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# Unravelling the Electronic Structure and Dynamics of the Atomically Dispersed Iron Sites in Electrochemical CO<sub>2</sub> Reduction

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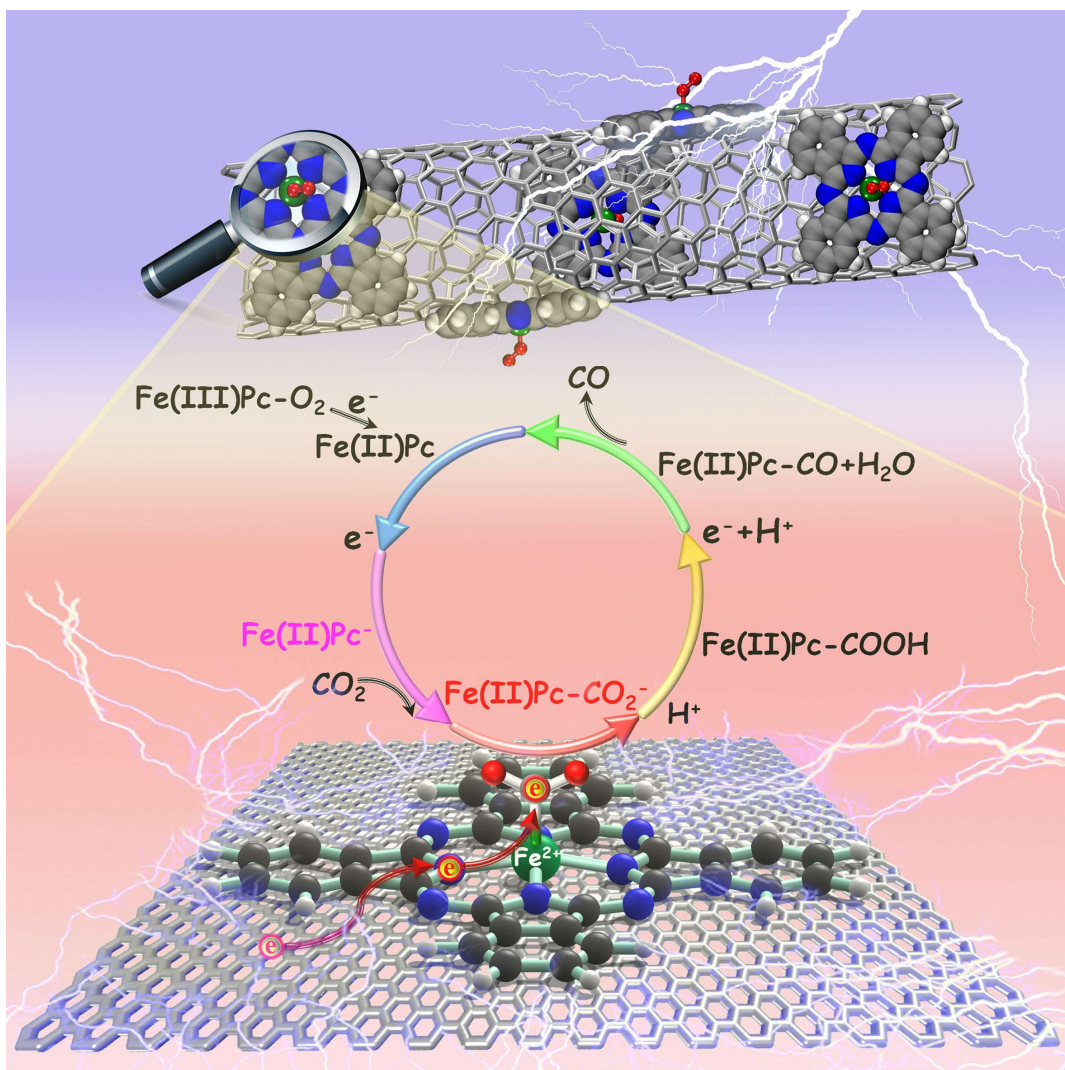
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# Graphical abstract



## Abstract

Single-atom catalysts with a well-defined metal center open unique opportunities for exploring the catalytically active site and reaction mechanism of chemical reactions. However, understanding of the electronic and structural dynamics of single-atom catalytic centers under reaction condition is still limited due to the challenge of combining *operando* techniques that are sensitive to such sites and model single-atom systems. Herein, supported by state-of-the-art *operando* techniques, we provide an in-depth study of the dynamic structural and electronic evolution during electrochemical CO<sub>2</sub> reduction reaction (CO<sub>2</sub>RR) of a model catalyst comprising iron only as a high-spin (HS) Fe(III)N<sub>4</sub> center in its resting state. *Operando* <sup>57</sup>Fe Mössbauer and X-ray absorption spectroscopies clearly evidence the change from a HS Fe(III)N<sub>4</sub> to a HS Fe(II)N<sub>4</sub> center with decreasing potential, CO<sub>2</sub>- or Ar-saturation of the electrolyte leading to different adsorbates and stability of the HS Fe(II)N<sub>4</sub> center. With *operando* Raman spectroscopy and cyclic voltammetry, we identify that the phthalocyanine (Pc) ligand coordinating the iron cation center undergoes a redox process from Fe(II)Pc to Fe(II)Pc<sup>-</sup>. Altogether, the HS Fe(II)Pc<sup>-</sup> species is identified as the catalytic intermediate for CO<sub>2</sub>RR. Furthermore, theoretical calculations reveal that the electroreduction of the Pc ligand modifies the d-band center of the *in situ* generated HS Fe(II)Pc<sup>-</sup> species, resulting in an optimal binding strength to CO<sub>2</sub> and thus boosting the catalytic performance of CO<sub>2</sub>RR. This work provides both experimental and theoretical evidence towards the electronic structural and dynamics of reactive sites in single-Fe-atom materials and shall guide the design of novel efficient catalysts for CO<sub>2</sub>RR.

## Introduction

Revealing the nature of active sites in heterogeneous metal-based catalysts is essential for the fine control of the reaction kinetics and dynamics<sup>1-3</sup>. However, the geometric and electronic structures of active sites are usually influenced by many parameters such as the nature of the metal atoms<sup>4,5</sup>, the nature of coordinating atoms (C, N, O, S, *etc.*)<sup>6</sup>, coordination numbers<sup>7</sup>, secondary coordination spheres<sup>8,9</sup>, *etc.*, which leads to great challenges in identifying structure-activity relationships and the underlying reaction mechanisms<sup>10,11</sup>. Moreover, due to the dynamic behaviors of active sites during catalysis and the issues of current characterization techniques, the atomic-level understanding of the active sites during a chemical reaction remains challenging<sup>12-15</sup>.

Single-atom catalysts (SACs) with well-defined and atomically-dispersed metal sites are a promising platform for the precise identification of structure-activity relationships and, on the practical level, for the full utilization of the metal<sup>16-20</sup>. Recent studies highlighted that single-atom active sites can undergo dynamic evolution under reaction conditions, induced by applied temperature, electrochemical potential, adsorbates, and *etc.*, challenging the identification of the true structure of the catalytic site, and of structure-catalysis relationships<sup>21-24</sup>. Therefore, real-time monitoring the structural evolution of active sites in SACs under realistic operating conditions is highly desired.

