

## Intrathecal Pseudodelivery of Drugs in the Therapy of Neurodegenerative Diseases: Rationale, Basis and Potential Applications

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# Intrathecal pseudodelivery of drugs in the therapy of neurodegenerative diseases: rationale basis and potential applications

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Abstract: Intrathecal pseudodelivery of drugs is a novel route to administer medications to treat neurodegenerative diseases based 15 on the CSF-sink therapeutic strategy by means of implantable devices. While the development of this therapy is still in the preclinical 16 stage, it offers promising advantages over traditional routes of drug delivery. In this paper, we describe the rationale basis of this 17 system and provide a technical report on the mechanism of action, that relies on the use of nanoporous membranes enabling selective 18 molecular permeability. On one side, the membranes do not permit the crossing of certain drugs; whereas, on the other side, they 19 permit the crossing of target molecules present in the CSF. Target molecules, by binding drugs inside the system, are retained or 20 cleaved and subsequently eliminated from the central nervous system. Finally, we provide a list of potential indications, the respec-21 tive molecular targets, and the proposed therapeutic agents. 22

Keywords: drug delivery systems, intrathecal pseudodelivery, neurodegenerative diseases, intrathecal device, nanoporous membranes

#### 1. The BBB, the CSF, and the neurodegenerative diseases

Neurodegenerative diseases (NDD) are a group of disorders of the central nervous system (CNS) that cause pro-27 gressive death of nerve cells and loss of function in the brain and spinal cord. The CNS 28 compartments are represented by the parenchyma of the brain and spinal cord, including 29 the intracellular space with the intracellular fluids (ICF) and the extracellular space with the interstitial fluid (ISF), and 30 the cerebrospinal fluid (CSF) space. The tissues of the CNS are separated from the systemic circulation by the blood -31 brain barrier (BBB) and blood-CSF barrier (BCSFB) [1,2]. These barriers protect the CNS from endogenous and exoge-32 nous compounds present in the systemic circulation and are essential to ensure the proper function of the CNS. The 33 BBB is a complex and highly selective structure, a veritable border for numerous substances (including macromolecules) 34 found in the systemic circulation in their passage to the CNS [1]. This protective role is mainly the consequence of the 35 presence of an endothelial layer with special features, with flattened, polarized endothelial cells that have an increased 36 mitochondrial content, minimal pinocytic activity, a lack of fenestrations, and are closely linked via an elaborate protein 37 network consisting of tight junctions and adherens junctions[2] (Figure 1A). Besides the almost inexistent paracellular 38 passage of molecules, the presence of different highly selective transporters on endothelial cells limits the free entry of 39 drugs toward the CNS [3]. Despite acting as a carrier by allowing the penetrance of glucose, vitamins, lipid-soluble 40 molecules, and gases (carbon dioxide and oxygen) from the blood toward the CNS, the BBB serves as a shield against 41 neurotoxins, but also for potentially thera peutic substances. Additionally, these physical-chemical properties of the BBB 42 are maintained in physiological conditions grace to the intercellular crosstalk between endothelial cells and the other 43 components of the BBB (pericytes, astrocytes, neurons). Regarding the BCSFB, it is located in the choroid plexus of the 44

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brain ventricles, and it is composed of a cuboidal cell epithelium with adhering Kolmer cells, a highly vascularized 45 stroma with connective tissue, and the brain capillary endothelium [4]. According to the classical paradigm, the primary 46 role of the choroid plexus epithelial cells is the secretion of CSF into the brain ventricles, however, recent research 47 acknowledges the protective role of the BCSFB for the cerebral parenchyma [5]. In contrast to the BCSFB and BBB, the 48 CSF and the ISF are not tightly separated. Even large molecules up to the size of albumin can move passively from/to 49 the ISF and the CSF. Circulation of CSF and ISF around and through the CNS transports not only fluids but also any 50 solutes they carry, including nutrients, drugs, and metabolic wastes. Impairment of this circulation has profound im-51 plications for NDD[6]. 52

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Figure 1. 1A. The complex structure of the neurovascular unit in physiological conditions.

