

Thyroid hormone-dependent apoptosis during metamorphosis in Ciona robusta involves both bilaterian-ancestral and vertebrate-derived processes

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1 Thyroid hormone-dependent apoptosis during metamorphosis in *Ciona robusta*

2 involves both bilaterian-ancestral and vertebrate-derived processes

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- 4 Short title: TH signal and apoptosis in *Ciona* metamorphosis
- 5
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16 **ABSTRACT**

17

Chordate metamorphosis is a postembryonic larva-to-juvenile transition triggered 18 by thyroid hormones and their specific receptors (TR). This crucial developmental event 19 20 shows a wide morphological diversity among different chordate lineages and is 21 characterized by ecological, morphological, metabolic and behavioral changes that can be 22 drastic. One of the most studied models is the amphibian Xenopus, whose tadpole 23 metamorphosis includes apoptosis-induced tail regression dependent on the thyroid 24 hormone pathway. In an evolutionary context, we used the ascidian model, the extant 25 closest group to vertebrates, in which the swimming larva transforms to a sessile filter-26 feeding juvenile during metamorphosis, to study the role of thyroid hormones in this 27 transformation. The ascidian metamorphosis is also characterized by an apoptosis-driven 28 tail regression as in Xenopus. However, whether this apoptosis-driven process is 29 dependent on the thyroid hormone has not yet been elucidated.

30 In this study, we interfered with thyroid hormone signaling during tail regression 31 of the ascidian *Ciona robusta* to investigate whether (i) thyroid hormone is involved in the 32 regulation of developmental apoptosis, and (ii) apoptosis leading to tail regression involves its classical molecular pathways. We described specific gene expression 33 landmarks as well as apoptosis dynamics during larva metamorphosis under thyroid 34 35 hormone exposure and thyroid hormone inhibition treatments. We provide evidence that 36 *Ciona robusta* metamorphosis involves thyroid hormone-dependent apoptosis, similar to 37 other studied chordates. However, the mode of action of thyroid hormone shows great 38 variation compared to the classically described scheme in chordates, both in thyroid 39 hormone/TR interactions and in the apoptotic pathway.

40

41 Key words: metamorphosis, thyroid hormone, post-embryonic development, apoptosis,
42 ascidian, chordate evolution

43

44 Abbreviations: T3: tri-iodothyronine; T4: thyroxin; TR: thyroid hormone receptor; TU
45 thiourea; hpf: hours post-fertilization; hph: hours post-hatching.

46 **1. Introduction**

47

48 The thyroid hormones thyroxin (T4) and its derivative tri-iodothyronine (T3) are 49 essential to organogenesis and tissue rearrangements during metamorphosis, as well as 50 to growth, development, tissue homeostasis and metabolism during the full life cycle of 51 vertebrates (Mullur et al., 2014; Seoane-Collazo et al., 2015; Goemann et al., 2017). 52 Thyroid hormones circulate in the plasma (Roche *et al.*, 1962; Barrington & Thorpe, 1965; 53 Fujita & Sawano, 1979; Dunn, 1980a; b; Di Fiore *et al.*, 1997) and are activated/catalyzed 54 by deiodinases in the tissues (Darras and Van Herck, 2012). Amphibians have been used 55 as a model to study vertebrate metamorphosis for almost a century. Metamorphosis 56 involves the remodeling of several organ systems which necessitates the orchestration of 57 both cell proliferation and cell death: in this regard, one spectacular event in amphibian metamorphosis is tail regression that involves apoptosis in the muscular, skeletal and 58 59 nervous systems, regulated by thyroid hormone signaling (Sachs *et al.*, 2000a; Shi & Ishizuya-Oka, 2001; Tata, 2006; Ishizuya-Oka, 2011). The molecular mechanisms 60 61 involved in vertebrate metamorphosis were best described in the studies of amphibians 62 and some teleost fishes. In both models, thyroid hormones function by binding to their 63 nuclear receptors (thyroid hormone receptors, $TR\alpha$ and $TR\beta$) that act as transcription factors to regulate developmental gene expression, including apoptotic genes (for 64 65 reviews, see Ishizuya-Oka et al., 2010; Grimaldi et al., 2013; Holzer & Laudet, 2013; Morvan-Dubois et al., 2013; Wrutniak-Cabello et al., 2017). TR does not usually bind DNA 66 67 alone but first forms heterodimers with retinoid X receptor (RXRs: RXR α , RXR β and RXR γ) 68 and then TR/RXR acts as the functional unit to bind DNA and control gene transcription 69 (Ikeda et al., 1994; Machado et al., 2009). During Xenopus tail regression, the thyroid 70 hormone signaling pathway up-regulates $TR\beta$ expression and down-regulates $RXR\gamma$ 71 expression (Ikeda et al., 1994; Machado et al., 2009).