The development of *in situ/operando* techniques and setups such as X-ray absorption spectroscopy (XAS), Fourier transform infrared spectroscopy (FT-IR), Raman spectroscopy, *etc.* for probing SACs offers new opportunities for identifying the active sites, capturing the reaction intermediates, and uncovering the catalytic mechanism<sup>25,26</sup>. For instance, relying on *operando* XAS, Hu's group showed with *operando* Fe K-edge XAS the high activity, selectivity and stability of Fe(III) sites in a Fe-N-C SAC for CO<sub>2</sub>RR to CO<sup>27</sup>. The iron was shown to remain in +3 oxidation state down to -0.4 V vs. RHE,

while at -0.5 V vs. RHE, its oxidation state decreased to +2 but the CO<sub>2</sub>RR activity concomitantly became unstable and lower. The maintained ferric oxidation state down to -0.4 V vs. RHE in this Fe-N-C material prepared by pyrolysis at 900 °C of Fe-doped ZIF-8 was assigned to a pyrrolic nitrogen environment, and an analogy made to the similarly low Fe(III)/Fe(II) redox potential of some iron porphyrins. In contrast, Li *et al.* concluded that H<sub>2</sub>O-Fe(II)N<sub>4</sub> is the most active site for selective CO<sub>2</sub>RR to CO for a Fe-N-C SAC material derived from the flash pyrolysis at 1050 °C of Fe acetate and ZIF-8, on the basis of *operando* Fe K-edge XAS results and theoretical calculations<sup>28</sup>. It is of note that the Zn content in ZIF-8 derived Fe-N-C materials is much higher after pyrolysis at 900 °C than at 1050 °C (Zn boiling point 907 °C), which could play a role on the carbon structure and, indirectly, on the detailed structure and reactivity of FeN<sub>x</sub>C<sub>y</sub> moieties sites. Recently, we studied another Fe-N-C catalyst derived from ZIF-8 via pyrolysis. Taking advantage of *operando* <sup>57</sup>Fe Mössbauer spectroscopy, we unveiled the *in situ* generation of the low-spin monovalent Fe(I) site at -0.9 V vs. RHE, and assigned it as the most reactive center in CO<sub>2</sub>RR<sup>29</sup>. The contrasting and apparently inconsistent results from these works highlight the complexity of the real structure of catalytic sites under reaction conditions.

While the pyrolytic preparation of Fe-N-C catalysts comprising only single-metal atom sites is now relatively well-established, such materials typically feature FeN<sub>x</sub>C<sub>y</sub> moieties with different structures and/or electronic states (Supplementary Fig. 1), as evidenced with *ex situ* and/or *operando* spectroscopic techniques<sup>14,27-30</sup>. In addition, the electrochemical utilization of FeN<sub>4</sub> sites is usually low in pyrolyzed materials, according to either CO cryo chemisorption or nitrite stripping techniques applied for counting the active sites<sup>31</sup>. Only in the case of the recent CVD preparation of a Fe-N-C, was a full utilization of FeN<sub>4</sub> sites achieved, featuring a single HS Fe(III)N<sub>4</sub> site structure, as revealed by *ex situ* <sup>57</sup>Fe Mössbauer spectroscopy<sup>32</sup>. The low utilization of FeN<sub>4</sub> sites implies that *operando*

spectroscopic changes are only partly related to surface sites, complexifying the analysis of the *operando* structure of active surface sites. More research efforts are therefore required towards the development of model Fe-based catalytic systems featuring a mono-structure and high electrochemical accessibility of the single-metal-atom sites, and the application on such model catalysts of *operando* characterization techniques with atomic scale sensitivity<sup>33,34</sup>.

In this work, leaning on the long-demonstrated CO<sub>2</sub>RR activity of metal-phthalocyanines in general<sup>35-37</sup>, and Fe-phthalocyanines in particular<sup>38,39</sup>, we rationally designed a model single-Fe-atom catalyst by firstly synthesizing a well-defined FePc molecule (C<sub>32</sub>H<sub>16</sub>FeN<sub>8</sub>, with or without <sup>57</sup>Fe enriched) comprising of identical HS Fe(III) species, followed by immobilizing it on conductive carbon nanotubes. *Operando* <sup>57</sup>Fe Mössbauer, XAS, and Raman studies were conducted to investigate the dynamic evolution of the single-Fe-atom centers during electrochemical CO<sub>2</sub>RR, revealing that the initial HS O<sub>2</sub>-Fe(III)Pc species is irreversibly reduced to metallic iron in Ar-saturated environment, while *in-situ* generating the HS Fe(II)Pc<sup>-</sup> active site in CO<sub>2</sub>-saturated condition. The one-electron reduction of the Pc ligand stabilizes the Fe(II) species which, combined with the presence of the carbonate anion adsorption intermediate (Fe(II)Pc-CO<sub>2</sub><sup>-</sup>), prevents the over-reduction to Fe(I) and metallic iron. Density functional theory (DFT) calculations further revealed that the *in-situ* generated HS Fe(II)Pc<sup>-</sup> species with modified d-band center could result in an optimal binding to CO<sub>2</sub> molecules, promoting the CO<sub>2</sub>RR.

## **Results and Discussion**

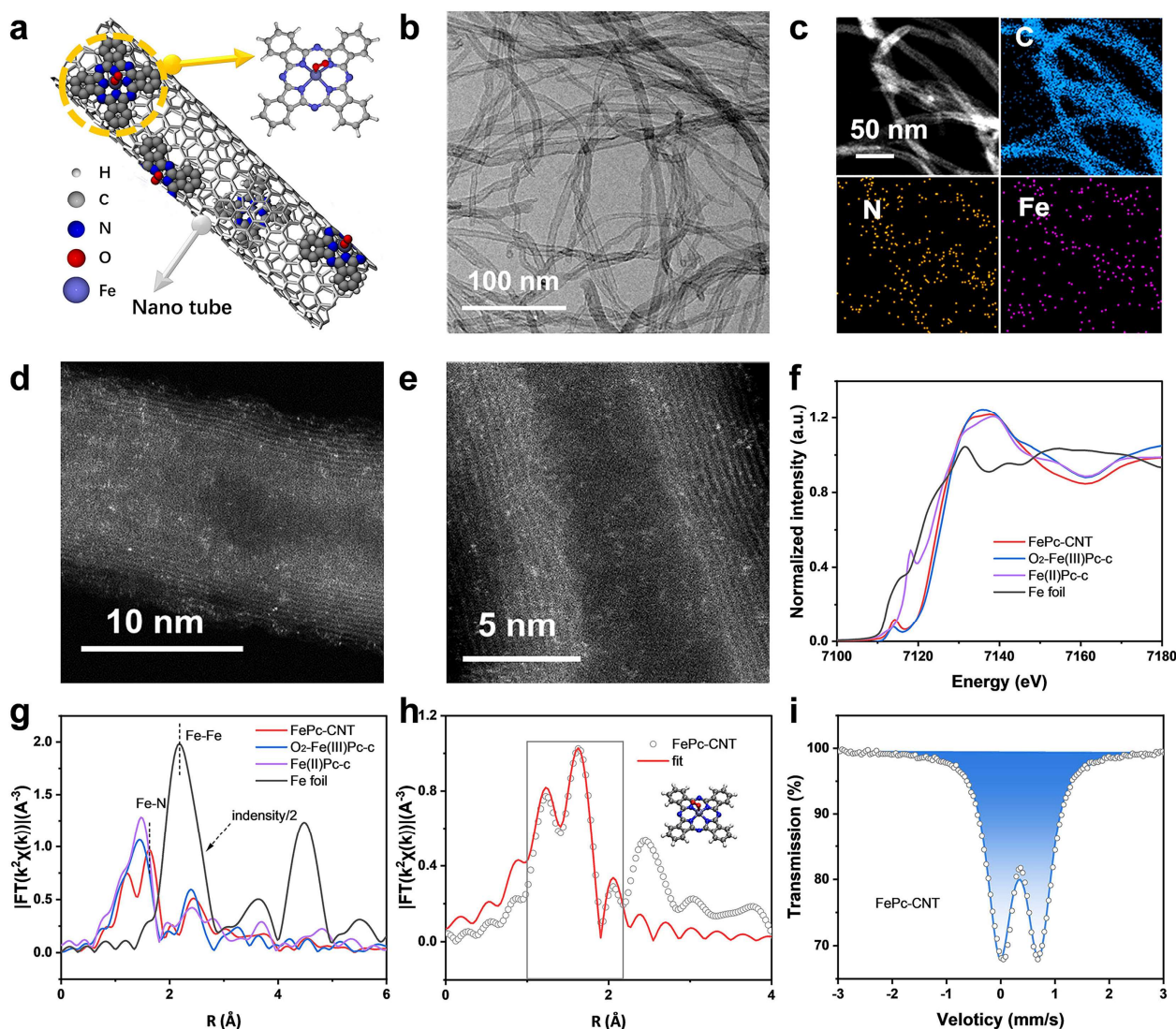
### **Structural Characterization**

The single-Fe-atom catalyst with well-defined N-coordination structure for CO<sub>2</sub>RR was built by immobilizing the FePc molecule on conductive carbon nanotubes (CNT) via  $\pi$ - $\pi$  interaction