All components interact anatomically and chemically in a complex web to maintain its functions. Endothelial cells (pur-58 ple), which make up the main part of the BBB, are characterized by high selectivity in transcellular transport, due to the 59 tight junctions that fuse them together and restrict diffusion across the blood vessels. Pericytes (red) are essential cells 60 in maintaining the structural and functional properties of the BBB and share a common basement membrane (blue) with 61 endothelial cells. Astrocytes (yellow) are involved in supportive processes and have a strategic localization between 62 neurons (green) and other components of the BBB, with their specialized end feet extending to the walls of the blood 63 vessels. 1B. The most relevant pathophysiological changes of the neurovascular unit in NDD. Many of the homeo-64 static processes of the BBB are impaired in NDD. Vascular integrity is impaired by damage to the endothelial cells 65 (purple), which lose their impermeability in the tight junctions, along with atrophy of pericytes (red), astrocyte endfeet 66 swelling (vellow), and collagen and laminin accumulation in the basal membrane (blue). Aggregates of protein builds 67 up and organizes in plaques that surround the astrocytes and neurons. This causes neuroinflammation with the secre-68 tion of inflammatory cells and cytokines, with the central role played by microglia (dark blue). Within neurons (green), 69 proteins may also accumulate in intracellular aggregates, which are associated with the accumulation of glial cells and 70 neuronal dysfunction. Modified from Schreiner et al.[7] (Magda Pîrțac originally designed this figure by using Adobe 71 Fresco). 72

Pathologically, besides cellular loss, most NDD exhibit molecular hallmarks such as beta-amyloid (Aβ), 73 tau,  $\alpha$ -synuclein, mSOD1, and TDP-43. Disease-distinctive proteins exist in different states that aggregate 74 between them: soluble monomers aggregate together to form dimers and oligomers, that can form soluble 75 protofibrils. In turn, protofibrils aggregate to form insoluble fibrils, that eventually deposit in the form of 76 plaques or tangles [8]. Soluble proteins are present in the CSF, and in equilibrium -either direct or inverse, 77 depending on the molecule and the stage of the disease-with the concentration in the ISF (Figure 1). Simi-78 larly, polyglutamine (polyQ) diseases are a group of genetic NDD caused by the abnormal expansion of a 79 CAG trinucleotide repeat that is translated into an expanded polyQ sequence in the disease-causative pro-80 teins. The expanded polyQ sequence itself plays a critical disease-causative role in the pathogenic mecha-81 nisms underlying these diseases. The more general pathogenic mechanism in polyQ diseases is related to 82 the fact that the expanded polyQ sequence promotes a conformational transition from the native monomer 83 into the  $\beta$ -sheet-rich monomer, followed by the formation of soluble oligomers and finally insoluble aggre-84 gates with amyloid fibrillar structures (Figure 2). The intermediate soluble species including the  $\beta$ -sheet-85 rich monomer and oligomers exhibit substantial neurotoxicity [9]. 86



88 Figure 2. Aggregation of proteins from monomers to plaques. Soluble monomers aggregate together to form dimers and oligomers, that can form soluble protofibrils. These protofibrils aggregate to form insoluble fi-90 brils, that can form plaques. The process is dynamic and bidirectional, therefore complex aggregates can 91 disaggregate into less complex aggregates. Modified from Kok et al, 2022[8]. 92

