

Sensitivity of FEV1 and Clinical Parameters in Children With a Suspected Asthma Diagnosis

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1 Sensitivity of FEV₁ and clinical parameters in children with a suspected asthma

2 diagnosis

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ABSTRACT (282 words)

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34 Backgroud: Asthma is the most common chronic disease in children and a robust diagnosis is crucial to optimize patient care and reduce its burden. To diagnose asthma in children, GINA 35 36 recommendations propose a 12% improvement in FEV₁ after a bronchodilation test. Nevertheless, 37 such criterion is rarely confirmed in these patients in clinical practice. Objective: The objective of this study was to evaluate the sensitivity of spirometric and clinical 38 39 parameters in identifying children with possible asthma. 40 Methods: The VERI-VEMS Study is a multicenter international retrospective cohort study. Data 41 were collected, from January 2008 until January 2019, for all consecutive children (aged 5 to 18 42 years), with a diagnosis of asthma, who performed a spirometry at the time of the diagnosis. We 43 compared the sensitivity of the reversibility criterion proposed by GINA guidelines, with other 44 spirometric and clinical variables, using physician diagnosed asthma and response to treatment as 45 the standard. Results: 871 children were included in the study. The reversibility criterion of 12% of FEV₁ 46 47 showed a sensitivity of 30.4%. The three best spirometric or clinical criteria were the presence of "dry cough, or wheezing or atopy" and "dry cough, or wheezing or exercise induced dyspnea", with 48 49 a sensitivity reaching 99.5%, with no added value of the spirometric parameters in the calculation of 50 the culmulated sensitivity for the diagnosis of pediatric asthma. 51 **Conclusion:** Post bronchodilator reversibility of 12%, although essential for patients' follow-up, 52 has an insufficient low sensitivity in reaching a diagnosis of asthma in pediatric patients, compared 53 to a combination of clinical symptoms, that show, on the other hand, a better sensitivity. Further 54

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diagnosing children with asthma.

Trial Registration The study was registered on ClinicalTrials.gov (ID: NCT03814018).

studies on specificity will help clarify the role of this change in diagnostic paradigm in formally

- **Keywords**: asthma; children; Pulmunary Function Tests; FEV₁; clinical symptoms; GINA;
- sensitivity.

Highlights box

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- 62 1. What is already known about this topic?
 - GINA international guidelines advise to perform pulmonary function tests to diagnose asthma, both in children and adults. Diagnostic criteria in children require a FEV₁/FVC ratio lower than 90% and an increase of 12% of their FEV₁ after bronchodilation test, based on what was observed in adults.
 - 2. What does this article add to our knowledge?
 - In this multicenter international retrospective cohort study, we evaluated pulmonary function tests results of children with a physician-made diagnosis of asthma, and collected clinical data, to assess the sensitivity of the FEV₁ reversibility criterion. While reversibility criteria showed a sensitivity of 30.4%, the sensivitity of the association of three clinical parameters was 99.5%.
 - 3. How does this study impact current management guidelines?
 - The results of the present work bring an important contribution to current knowledge on asthma diagnosis in children, showing that spirometric values have a very unsatisfying low sensitivity, especially if compared with clinical symptoms.

78 **Abbreviations**

- 79 AIT Allergen Immunotherapy
- 80 FEF₂₅₋₇₅ Forced expiratory flow at 25-75% of the pulmonary volume
- 81 F_ENO Fractional exhaled nitric oxide
- 82 FEV₁ Forced Expiratory Volume in 1 second
- 83 FVC Forced vital capacity
- 84 GINA Global Initiative for Asthma
- 85 PFTs Pulmonary Function Tests
- 86 SD Standard Deviation
- 87 Se Sensitivity

Introduction

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Asthma is a chronic inflammatory disorder of the bronchi, associated to airflow hyperreactivity, and possibly leading to acute symptoms, that are reversible either spontaneously or after appropriate bronchodilator treatment^{1,2}. With both prevalence and incidence increasing over the last decades, asthma is a major public health problem³⁻⁵. Considering the pediatric population, asthma is the most frequent chronic non-communicable disease, and the leading cause of childhood morbidity, mainly caused by acute exacerbations characterized by breathlessness, wheezing, chest tightness, and/or cough^{6,7}. It is also associated to a high rate of emergency room visits, hospitalizations, absenteism from school and presenteism, and still contributes to many deaths amongst young people even in developed countries^{2,8}. This condition, also frequent in adulthood, often begins in early childhood, with an earlier onset in males, and initially with intermittent symptoms, especially occurring during viral respiratory tract infections. Other possible triggers include allergies, physical exercise, cold air, extreme emotional arousal, and even some drugs (aspirin, non-steroid antiinflammatory drugs, or beta-blockers)^{3,9,10}. In pediatrics, known predisposition factors include a family history of asthma, atopy, allergic rhinitis, low birth weight or a history of multiple wheezing episodes during the first two years of life¹¹⁻¹⁵. In general, asthma is known to be a chronic disease, tending to present as a lifetime condition ^{16,17}. For such reason, an appropriate management with a correct and prompt diagnosis is crucial to control symptoms and therefore reduce asthma burden and increase patients' quality of life. The Global Initiative for Asthma (GINA) international guidelines advise to perform pulmonary function tests (PFTs) to diagnose asthma, both in children and adults. Diagnostic criteria in children require a FEV₁/FVC ratio lower than 90% and an increase of 12% of their FEV₁ after bronchodilation test, based on what was observed in adults^{4,18-20}. Nevertheless, the bronchodilation test following GINA recommendations, is sometimes difficult to perform in children younger than 5 years, due to age-related difficulties in achieving test-satisfying controlled expirations^{4,12,21,22}. The increase of the FEF₂₅₋₇₅ after bronchodilation has also been proposed in children to corroborate the diagnosis, but studies seem not to be conclusive^{23,24}. Also,