(Supplementary Fig. 2)<sup>24,40</sup>. Fourier-transform infrared (FT-IR) spectroscopy was used to confirm the skeleton information of the as-synthesized FePc molecule. As shown in Supplementary Fig. 3, the main peaks located at 727, 1080 and 1117  $\text{cm}^{-1}$  can be assigned to out-of- and in-plane C-H bond vibrations from the Pc ring respectively, while the bands at 1162 and 1331  $\text{cm}^{-1}$  can be assigned to C-N and C-C stretching vibration from the isoindole structure<sup>41-43</sup>. The XRD pattern of the as-prepared FePc-CNT catalyst shows the characteristic peaks of both CNT and FePc, which verifies the successful loading of FePc on CNT (Supplementary Fig. 4)<sup>44</sup>. Furthermore, FePc uniformly covers the CNT surface, as revealed by scanning electron microscopy (SEM), transmission electron microscopy (HR-TEM) and EDX elemental mappings (Fig. 1b,c and Supplementary Fig. 5a). Meanwhile, the scanning transmission electron microscopy (STEM) and the corresponding elemental mapping images reveal the atomically dispersed Fe elements that are uniformly distributed on the entire CNT (Fig. 1c). As shown in Fig. 1d,e, the high density of bright spots in the aberration-corrected high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) images confirm the atomically dispersed Fe species in FePc-CNT. FePc-CNT exhibits extremely similar electronic structure and environmental characteristics to pure FePc (Supplementary Fig. 6-8). The experimental X-ray absorption near edge structures (XANES) spectrum shows that the edge energy of FePc-CNT is close to that of commercial  $\text{O}_2\text{-Fe(III)Pc}$  ( $\text{O}_2\text{-Fe(III)Pc-c}$ ) (Fig. 1f), supporting the ferric state and, the pre-edge peak at 7114.3 eV can be assigned to a dipole forbidden  $1s \rightarrow 3d$  transition, suggesting a deviation from the  $\text{FeN}_4$  structure due to the axial coordination of Fe cation by  $\text{O}_2$ . This can be further confirmed by the simulation of XANES spectrum using the finite difference method near-edge structure (FDMNES) calculation based on the model structure of  $\text{O}_2\text{-FeN}_4$  (Supplementary Fig. 9). Fig. 1g shows the Fourier transformed extended X-ray absorption fine structure (FT-EXAFS) spectra of FePc-



CNT and the spectra of reference compounds. The main peak at 1.62 Å (distance not corrected for phase shift) can be assigned to the Fe-N in the first coordination shell, and is in line with the Fe-N bond distance in iron phthalocyanine, further indicating atomic dispersion of Fe species on the CNT surface. As shown in Fig. 1h, the FT-EXAFS spectrum of FePc-CNT can be well fitted using the structural model of O<sub>2</sub>-FeN<sub>4</sub> (optimized by DFT), with O<sub>2</sub> adsorbed on Fe in end-on mode. The fitted structural parameters for FePc-CNT are listed in Supplementary Table 1. The electronic state of Fe species in the as-prepared FePc-CNT was further determined by room-temperature <sup>57</sup>Fe Mössbauer spectroscopy. As shown in Fig. 1i, the Mössbauer spectrum of FePc-CNT can be well fitted using one quadrupole doublet with an isomer shift (IS) of *ca* 0.35 mm s<sup>-1</sup> and a quadrupole splitting (QS) of *ca* 0.71 mm s<sup>-1</sup>, which can generally (based in particular on the low QS value), but not unambiguously, be assigned to a high spin (HS) Fe(III) species. The fitted parameters of the Mössbauer spectrum of FePc-CNT are shown in Supplementary Table 2. In the class of iron phthalocyanine materials, a HS ferric phthalocyanine was synthesized and studied by Mössbauer spectroscopy by Burtsev *et al.*, with reported IS and QS values of 0.3 and 0.7 mm s<sup>-1</sup> respectively, at room temperature<sup>45</sup>. These values match well with those observed in the present work, and, combined with the other results, support the successful synthesis of FePc-CNT with atomically dispersed HS O<sub>2</sub>-Fe(III)N<sub>4</sub> species in *ex situ* conditions.

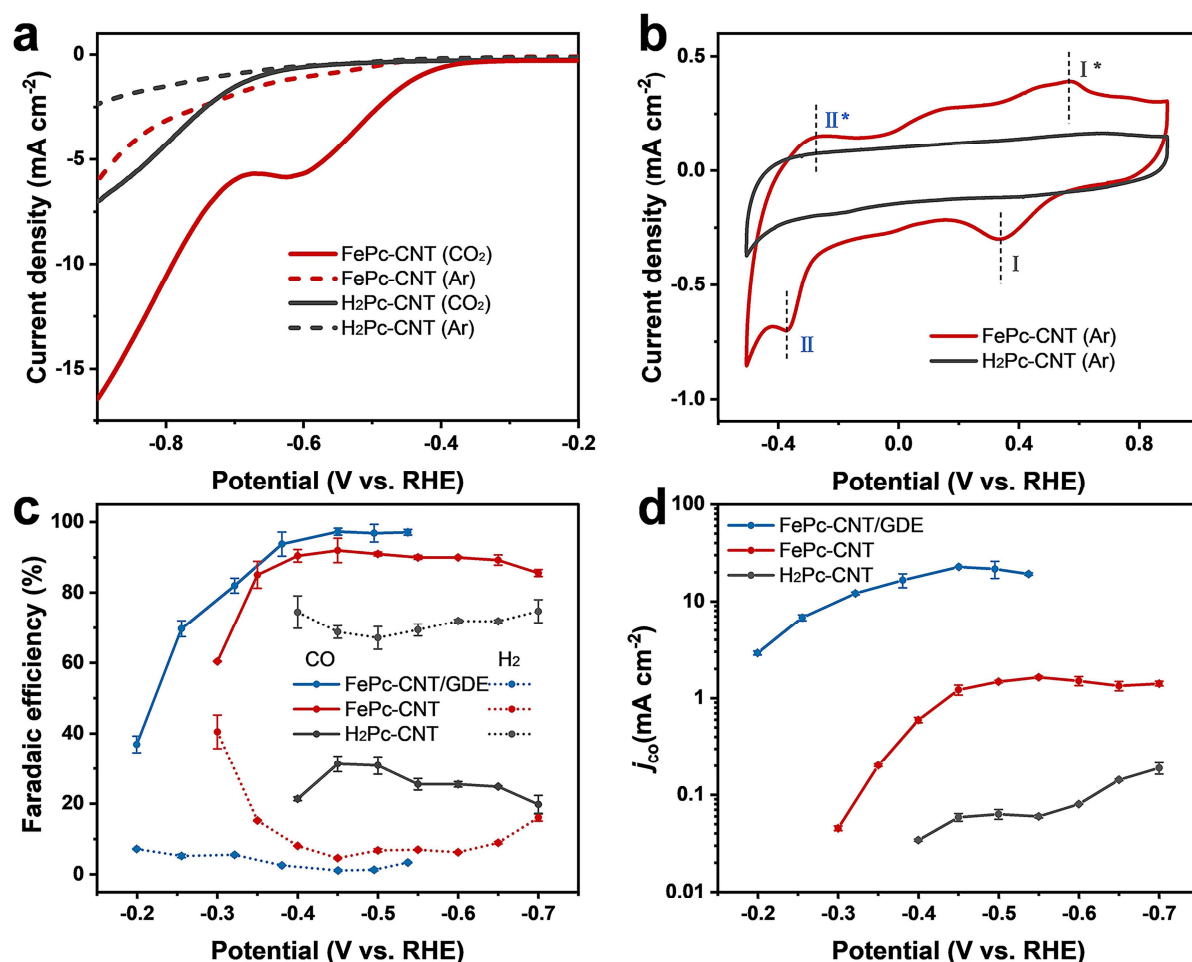


**Fig. 1 | Structural characterization of the as-prepared FePc-CNT.** **a**, Synthesis strategy of the model FePc-CNT catalyst. **b**, TEM image (scale bar, 100 nm), and **c**, energy dispersive X-ray spectroscopy (EDX) mappings for FePc-CNT (scale bar, 50 nm). **d**, **e**, HAADF-STEM images of FePc-CNT. **f**, Normalized Fe K-edge XANES spectrum and **g**,  $k^2$ -weighted FT-EXAFS spectrum of FePc-CNT together with those of commercial  $O_2$ -Fe(III)Pc ( $O_2$ -Fe(III)Pc-c), commercial Fe(II)Pc (Fe(II)Pc-c) and Fe foil standards for comparison. **h**, Experimental and fitted EXAFS spectrum in R-space for FePc-CNT catalyst (R range, 1-2.2 Å). **i**, Room-temperature  $^{57}\text{Fe}$  Mössbauer spectrum of FePc-CNT.