Despite a quite complete description of cellular events linked to metamorphosis in Xenopus, including the relationship between TH signaling regulating apoptosis wave(s), there has been little data suggesting this observation is generalized to all vertebrates, or may even be shared with more distant groups. Ascidians represent the extant sister-group to vertebrates and are very easily manipulable in laboratory. Metamorphosis of the ascidian *Ciona robusta* (Brunetti *et al.*, 2015) represents a period of profound morphological changes during which the animal alters its life traits (Sasakura & Hozumi,

79 2017). The lecithotrophic larva has a prototypical chordate body plan, it swims a few 80 hours after hatching and before adhering to the substrate, and then needs a few more 81 hours to metamorphose into a sessile filter-feeding juvenile in laboratory conditions 82 (Matsunobu & Sasakura, 2015). The initiation of metamorphosis is sensitive to several 83 internal and external cues and is morphologically first characterized by tail regression 84 (Matsunobu & Sasakura, 2015), which involves apoptosis (Chambon et al., 2002; Tarallo 85 & Sordino, 2004) and cell migration to the trunk region (For review, see Karaiskou *et al.*, 86 2015). To date, whether thyroid hormone plays a conserved role in the regulation of this 87 apoptotic event in ascidians is not known.

- In *Ciona robusta* adults, the synthesis of thyroid hormones was suggested to take place in 88 89 the endostyle, an organ homologous to the thyroid gland of vertebrates (Ogasawara et al., 90 1999). While no endostyle nor blood circulatory system has yet developed in the larvae of *Ciona robusta*, T4 is already present in the tail of 24-hour-old larvae (Patricolo et al., 91 92 2001). In previous studies, Ciona robusta larvae were treated with exogenous T4 or 93 thiourea (TU, an inhibitor of thyroid hormone synthesis), which showed that thyroid 94 hormone is involved in the regulation of metamorphosis (Patricolo *et al.*, 2001; D'Agati & 95 Cammarata, 2006). In the genome of *Ciona robusta*, a single TR ortholog was identified. 96 This TR gene contains a highly conserved DNA binding domain, similar to that of 97 vertebrates. The expression of this TR has been detected in the embryo and larva, 98 suggesting a possible role during embryonic development and metamorphosis in *Ciona* 99 *robusta* (Carosa *et al.*, 1998). However, since this receptor was shown to not bind any 100 iodinated tyrosine derivative *in vitro*, the molecular pathways triggered by thyroid 101 hormones to regulated metamorphosis remain elusive (Carosa et al., 1998; Paris et al., 102 2008). In the genome of *Ciona robusta*, a single RXR ortholog was identified (Yagi *et al.*, 103 2003). In this regard, *Ciona robusta* is more similar to other deuterostomes or bilaterians 104 (Howard-Ashby *et al.*, 2006; Huang *et al.*, 2015; Taylor & Heyland, 2018; Li *et al.*, 2020).
- In this study, we interfered with thyroid hormone signaling during tail regression
 to characterize whether (i) thyroid hormones are involved in the regulation of apoptosis
 and (ii) the process of apoptosis involves actors of the classical apoptotic pathways. In
 order to address these questions, we describe specific gene expression patterns as well
 as data on apoptosis dynamics during larva metamorphosis under T4 and TU treatments.
 We provide evidence that thyroid hormone-dependent apoptosis is involved in *Ciona robusta* metamorphosis, similar to previous findings in amphibians. However, the mode

- 112 of action of thyroid hormone shows great variation compared to the classically described
- scheme in chordates, both in thyroid hormone/TR interactions and in the apoptotic
- 114 pathway.
- 115
- 116

117 **2. Material and methods**

118

119 2.1. Ethical statement

The research described herein was performed on *Ciona robusta*, a marine invertebrate. The study was carried out in strict accordance with European and French legislations (directives 2010/63 and 2016-XIX-120, respectively) for the care and use of animals for scientific purposes (ISEM agreement N°A34-172-042) although *Ciona robusta* is not included in the organisms designated by this legislation. The study did not involve endangered nor protected species.

126

127 2.2. Animal husbandry

Adult Ciona robusta were collected in the Thau laguna (SMEL of Montpellier 128 129 University, France) and maintained at 18°C in a tank with circulating seawater and under 130 constant light to allow gametes accumulation. Oocytes were collected from each 131 individual into separate wells and were fertilized with a mixture of sperms obtained from 132 the different individuals after dissection of gonoducts and spermiducts. Just before 133 fertilization, 50 µl of sperm was activated with 1 ml of Tris 50mM in seawater. Three 134 drops of diluted sperm were used to fertilize each pool of oocytes. Fertilized eggs were 135 reared in tissue culture dishes at 18°C in 0,2 mm filtered seawater containing 100 U/ml 136 penicillin, and 0,1 mg/ml streptomycin (30ml/dish). Embryos and larvae were allowed to 137 develop to the desired stage and then collected or used for further experiments.

138

139 *2.3. Thyroxin, thiourea and caspase inhibitor treatments*

Thiourea powder (TU; 16217, Riedel-de Haën) was dissolved directly in seawater
to obtain a 500µM working solution. A stock solution of L-thyroxine (T4; T1775, Sigma)
was prepared at 1mM in 0,001N NaOH, which was then diluted with seawater to obtain a
100nM working solution.