the accuracy of these criteria is debated in children and other possible diagnostic methods have been investigated^{25,26}. Indeed, in clinical practice, clinical signs and response to inhaled therapy are currently considered by pediatricians as the most useful tools to suspect and then diagnose asthma in children^{27,28}.

The aim of the present study was to measure, in real-life settings, the sensitivity of the reversibility criterion proposed by GINA recommendations (i.e., the increase of 12% of the FEV₁), and to look for other spirometric and clinical parameters with a high sensitivity to identify children that respond to asthma treatment, and that may be appropriate to clinical management, without further testing, for a diagnosis of asthma in children.

Methods

1. Study Design and included population

We conducted a multicenter retrospective cohort study that included data from January 2008 to January 2019. Data were collected at the Pediatric and at the Allergy Unit of the University Hospital of Montpellier, France, and at the Immunology and Allergy Pediatric Unit of the University Hospital of Pavia, Italy. The study was approved by a local ethical committee, in Montpellier (2019_IRB-MTP_01-06) and validated by the Ethical Committee of the University Hospital of Pavia. The study was registered on ClinicalTrials.gov (ID: NCT03814018).

We included all consecutive children, followed by each center, with a diagnosis of asthma, and who performed a PFT at the time of the diagnosis. In each center, patients were considered as asthmatic if, after the first consultation, the pediatrician, specialized in childhood respiratory and allergic diseases, concluded the visit by declaring the child affected by asthma, and if they responded to prescribed treatment at least within 2 follow-up visits. This was clearly based on their long clinical experience, including PFT results and response to anti-asthma treatments. Diagnosis of asthma had to be reached between their 5th and their 18th anniversary. Children were excluded if suffering from other chronic and obstructive respiratory diseases, acute infectious diseases, and genetic disorders possible affecting the respiratory system. They were also excluded if, at the time of the first visit, they had already been prescribed with anti-asthmatic drugs, including short-acting beta agonists, inhaled corticosteroids, and leukotriene receptor antagonists. They were also excluded if PFTs results didn't meet acceptability criteria.

For each patient, we collected demographic information (height, weight, age at diagnosis, sex), country of provenance (either France or Italy), PFT results at the time of the diagnosis, asthma severity (based on prescribed treatment and GINA guidelines), clinical information (presented symptoms, physician-evaluated treatment efficacy after the first consultation, personal history of bronchiolitis/recurrent wheezing during the first two years of life). Presence of atopic comorbidities was evaluated as well, including atopy, defined as sensitization to at least one common respiratory

allergen (including *Dermatophagoides pteronissinus*, *Dermatophagoides farinae*, grass, cypress, birch, cat, dog, *Alternaria alternata*); allergic rhinitis, defined as the presence of typical disease symptoms due to exposure to an airborne allergen to which the patients are sensitized; food allergy, defined as the appearance of hypersensitivity symptoms related to consumption of a food allergen to which the patients are sensitized, or a positive food challenge to the culprit food; atopic dermatitis, defined by the presence of an inflammatory, pruritic, chronic or chronically relapsing skin disease, and on the recognition of characteristic signs and symptoms by a pediatric allergist²⁹.

2. Outcomes of the study

The primary outcome of this study was to assess the sensitivity of the reversibility criterion proposed by GINA guidelines of an increase of 12% of FEV₁ after bronchodilation test, compared to clinical symptoms that respond to therapy to diagnose presumed pediatric asthma.

The secondary endpoints were: (i) to assess the sensitivity of other spirometric parameters – such as the presence of obstructive syndrome in children, as proposed by GINA guidelines (FEV₁/FVC < 90%), and the reversibility of small airways (FEF₂₅₋₇₅), defined as an increase greater than 30% after bronchodilation test from basal values; and (ii) to evaluate, in a subgroups analysis, possible correlations between asthma severity and comorbidities.

3. Statistical analysis

Continuous variables were summarized with descriptive statistics (number, mean, SD), while frequency counts and percentages were provided for categorical data. Statistics were computed for patients with available (i.e., non-missing) data. Comparison of patient characteristics was assessed after grouping patients as for asthma severity (persistent severe, persistent moderate, persistent mild, and intermittent asthma). We used the Student's *t*-test for data in case of continuous variables and the chi-square test for categorical variables. Differences between groups were considered statistically significant if *p*-values were <0.05.

All analyses were performed using SAS version 9.4 (SAS Institute Inc, Cary, NC, USA).