## Electrochemical performance

The electrochemical CO<sub>2</sub>RR performance was first evaluated by linear scan voltammetry (LSV) in 0.5 M KHCO<sub>3</sub> electrolyte. As shown in Fig. 2a, a significantly higher current density is observed with FePc-CNT at *ca* -0.3 V vs. RHE in CO<sub>2</sub>-saturated condition than in Ar-saturated condition, assigned to CO<sub>2</sub>RR. The current density is also much larger and at much higher potential for FePc-CNT vs H<sub>2</sub>Pc-CNT, indicating the critical role of Fe in catalysis (Fig. 2a). Cyclic voltammetry (CV) curves of FePc-CNT and H<sub>2</sub>Pc-CNT in Ar-saturated electrolyte were recorded to explore the redox process of the catalysts. As shown in Fig. 2b, FePc-CNT features two redox peaks, and the reduction peak positions are at around +0.34 and -0.36 V vs. RHE, whereas no redox peak over H<sub>2</sub>Pc-CNT can be observed within the -0.5 to +0.9 V vs. RHE potential window. The highest redox was assigned to Fe(III)Pc/Fe(II)Pc transition, while the low redox might be attributed to the Fe(II)Pc/Fe(II)Pc<sup>-</sup> transition<sup>46</sup>. Electrochemical CO<sub>2</sub>RR activities and selectivities of FePc-CNT, H<sub>2</sub>Pc-CNT were further evaluated in an H-cell. H<sub>2</sub> and CO were the only detected gas-phase products, and no liquid products were detected (Supplementary Fig. 10). As shown in Fig. 2c, the Faradaic efficiency of CO (FE<sub>CO</sub>) over FePc-CNT reaches a maximum value of ~92% at -0.45 V vs. RHE, which is much higher than that over H<sub>2</sub>Pc-CNT (~31% at -0.45 V vs. RHE). Within the whole range of potential, the bare CNT displays a H<sub>2</sub> favorable reaction pathway (Supplementary Fig. 11). The CO partial current density (*j*<sub>CO</sub>) further proves the higher activity of FePc-CNT in CO<sub>2</sub>RR compared to H<sub>2</sub>Pc-CNT (Fig. 2d, red and black curves). By depositing FePc-CNT on a gas diffusion electrode (GDE), the CO<sub>2</sub>RR performance of FePc-CNT was further evaluated in a flow-cell. At -0.45 V vs. RHE, *j*<sub>CO</sub> reaches 23 mA cm<sup>-2</sup> (Fig. 2d, blue curve) with FE<sub>CO</sub> of ~97%. The Tafel slope of FePc-CNT of 79 mV dec<sup>-1</sup> supports that the rate determining step of CO<sub>2</sub> to CO electroreduction is the first proton transfer (Supplementary Fig.

12). Besides initial activity and selectivity, the  $\text{FE}_{\text{CO}}$  after 10 h of continuous  $\text{CO}_2\text{RR}$  at  $-0.45\text{ V}$  vs. RHE remained higher than 88%, while the current density decreased by only 8.5%, indicating good catalytic stability of FePc-CNT towards  $\text{CO}_2\text{RR}$  (Supplementary Fig. 13).

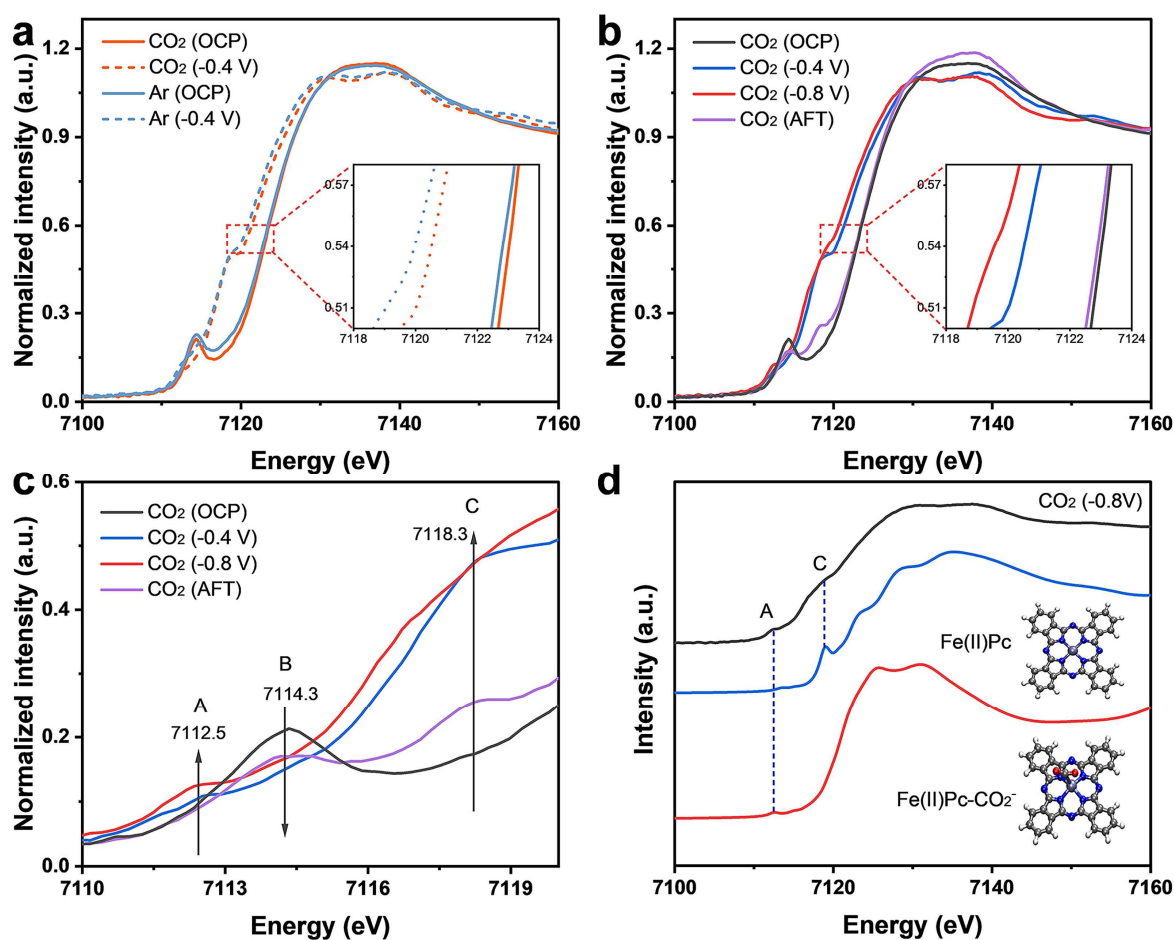


**Fig. 2 | Electrochemical CO<sub>2</sub>RR.** **a**, Linear sweep voltammogram (LSV) curves recorded over FePc-CNT and H<sub>2</sub>Pc-CNT at a sweep rate of  $10\text{ mV s}^{-1}$  under Ar- and CO<sub>2</sub>-saturated  $0.5\text{ M KHCO}_3$  electrolytes. **b**, Cyclic voltammogram (CV) curves for FePc-CNT and H<sub>2</sub>Pc-CNT recorded in an Ar-saturated  $0.5\text{ M KHCO}_3$  electrolyte at  $50\text{ mV s}^{-1}$ . **c**, Faradaic efficiency of CO and H<sub>2</sub> and **d**, Current density of CO for FePc-CNT in an H-cell (red) and on a GDE (blue) and H<sub>2</sub>Pc-CNT in an H-cell (black).