From this perspective, the central event in the pathophysiology of NDD is a proteostasis imbalance leading to 94 protein aggregation overwhelming the proteostasis capacity of brain cells (e.g., autophagy-lysosome and ubiquitin-95 proteasome systems), and interfering with the ability of neurons to cope with pathogenic proteins [10,11], which accu-96 mulate and deposit intracellularly and/or extracellularly (Figure 1B). Eventually, protein aggregates lead to neuronal 97 cell death, frequently mediated by activated tyrosine kinases. Not in vain, the exquisitely tuned activity of protein ki-98 nasesis essential to maintaining cellular homeostasis [12]. Whereas loss-of-function variants are generally associated 99 with cancer, gain-of-function variants are associated with NDD. Since these pathways are crucial for degrading aggre-100 gate-prone proteins and dysfunctional organelles such as mitochondria, they help maintain cellular homeostasis. As 101 post-mitotic neurons cannot dilute unwanted protein and organelle accumulation by cell division, the nervous system 102 is particularly dependent on autophagic pathways. This dependence may be a vulnerability as people age and these 103 processes become less effective in the brain. The origin of proteostasis imbalance may be due to a genetic origin and/or 104 acquired causes. Today, the pathogenic mechanisms underlying most genetic NDD are generally known, yet we do not 105 have a clear understanding of the etiologies of sporadic NDDs. In sporadic NDD, some risk or protective factors have 106 been identified (genetic polymorphisms, style of life including exercise, sleep, and diet), but the precise links between 107 these factors and the pathogenic mechanisms leading to proteostasis imbalance are yet to be deciphered. Anyway, the 108 formation of aggregates of these proteins may be the consequence of different pathogenesis, including a variable com-109 bination of increased synthesis, synthesis of structurally abnormal forms, and decreased degradation, either by intra-110 cellular (autophagy, microglia) or extracellular systems [13–16]. A decrease in their clearance to compartments outside 111 the brain parenchyma has been identified as a relevant contribution to protein accumulation in the CNS fluidic systems, 112 due to the impairment of the BBB, the CSF flow, and the glymphatic system [17–23]. Protein degradation involves en-113 zymes contributing to clear target molecules, such as neprilysin or insulysin, that clear A $\beta$ [24]. The soluble fraction of 114 the triggering receptor expressed on myeloid cells 2 (sTREM2) is a bioactive molecule capable of binding ligands, acti-115 vating microglia, and regulating immune responses during neurodegenerative processes. While sTREM2 promotes mi-116 croglial survival and stimulates the production of inflammatory cytokines depending, variants of sTREM2 are less po-117 tent in both suppressing apoptosis and triggering inflammatory responses. In Alzheimer's disease (AD), wild-type 118 sTREM2 binds oligomeric AB and acts as an extracellular chaperone, blocking and reversing AB oligomerization and 119 fibrillization, and preventing Aβ-induced neuronal loss in vitro. Levels of sTREM2 in CSF fall prior to AD clinical onset, 120 rise in early AD, and fall again in late AD. Subjects with higher sTREM2 levels in CSF progress more slowly into and 121 through AD than do subjects with lower sTREM2 levels, suggesting that sTREM2 may protect against AD [25–28]. 122

In parallel to proteostasis disbalance, a common feature in NDD is chronic immune activation, in particular of 123 astrocytes and microglia, the resident macrophages of the central nervous system [29]. CSF immune system dysregu-124 lates during healthy brain aging and especially during neurodegenerative processes. Monocytes upregulate lipid pro-125 cessing genes with age in cognitively normal CSF, particularly in neurodegeneration [30]. The release of aggregated 126 pathogenic proteins such as A $\beta$ , tau,  $\alpha$ -synuclein, mSOD1, and TDP-43 into the extracellular space, drives the changes 127 of microglia and astrocytes into their pro-inflammatory phenotypes (Figure 1B). The pro-inflammatory-phenotype as-128 trocytes and activated microglia release pro-inflammatory factors, such as interleukins and tumor necrosis factor  $\alpha$ 129 (TNF- $\alpha$ ), which act as mediators dysregulating the synaptic function, the BBB, the metabolic function, and CSF and 130

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blood flow [5,29–34]. Altogether, the predominance of the pro-inflammatory state results in the increase of pro-inflammatory factors and in a decrease in the protein clearance; and ultimately in disease progression. 131

#### 2. The problem of drug delivery to the CNS and its many explored solutions

The administration of drugs targeting the CNS poses challenges. The main difficulty is related to poor drug pene-134 tration through the BBB, as multiple in vivo, in vitro, and in situ experiments have demonstrated [35]. Small molecule 135 diffusion through BBB operates in the same manner as solute-free diffusion through biological membranes. The proba-136 bility of a given small molecule passing through the BBB can be predicted based on its molecular weight (MW) and 137 structure. If the MW is greater than 450 Daltons, and/or the drug's structure includes polar functional groups that form 138 more than seven hydrogen bonds, then its transport through the BBB will be low unless there is carrier-mediated 139 transport [36]. Conversely, if the MW is less than 450 Daltons and the drug forms seven or fewer hydrogen bonds with 140 water, then its transport through the BBB may be significant, provided that it is not a substrate for an active efflux 141 transporter. In certain pathological conditions such as stroke or cancer, the BBB experiences structural and functional 142 changes that damage the central nervous system. BBB leakage enables increased immune cell traffic and substance 143 passage to the interstitial fluid. The BBB can be opened through physical interventions like hyperosmotic infusions or 144 focused ultrasounds, which facilitates the entry of drugs such as mAbs [37,38]. When it comes NDD, BBB dysfunction 145 is linked to chronic inflammation, heightened oxidative stress, and the pathological accumulation of misfolded proteins. 146 These factors impede drug delivery to CNS in comparison to acute conditions. Furthermore, the BCSFB may also be 147 altered in NDD, undergoing similar cellular and molecular changes to BBB alterations, leading to permeability 148 changes[4]. 149