Results

1. Included population

We included a total of 888 children with a diagnosis of asthma reached between January 2008 and January 2019. 17 of them were excluded from the analysis because of missing data (Figure 1). 342 patients were included from the Montpellier University Hospital: 219 of them (64.0%) were males; their mean age at diagnosis was 9.2 years (SD 3.4). 529 patients were included from the Pavia University Hospital: 329 of them (62.2%) were males; their mean age at diagnosis was 9.3 years (SD 3.2). The two populations were not statistically different, when considering their sex and their age (*p-value*: 0.5825 and 0.6605, respectively). Moreover, basal FEV₁ values did not differ between the French and Italian population (1800 mL and 1900 mL, respectively; *p-value*: 0.0728). For all the above reasons, statistical analysis was performed considering the two groups as a single cohort. On the other hand, since there was a significant difference between mean basal values of FEV₁/FVC in the two populations and the presence of atopy, allergic rhinitis, and food allergy, we also assessed the sensitivity of spirometric criteria in the two countries, separately (*vide infra*).

An interesting difference between the two populations concerned the prescription of Allergen Immunotherapy (AIT): patients received significantly more AIT treatments in the French population, compared with the Italian one (17.0% vs. 8.1%; *p-value* < 0.0001). Another difference concerned sensitization to cypress and birch pollen: in fact, cypress pollen allergy is very common in the Montpellier area, but not in the Pavia area. The opposite consideration is true for birch pollen allergy. We considered these differences very unlikely to influence our objectives.

Characteristics of the children included in the study are shown in Table 1.

2. Primary outcome

The reversibility criterion of an increase of at least 12% of the FEV₁ after bronchodilation test was confirmed in 266 out of 871 children (Figure 2), with a sensitivity (Se) of 30.4% (Table 2).

When considering children with a FEV₁/FVC < 90%, the reversibility criterion showed a 23.5% sensitivity, being recorded in 205 children only. There was no significant difference between the two centers (31.0% and 30.1% sensitivity in the French and Italian population, respectively). Moreover, the mean change in FEV₁ after bronchodilation was similar in the two centers as well (8.1% with 13.1% of SD, and 8.3% with 8.8% of SD, respectively; p-value: 0.79).

3. Obstruction criterion and small airways criterion

The obstruction criterion proposed by GINA guidelines for children (FEV₁/FVC < 90%) was confirmed in 595 children, with a sensitivity of 67.5% overall (Table 2). The mean value of the FEV₁/FVC ratio in the entire cohort was 85% (SD 10%).

The increase of more than 30% in FEF₂₅₋₇₅ after bronchodilation test was only found in 198 children in our cohort (Se 21.9%), with a mean value of 22.1% (SD 30.0%) (Table 2). Furthermore, older children (>11 years group) were also less likely to achieve this reversibility criterion, compared with patients with less than 7 years of age, or between 7 and 11 years (15.1%, 24.9%, and 23.8%, respectively).

4. Most sensitive criteria to identify presumed asthma

To assess the variables providing the best sensitivity to identify presumed asthma, we included in the analysis both the spirometric criteria (FEV₁/FVC < 90%, change in FEV₁ > 12%, change in FEF₂₅₋₇₅ > 30%), and the clinical ones (dry cough, tight chest, wheezing, pre-school wheezing, exercise-induced dyspnea, atopy, presence of allergic comorbidities). The best single criterion was the presence of "dry cough" (Se 90.9%). Sensitivity of each criterion is shown in Table 3. The best two combined criteria were "dry cough or atopy" (Se 98.5%), followed by both "dry cough or wheezing" or "dry cough or allergic comorbidities" (Se of 97.7%).

Furthermore, the best three criteria to identify presumed asthma were "dry cough, or

wheezing or atopy", and "dry cough, or wheezing or exercise-induced dyspnea", with both a sensitivity of 99.5%. The combination of the previously mentioned four criteria (dry cough, wheezing, atopy and exercise-induced dyspnea) was associated to a sensitivity of 100% (Figure 3). In no case, adding spirometric parameters improved the cumulative sensitivity for the identification of presumed asthma. Moreover, when comparing the sensitivity of the different clinical parameters between the subgroup of 383 children with FEV₁/FVC < 90%, but without FEV₁ reversibility and the 205 patients with reversibility criteria, we found no significant difference between the groups (Table 3).

5. Subgroup analysis based on asthma severity

The number of included patients significantly differed in each asthma severity subgroup (respectively for severe, moderate, mild persistent and intermittent asthma: 55, 581, 203, 32; all *p-values* <0.005) (Table 4). The small number of patients included in the « intermittent » group could mainly be explained by the fact they are not representative of the average patient consulting at a tertiary University Hospital, and are therefore under-represented, if compared with the general population. Sex and BMI were not statistically different between those four groups (*p-values* <0.05).