### *Operando* X-ray absorption spectroscopy

*Operando* XAS measurements were performed to reveal the evolution of the electronic structure and coordination environment of the single-Fe-atom species in FePc-CNT during the CO<sub>2</sub>RR process. As shown in Fig. 3a, the oxidation state of Fe is higher in CO<sub>2</sub>-saturated condition than that in Ar-saturated condition at open circuit potential (OCP), which is possibly due to a spontaneous charge transfer from the central Fe to the adsorbed CO<sub>2</sub> molecule. When a potential of -0.4 V vs. RHE was applied, the Fe K-edge of FePc-CNT shifted to lower energies, in both Ar- and CO<sub>2</sub>-saturated 0.5 M KHCO<sub>3</sub> solution (Fig. 3a). As the applied potential decreased, the central iron of FePc-CNT was gradually reduced from Fe(III) into Fe(II). When the electrochemical potential was removed, the XANES spectrum of FePc-CNT was slightly different compared to the initial OCP condition (Fig. 3b), for measurements in CO<sub>2</sub> saturated electrolyte. This indicates that the Fe(III) to Fe(II) reduction is not completely reversible, possibly as a result of a change in the axial ligand present atop Fe before and after CO<sub>2</sub>RR (Fig. 3b). The evolution of the pre-edge region in the *operando* Fe K-edge XANES spectra further reveals the structural dynamics of single-Fe-atom species. As shown in Fig. 3c, under OCP condition, the pre-edge exhibits identical feature of O<sub>2</sub>-Fe(III)N<sub>4</sub> (pre-edge peak B)<sup>47</sup>. At -0.4 V vs. RHE, the intensity of the pre-edge peak B decreased, while a new pre-edge peak C appeared at 7118.3 eV. The latter is ascribable to 1s→4p<sub>z</sub> transition and can be indexed to square planar D<sub>4h</sub> symmetric structure of Fe(II)Pc, indicating the structural switching from non-planar to planar structure when the axial ligand is removed. With further decreasing the potential to -0.8 V vs. RHE, a non-negligible pre-edge peak (A) at the lower energy of 7112.5 eV was observed, which can be assigned to a distorted square planar structure with axial ligand<sup>48,49</sup>. The peak A is more intense at -0.8 than at -0.4 V vs. RHE, and disappears after removing the potential (labelled as AFT in the figure), suggesting that the peak A is most likely related to a catalytic intermediate. To determine the exact

structure of the catalytic intermediate, we used the FDMNES calculation method to simulate the XANES spectra obtained at OCP and -0.8 V vs. RHE. The geometric configuration and atom coordinates for the calculated models are shown in Supplementary Fig. 14 and Supplementary Table 3-5. As shown in Fig. 3d and Supplementary Fig. 15-17, the pre-edge peaks A, B, and C match well with the structure model of Fe(II)Pc-CO<sub>2</sub><sup>-</sup>, O<sub>2</sub>-Fe(III)Pc, and Fe(II)Pc, respectively. These assignments are also in line with the fact that the redox wave for the Pc ligand reduction to Pc<sup>-</sup> in Fe(II)Pc-CNT is situated at *ca* -0.4 V vs. RHE (Fig. 2b), and could explain the enhanced adsorption of CO<sub>2</sub> on Fe(II)Pc<sup>-</sup> at -0.8 V vs. RHE. All of the above results clearly show the transformation of O<sub>2</sub>-Fe(III)Pc to Fe(II)Pc and the *in situ* formation of Fe(II)Pc-CO<sub>2</sub><sup>-</sup> species as a key catalytic intermediate during the CO<sub>2</sub>RR.



**Fig. 3 | Operando XAS characterization.** **a**, Normalized Fe K-edge XANES spectra of FePc-CNT, recorded at OCP and -0.4 V vs. RHE under Ar- and CO<sub>2</sub>-saturated 0.5 M KHCO<sub>3</sub>. **b**, Operando

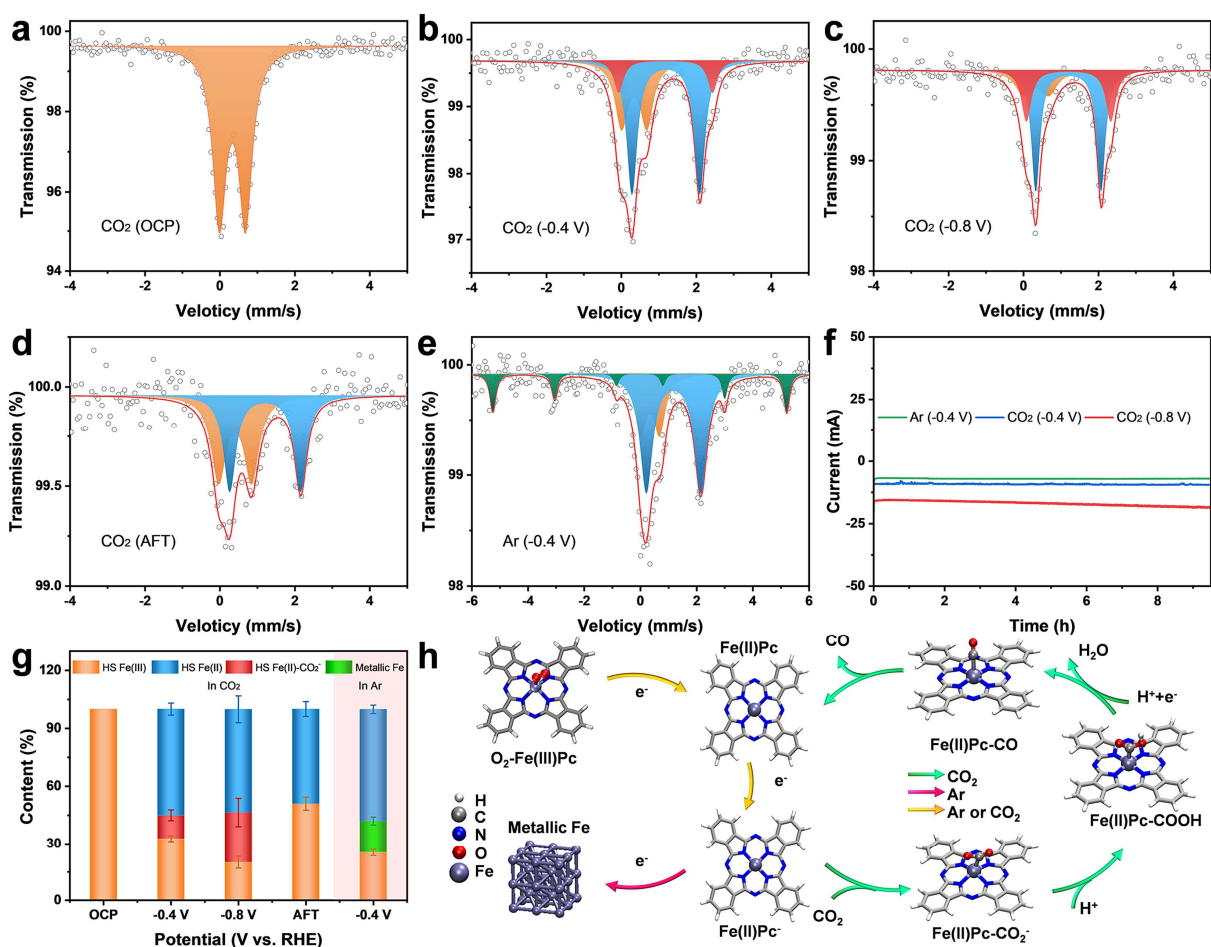
normalized Fe K-edge XANES spectra of FePc-CNT recorded at OCP, -0.4 V, -0.8 V vs. RHE, and AFT in CO<sub>2</sub>-saturated 0.5 M KHCO<sub>3</sub>. **c**, The magnified *operando* XANES spectra. **d**, Comparison between the experimental Fe K-edge XANES spectrum (black curve) and the theoretical spectra calculated based on Fe(II)Pc-CO<sub>2</sub><sup>-</sup> (red curve) and Fe(II)Pc (blue curve).

