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Access to Ru(IV)–Ru(V) and Ru(V)–Ru(VI) Redox in Layered Li₇RuO₆ via Intercalation Reactions

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Abstract

The limits of the storage capacity based on the conventional cationic redox in LiTMO_2 could be transcended by multi-electron redox, leading to transformational increases in the capacity. Yet the compounds displaying this high valent redox are still limited. This reactivity was exhibited in $\text{Li}_7\text{Ru(V)O}_6$ once specifically predicted to have oxygen activity due to high O/TM ratio, rendering it a unique model system. Herein, a comprehensive X-ray spectroscopic analysis and computational simulations was performed, and the redox activity within varied voltage window was compared. Li_7RuO_6 exhibits a highly reversible lithiation to Li_8RuO_6 below 2.6 V vs. Li^+/Li^0 , with a conventional charge compensation mechanism through the formal Ru(V)/Ru(IV) redox couple. In turn, it can also undergo anodic Li deintercalation at 3.68 V, which involves both Ru and O activity via O $2p$ -Ru $4d$ covalent interaction. Unlike other Ru(V) counterparts, Li_7RuO_6 shows clear evidence of a highly reversible formation of Ru(VI) upon delithiation, while maintains the structural integrity by involving the Ru(V)/Ru(IV) couple. The comparison with other Ru(V) oxides highlights the versatility of the Ru-O bond to undergo distinct redox transitions depending on the specific layered arrangements in an ordered rocksalt. This report enriches our understanding of redox chemistry in solids, while underscoring the need for nuanced descriptions of the electronic states involved that reflect the nuance of covalent interactions via the organic combination of X-ray spectroscopy and theoretical computation.

1. Introduction

The Li-ion battery, which stores charge through the shuttling of Li^+ ions between two electrodes, significantly accelerated the realization of our wireless society by powering the

portable electronic devices.^{1,2} Nowadays, they are increasingly central to a sustainable and clean-energy society by enabling the substitution of fossil fuels by renewable sources, through their growing implementation in electric vehicles (EVs) and grid applications.³⁻⁵ In order to realize their full potential, intense research continues to further increase the energy density of the device, which is currently handicapped by the lower capacity of the oxide cathodes compared to the anode.⁶

Conventionally, cathodes store energy through (de)intercalation reactions of Li accompanied by changes in redox occurring on the transition metal (TM) centers (cationic redox, CR), with the metrics of capacity being determined by the number of transferred electrons in the reaction.^{7, 8} Practically, there are limits to how much a TM center can be oxidized before the oxide becomes unstable and the reaction becomes irreversible, leading to a loss in cycle life of the battery. As a classical example, the first commercial cathode, LiCoO₂, possesses a high theoretical capacity of 274 mAh/g for a full 1-electron oxidation of Co(III), but, in practice, it can only be cycled at a maximum capacity of ~165 mAh/g to avoid inducing structural instability and severe capacity fade.⁹⁻¹² The tradeoff between stability and storage capacity places emphasis on the challenge to define the fundamental limits of redox chemistry in transition metal oxides.

One way to increase the exhibited capacity is to design materials with multielectron transitions in TMs without crossing their highest known stable states, which is exemplified in the full leveraging of the Mn(II)/Mn(IV) redox couple by Lee et al. in oxyfluorides with anion and cation disorder.¹³ A second strategy has consisted in pushing the limits of redox to tap into the activity of the oxide anions themselves, referred lattice oxygen redox (LOR) reactions, where non-bonding, and labile O 2p orbitals can be depopulated while reasonably preserving the reversibility of the framework.¹⁴ This reactivity has now been widely reported in a variety of oxides with both ordered (layered) and disordered rocksalt frameworks, such as Li₂Ru_{0.75}Sn_{0.25}O₃¹⁵,

