field sites of the data set (B) and in the two groups of sites separately 726 (C; healthier sites on the left and degraded sites on the right; MF stands for soil multifunctionality). 727 Points reflect the value of the slope of the spatial metrics with aridity. Significant positive and 728 negative slopes are in red and blue, respectively. Observed slopes are in color, while expected 729 trends of randomized landscapes (keeping cover constant but with reshuffled image pixels) are in 730 grey. See legend of Fig. 3 and Materials and Methods for definitions of the spatial metrics. See SI 731 D for a discussion of the difference in the slopes of SDR in the model and in the data. 732