The mean improvement in FEV₁ after bronchodilation was higher when the severity was greater: the severe asthma group showed a significantly higher increase in FEV₁ than the moderate asthma group (13.2% (SD 18.2) and 8.9% (SD 10.7), respectively; p-value: 0.008) and the mild group (5.0% (SD 6.9), p-value < 0.0001). The same significant difference was also highlighted between the moderate and the mild group as well (p-value < 0.0001). There was no significant difference when we compared the intermittent group with any other severity group. When we assessed patients presenting an increase of at least 12% in their FEV₁, there was a significant difference (p-value <0.05) in sensitivity between patients suffering from mild persistent asthma (16.3% of increase in FEV₁, in 33 children) and both moderate persistent asthma (34.3%, p=199, p-

253 value < 0.0001) and severe persistent asthma (41.8%, n=23, p-value < 0.0001), meaning that, as persistent asthma becomes more severe, the increase in FEV₁ criterion showed a higher sensitivity. 254 255 When considering the mean basal obstruction criterion, we found lower values as asthma was more 256 severe. The mean basal FEV₁/FVC was 79.5% (SD 10.5%) in the severe asthma group, 84.1% (SD 9.7%) in the moderate group (*p-value*: 0,0009), and 87.7% (SD 8.5%) in the mild one (*p-value* < 257 0.0001). When we assessed the $FEV_1/FVC < 90\%$ criterion per asthma severity, there was a 258 259 significant difference (*p-value* < 0.005) between each subgroup, showing that when asthma is more 260 severe, patients present increasing obstructive spirometric values (87.5%, 72.1%, 53.2%, in the 261 mild, moderate, and severe persistent asthma subgroups, respectively). 262 On the other hand, the mean change in FEF₂₅₋₇₅, was statistically different only between mild and 263 moderate persistent asthma. 264 Atopy had a significant impact on asthma severity: we found more atopic patients in the

Atopy had a significant impact on asthma severity: we found more atopic patients in the severe group (51 patients, 92.7%), compared with the moderate and mild groups (78.3% (*p-value*: 0.0113) and 73.4% (*p-value*: 0.0023), respectively). No significant difference between groups was found when assessing for specific respiratory allergens and food allergy.

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Discussion

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Through the present multicenter study, we assessed the sensitivity of the recommended spirometric criteria in real-life settings. No study strongly affirms that the 12% threshold is an adequate cut-off value, showing a good sensitivity for the diagnosis of asthma in children. Indeed, our study, this reversibility criterion showed a very low sensitivity (30.4%) for bronchoreversibility, as a diagnostic tool for asthma in pediatrics. Thus, such a criterion does not seem to be applicable to children, if compared to adults, as previously highlighted in other studies³⁰. In 2016, Hopp et al. proposed a literature review to search for the evidence that the 12% threshold was appropriate to diagnose asthma in children²⁶. The authors found that most studies reported that a smaller improvement in FEV₁ should be applicable in children, and then suggested an alternative interpretative strategy, which our results support. Several authors searched for a different cut-off to assess reversibility response in pediatrics. Martinez et al. proposed a 9% threshold in children aged 7-14 years³¹ and, in our population, such cut-off would show a sensitivity of 41.7% (238 children out of 571 in this age group). Kang et al. suggested to look for a 7.5% increase in FEV₁ to obtain a 50.7% sensitivity, while, in their study, the increase of 12% correlated to a 28.7% sensitivity³². Their results were similar to ours both for the 12% cut-off, and for the 7.5% one (sensitivity of 48.1%, with 419 children out of 871). Jat et al. affirmed that spirometry is a very useful investigation tool to diagnose asthma in children, if the test is well-performed and patients received adequate training; nevertheless, they also admitted that the diagnosis should also be based on clinical symptoms and personal history, to be more reliable²¹.

As for the obstruction threshold FEV₁/CVF of 90%, such value should not be used in children to assess airways obstruction, considering the unsatisfying sensitivity of this criterion in ours and in previous studies²⁰. Several authors proposed to evaluate the change in FEF₂₅₋₇₅ after bronchodilation test to diagnose asthma in children^{23,24,33,34}. Nevertheless, in our study, such criterion showed an even lower sensitivity than FEV₁. In a study by Dufetelle et al., the authors proposed two thresholds suggestive of bronchodilator response in asthmatic children³⁵. Based on

spirometry z-scores, their preliminary results showed that a 0.42 z-score for FEV₁ and a -0.16 z-score for FEV₁/FVC could indicate bronchoreversibility even in children with normal baseline spirometry. In our cohort, when considering patients presenting with these z-score values (n=279), we found a sensitivity of 32.0% (data not shown). Therefore, the usefulness of these thresholds in diagnosing pediatric asthma seems limited.

As for patients presenting with intermittent asthma, our data showed that this group of patients reported results which were not consistent with those from the other groups. These patients are not representative of the typical patient referring to a tertiary University Hospital. Indeed, they are most likely to be seen outside the hospital, by a general practitioner or a pediatrician since they do not require a specialized expertise. Further studies in this severity group might be of interest.

In our study, the best sensitivity single criterion for pediatric asthma, when evaluating a patient for the first time, was dry cough. When adding three clinical criteria together, such as "dry cough, or wheezing or atopy" or "dry cough, or wheezing or exercise-induced dyspnea", we reached a very satisfying sensitivity (> 99%), while PFTs values were not providing sufficient support to increase the diagnostic sensitivity. These simple clinical features could therefore be easily and practically used in everyday clinical setting, when first evaluating children for possible asthma. These findings are strongly supported by other previous studies 12,32,36 and these criteria are simple to assess during a medical consultation and require no specific tool. Nevertheless, we could not provide information on the accuracy of clinical parameters to diagnose asthma: indeed, to use clinical data as a diagnostic tool, further studies are needed to assess, in a group of asthmatic children and non-asthmatic ones, both sensitivity and specificity; these evaluations will need a further prospective study.