- Just after hatching, swimming larvae (around 100 larvae/kinetic point) were transferred
 in new tissue culture dishes containing seawater, T4 or TU solutions (30ml/dish) and
 collected every two hours for 10 hours for qPCR experiments or at 6 and 12h of treatment
 for rescue experiments (TUNEL staining).
 For the tail amputation experiments, the just hatched larvae were anesthetized with
- 149 MS222 (Sigma-Aldrich, used at 0,8mM final) and 25% of the posterior end of the tails was

removed under the binocular with a needle. Afterwards the amputated larvae (50 larvae/kinetic point/treatment) were transferred to tissue culture dishes containing seawater (control) or T4 (100nM in seawater) (30ml/dish). At 6 and 12 hours post treatment, state of larvae was evaluated: no-tail larvae *versus* swimming larvae. The resulting graphs are presented in percentage, 100% corresponding to the total of larvae/plate. Then, larvae were collected and fixed for apoptosis detection by TUNEL staining.

157 Caspase-8 inhibitor Z-IETD-FMK and Caspase-9 inhibitor Z-LEHD-FMK were obtained 158 from R&D Systems and were dissolved in dimethyl sulfoxide (DMSO, Sigma) to give a 159 20mM stock solution. Then they were diluted with seawater to obtain a 100µM working 160 solution. At hatching, swimming larvae (100 larvae/condition) were transferred into 24 161 well plate (500 µl solution/well) and reared in DMSO 0,5% in seawater (DMSO used as 162 control) or caspase inhibitor solutions. The number of tail-regressed larvae was counted 163 10h after treatment.

- 164
- 165 *2.4. TUNEL staining and indirect immunofluorescence analysis*

166 Larvae were fixed for 20 min with 3,7% formaldehyde in filtered seawater and 167 then permeabilized for 20 min at room temperature with 0,2 % Triton X-100 in TS 168 solution (150 mM NaCl, 25 mM Tris, pH 7.5). TUNEL staining (Roche, *In situ* cell death 169 detection kit, TMR red) was performed according to the manufacturer's instructions. In 170 brief, larvae were incubated in TUNEL reaction mixture (Enzyme solution 10% in Label 171 solution) 1 hour at 37°C in a humidified chamber. Both negative and positive controls of 172 TUNEL staining were performed according to the manufacturer's instructions. For 173 indirect immunofluorescence, T4 thyroid hormone was detected with a rabbit anti-L-174 thyroxine polyclonal antibody (T-2652, Sigma), and DNA with DAPI (D9542, Sigma). 175 Appropriate secondary antibody was FITC-conjugated donkey-anti-rabbit 176 immunoglobulins (Jackson Laboratories). Specimens were analyzed with a Leica TCS-SPE 177 laser confocal microscope (Montpellier RIO Imaging platform, France).

178

179 2.5. RNA isolation, semi-quantitative RT-PCR and qPCR analysis

For semi-quantitative PCR experiment, fertilized eggs were allowed to develop to
the desired stage, collected every 2 hours from fertilization to 28 hours post-fertilization
(hpf) (100 individuals/kinetic point) and frozen before RNA extraction.

- 183 For qPCR experiments, hatched larvae were treated or not with TU and collected every 2
- 184 hours to 10 hours post-hatching (hph). At the collecting time, and to focus the study on
- tail regression, only tails of larvae were collected with a needle under the binocular and
- 186 frozen before RNA extraction. At each time point, a pool of 100 tails was collected.
- 187 Total RNA was isolated with RNeasy kit according to the supplier's instructions (QIAGEN).
- 188 70 ng of total RNA were used for cDNA preparation performed by Superscript II reverse
- 189 transcriptase (Invitrogen) with an oligodT primer, the mixture was incubated for 50 min
- 190 at 42° C followed by 15 min at 70°C.
- 191 Semi-quantitative PCR was performed on cDNA from each time point of kinetic (95°C for
- 192 5 min and then 35 cycles of 95°C for 30s, 53°C for 30s, 72°C for 1 min, completed at 72°C
- 193 for 10 min) and PCR products were run on 2% agarose gels at 100 Volts during 30 min.
- 194 PCR products were quantified by Image J gel analysis. Each lane was normalized with the
- 195 expression of reference gene S26 (Vincent *et al.*, 1993).
- For quantitative PCR, 1:20 dilution of each cDNA was run in triplicate on a 384-well plate
 for each primer pair by using thermal cycling parameters: 95°C for 10 min, 95°C for 10s,
 63°C for 10s, 72°C for 10s (45 cycles) and an additional step 72°C for 10 min performed
 on a Light Cycler 480 with the SYBR Green I Master kit (Roche) (qPHD UM2/GenomiX
 Platform, Montpellier France). Results were normalized with the expression of reference
 gene S26. Data were analyzed with the Light Cycler 480 software 1.5.1.
- All the sequences used come from the Aniseed website (<u>www.aniseed.cnrs.fr</u>). We used
 Primer 3.0 to design all the sets of forward and reverse primers to amplify selected genes
 listed in table 1.
- 205

206 2.6. Statistical analysis

The semi-quantitative PCR and qPCR experiments were repeated three times (three different spawnings) and the inhibitor treatments were repeated twice. The values are the means +/- standard deviation. At each time point, a Student's t-test was performed to validate a difference of expression between control and treatment (significant at P<0,05). ANOVA was done (for qPCR only) with expression as the response, and time, treatment and their interaction as predictors (Table 2).

214 **3. Results**

215

216 3.1. An apoptotic wave in tail cells starts after T4 and CrTR expression decreases.