$\text{Li}_{1.17}\text{Ni}_{0.21}\text{Co}_{0.08}\text{Mn}_{0.54}\text{O}_2$ ¹⁶, $\beta\text{-Li}_2\text{IrO}_3$ ¹⁷, $\text{LiNi}_{1/3}\text{Co}_{1/3}\text{Mn}_{1/3}\text{O}_2$ ¹⁸, $\text{Li}_{1.3}\text{Nb}_{0.3}\text{Mn}_{0.4}\text{O}_2$ ¹⁹, $\text{Li}_{1.3}\text{Mn}_{0.4}\text{Ta}_{0.3}\text{O}_2$ ²⁰, and $\text{Li}_{1.15}\text{Ni}_{0.35}\text{Ti}_{0.5}\text{O}_{1.85}\text{F}_{0.15}$ ²¹, even with Na instead of Li, such as $\text{Na}_{0.6}[\text{Li}_{0.2}\text{Mn}_{0.8}]\text{O}_2$ ²² and $\text{Na}_{0.5}\text{Mg}_{0.15}\text{Al}_{0.2}\text{Mn}_{0.65}\text{O}_2$ ²³. The most compelling example of the potential of LOR to challenge conventions of redox chemistry is Li_3IrO_4 , which is enabled by the existence of non-equivalent O sites with non-bonding O 2p orbitals whose depletion is subsequently stabilized by the highly covalent interaction between Ir and O.^{24, 25} The challenge with LOR remains to induce it in less heavy and precious metals in a manner that reaches transformational capacities while being fully reversible, both in chemical pathways and energy efficiency. The delineation between conventional CR and LOR is also the object of ongoing debate. While oxides like LiCoO_2 ^{12, 26} or $\text{LiNi}_{0.8}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$ ²⁷ could nominally be classified under CR, spectroscopic evidence suggests that O bears a role in charge compensation at high levels of oxidation, which has led to invoke LOR. As the reverse example, while it is generally reported that the abnormal capacity displayed by Li_2MnO_3 is due to LOR accompanied by irreversible O_2 evolution,²⁸⁻³⁰ Radin et al. proposed an alternative mechanism involving the $\text{Mn}^{4+}/\text{Mn}^{7+}$ redox.³¹

Rocksalt-type ruthenium oxides are arguably the family that has attracted the most attention in recent years when defining the boundaries of reversible redox chemistry upon Li deintercalation.^{15,}
³²⁻³⁶ The layered honeycomb oxide Li_2RuO_3 was at the center of the first report of reversible LOR without irreversible evolution of O_2 .^{15, 33} Measurements of X-ray absorption spectroscopy (XAS) probed the changes at both Ru and O.^{37, 38} The compound is reported to undergo CR (Ru(IV) to Ru(V)) to form LiRuO_3 , but a reductive coupling mechanism of LOR takes place upon additional delithiation. Via computational methods, Xie *et al.* reported that the number of O 2p states accessible for LOR relies on an increasing O/TM ratio.³⁹ This prediction, so far, has been validated in Li_3TMO_4 where TM = Ir or Ru, which exhibit very large capacity upon oxidation.^{24, 35, 36, 40} XAS

indicated minimal changes at Ru(V) centers in both layered and disordered rocksalt polymorphs of Li_3RuO_4 , while the density of unoccupied O states significantly increased.³⁶ This LOR mechanism upon delithiation unlocked a conventional path of cationic Ru(V)/Ru(IV) redox in $\text{Li}_{3-x}\text{RuO}_4$ ($x = 1$) upon subsequent reduction through relithiation, inducing chemical hysteresis. It is intriguing that a mechanism of CR via the Ru(V)-Ru(VI) couple does not seem to be accessible in any of these oxides, whereas K_2RuO_4 is a stable oxide with spectroscopic signatures clearly indicative of high valent Ru(VI).⁴¹ It was recently proposed that low coordination environment (e.g., tetrahedral) around Ru centers plays a role in promoting such high formal oxidation states.⁴¹

So far, there is no experimental report of Li-rich compounds with O/TM ratio higher than 4 that exhibit clear evidence of high valent redox, which requires simultaneous insights from XAS at both cationic and anionic centers. The search for the highest O/TM ratio guided us toward Li_7RuO_6 , which is again an ordered rocksalt with a layered arrangement where Ru is octahedrally coordinated.^{42, 43} In this report, we studied its electrochemical properties, characterized the states involved in different reactions, and compared measurement of electronic structure with computations of the corresponding XAS. Unlike other Ru(V) counterparts, Li_7RuO_6 shows clear evidence of a highly reversible formation of Ru(VI) upon delithiation, while sharing the ability to stuff the interlayer spacing by tapping into the Ru(V)/Ru(IV) couple. This finding indicates that accessing Ru(VI) is not exclusively limited to frameworks with a larger alkali metal cations reported previously.⁴¹ The comparison with other Ru(V) oxides highlights the versatility of the Ru-O bond to undergo distinct redox transitions depending on the specific layered arrangements in an ordered rocksalt. This report enriches our understanding of redox chemistry in solids, while underscoring the need for nuanced descriptions of the electronic states involved that reflect the nuance of covalent interactions.