### ***Operando* <sup>57</sup>Fe Mössbauer spectroscopy**

*Operando* <sup>57</sup>Fe Mössbauer spectroscopy was performed to quantitatively monitor the *operando* formed catalytic intermediates in <sup>57</sup>Fe enriched FePc-CNT during CO<sub>2</sub>RR. Fig. 4f displays the current-time curves during the *operando* Mössbauer measurements. The <sup>57</sup>Fe Mössbauer spectra acquired at different applied potentials in both CO<sub>2</sub>- and Ar-saturated 0.5 M KHCO<sub>3</sub> solution are presented in Fig. 4a-e. The quadrupole doublet (labeled D1) with an IS value of 0.33 mm s<sup>-1</sup> and a QS value of 0.69 mm s<sup>-1</sup> detected at OCP is similar to the doublet observed in the *ex situ* spectrum, and can be assigned to the HS Fe(III) in O<sub>2</sub>-Fe(III)Pc (Fig. 4a). At -0.4 V vs. RHE, two new doublets (IS = 1.19 mm s<sup>-1</sup>, QS = 1.80 mm s<sup>-1</sup> (D2a), and IS = 1.18 mm s<sup>-1</sup>, QS = 2.50 mm s<sup>-1</sup> (D2b)) are observed (Fig. 4b), both of which can be unambiguously assigned to HS Fe(II) species on the sole basis of their high IS values<sup>50,51</sup>. These QS values are in line with those recently experimentally reported *in situ* for HS Fe(II)N<sub>4</sub> sites (with IS values > 0.7 mm s<sup>-1</sup> in all cases) of pyrolyzed Fe-N-C catalysts developed for oxygen electroreduction reaction<sup>14</sup>, (QS of *ca* 2.0 mm s<sup>-1</sup>, measured at 0.2-0.4 V vs. RHE in O<sub>2</sub>-free acidic electrolyte, QS of *ca* 2.41-2.46 mm s<sup>-1</sup> measured at 0.5-0.7 V vs. RHE in O<sub>2</sub>-saturated alkaline electrolyte<sup>13</sup>, QS of *ca* 2.1 mm s<sup>-1</sup> at 0.2 V vs. RHE in O<sub>2</sub>-free acidic electrolyte<sup>34</sup>). The fitted parameters of the Mössbauer spectra of FePc-CNT acquired at different potentials (OCP, -0.4, -0.8 V vs. RHE, in CO<sub>2</sub> saturated electrolyte) in the present work are listed in Supplementary Table 6, and the corresponding spectra are shown in Fig. 4a-c. The relative signal intensity for D1 decreases with

decreasing potential in CO<sub>2</sub>-saturated KHCO<sub>3</sub>, from 100% at OCP to 20% at -0.8 V vs. RHE. The relative signal intensity for D2a is 55% at -0.4 V vs. RHE in CO<sub>2</sub>-saturated KHCO<sub>3</sub>, and almost unmodified at -0.8 V vs. RHE (53%). In contrast, the relative signal intensity for D2b is only 12% at -0.4 V vs. RHE CO<sub>2</sub>-saturated KHCO<sub>3</sub> but increases to 26% at -0.8 V vs. RHE. These trends are visualized in Fig. 4g, and mainly indicate the reduction of HS Fe(III) to HS Fe(II) as a consequence of the applied potential, in the condition of CO<sub>2</sub>RR. The larger QS value for D2b vs. D2a in the present work implies increased asymmetry around the central Fe sites, which may result from the *in situ* formation of an axial intermediate of FePc-CO<sub>2</sub><sup>-</sup>, well consistent with the *operando* XAS results. After removing the applied potential, the relative signal intensity of D1 species increased back to 51%, the relative signal intensity for D2a remained quite unchanged (slight decrease from 53 to 49%), while the signal for D2b species disappeared (Fig. 4d and Supplementary Table 6). When comparing the Mössbauer spectra at -0.4 V vs. RHE in CO<sub>2</sub>-saturated vs. Ar-saturated 0.5 M KHCO<sub>3</sub> solution, a sextet with hyperfine field of 324 kOe was detected (Fig. 4e), indicating the partial transformation of FeN<sub>4</sub> sites into metallic iron in CO<sub>2</sub>-free electrolyte. This indicates the stabilization of Fe(II)Pc<sup>-</sup> by a CO<sub>2</sub> adsorbate or by another CO<sub>2</sub>RR intermediate. Fig. 4h summarizes the dynamic evolution of the single-Fe-atom species in FePc-CNT during catalysis in CO<sub>2</sub>- and Ar-saturated electrolytes.