217 The presence of endogenous T4 in the tail of *Ciona robusta* during metamorphosis 218 is detected by immunofluorescence in larvae from hatching (18hpf) to metamorphosis 219 (30hpf). T4 is detectable in all cell-types of the entire tail at hatching, and then gradually 220 disappears from the posterior to the anterior pole of the tail (Figure 1A and 221 supplementary data). No endostyle nor blood circulatory system has yet developed in the 222 larvae and T4 appears diffuse in all tissues of the larva. A massive apoptotic wave is 223 detected through TUNEL staining and first occurs in cells at the posterior extremity of the 224 tail. Then, this death progresses towards the anterior pole together with T4 225 disappearance, while tail regression has not started yet (25hpf, Figure 1A; see previous 226 results from (Chambon *et al.*, 2002). The expression of the single thyroid-hormone 227 receptor *CrTR* is undetectable before 8hpf. Then it increases to reach its maximum at 228 around hatching (Figure 1B, C), and finally decreases until 28hpf.

229

3.2. T4 is necessary for the initiation of the apoptotic wave and the tail regression, and
modulates CrTR and CrRXR expression

232 The role of T4 in the apoptotic wave was investigated by TU treatment 233 experiments. Larvae were raised in medium treated with TU at hatching and collected 234 either after 6h or after 12h of treatment (middle of apoptotic wave versus end of the tail 235 regression period, respectively). In control larvae, the presence of TUNEL-positive nuclei 236 is observed in 80% of larval tails at 6hph and complete tail resorption is observed in 70% 237 of larvae at 12hph, with the remaining 30% still swimming. In TU treated larvae, neither 238 apoptotic nuclei nor caudal regression are observed and 100% of the treated larvae are 239 still swimming (entire tail) after 12h of treatment (Figure 2). The suppression of the 240 apoptotic wave in *Ciona robusta* tail induced by TU is partially reversed by a simultaneous 241 treatment with T4: after 6h of co-treatment, about 50% of larvae show a rescued 242 phenotype with apoptotic nuclei in their tail at 6hph and go through tail regression 12h 243 after co-treatment (Figure 2).

To test cell-autonomy in T4-responsive tail cells, and given the progressive posterior-to-anterior initiation of apoptosis, we performed microsurgery to remove the most posterior zone of the tail. The severed larvae completely stop their metamorphosis, and show no TUNEL staining even at 12hph (Figure 3, A and B in comparison with control
larva in figure 2). Tail cells therefore do not answer in a cell-autonomous way to T4
signaling. When the severed larvae are treated with T4 directly after the microsurgery,
the polarized phenomenon of apoptosis is re-initiated at the posterior pole after 6h of
treatment, and this metamorphosis process is re-established in 30% of severed larvae at
12hph (Figure 3A). This suggests that a signaling center is generated under T4 signaling
pathway at the posterior tip of metamorphic larvae.

- 254 Hatching larvae were treated with TU and tails were collected at different time 255 points from hatching to the end of tail regression for qPCR experiments. *CrTR* expression 256 decreases over time in control tails (> 7-fold decrease), but treatment with TU 257 significantly prolongs and amplifies its expression (Figure 4A). In contrast, after hatching, 258 the expression of *CrRXR* mRNA increases significantly until reaching a maximum at 6hph 259 and lightly decreases at later stages (Figure 4A and Table 2). The increase of CrRXR in the 260 tails is slowed down in TU-treated larvae with a significant difference at 6hph compared 261 to the tails of control larvae (Figure 4B and Table 2).
- 262
- 263 3.3. Caspase 8/9 but not Bax nor Bcl-XL expression is modulated by T4 signaling during tail
 264 regression in Ciona robusta

Apoptotic mechanisms in vertebrates can be initiated by two classical pathways, the intrinsic (initiated by caspase-9) and extrinsic (initiated by caspases 8 and 10) pathways (Ichim & Tait, 2016). Numerous studies in amphibian metamorphosis showed that the caudal regression is under the control of the intrinsic pathway of apoptosis (Sachs *et al.*, 2004; Rowe *et al.*, 2005; Hanada *et al.*, 2013).

270 In *Ciona robusta*, no caspase was specifically linked to the intrinsic or extrinsic 271 pathway (Chambon *et al.*, 2002; Dehal *et al.*, 2002; Terajima *et al.*, 2003; Weill *et al.*, 2005) 272 but one shows protein domains similar to both caspase-8 and -9 (Weill *et al.*, 2005). This 273 CrCasp8/9 displays two DED motifs in the pro-domain and the pentapeptide QACQG in 274 the active site, similar to human Caspases 8 and 10 and shows a p20/p10 domain more 275 similar to that of human Caspase 9 (Figure 5A and Weill et al., 2005). The expression of 276 *CrCasp8/9* increases from hatching to 6hph where it reaches a peak (two-fold increase 277 compared to at hatching) (Figure 5C). The TU treatment abolishes the increase of 278 *CrCasp8/9* expression (Figure 5C and Table 2). In addition, expression of two key *Bcl2* 279 family (members of the apoptosis intrinsic pathway), Bax and Bcl-XL, was detected. The