In our study, we considered the two populations as one cohort, since there were no differences between French and Italian enrolled children, as for sex and age. On the other hand, children from the two countries differed in terms of mean basal values of FEV₁/FVC (obstruction criterion) and presence of atopic conditions (i.e.: atopy, allergic rhinitis, and food allergy).

Nevertheless, the sensitivity of the FEV₁ reversibility, separely analyzed in the two countries, was 31.0% in France and 30.1% in Italy, whith no statistical difference between countries (*p-value* 0.769).

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The strength of our study is the great number of included patients: we present the largest pediatric cohort focusing on this subject and including both spirometric and clinical parameters. Also, our multi-centric approach, allowed us to gather a cohort with data coming from physicians with different backgrounds, and could bring us to speculate that our results could also be extended and applied to other countries and/or settings.

Our study presents some limitations. We present a retrospective cohort study, based on information found in patients' files: for such reason, we had a few missing data for 17 patients, which nevertheless represented less than 2% of our entire cohort. Also, we included asthmatic children only, and a prospective study including any patients consulting for possible asthma could help strengthen our results and provide further insights. Our study aimed at looking at the sensitivity of the reversibility criterion only, since, in clinical practice, and from previous studies^{26,30-32} as well, such a criterion seemed not to allow to properly define as asthmatic many children that present the clinical feature of the disease. Having included asthmatic patients only, we didn't assess the specificity of these parameters. The trade-off between sensitivity and specificity might therefore show that the reversibility criterion is likely to be highly specific. In general, it should be underlined that formal testing (such as spirometry or other objective testing, as methacholine) should always be performed to complete the evaluation of possible asthmatic patients. We believe that children experiencing asthma symptoms and positively responding to asthma therapy, even if presenting with a negative broncho-reversibility test, should be treated to avoid undertreatment, but also frequently re-evaluatied to obtain objective results and avoid overtreatment.

Another possible limitation is the lack of information on precise race/ethnicity of patients included in our study. Even though our populations were mainly composed by Caucasian children

(>85% in both groups, data not shown), such missing aspect may limit the generalizability of our results. Finally, we did not have data assessing F_ENO in our population. However, in a study by Murray et al., the authors showed that F_ENO as an objective test to diagnose asthma in children, has a low 44% sensitivity³⁶. Nevertheless, we should consider two different aspects: firstly, our data come from real-life settings, and F_ENO measurements are not routinely evaluated by pediatricians, and therefore such data are not systematically included in patients' chart; secondly, this parameter still shows a lower sensitivity if compared with those found by our study.

We believe that our results bring an important contribution to current knowledge on the management of asthma consultations in children. The results strongly suggest that spirometric reversibility values, even though essentials for pediatric asthmatic patients, have a very unsatisfying sensitivity for the diagnosis. Clinical symptoms, on the other hand, show a very high sensitivity. For such reason, general practitioners and pediatricians could suggest a diagnosis of asthma in children, without needing, at least initially, to perform PFTs, through carefully evaluating the clinical history and the symptoms, while asthmatic patients presenting with severe forms or needing a follow-up will still require a more complete assessment in specialized centers.

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468 469 **Figure 1** – Patients included in the study. 470 Figure 2 – Reversibility criteria (FEV₁/FCV < 90% and increase in FEV₁ > 12%) after 471 bronchodilation test in all 871 included children. The line shows the 12% cut-off proposed by 472 473 GINA guidelines. All children on the left of the line would be considered as non-asthmatics 474 following current recommendations. 475 476 Figure 3 -Best option for cumulative sensitivity of different variables to predict a diagnosis of 477 asthma in children.

Figures

Tables

Table 1 – Characteristics of the population included in the study.