2. Experimental section

2.1 Synthesis

Li_7RuO_6 was synthesized via a solid-state reaction from RuO_2 (Sigma-Aldrich, 99.9%) and a 10% stoichiometric excess of Li_2O (Sigma-Aldrich, 99.9%). The reactants were homogeneously ground by agate mortar and pestle, and pelletized. The pellets were transferred to an alumina crucible and heated at 950°C for 20 hours in O_2 flow. After cooling to room temperature naturally, the as-obtained sample was ground into a fine powder and stored in the argon-filled glovebox for measurements.

2.2 Characterization

2.2.1 Electrochemical Testing

The electrochemical tests were carried out under galvanostatic conditions in Swagelok-type cells. Li_7RuO_6 is very sensitive to ambient conditions so electrodes were built of loose powders using a rigorously dry process. In this process, the positive electrode materials were uniformly hand-mixed with 10wt% carbon black (Denka) for 10 min in argon-filled glovebox prior to cell assembling. All the electrodes mixing and cells were fabricated in an argon-filled glove box with moisture and oxygen levels of lower than 0.1 ppm. A piece of high-purity lithium foil (Alfa Aesar) was employed as both counter and pseudo-reference electrode, and a solution of 1 M LiPF_6 dissolved in a mixture of ethylene carbonate (EC)/ethyl methyl carbonate (EMC) in 3:7 weight ratio (Novolyte Technologies) was employed as the electrolyte. 1 Disk of Whatman GF/D borosilicate glass fiber was employed as separators. The loading of powdered active material was 10 mg in a typical cell. The galvanostatic cycling was performed at room temperature using a VMP3 multipotentiostat (Bio-Logic) with a current rate of C/15 (defined as 1 Li extracted in 15 hours) with two cutoff voltage windows of 2.6-3.68 V and 1.5-2.6 V. Cycled samples from the

Swagelok cells were recovered for *ex situ* characterization by disassembling the cells inside glovebox, washed thoroughly with anhydrous DMC and dried under vacuum.

2.2.2 Structural Characterization

Ex situ high resolution synchrotron X-ray diffraction (SXRD) measurements were conducted at the 11-BM-B beamline at the Advanced Photon Source (APS) at Argonne National Laboratory (ANL) via a 12-channel analyzer detector array, with an average wavelength of 0.412795 Å produced by two platinum-stripped collimating mirrors and a double-crystal Si(111) monochromator. The data was collected with a step size of 0.001° (2θ) and a scan speed of $0.01^\circ/\text{s}$. The powder samples were mixed with an appropriate amount of amorphous silicon dioxide to reduce X-ray absorption. Air-sensitive samples for *ex situ* measurements were sealed in Kapton capillaries with a diameter of 0.80 mm in an Ar-filled glovebox, and subsequently packed into heat-sealed Al-coated plastic bags for transport to the instrument. The capillaries were transferred out of the bag right before their measurement to minimize their exposure to air to the time required for the measurement. Time of flight neutron powder diffraction (TOF-NPD) data were collected at room temperature at the beamline 11A (POWGEN) of the Spallation Neutron Source (SNS) at Oak Ridge National Laboratory (ORNL) with the center wavelength of neutrons of 1.5 Å. An appropriate amount of sample was sealed in airtight vanadium sample cans with an inner diameter of 6 mm under argon and transferred to the beamline station. Rietveld Refinements were performed through the GSASII program.⁴⁴

2.2.3 X-ray Absorption Spectroscopy

Ex situ O K-edge X-ray absorption spectroscopy (XAS) was collected at the 4-ID-C beamline at the APS. Data were obtained at a spectral resolution of ~ 0.2 eV, under both total fluorescence yield (TFY) and total electron yield (TEY) modes. Harvested samples were stored in an Ar-filled

glovebox, transferred into a portable transport container and then into the instrument antechamber all in Ar to minimize the potential exposure to air. During the measurement, three scans were performed on each sample, at each absorption edge, and scans were averaged to maximize the signal-to-noise ratio. The O K-edge was calibrated to a Sr_2RuO_4 reference measured simultaneously with the sample.