280 expression of *CrBax* mRNA does not change over time, both in control and TU-treated 281 larvae (Figure 5C and Table 2). The expression of *CrBcl-XL* starts to increase 6h post 282 hatching and shows a 5-fold increase 4h later compared to hatching stage but the TU 283 treatment does not alter significantly its expression level (Figure 5C and Table 2). In this 284 context, the molecular mechanism implicated in the tail regression in *Ciona robusta* was 285 investigated by first using specific functional inhibitors of caspases, *i.e.* inhibitors of 286 vertebrate caspase-8 and caspase-9 (IETD-fmk and LEHD-fmk respectively). After 10h of 287 treatment, only 35% of the caspase-9 inhibitor-treated larvae have strong or total tail 288 regression while 70% of untreated larvae no longer have tails (Figure 5B). In contrast, the 289 inhibitor of caspase-8 had no significant influence on tail regression compared to control 290 larvae (Figure 5B).

291

293 4. Discussion

294

4.1. Thyroid hormone signaling-dependent apoptosis underlies tail regression in Cionarobusta

In amphibians, metamorphic changes involving structural, physiological, biochemical and behavioral transformations are primarily controlled by thyroid hormone signaling and these processes can last for a few several days (Sachs *et al.*, 2000). In ascidians, this process is much faster: Matsunobu and Sasakura have timed the different steps of metamorphosis in *Ciona robusta*, which from hatching to complete tail regression take only 12 hours (Matsunobu & Sasakura, 2015).

After hatching, the progression of an apoptotic wave goes from the posterior to the anterior zone of the *Ciona robusta* tail. Here, we show that the inhibition of T4 signaling by TU blocks the initiation of the apoptotic wave and subsequent tail regression, suggesting that T4 is necessary to initiate apoptosis (Figure 2). This T4 effect, consistent with that found in amphibians (Sachs *et al.*, 1997a; b), is confirmed by the results of our rescue experiments where apoptosis and subsequent tail regression is reactivated by T4 co-treatment with TU (Figure 2).

310 We show that T4 acts in the initiation of apoptosis *via* activation of an intermediate 311 signaling center located in the tail end. This is congruent with reports of a number of 312 genes being only expressed at the posterior end of the tail of *Ciona robusta* such as *Sccpb* 313 (similar to selectin P), a gene under the MAPK signaling pathway (Cr-ERK and Cr-INK) 314 that is essential for apoptosis-dependent tail regression (Chambon et al., 2007). 315 Interestingly, ERK is activated by phosphorylation only at the tail end before the wave of 316 apoptosis (Chambon *et al.*, 2002; Krasovec *et al.*, 2019). As a consequence, we postulate 317 that the posterior part of the larval tail contains the signaling source responsible for the 318 initiation of observed wave of apoptosis and the metamorphosis process, and that this 319 signaling center is under the control of T4 signaling. In our tail removal experiments 320 (Figure 3), T4 treatment probably allows local and fast expression/recruitment of 321 proteins specific to the end of tail and then apoptosis recovery at 6hph (Figure 3). Thyroid 322 hormone signaling therefore appears to activate the apoptosis pathway in a directional 323 way in the period preceding adhesion by initiation of the posterior signaling center. The 324 link between thyroid hormone signaling and apoptosis is further documented by the 325 results of qPCR experiments showing a thyroid hormone-dependent transcriptional

326 induction of *CrCasp8/9* during tail regression (Figure 5C).

327

4.2. Apoptosis during Ciona robusta tail regression does not rely on a classical intrinsic
pathway

330 Thyroid hormone-dependent apoptosis in *Xenopus* tadpole involves the intrinsic 331 pathway (Sachs et al., 1997a; b; Xiong et al., 2014; Ichim & Tait, 2016). Our caspase-332 inhibition treatments support a caspase-9-like activity in the initiation of apoptosis, 333 therefore similar to the function of the intrinsic pathway. However, this experiment 334 cannot identify the exact active caspase involved in the process since the active site of the 335 CrCasp8/9 is comparable to that of human caspase-8 (Figure 5A). Our gPCR results show 336 an important induction of *CrBcl-XL* starting at 6hph, when *CrCasp8/9* mRNA expression is 337 maximal. This result is surprising because the vertebrate Bcl-XL is known for its anti-338 apoptotic action (for review see (Cui & Placzek, 2018). If this activity is conserved in 339 chordates, the induction of *CrBcl-XL* might have a function in the conservation of an anti-340 apoptotic state for the cells that migrate to the trunk during tail regression. This is in 341 contrast to the *Xenopus* metamorphosis where Bcl-XL has no apparent function in the 342 thyroid hormone-induced apoptosis (Johnston *et al.*, 2005) and where cell migration from 343 the tail to the body has never been reported (see review Yaoita, 2019).