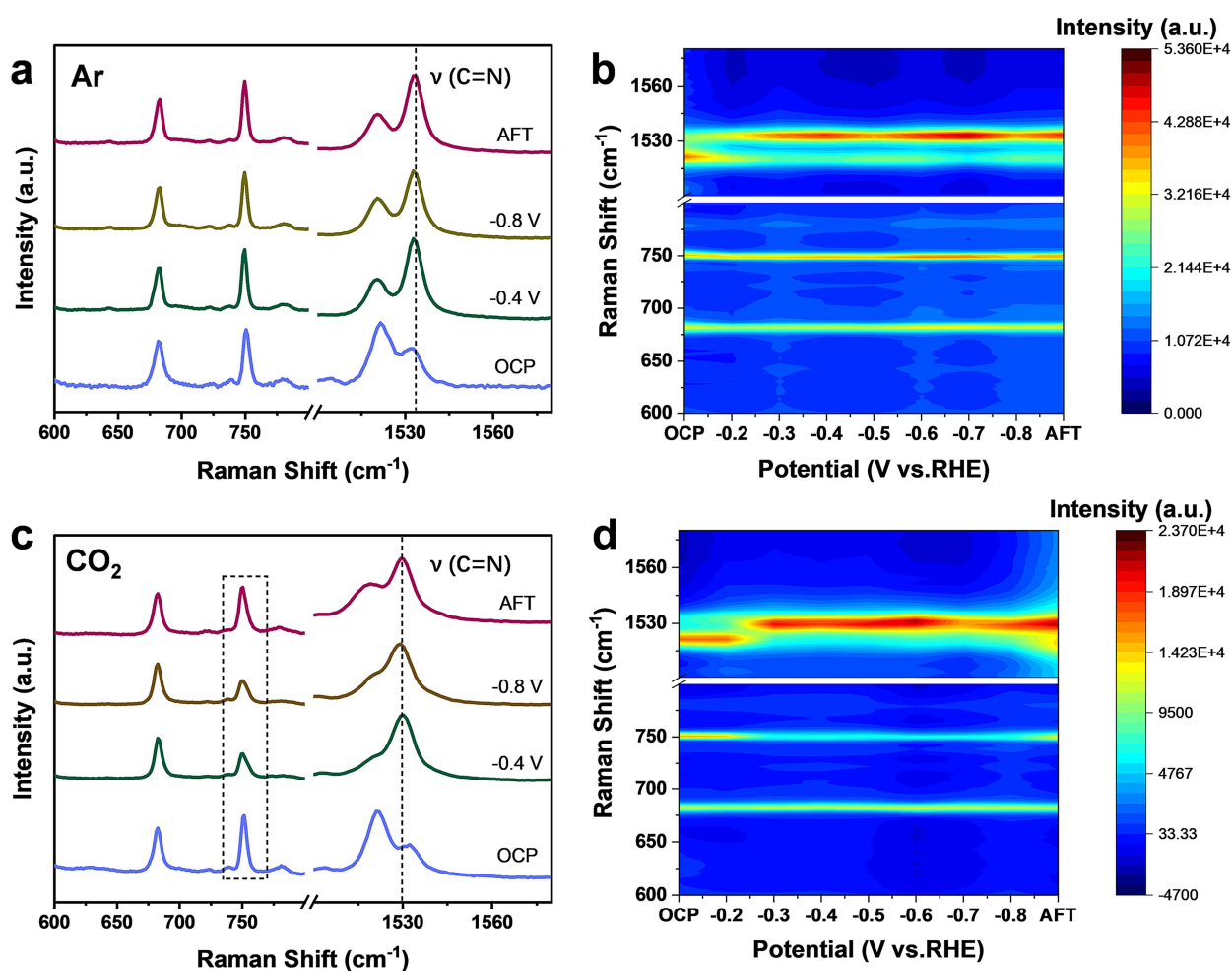


**Fig. 4 | Operando  $^{57}\text{Fe}$  Mössbauer characterization.** Operando  $^{57}\text{Fe}$  Mössbauer spectra of FePc-CNT collected at **a**, OCP, **b**, -0.4 V vs. RHE, **c**, -0.8 V vs. RHE, and **d**, AFT under  $\text{CO}_2$ -saturated 0.5 M  $\text{KHCO}_3$  electrolyte. **e**, Operando  $^{57}\text{Fe}$  Mössbauer spectra of FePc-CNT collected at -0.4 V vs. RHE under Ar-saturated 0.5 M  $\text{KHCO}_3$  electrolyte. **f**, Current-time response of FePc-CNT in operando  $^{57}\text{Fe}$  Mössbauer measurements. **g**, Relative signal intensity of different Fe species obtained from the fitting of *in situ* and/or operando  $^{57}\text{Fe}$  Mössbauer measurements. **h**, The proposed dynamic evolution routes of single-Fe-atom species during catalysis under  $\text{CO}_2$ - and Ar-saturated conditions.

### Operando Raman spectroscopy

To gain more insights into the role of ligands coordinated to single-Fe-atom species under reaction condition, operando Raman spectroscopy measurements were carried out. The Raman peak at  $1532\text{ cm}^{-1}$  can be clearly observed over FePc-CNT at OCP under Ar-/ $\text{CO}_2$ -saturated conditions (Fig. 5a,b,

Supplementary Fig. 18), which can be assigned to the C=N vibration peak<sup>52-54</sup>. In Supplementary Fig. 18a,b, under an Ar atmosphere, within the potential range from 0.5-0.3 V vs. RHE (covering the redox transition from Fe(III) to Fe(II) in FePc-CNT), no obvious change in the general features of the spectra was observed, indicating the lack of effect of the iron cation oxidation state on the vibrational spectra. Notably, at -0.4 V vs. RHE, which is within the second reduction region of FePc-CNT, the intensity of the C=N vibration in FePc-CNT was significantly increased (Fig. 5a), suggesting that the change of the vibration peak was derived from the reduction of the Pc ring, instead of the redox transition from Fe(II) to Fe(I)<sup>55</sup>. Negligible changes could be observed at -0.8 V vs. RHE and after removing the applied voltage (as compared to the case at -0.4 V vs. RHE), indicating that the Pc ring could be kept in the reduced state (Fig. 5a,b). Under CO<sub>2</sub>-saturated condition, similar trends were obtained as compared to those in Ar-saturated condition, where the intensity of the C=N vibration peak increased when the cathodic polarization decreased to -0.4 V and -0.8 V vs. RHE (Fig. 5c,d and Supplementary Fig. 18e). Interestingly, the intensity of the peak at 750 cm<sup>-1</sup> (belonging to the C-N-C bending coupled to the Fe-N stretching vibration<sup>56,57</sup>) dramatically decreased compared to its neighbor at 682 cm<sup>-1</sup> as the electrochemical potential decreased (Fig. 5c,d), which can be ascribed to the adsorption of CO<sub>2</sub> molecule on the single Fe atom site with *in situ* formation of Fe(II)Pc-CO<sub>2</sub><sup>-</sup> intermediate. After removing the applied potential, the intensity of the peak at 750 cm<sup>-1</sup> increased back to its initial value (AFT curve in Fig. 5c). This can be explained by the loss of the intermediate species. Considering that mainly Fe(II) species and a minority Fe(III) species could be observed from *operando* Mössbauer measurements under CO<sub>2</sub> condition, the absence of stable Fe(I) species can be explained on the basis of the preferred one-electron reduction of the Pc ring as compared to the Fe(II) to Fe(I) reduction.

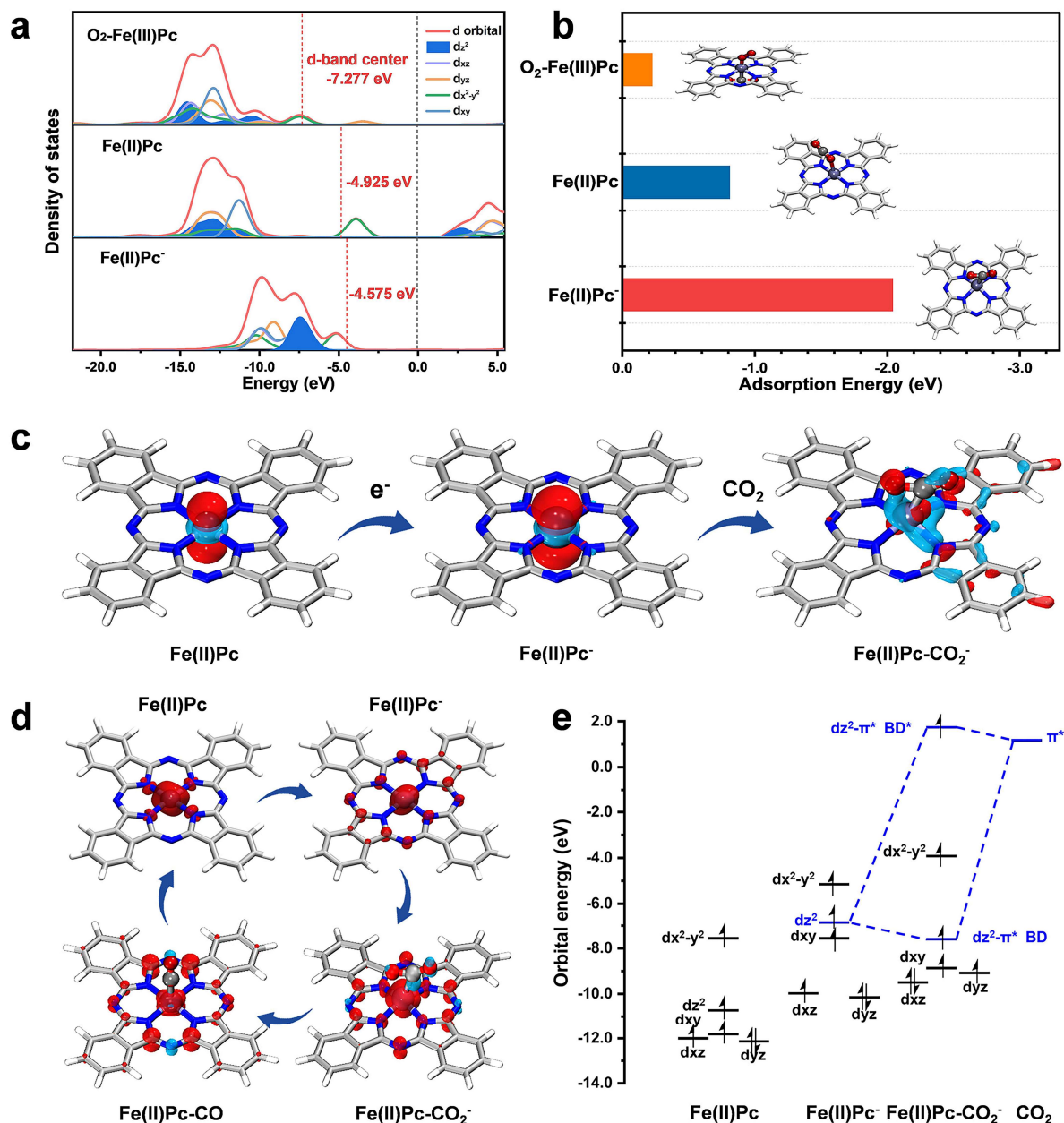


**Fig. 5 | Operando Raman characterization.** **a**, Operando Raman spectra of FePc-CNT recorded at OCP and -0.4 V, -0.8 V vs. RHE and AFT in Ar-saturated 0.5 M KHCO<sub>3</sub> solution. **b**, The corresponding contour map at full potential under Ar-saturated 0.5 M KHCO<sub>3</sub> condition. **c**, Operando Raman spectra of FePc-CNT recorded at OCP, -0.4 V, -0.8 V vs. RHE and AFT in CO<sub>2</sub>-saturated 0.5 M KHCO<sub>3</sub> solution. **d**, The corresponding contour map at full potential under CO<sub>2</sub>-saturated 0.5 M KHCO<sub>3</sub> condition.