	Overall	France	Italy	p-value
Number of patients, n (%)	871 (100%)	342 (39.3)	529 (60.7)	< 0.001
Males, n (%)	548 (62.9%)	219 (64.0)	329 (62.2)	0.5825
Age, mean (SD)	9.2 (3.3)	9.2 (3.4)	9.3 (3.2)	0.6605
BMI, mean (SD)	18.1 (3.7)	17.6 (3.3)	18.5 (3.8)	0.0003
Basal FEV ₁ , in liters, mean (SD)	1.9 (0.80)	1.8 (0.79)	1.9 (0.81)	0.0728
Mean Change in FEV ₁ after bronchodilation, % (SD)	8.2 (10.7)	8.1 (13.1)	8.3 (8.8)	0.7876
Mean basal FEV ₁ /FVC, % (SD)	84.9 (9.7)	86.1 (11.3)	84.0 (8.5)	0.0019
Mean Change in FEF25-75 after bronchodilation, % (SD)	22.1 (30.0)	20.0 (38.9)	23.4 (22.3)	0.1020
Patients treated with Anti-IgE, n (%)	39 (4.5)	11 (3.2)	28 (5.3)	0.148
Patients treated with AIT, n (%)	101 (11.6)	58 (17.0)	43 (8.1)	< 0.001
Any evokative symptom, n (%)	868 (99.7)	339 (99.1)	529 (100)	0.1418
Patients presenting with dry cough, n (%)	791 (90.8)	322 (94.1)	528 (100) 469 (88.7)	0.1418
Patients presenting with dry cough, it (%)	553 (63.5)	138 (40.3)	415 (78.5)	< 0.001
Patients presenting with exercice-induced dyspnea, n (%)	410 (47.1)	193 (56.4)	217 (41.0)	< 0.001
Patients presenting with tight chest, n (%)	149 (17.1)	40 (11.7)	109 (20.6)	< 0.001
Patients with a history of pre-school wheezing, n (%)	315 (36.2)	119 (34.8)	196 (37.1)	0.4986
Patients with symptoms improvement after treatment, n (%)	819 (94.0)	295 (86.3)	524 (99.1)	< 0.001
Patients presenting with any atopic comorbidity, n (%)	713 (81.9)	289 (84.5)	424 (80.2)	0.1036
Patients suffering from Allergic Rhinitis, n (%)	605 (69.5)	210 (61.4)	395 (74.7)	< 0.001
Patients suffering from food allergy, n (%)	108 (12.4)	28 (8.2)	80 (15.1)	0.0024
Patients suffering from atopic dermatitis, n (%)	193 (22.2)	65 (19.0)	128 (24.2)	0.0717
Atopic patients, n (%)	678 (77.8)	244 (71.4)	434 (82.0)	0.0002
Patients sensitized to house dust mites, n (%)	471 (54.1)	151 (44.2)	320 (60.5)	< 0.001
Patients sensitized to grass, n (%)	407 (46.7)	111 (32.5)	296 (56.0)	< 0.001
Patients sensitized to cypress, n (%)*	109 (12.5)	103 (30.1)	6 (1.1)	< 0.001
Patients sensitized to birch, n (%)*	135 (15.5)	37 (10.8)	98 (18.5)	0.0021
Patients sensitized to animal danders, n (%)	314 (36.1)	108 (31.6)	206 (38.9)	0.0271
Patients sensitized to molds, n (%)	175 (20.1)	54 (15.8)	121 (22.9)	0.0108

Legend – BMI: Body Mass Index; SD: Standard Deviation; FEV₁: Forced Expiratory Volume in 1 second; FVC: Forced Vital Capacity; FEF₂₅₋₇₅: mean Forced Expiratory Flow between the 25% and 75% of the FVC; AIT: Allergen Immunotherapy.

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Number of patients (n)	871
Sensitivity of reversibility criteria with FEV ₁ (%)	30.4%
Sensitivity of obstruction criteria (%)	67.5%
Sensitivity of reversibility criteria with FEF ₂₅₋₇₅ (%)	21.9%
Sensitivity of reversibility of either FEV ₁ or FEF ₂₅₋₇₅ (%)	36.7%

Persistent	Persistent	Persistent	Intermittent
severe	moderate	mild asthma	asthma
asthma	asthma	iiiiu astiiiia	astiiiia
55	581	203	32
41.8%	34.3%	16.3%	31.3%
87.3%	72.1%	53.2%	43.8%
25.5%	23.8%	16.7%	15.6%
50.9%	40.1%	23.2%	37.5%

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< 7 years	7-11 years	> 11 years	
221	432	218	
32.1%	32.4%	24.8%	
48.0%	26.4%	76.6%	
24.9%	23.8%	15.1%	
43.0%	37.5%	28.9%	
			_

France	Italy
342	529
31.0%	30.1%
57.0%	74.3%
20.8%	22.7%
38.0%	35.9%

Legend: FEV₁: Forced Expiratory Volume in 1 second; FVC: Forced Vital Capacity; FEF₂₅₋₇₅: mean Forced Expiratory Flow between the 25% and 75% of the FVC.

The *p-value* was <0.05:

- for the FEV₁ criterion: between mild asthma and any other severity group (<0.001, <0.001, and 0.04, if compared with persistent severe, persistent moderate, and intermittent asthma, respectively);
- for the obstruction criterion: between severe and any other severity group (0.02, <0.001, and <0.001, if compared with persistent moderate, persistent mild, and intermittent asthma, respectively); between moderate and any other severity group (0.02, <0.001, < 0.001, if compared with persistent severe, persistent mild, and intermittent asthma, respectively); between the <7 years group and any other group (<0.001, and <0.001, if compared with the 7-11 years and the >11 years group, respectively); between France and Italy (<0.001);
- for the FEF₂₅₋₇₅ criterion: between moderate and mild (0.04); between the >11 years group and any other group (0.01, and 0.01, if compared with the <7 years and the 7-11 years group, respectively);
- for either the FEV₁ criterion or the FEF₂₅₋₇₅ criterion: between severe and mild (0.001); between moderate and mild (<0.001); between the >11 years group and any other group (0.02, and 0.03, if compared with the <7 years and the 7-11 years group, respectively).

Table 3 – Sensitivity of the different clinical criteria in the whole cohort of 871 children, in the subgroup of patients in which normal FEV_1/FVC , in those with $FEV_1/FVC < 90\%$ and an increase in $FEV_1 < 12\%$ after bronchodilation, and in those presenting with reversibility criteria.