In recent years, a multitude of brain drug delivery technologies emerged, including trans-cranial delivery, CSF 150 delivery, BBB disruption, lipid carriers, prodrugs, stem cells, exosomes, nanoparticles, gene therapy, endogenous BBB 151 carrier-mediated transport and receptor-mediated transport systems [36]. As presented in Figure 3, there are available 152 at present both invasive and non-invasive techniques, while alternative routes shunting the natural brain barriers are 153 also being explored. Nanoparticle (NP)-based systems have shown promising potential as precision medicines that can 154 effectively penetrate the BBB by crossing, avoiding, or disrupting the BBB. Diverse systems, including liposomes, mi-155 celles, polymeric NPs, solid-lipid NPs, and inorganic NPs, have been investigated for NP drug loading to treat 156 NDD[39,40]. Exosomes are extracellular vesicles secreted by a wide variety of cells, and their primary functions include 157 intercellular communication, immune responses, human reproduction, and synaptic plasticity. Due to their natural 158 origin and molecular similarities with most cell types, exosomes have emerged as promising therapeutic tools for nu-159 merous diseases, particularly NDD [41]. 160



Figure 3: Current strategies/systems for drug delivery to the CNS. (Color code: blue – non-invasive meth-<br/>ods, red – invasive methods, green – alternative methods; Abbreviations: BBB – blood-brain barrier; CNS –<br/>tentral nervous system; NP – nanoparticle).163164165

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Invasive techniques rely on implantable devices accessing the CSF -intrathecal (IT) or intraventricular (IVT)- or the 168 ISF intraparenchymal delivery-. In contrast to the extensive use of the CSF for diagnostic purposes, the CSF has not 169 been frequently regarded as a target biological fluid for therapies for CNS conditions because it requires invasive pro-170 cedures and systems. Today, few drugs are delivered in the CSF, mainly because it is an invasive procedure not without 171 risks. However, in the last decades, therapies addressed at the CSF have gained some momentum as a result of advanced 172 treatments such as gene therapies and replacement enzymatic therapies which need IT or IVT delivery. While the IT 173 drug delivery pathway is still regarded as an experimental approach in neurodegenerative diseases, this route has long 174 been successfully used in the treatment of other pathological conditions and in the symptomatic therapy of pain and 175 spasticity [42-45]. More recently, intrathecal infusion of Nusinersen, an antisense oligodeoxynucleotide (ASO), was 176 approved by FDA and EMA for the treatment of spinal muscular atrophy (SMA) [46]. Several ASOs have been tested 177 in clinical trials for their ability to treat brain or spinal cord parenchyma by injecting drugs into the lumbar CSF. One such 178 ASO is Tominersen, which targets the huntingtin mRNA of Huntington's disease (HD). Another ASO, Tofersen, targets 179 the superoxide dismutase 1 (SOD1) mRNA in SOD1-dependent amyotrophic lateral sclerosis (ALS). [47]. 180

Controlled drug delivery systems (DDS) seek to improve patient compliance by increasing therapeutic efficacy, extending drug release time and stability, increasing drug bioavailability, reducing side effects, and reducing dosage frequency. Moreover, DDS contribute to the safety of pharmaceuticals during their whole delivery period by serving as various kinds of protective barriers that enclose them, minimizing the loss of active ingredients and any harmful impacts on patients. They are typically constructed at nanometric and micrometric levels in order to combine several qualities such as site-specificity, endurance, or external stimuli sensitivity[48–50].

Intrathecal pumps are a good example of implantable DDS targeting the CNS. Intrathecal pumps consist of an 187 electromechanical pump cased with a metal reservoir that stores the medication, and an catheter that is implanted in 188 the spinal intrathecal space to deliver the medication from the pump to the CSF, thus accessing the CNS where the 189 medication takes effect. Two types of pumps are available: a constant rate pump delivers the medication at a constant 190 rate, and a programmable pump delivers the medication according to a rate determined by a computer program[51,52]. 191 While intrathecal pumps offer good control of the rate of drug release, and enable effective low dosing, thus reducing 192 the incidence and severity of drug-derived adverse effects, they are not exempt from complications, including the risk 193 of overdose as a result of incorrect pump programming, pump failure, CSF leak, granuloma formation, obstruction of 194 CSF flow, and infections [53,54]. 195