344

345 *4.3. New model of interaction between thyroid hormone and apoptotic pathway in* Ciona346 robusta

347 From our results, the interaction between thyroid hormone signaling and the 348 apoptosis in the tail of *Ciona robusta* appears different from that described in *Xenopus* 349 tadpoles. A concomitance between high levels of T4, CrTR and apoptosis is not observed 350 in *Ciona robusta* in contrast to *Xenopus laevis* (Figure 6 according to the work of (Sachs et 351 *al.*, 2000; Ishizuya-Oka *et al.*, 2010)). Our results indicate that *CrTR* expression is high at 352 hatching, when CrRXR expression and apoptosis are still low or not detected, respectively. 353 After hatching, thyroid hormone and *CrTR* levels progressively decrease concomitantly 354 with increasing quantities of apoptotic nuclei, CrRXR and CrCasp8/9 mRNAs (Figure 6). 355 These results suggest that CrTR behaves like TR α in amphibians where, in the absence of 356 THs, it prevents the progression of metamorphosis and promotes the growth of tadpoles 357 (Wen & Shi, 2015). Transcription of both CrTR and CrRXR genes is modified by the 358 treatment with TU, arguing for thyroid hormone-dependent expression of both receptors.

However, the results of these qPCR experiments do not allow to conclude on the functionality of the TR/RXR heterodimer which will require further *in vitro* study of nuclear receptor activities.

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- 363

4.4. Conservation of thyroid hormone and metamorphosis in chordates

364 Metamorphosis is an ancestral feature of chordates with conserved molecular 365 determinism (Holzer et al., 2017). Thyroid hormones and their receptors have been 366 systematically involved in metamorphosis in all chordates in which their role has been 367 tested, even in animals located at very distant branches of the chordate phylogenetic tree (amphibians, teleost fishes and amphioxus). Different larva-to-juvenile transitions 368 369 described can be considered as homologous, based on the conservation of the thyroid 370 hormone signaling pathway generated by thyroid hormones and their receptors. We have 371 shown in this study that the thyroid-dependent metamorphosis of *Ciona robusta* has a 372 different mode of operation from what is classically known. Interestingly, another 373 organism also stands out from the other chordates: in the sea lamprey, it is also the drop 374 of circulating T4 that enables its metamorphosis, which shows features of apoptosis in the 375 epithelial cells of the biliary tract (Boomer et al., 2010; Morii et al., 2010) and the 376 pronephric kidney (Ellis & Youson, 1990). But in contrast to *Ciona*, exogenous THs slow 377 down, rather than accelerate, the natural lamprey metamorphosis with binding to their 378 specific receptors (Youson, 1997; Manzon et al., 2014; Manzon & Manzon, 2017) (Figure 379 6). Despite being a more distant vertebrate relative compared to urochordates (Delsuc *et* 380 al., 2006), the amphioxus has a functional thyroid hormone receptor, and THs induce 381 metamorphosis in amphioxus as in the frog, despite apoptosis was not shown during the 382 metamorphic process (Paris *et al.*, 2008). In connection with these comparisons, it is 383 important to note that metamorphosis in *Ciona* lasts only a few hours (instead of several 384 days for the amphioxus, lamprey or xenopus) and in this regard, is more comparable with 385 that of echinoderms within the deuterostomes.

386

387 *4.5. Conservation of thyroid hormone and metamorphosis in bilaterians*

Within the deuterostomes, the sea urchin (echinoderm) transforms from a swimming larva into a sessile juvenile (bilateral symmetric larva into radial symmetric and benthonic adult) in a few hours (Sato *et al.*, 2006). All eight arms of the sea urchin larva reduce from their tip to the trunk by apoptosis when treated with THs, and

392 inhibition of apoptosis prevents the induction of metamorphosis (Saito *et al.*, 1998; Lutek 393 et al., 2018; Taylor & Heyland, 2018; Wynen & Heyland, 2021). Similar to Ciona robusta, 394 the sea urchin has 1 RXR and 1 TR (Howard-Ashby *et al.*, 2006), exogenous TH treatment 395 accelerates its metamorphosis but transcription of target genes via its TR is not activated 396 by THs (Taylor & Heyland, 2018). Recently, TH action in sea urchin has been shown to act 397 via the MAPK-ERK1/2 pathway (Taylor & Heyland, 2018) as it was shown for Ciona 398 *robusta* (Chambon *et al.*, 2007). These similitudes between urochordate and echinoderm 399 metamorphic processes suggest an ancestrally conserved regulation by thyroid hormone, 400 through the MAPK-ERK pathway and involving TR as a constitutive transcriptional 401 regulator, therefore differing significantly from the "classical" vertebrate thyroid 402 hormone signaling.

403 Outside of deuterostomes, in both oysters and mussels, THs peak at the gastrula 404 stage and decrease just after the trochophore stage. This variation is correlated with the 405 presence of the TR (absent after the trochophore stage). The oyster has 1 RXR and 1 TR, 406 and its TR inhibits its own expression supporting the transcriptional repression activity 407 of the TR (Huang *et al.*, 2015). In addition, two caspases play a key role in the loss of the 408 foot and velum during larval metamorphosis (Yang *et al.*, 2015). In mussels, while TH 409 treatment accelerates metamorphosis, knockdown of its TR leads to inhibition of 410 metamorphosis: thus, the TR may have transcriptional repression activity affecting 411 competence for the metamorphic transition (Li *et al.*, 2020) (figure 6). This TH-dependent 412 signaling pathway with TR acting as a constitutive transcription factor may therefore be 413 a probable bilaterian ancestral regulatory pathway. Both this bilaterian and the 414 vertebrate regulatory pathways may have co-existed in the last common ancestor of chordates, and differentially selected for: the "vertebrate"-type was conserved in 415 416 amphioxus and vertebrates, while the "bilaterian"-type was conserved in Ciona 417 (Morthorst *et al.*, 2022).