	In the whole cohort $(N = 871)$		Patients with FEV ₁ /FVC \geq 90% (N = 283)			Patients with FEV ₁ /FVC < 90% and increase in FEV ₁ < 12% after bronchodilation (N = 383)			Patients with FEV ₁ /FVC < 90% and increase in FEV ₁ $\ge 12\%$ after bronchodilation (N = 205)		
	Number of patients (n)	Sensitivity (%)		Number of patients (n)	Sensitivity (%)		Number of patients (n)	Sensitivity (%)		Number of patients (n)	Sen 5tl2 ty 5 43
Dry cough	792	90.9%		250	88.3%		350	91.4%		192	951%
Wheezing	553	63.5%		162	57.2%		251	65.5%		140	6518
Exercise-induced dyspnea	410	47.1%		122	43.1%		187	48.8%		102	45.19 520
Tight chest	149	17.1%		32	11.3%		73	19.1%		44	² 524
Pre-school wheezing	315	36.2%		108	38.2%		134	35.0%		73	3 <u>522</u>
Atopy	678	77.8%		202	71.4%		306	79.9%		170	8 524
Allergic comorbidities	713	81.9%		219	77.4%		320	83.6%		174	8 5 25

We found a significant difference only between the whole cohort and the subgroup of patients with normal FEV1/FVC for the tight chest (p-value 0.0198) and atopy (p-value 0.0264) criteria.

Table 4 – Characteristics of the population, per asthma severity.

Asthma severity	Persistent severe asthma	Persistent moderate asthma	Persistent mild asthma	Intermittent asthma	Between severe and moderate asthma	Between severe and mild asthma	Between severe and intermittent asthma	Between moderate and mild asthma	Between moderate and intermittent asthma	Between mild and intermittent asthma
Number of patients, n (%)	55 (6.3)	581 (66.7)	203 (23.3)	32 (3.7)						
Males, n (%)	34 (61.8)	375 (64.5)	122 (60.1)	17 (53.1)	0.6867	0.8170	0.4273	0.2577	0.1903	0.4557
Age, mean (SD)	9.6 (3.3)	9.4 (3.2)	8.6 (3.2)	10.3 (3.6)	0.6588	0.0422*	0.3588	0.0022*	0.1244	0.0065*
BMI, mean (SD)	18.6 (3.8)	18.2 (3.7)	17.9 (3.5)	17.3 (2.8)	0.4448	0.1977	0.0955	0.3136	0.1761	0.3566
	,	,	,	,						
Mean Change in FEV ₁ after bronchodilation, % (SD)	13.2 (18.2)	8.9 (10.7)	5.0 (6.9)	7.2 (8.9)	0.0084*	< 0.0001*	0.0847	< 0.0001*	0.3782	0.1094
Mean basal FEV ₁ /FVC, n (SD)	79.5 (10.5)	84.1 (9.7)	87.7 (8.5)	89.4 (10.4)	0.0009*	< 0.0001*	0.0001*	< 0.0001*	0.0028*	0.3095
Mean Change in FEF ₂₅₋₇₅ after bronchodilation, % (SD)	23.9 (23.8)	23.1 (32.5)	19.6 (24.5)	16.2 (21.1)	0.8588	0.2465	0.1334	0.1615	0.2358	0.4585
Patients treated with Anti-IgE, n (%)	37 (67.3)	2 (0.3)	0	0	< 0.0001*	N/A	N/A	N/A	N/A	N/A
Patients treated with AIT, n (%)	5 (9.1)	64 (11.0)	28 (13.8)	4 (12.5)	0.6609	0.3544	0.6146	0.2898	0.7946	0.8429
Patients presenting with any atopic comorbidity, n (%)	47 (85.5)	493 (84.9)	149 (73.4)	24 (75.0)	0.9053	0.0634	0.2248	0.0003*	0.1354	0.8429
Patients suffering from Allergic Rhinitis, n (%)	42 (76.4)	418 (71.9)	128 (63.1)	17 (53.1)	0.4839	0.0648	0.0253*	0.0177*	0.0224*	0.2829
Patients suffering from food allergy, n (%)	6 (10.9)	75 (12.9)	23 (11.3)	4 (12.5)	0.6707	0.9301	0.8225	0.5582	0.9464	0.8470
Patients suffering from atopic dermatitis, n (%)	14 (25.5)	140 (24.1)	34 (16.8)	5 (15.6)	0.8222	0.1411	0.2846	0.0301*	0.2723	0.8738
Atopic patients, n (%)	51 (92.7)	455 (78.3)	149 (73.4)	23 (71.9)	0.0113*	0.0023*	0.0085*	0.1518	0.3922	0.8565
Patients sensitized to house dust mites, n (%)	35 (63.6)	318 (54.7)	104 (51.2)	14 (43.8)	0.2041	0.1016	0.0713	0.3890	0.2248	0.4315

Legend: BMI: Body Mass Index; SD: Standard Deviation; FEV₁: Forced Expiratory Volume in 1 second; FVC: Forced Vital Capacity; FEF₂₅₋₇₅: mean Forced Expiratory Flow between the 25% and 75% of the FVC; N/A: not applicable; AIT: Allergen Immunotherapy; *: statistically significant difference between the groups (p-value < 0.05).

Figure 1 – Patients included in the study.