#### 3. Clearing the CSF as a therapeutic strategy in neurodegenerative diseases

Different approaches have been investigated with the aim of removing pathogenic proteins from the CNS, including 197 inhibition of protein synthesis, and promoting protein degradation. Most therapeutic strategies addressed to enhance 198 the clearance of brain proteins rely on clearing them from the periphery [55]. Disease-modifying therapies, such as 199 monoclonal antibodies (mAb) against target molecules, recently started showing clinical benefits in some NDD at 200 last [56]. However, safety remains a concern, since peripherally administered mAbs may lead to serious side ef-201 fects, such as immunologically mediated amyloid-related imaging abnormalities (ARIA) after anti-Aß mAb therapies 202 [57]. However, there might be a much more direct way of clearing proteins from the brain than removing them from 203 the plasma: removing them from the CSF. This is the rationale of the so-called "CSF-sink therapeutic hypothesis" [58-204 60] (Figure 3). 205

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Figure 4. Representation of

target molecule dynamics in four scenarios. From left to right: physiological condition (healthy subject), pathological208(untretated neurodegenerative disease), and pathological treated with peripheral sink therapeutic approach and patho-209logical treated with CSF-sink therapeutic approach. Red arrows represent spontaneous equilibrium of target molecules210between CNS fluid compartments, green arrows represent pathways of therapeutic clearance of target molecules and211orange arrows represent the secondary equilibrium of target molecules between CNS fluid compartments after therapy.212Modified from Schreiner et al. [60].213

Indeed, several attempts have been made to treat neurodegenerative diseases using different CSF filtration systems. In 215 ALS, extracorporeal CSF filtration showed to successfully mitigate the neurotoxic capacity of CSF from subjects with 216 sporadic Amyotrophic Lateral Sclerosis (ALS) in vitro [61] and in a mouse model [62]. However, a very small random-217 ized, controlled, and open study in the nineties, concluded that filtration of 200-250 ml CSF daily, over five days, did 218 not seem to have a substantial therapeutic effect in patients with ALS [63]. In a single case of familial ALS, there was 219 subjective, but no objective, improvement of the patient immediately after CSF filtration and two weeks later [64]. 220 It is worth revising methods aimed at CSF dilution or enhancing CSF flow, as they are closely related to CSF-sink ther-221 apeutic strategy. In AD, mechanical dilution of CSF has long been a proposed therapeutic approach [65]. CSF shunts 222 such as ventriculo-peritoneal, ventriculo-pericardial, ventriculo-atrial and lumbo-peritoneal shunts are the recom-223 mended therapy for communicating hydrocephalus. Noteworthy, shunting procedures delay intracerebral deposition 224 of Aβ in patients with communicating hydrocephalus [66]. COGNIShunt is a system for a continuous, low-flow ven-225 triculoperitoneal shunt (Eunoe, acquired by Integra Lifesciences). Results of the clinical trial showed that the difference 226 between treatment groups, while still favoring the COGNIShunt group, was not statistically significant [67]. Arethusta 227 (Leucadia Therapeutics) is a system based on an implantable device to restore CSF flow across the cribriform plate, with 228 no clinical reports yet. 229

#### 4. Intrathecal pseudodelivery of drugs: concept, advantages, and disadvantages

Therapeutics such as enzymes, antibodies, and even transport proteins (e.g., Albumin), which are mostly intended 231 to link molecular targets to be removed from the organism, do not really need to be delivered in the fluid or tissue to 232 action. In fact, binding to the molecular target can be achieved regardless of the compartment. With this in mind, IT 233 pseudodelivery of drugs is a novel concept to administer drugs to treat CNS conditions relying on the CSF-sink thera-234 peutic strategy [60], by means of implantable DDS to put in touch therapeutics with molecular targets inside of the 235 device, without delivering to the biological fluid (hence the name "pseudo"-delivery). The key component in the device 236 is a smart design of customized nanoporous membranes that allow the influx of small molecules (targets) at the time of 237 preventing the efflux of therapeutics of larger molecular size (nanosieve). 238

Functional nanoporous materials are an important class of nanostructured materials because of their tunable porosity and pore geometry (size, shape, and distribution) and their unique chemical and physical properties. Progress in developing a broad spectrum of nanoporous materials has accelerated their use for extensive applications in biomedical fields [68]. Nanoporous membranes are natural or synthetic membranes that can be made from a variety of materials and can be fabricated in different configurations including pore size, surface coating, geometry, and pore distribution, 243

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providing unique mass transport characteristics that have numerous potential biological and medical applications that involve isolating, sorting, sensing, and releasing biological molecules. Nanoporous membranes are of great interest in drug delivery because they offer a secure delivery system for medications and stop bodily enzymes from breaking them down and because they can be tailored-made and fine-tuned for precise control of the rate of drug delivery or to exquisitely adjust the selective molecular permeability [69] [70]. Nanoporous membranes can be used as stand-alone DDS or assembled into complex DDS. IT pseudodelivery is the first DDS to be endowed with nanoporous membranes acting on the CNS[71].