418

419 **5.** Conclusions

Collectively, these results suggest that thyroid hormones are involved in the initiation of the apoptotic wave leading to tail regression in *Ciona robusta*, similar to previous findings in amphibians. However, in *Ciona robusta* the mode of action shows great variation compared to the classically described scheme in vertebrates, both at the level of the thyroid hormone/TR interactions and also at the level of the apoptotic

- 425 pathway. Despite a phylogenetic position in the vertebrate sister group, *Ciona robusta* has
- 426 retained the ancestral thyroid hormone pathway, i.e. a non TH/TR interaction but with a
- 427 constitutive TR that represses progression to metamorphosis by promoting tadpole
- 428 growth. This significance of the conservation but also these differences might be linked to
- 429 the evolution of a very rapid metamorphosis in an organism of simple architecture.
- 430

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 Research Agency (ANR-10-INBS-04, «Investments for the future»).
- 439

440 **Conflicts of interest**

441 The authors declare that there is no conflict of interest that could be perceived as442 prejudicing the impartiality of the research reported.

443

444 **Ethical approval**

The research described herein was performed on *Ciona robusta*, a marine invertebrate. The study was carried out in strict accordance with European and French legislations (directives 2010/63 and 2016-XIX-120, respectively) for the care and use of animals for scientific purposes (ISEM agreement N°A34-172-042) although *Ciona robusta* is not included in the organisms designated by this legislation. The study did not involve endangered nor protected species.

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- 628

Table 1: Forward and reverse primers used for qPCR experiments

| Name (fragment size) | Forward primer | Reverse primer |
|----------------------|----------------------|-----------------------|
| CrTR (227 bp) | CCCGACGATCATACACCTCT | GACCACACACCGTAATGCTG |
| KH2012:KH.C11.612 | | |
| CrRXR (220 bp) | GTTCTGAGGCCCCTACTGTT | TGTGGGTACTGGAGTGGAAC |
| KH2012:KH.C9.892 | | |
| CrCasp8/9 (204 bp) | ATGCATGGAGGAGGAAGACC | CGTTGCGTCAGGGTTTAACTC |
| KH2012:KH.C8.550 | | |
| CrBax (242 bp) | AGAGAACAGCCCAGTTGAGC | CCCAATTGAAGTTGCCGTCC |
| KH2012:KH.C4.794 | | |
| CrBcl-XL (185 bp) | GCGGCAGAATACGAGAGAAG | GAGTTGCTGGTTGCTTGTGA |
| KH2012:KH.S653.2 | | |
| CrS26 (162 bp) | AAGGACGCGGTCATGTAAAA | TCTTTGGCAAGGCGTAAGAT |
| KH2012:KH.C2.257 | | |

Table 2: Analysis of Variance (ANOVA) for qPCR experiments. Bold numbers mean

P value < 0.05

| gene | Predictor | Sum Sq | Mean Sq | F value | Pr(>F) |
|-----------|----------------|---------|---------|---------|-----------|
| CrCasp8/9 | time | 0.5663 | 0.5663 | 1.5028 | 0.229180 |
| | treatment | 4.4219 | 4.4219 | 11.7347 | 0.001702 |
| | time:treatment | 0.5527 | 0.5527 | 1.4668 | 0.234726 |
| | | | | | |
| CrBax | time | 0.9929 | 0.99294 | 4.0365 | 0.05302 |
| | treatment | 0.2244 | 0.22439 | 0.9122 | 0.34669 |
| | time:treatment | 0.0580 | 0.05801 | 0.2358 | 0.63055 |
| | | | | | |
| CrBclXl | time | 53.444 | 53.444 | 39.1998 | 5.11e-07 |
| | treatment | 3.867 | 3.867 | 2.8363 | 0.1019 |
| | time:treatment | 3.478 | 3.478 | 2.5509 | 0.1201 |
| | | | | | |
| CrRXR | time | 17.797 | 17.7974 | 16.1577 | 0.0003314 |
| | treatment | 5.245 | 5.2449 | 4.7617 | 0.0365539 |
| | time:treatment | 0.053 | 0.0527 | 0.0479 | 0.8282364 |
| | | | | | |
| CrTR | time | 1612.47 | 1612.47 | 87.8360 | 8.037e-10 |
| | treatment | 372.28 | 372.28 | 20.2793 | 0.0001247 |
| | time:treatment | 0.25 | 0.25 | 0.0138 | 0.9074141 |

635 Legends of figures

636

Figure 1: T4 disappearance, *CrTR* expression decrease and apoptosis wave in the tail of *Ciona robusta*.

639 A: TUNEL detection (red nuclei) and T4 immunostaining (green) at different stages of 640 development (hph: hour post-hatching) by confocal microscopy. The kinetic was repeated 641 three times and representative images are shown. Scale bar: 100um. B and C: time course 642 of thyroid hormone receptor *CrTR* mRNA expression between 0 to 28hpf of *Ciona robusta* 643 development (semi quantitative PCR). The extent of *CrTR* expression was compared with 644 *CrS26* mRNA. B: a representative gel agarose image; C: the corresponding histogram is the 645 mean of three independent experiments with standard deviation. The value set to 1 was 646 chosen as the mean value at 18 hpf. The * represents the *P* value between 18hpf and the 647 other time points with *P*<0.05.