## Density functional theory calculations

DFT calculations were conducted to provide theoretical insights into the underlying reaction mechanism of electrochemical CO<sub>2</sub> reduction over single-Fe-atom catalyst. As shown in Supplementary Fig. 19, the single point energy of Fe(II)Pc<sup>-</sup> is much lower than that of Fe(I)Pc ( $\Delta E =$

$E_{\text{Fe(II)Pc}} - E_{\text{Fe(II)Pc}^-} = 0.231 \text{ eV}$ ), confirming the preferred reduction of Pc ring with the formation of  $\text{Fe(II)Pc}^-$ . Furthermore, the delocalized electrons on the Pc ring lead to increased orbital energy levels, which can shift the d-band center of the central Fe from -4.925 eV to -4.575 eV (Fig. 6a) and decrease the  $\text{CO}_2$  adsorption energy from -0.816 eV to -2.047 eV, resulting in the transformation of the adsorption configuration from physical adsorption to chemisorption (Fig. 6b). Obviously, the  $\text{d}_{z^2}$  orbital of Fe(II) was changed into a more delocalized state as the electron added to the Pc ring and thus forming of a strong covalent bond with  $\text{CO}_2$  easily (Fig. 6c). The electronic structures of  $\text{Fe(II)Pc}$  and  $\text{Fe(II)Pc}^-$  were further investigated by charge density difference and electron spin density distribution. As shown in Supplementary Fig. 20, compared to  $\text{Fe(II)Pc}$ , a larger electronic density is delocalized at the vertical direction of Fe atom in  $\text{Fe(II)Pc}^-$ . In Fig. 6d, the electron spin density distribution of  $\text{Fe(II)Pc}$  is mainly distributed around the central Fe and the N atoms in the first coordination shell, while that of  $\text{Fe(II)Pc}^-$  is mainly distributed in the second coordination shell. Simultaneously, the electron spin density distribution of the Fe atom increases in the Z direction, which shall benefit  $\text{CO}_2$  activation at the HS  $\text{Fe(II)Pc}^-$  site. Subsequently, the electron spin density distribution in the second coordination shell of  $\text{FePc}$  remained quite stable when bond to different intermediate species. Fig. 6e shows the energy-level correlation diagrams of  $\text{Fe(II)Pc}$ ,  $\text{Fe(II)Pc}^-$ , and  $\text{Fe(II)Pc-CO}_2^-$ . It is shown that the transfer of electrons to the Pc ring with the formation of  $\text{Fe(II)Pc}^-$  pushes up the total d orbitals, especially the  $\text{d}_{z^2}$  orbital of Fe, which leads to a stronger charge-transfer interaction from the Fe  $\text{d}_{z^2}$  to  $\text{CO}_2 \pi^*$  orbital, accelerating the formation of  $\text{Fe(II)Pc-CO}_2^-$  intermediate and boosting the  $\text{CO}_2$  reduction reaction. All these theoretical insights are well consistent with the results obtained from *operando* measurements, clearly illustrating the electronic structure and dynamics of the atomically dispersed iron sites in  $\text{CO}_2\text{RR}$ .



**Fig. 6 | Theoretical calculations.** **a**, Density of states (DOS) for Fe-3d orbitals in  $\text{O}_2\text{-Fe(III)Pc}$ ,  $\text{Fe(II)Pc}$ , and  $\text{Fe(II)Pc}^-$ . **b**, The  $\text{CO}_2$  adsorption energy over  $\text{O}_2\text{-Fe(III)Pc}$ ,  $\text{Fe(II)Pc}$ , and  $\text{Fe(II)Pc}^-$ . **c**, the  $d_{z^2}$  orbital of  $\text{Fe(II)Pc}$  and  $\text{Fe(II)Pc}^-$  and the Kohn–Sham molecular orbital (MO) contours of the  $d_{z^2}$ - $\pi^*$  bonding orbital (BD) of  $\text{Fe(II)Pc-CO}_2^-$ , where the red and blue regions are positive and negative orbital phases. **d**, The electron spin density plots of  $\text{Fe(II)Pc}$ ,  $\text{Fe(II)Pc}^-$ ,  $\text{Fe(II)Pc-CO}_2^-$  and  $\text{Fe(II)Pc-CO}$ , the red and blue regions represent  $\alpha$  and  $\beta$  electron spin density. **e**, The Kohn–Sham molecular orbital energy-level correlation diagram of  $\text{Fe(II)Pc}$ ,  $\text{Fe(II)Pc}^-$ , and  $\text{Fe(II)Pc-CO}_2^-$  for the  $\text{CO}_2$

activation step.

## Conclusions

In summary, with the integration of state-of-the-art *operando* techniques on a model single-Fe-atom catalyst comprising of uniform HS Fe(III) center, we have provided a thorough study of the dynamic structural evolution of single-Fe-atom species under CO<sub>2</sub>RR condition. In CO<sub>2</sub>-saturated electrolyte, the transformation of O<sub>2</sub>-Fe(III)Pc to Fe(II)Pc was observed and the *in-situ* formation of an axial catalytic intermediate of Fe(II)Pc-CO<sub>2</sub><sup>-</sup> was evidenced. In contrast, in Ar-saturated electrolyte, the initial HS Fe(III)Pc species started to be irreversibly transformed to metallic iron when the electrochemical potential was decreased to -0.4 V vs. RHE. Meanwhile, the Pc ligand coordinated to single-Fe-atom center experiences a one-electron reduction, in combining with the adsorption and activation of CO<sub>2</sub>, that prevent the over-reduction of Fe(II) to Fe(I) species and metallic iron. DFT calculations further reveal that the *in-situ* generated HS Fe(II)Pc<sup>-</sup> species with modified d-band center results in a stronger binding with CO<sub>2</sub> molecules, which can effectively boost the CO<sub>2</sub>RR. This work provides an in-depth understanding of the electronic structure and dynamic evolution of single-Fe-atom center in CO<sub>2</sub>RR and shall shed new light for the future design of high-performance single atom catalysts.

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### **Author Contributions**

Y.Q.Z., X.N.L., Y.Q.H. and B.L. conceived the project. Y.Q.Z. performed the catalyst synthesis, structural characterization, and CO<sub>2</sub>RR performance evaluation. J.Z. conducted the theoretical calculations. Y.Q.Z., S.F.W., Y.R.L., S.B.X., Y.L.T. and X.Y.L. contributed to the XAS spectroscopy measurements and analyses. Y.Q.Z., S.F.W., J.H.W. and X.N.L. carried out the Mössbauer spectroscopy measurements and analyses. Y.Q.Z., S.F.W. and X.Y.R. performed the Raman spectroscopy measurements and analyses. Y.Q.Z., X.N.L., B.L. and F.J. wrote and revised the manuscript with inputs from all authors. The project was supervised by X.N.L., Y.Q.H., B.L. and T. Z.

### **Notes**

The authors declare no competing financial interests.

## **ASSOCIATED CONTENT**

### **Supporting Information**

Supplementary data associated with this article is available free of charge via the Internet at

[www.nature.com/reprints](http://www.nature.com/reprints).

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