Devices for IT pseudodelivery of drugs look similar to intrathecal pumps as they also have a subcutaneous reser-251 voir and an intrathecal catheter accessing the CSF. However, they are not necessarily endowed with electromechanical 252 pumps. The mechanism of action depends on the use of nanoporous membranes enabling selective molecular permea-253 bility [71]. On one side, the membranes do not allow crossing drugs, but on the other side, they allow crossing the target 254 molecules present in the CSF. Target molecules bind drugs inside the system, thus being trapped or cleaved and elimi-255 nated from the CNS (a short simulation illustrating the mechanism of action can be found as a supplemental file). Drugs 256 are not released from the reservoir to the organism, and they can be replaced as needed percutaneously through self-257 sealing septa in the reservoir. 258

Not any target molecule or drug is suitable to be targeted/used via pseudodelivery. For a disease to be suitable to be treated using IT pseudodelivery, three conditions must be met:

 A target molecule should be present in the CSF (soluble). This should be identified as potentially "toxic" or "pathogenic" and involved directly (aggregating proteins) or indirectly (mediators) in the physiopathology of the disease.
 A drug acting specifically on the target molecule is needed. This can be an antibody, an aptamer, an enzyme, or

any other compound that has specificity over the target molecule and either bind or cleave the target molecule.
A significant size difference should exist between the target and drug molecules. While other physicochemical features may also play a role (such as electrostatic charge), the size difference is the main feature driving the selective molecular permeability through nanoporous membranes.

While the development of this therapy is still in the preclinical stage, it offers promising advantages over traditional268routes of delivery. Being target-selective provides advantages over other CSF clearance systems since the level of other269proteins — not involved in disease pathogenesis — would be preserved. It also provides important advantages over270"standard" peripherally administered drugs, including 1 acting continuously, on the CSF directly, is expected to be271much more effective than acting peripherally; 2. immunoisolation of drugs impedes immune responses, fully avoiding272immunologically mediated side effects reported with biological drugs systemically administered [72,73].273

In contrast, potential adverse effects related to the intrathecal system implantation and functioning should be taken 274 into consideration, with expected local complications similar to those seen with intrathecal pumps, such as CSF leak, 275 hemorrhages, and infection, along with device-derived problems such as CSF flow obstruction or even device discon-276 nection [72,73].

#### 5. Potential applications of intrathecal pseudodelivery of drugs: diseases, targets, and relevant drugs

The field of disease-modifying therapies for NDD is one of the hottest topics in medicine nowadays. Despite a 279 myriad of studies, no effective disease-modifying treatment is available at the present for most of these conditions [74] 280 while the first disease-modifying therapies for AD have been recently approved with some controversy regarding their 281 efficacy and safety [75,76]. However, much knowledge has been accumulated regarding the molecules and cellular 282 pathways involved in the pathogenesis of NDD that can become valuable targets for future therapies. Different classes 283 of therapeutics are suitable to be used via intrathecal pseudodelivery in the treatment of NDD. Table 1 summarizes the 284 most relevant NDD, their known molecular targets, and the proposed therapeutic agents proposed to be applied 285 through this route, based on previous evidence on the drugs' mechanism of action. There is little research testing IT 286 pseudodelivery in these conditions yet, hence this list should be considered just as therapeutic hypotheses today. 287

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Table 1: Summary of the potential molecular targets and the proposed classes of therapeutic agents to be administered	29
via IT pseudodelivery for the most relevant neurodegenerative diseases.	293

Neurodegenerative disease	Molecular target	Proposed classes of therapeutic agents
Alzheimer's disease	Αβ	mAbs, aptamers [72–78]
		Enzymes [24]
		Albumin [79,80]
		Protein conformation stabilizers and aggrega-
		tion inhibitors [79–82]
	Tau protein	mAbs, aptamers [83,84]
		Protein conformation stabilizers and aggrega-
		tion inhibitors [85,86]
	sTREM2	mAbs, aptamers [87,88]
	IL-6	mAbs [89]
	TNF-α	fusion protein by recombinant DNA, mAb[90–
		93]
Parkinson's disease and De-	α-synuclein	mAbs, aptamers[58,84,94,95]
mentia with Lewy bodies		Enzymes [96]
		Protein conformation stabilizers and aggrega-
		tion inhibitors[81,97]
	IL-6	mAbs [98]
	TNF-α	mAbs [99]
Multisystem Atrophy	α-synuclein	mAbs, aptamers[58,84,94,95]
		Protein conformation stabilizers and aggrega-
		tion inhibitors[81,97]
		Enzymes [96]
Progressive supranuclear	Tau	mAbs, aptamers [100,101]
palsy		Protein conformation stabilizers and aggrega-
		tion inhibitors [102]
	TDP43	mAbs, aptamers [103]