648

Figure 2: Inhibition of metamorphosis by thiourea (TU) and rescue with T4 treatment.

Hatching larvae were treated (TU) or not (control) with TU 500µM and collected after 6h
and 12h of treatment. Rescue larvae (TU+T4) were treated at hatching time with TU
500µM/T4 100nM mixture and collected at 6h and 12h of treatment. Fixed larvae were
double-labeled with TUNEL (red) and Dapi (blue) and observed by confocal microscopy.
The double labeling is shown with the tail contour drawn in grey. The experiment was
repeated three times and percentage of larvae showing the presented expression pattern
is indicated on each panel. Scale bar: 100µm except for 12hph Control (75µm).

658

659 **Figure 3: Metamorphosis of amputated larvae treated with T4**

660 At hatching, the posterior extremity of larva tails (a quarter) was removed, amputated 661 larvae were treated or not with T4 100nM and collected at 6h and 12h of treatment. For 662 each kinetic point, state of 50 larvae was counted (metamorphosed versus swimming 663 larvae), then collected and fixed for apoptosis detection (TUNEL, white nuclei). A: The 664 histograms are the mean of three independent experiments with standard deviation. The 665 * represents the *P* value between untreated and T4 treated larvae with *P*<0.05. Cont Swim: 666 swimming untreated larvae; Cont Met: metamorphosed untreated larvae; T4 Swim: 667 swimming T4 treated larvae; T4 Met: metamorphosed T4 treated larvae. B-C:

Representative images for apoptosis detection in untreated (B) and T4 treated (C)
amputated larvae are shown at 12 and 6hph, respectively. Percentage of larvae showing
the presented expression pattern is indicated on each panel. Scale bar: 100μm (insert
scale bar: 30μm)

672

673 **Figure 4: mRNA expression of** *CrTR* **and** *CrRXR* **during metamorphosis**

674 Time course of *CrTR* (A) and *CrRXR* (B) mRNA expression from hatching to 10hph in 675 control (dark grey) and TU treated (light grey) tails by qPCR. The histograms are the mean 676 of three independent experiments (i.e. 3 different spawns with each time point 677 corresponding to a pool of larvae) with standard deviation; data were normalized to 678 respective CrS26 mRNA expression values. A relative mRNA quantity value of one 679 corresponds to the lowest amount of control target mRNA. The * represents the *P* value 680 between control and TU treated larvae at the same stage with P<0.05. The a and b 681 represent the *P* value between hatching and the different stages in control and TU treated 682 larvae, respectively, with *P*<0.05.

683

684 **Figure 5: Detection of intrinsic apoptosis during metamorphosis of** *Ciona robusta*

A: Schematic representation of CrCasp8/9 compared with human caspases -8 and -9 by 685 686 sequence homology. B: Activity of specific caspase inhibitors. At hatching, larvae were 687 treated with control (DMSO), 100 µM IETD-fmk (caspase-8 inhibitor) or 100 µM LEHD-688 fmk (caspase-9 inhibitor). Tail regression was evaluated 10h after treatment. "Strong 689 regression" corresponds to a regression of 50 % of the tail or more (light grey) and "Weak 690 regression" corresponds to a decrease of less than 50% of the tail (dark grey). The 691 histograms are the mean of two independent experiments; for each treatment, state of 692 100 larvae was counted. C: Time course of mRNA expression of CrCasp8/9, CrBax and 693 *CrBcl-XL* from hatching to metamorphosis (hph) in control (dark grey) and TU treated 694 (light grey) tails. The histograms are the mean of three independent experiments (*i.e.* 3) 695 different spawns with each time point corresponding to a pool of larvae) with standard 696 deviation; data were normalized to respective *CrS26* mRNA expression values. A relative 697 mRNA quantity value of one corresponds to the amount of target mRNA at hatching time. 698 The * represents the *P* value with *P*<0.05. The a represents the *P* value between hatching 699 and the different stages in control with *P*<0.05.

Figure 6: Comparative scheme between *Xenopus* and *Ciona robusta* tadpole metamorphoses

- 703 Summary of developmental stage-dependent expression of TR and RXR genes and tail cell
- 704 death of Ciona robusta tadpole (A) in comparison to Xenopus tadpole (B) during
- 705 metamorphosis. preM and proM, pre- and prometamorphosis, respectively (adapted from
- 706 (Sachs et al., 2000; Rowe et al., 2005; Ishizuya-Oka et al., 2010; Matsunobu & Sasakura,
- 707 2015; Hotta *et al.*, 2020).
- 708

709 Supplementary data:

- 710 T4 staining (white) at different stages of development, from hatching to the end of tail
- 711 regression (hph: hour post-hatching) by confocal microscopy. The kinetic was repeated
- 712 $\,$ three times and representative images are shown. Scale bar: $100\mu m$ except for late tail
- 713 regression at 30hph (25μm).

715 Figure 1







Figure 2









Figure 4

727



Figure 5





Figure 6



738 Supplementary data

739