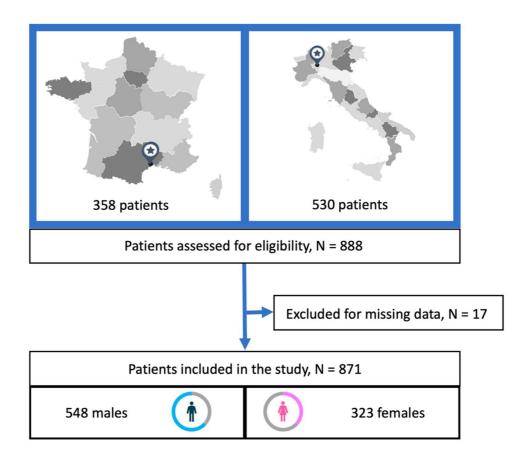


Figure 2 – Reversibility criteria (FEV₁/FCV < 90% and increase in FEV₁ > 12%) after bronchodilation test in all 871 included children. The line shows the 12% cut-off proposed by GINA guidelines. All children on the left of the line would be considered as non-asthmatics following current recommendations.

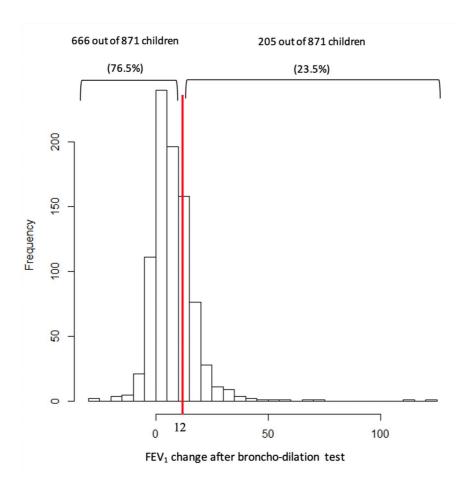
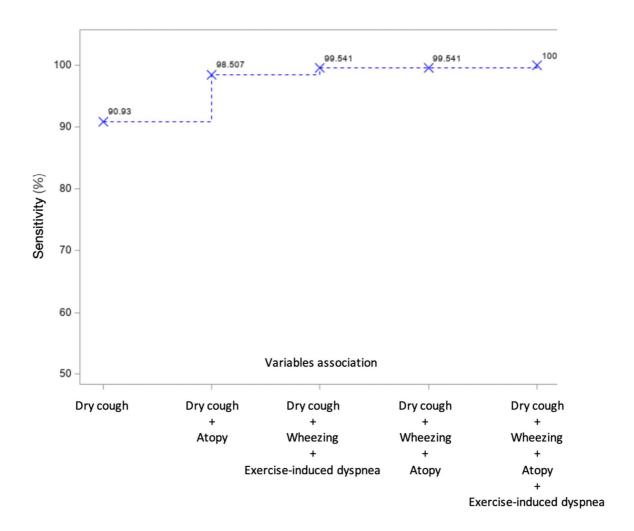
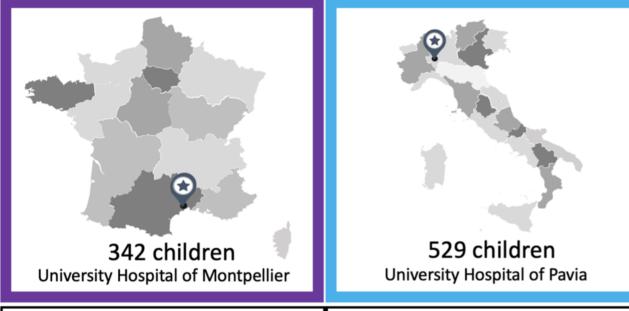


Figure 3 – Best option for cumulative sensitivity of different variables to predict a diagnosis of asthma in children.



871 children with a specialized physician diagnosis of asthma



548 males





323 females

Assessment of:

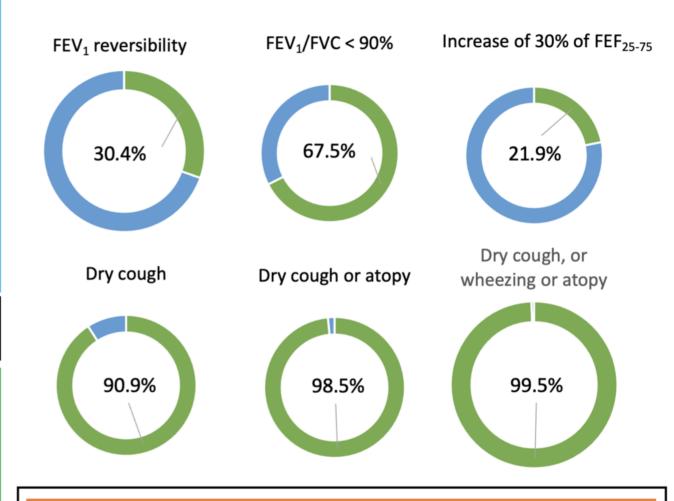
Spirometric parameters

- FEV₁ reversibility criterion (+12%) primary outcome
- Obstruction criterion (FEV₁/FVC < 90%)
- Small airways reversibility (FEF₂₅₋₇₅ +30%)

Clinical parameters: dry cough, tight chest, wheezing, pre-school wheezing, exercise-induced dyspnea, atopy, allergic comorbidities

Aim: Sensitivity of spirometric and clinical parameters to identify presumed asthma in children

Sensitivity of different parameters



Conclusion

Low sensitivity of the broncho-reversibility criterion in children
Better sensitivity of clinical parameters