Frontotemporal dementia	Tau protein	mAbs, aptamers [83]
		Protein conformation stabilizers and aggrega-
		tion inhibitors [102]
Amyotrophic lateral sclerosis	SOD	mAbs, aptamers [84]
		Protein conformation stabilizers and aggrega-
		tion inhibitors [84,104,105]
	TDP43	mAbs, aptamers [106]
		Enzymes [107]
		Protein conformation stabilizers and aggrega-
		tion inhibitors [108,109]
	Tau protein	mAbs, aptamers [83]
		Protein conformation stabilizers and aggrega-
		tion inhibitors [102]
	IL-6	mAbs [110]
	TNF-α	mAbs [111,112]
Huntington's disease and	mutant HTT pro-	mAbs, aptamers [113]
other diseases caused by pol-	tein and other	Protein conformation stabilizers and aggrega-
ynucleotide-mutated repeats	polyQ-mutated	tion inhibitors [114,115]
	proteins	

Abbreviations: mAbs – monoclonal antibodies, SOD – superoxide dismutase, sTREM2 – soluble Trig-298 gering receptor expressed on myeloid cells 2,  $A\beta$  – beta-amyloid, TNF- $\alpha$  – tumor necrosis factor  $\alpha$ , IL-6 - Interleukin 6.

Monoclonal antibodies (mAbs) directed against misfolded proteins such as A $\beta$ , Tau protein, or  $\alpha$ -synuclein 302 are a first choice when considering IT pseudodelivery, as they demonstrated to be effective when admin-303 istered intravenously in many studies [116]. Moreover, very recently mAbs targeting A $\beta$  were approved 304 for the treatment of AD in humans (see Aducanumab [77] and Lecanemab [75]. mAbs is the only class of 305 therapeutics with in vivo studies published via intrathecal pseudodelivery, which showed feasibility, good 306 safety, and histological efficacy in animal models of AD[72,73]. Aptamers are an interesting class of com-307 pound that could replace antibodies in the near future, as they can also be used for therapeutic purposes 308 within the pseudodelivery device. Compared to currently available mAbs, aptamers have some ad-309 vantages such as a smaller size and mass, lower immunogenicity, greater replicability, and a greater level 310 of control (high durability, sensitivity, and specificity) [78]. Similarly, antibodies and aptamers biding other 311 pathogenic proteins such as Alpha-syn, Tau, TDP43, or mutant HTT might be of interest to treat other NDD 312 via pseudodelivery route, even if they failed when systemically administered for safety or efficacy reasons 313 [58,83,84,94,95,101,103,113]. 314

Other molecules binding pathogenic proteins can be of interest. For instance, human serum albumin (HSA) is a 315 natural buffer of A $\beta$ . A promising approach to AD prevention is to reduce the concentration of free A $\beta$  by targeted 316 stimulation of the interaction between HSA and A $\beta$ . This approach can be implemented by pseudodelivering albumin 317 alone [79] or in combination with agents increasing the affinity of HSA to A $\beta$  through the action of HSA ligands [80]. 318

Another therapeutic possibility is to act on the enzymatic dysfunction, a relevant example being the switch from 319 the non-amyloidogenic pathway to the amyloidogenic one in AD [117]. In the same manner, compensating for the malfunctioning enzymes or even using different enzymes (from the family of membrane metallo-endopeptidase such as neprilysin and other A $\beta$  cleaving enzymes [24]) inside the pseudodelivery device can be a smart option considering the high CSF throughput. 323

Protein conformation stabilization and aggregation inhibition that targets the upstream of the insoluble aggregate 324 formation would be a promising approach toward the development of disease-modifying therapies for most NDD, 325 particularly for polyQ diseases. PolyQ aggregation inhibitors of different chemical categories, such as intrabodies, pep-326 tides, and small chemical compounds, have been identified through intensive screening methods [114,115]. Among 327 them, those with high molecular sizes are suitable to be used via IT pseudodelivery. The same approach could be used 328 to inhibit the aggregation of Aβ, Tau, alpha-synuclein, SOD, and TDP43 [81,85,97,108,109,111,118]. In addition, clearing 329 cofactors promoting protein aggregation, such as iron or tyrosine kinase, is an alternative way of inhibiting protein 330 aggregation [119]. Interestingly, some nanomaterials such as polyoxometalates may also work as inhibitors of amyloid 331 aggregation [82] and might be suitable to be used as therapeutic agents through this route. 332

Finally, another clear target in NDD are molecules involved in inflammation such as anti-TNF- $\alpha$ . According to 333 several reports, anti-TNF- $\alpha$  agents may affect amyloidosis in inflammatory/autoimmune diseases, such as rheumatoid 334 arthritis and familial Mediterranean fever [119]. Indeed, perispinal administration of the anti-TNF- $\alpha$  medication etaner-335 cept (a fusion protein produced by recombinant DNA) has been reported effective in cognitive improvement in one 336 single case report [90], and similar results were obtained in animal studies [32]. Comparable results were noticed for 337 infliximab, a chimeric monoclonal antibody already approved for the treatment of multiple autoimmune diseases such 338 as Chron's disease, rheumatoid arthritis, and psoriasis. A study indicated that intracerebroventricular administration 339 of infliximab reduced Aß plaques and tau phosphorylation in APP/PS1 mice [91] and resulted in cognitive improvement 340 in a human case[92], while recent research confirms the protective cerebral effects (reduced microgliosis, neuronal loss, 341 and tau phosphorylation) of TNF- $\alpha$  inhibitors in a transgenic mouse model of tauopathy [112]. These results are en-342 couraging, indicating that IT infliximab offers an alternative therapeutic approach for AD, and potentially for other 343 neurodegenerative disorders whose pathogenesis involves TNF- $\alpha$  such as PD[99] and ALS [111]. Clinical trials for dif-344 ferent conditions have shown a detrimental effect of TNF- $\alpha$  antagonists in advanced heart failure and anti-TNFs are 345 associated with an increased risk of infection. Rare case reports of drug-induced lupus, seizure disorder, pancytopenia, 346 and demyelinating diseases have been noted after systemic treatment with TNF- $\alpha$  antagonists [120,121]. Meanwhile, 347 chronic dosing with a brain-penetrant biologic TNF-inhibitor induced hematology and iron dysregulation in aged 348 APP/PS1 mice[93]. In this regard, IT pseudodelivery of anti-TNF- $\alpha$  agents may offer a safer route of administration. 349

Drugs targeting the complement component C5, CD19 on B cells, and the inter-leukin-6 (IL-6) receptor, have been used for the treatment of patients with refractory inflammatory CNS diseases. Particularly, Tocilizumab, a humanized, monoclonal antibody against the IL-6 receptor, has been tested for neurologic indications, such as neuromyelitis optica [122] or primary CNS vasculitis [123]. Tocilizumab has also been tested in ALS [110] and proposed in PD [98] and AD [89](Elcioğlu et al., 2016). As IL-6 is present in the CSF, monoclonal antibodies binding IL-6 directly -such as HZ-0408b[124]- via IT pseudodelivery might be an alternative route to target inflammation in NDD.

Lastly, a TREM2-activating antibody with a BBB transport vehicle enhances microglial metabolism in AD models 356 [87] and tau pathology and neurodegeneration are associated with an increase in CSF sTREM2 [88]. However, some of 357 these experiments can be interpreted as full-length TREM2 protecting rather than sTREM2 [26]. Therefore, while 358 sTREM2 might be a suitable target via IT pseudodelivery in AD, more knowledge is needed to understand how, when, 369 and in what cases this target might be of interest. 360

#### 6. Conclusions

IT pseudodelivery of drugs is a novel concept to administer drugs to treat NDD based on the CSF-sink therapeutic 362 strategy by means of implanted devices. The mechanism of action relies on the properties of nanoporous membranes 363 enabling selective molecular permeability. 364

Being an invasive procedure, the expected safety issues of IT pseudodelivery are related to device implantation 365 and functioning. However, the promising advantages of IT pseudodelivery of drugs in terms of efficacy and drugrelated safety would overcome the disadvantages. 367

ratio cons	Potentially, there are a number of NDD where IT pseudodelivery might be of interest. While there is a theoretical onale supporting this indications, <i>in vitro</i> and <i>in vivo</i> testing is still lacking for most of them, therefore it should be sidered just a therapeutic hypothesis today.	368 369 370
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