Ex situ Ru K-edge XAS was collected at the 20-BM-B beamline at the APS in transmission mode using a Si (111) double crystal monochromator. A standard foil of Ru metal located in front of a reference ion-chamber for Ru K-edge was measured simultaneously with each spectral sample for energy calibration. The energy threshold E_0 of the reference Ru foil was determined from the first derivative peak of the spectrum, and all XAS reference spectra were calibrated and aligned to the standard Ru energy for further comparison study. Pre-edge background subtraction and XANES normalization were carried out using Athena in IFEFFIT-based Demeter package.⁴⁵

2.2.4 DFT calculations

Structural relaxation and electronic structures

Spin-polarized density functional theory (DFT) calculations as implemented in VASP (Vienna ab initio simulation package)^{46, 47} were performed, using the projected augmented wave method (PAW)⁴⁸. The generalized gradient approximation of Perdew–Burke–Ernzerhof (PBE)⁴⁹ in conjunction with the rotationally invariant Dudarev method (DFT + U)⁵⁰ were used to describe correlated *d*-electrons. Different U values were applied for Ru(*d*) metals (U=2 and 4 eV), leading to similar unit cell parameters. The average potentials computed with U=4eV led to potential values closer to the experimental ones.

All input structures were relaxed until the forces on atoms are smaller than $2 \cdot 10^{-3}$ eV/Å. The cut-off value for energy was set to 600 eV and the k-point mesh for Brillouin zone integration was converged to a grid density of at least 1000/at. In Li_8RuO_6 and Li_7RuO_6 structures, Li resides in Oh and Td sites. Therefore, various Li distributions were considered for the delithiated structures with lithium removed either from Li(Oh) or Li(Td) sites. The lowest energy structure for the hypothetical Li_5RuO_6 stoichiometry belongs to the $C_{2/m}$ space group.

XAS simulation

The XAS spectra were computed for all structures using the OCEAN code.^{51,52} OCEAN solves the Bethe-Salpeter equation (BSE)⁵³ based on the ground-state charge density and wave function obtained from the DFT-based Quantum Espresso (QE) program package,⁵⁴ using the local-density approximation (LDA or LDA+U)^{55, 56} in conjunction with norm-conserving pseudopotentials.⁵⁷ To solve the Kohn-Sham equations, the cut-off value for the basis functions was set to 90 Ry and the k-point grid for the electronic density was converged with increasing k-point grids. The ground state calculations with QE were performed both in spin-polarized and spin non-polarized calculations to assess possible impact of Ru magnetization on the resulting XAS spectra. As for VASP calculations, Hubbard correction was added to Ru d-electrons ($U = 4$ eV). BSE was solved using 500 unoccupied bands and 800 bands were used to build the screened core-hole potential with the default k-point grid. Only dipole-allowed transitions were considered in the XAS calculations. The photon polarization vectors were set at [100], [010], and [001], and the final spectrum of each structure was obtained by averaging the spectra over all polarization vectors and individual oxygen atoms. Absolute excitation energy is not available from the calculations and ad hoc shift was applied to the simulated spectra for a sake of comparison with experimental data.

3. Results and Discussion

3.1 Structural Characterization of Li_7RuO_6

Li_7RuO_6 belongs to an extended family of lithium hexaoxometalates displaying a triclinic framework with space group $P\bar{1}$.^{42, 43} A joint Rietveld refinement of high resolution SXRD and TOF-NPD was performed to collect the most detailed structural information (Figure 1 and Tables 1 and S1). The analysis revealed the existence of very small diffraction peaks attributed to impurities of Li_3RuO_4 and Li_2O , in addition to a phase that could not be identified (Figure S1), which were also observed in previous reports.⁴³ Within the structure, O atoms pack into a slightly distorted hexagonal closed packing (hcp). Ru occupies octahedral sites in 2D patterns, and, within the layers, the RuO_6 octahedra are connected to each other via highly distorted $\text{Li}(4)\text{O}_6$ octahedra and disordered cationic vacancies. The Ru adopts a slightly distorted environment with two short, two intermediate and two long Ru-O distances of 1.978, 1.987, and 1.994 Å, respectively, with Ru displaced from the octahedron center. Half of the tetrahedral interstices between the resulting slabs $[\text{Li}(4)_{1/3}\text{Ru}_{1/3}\square_{1/3}\text{O}_2]$ (\square represents vacancies) are filled with Li(1)-Li(3) atoms, building a double layer of edge-shared $(\text{Li}(1-3)\text{O}_4)$ tetrahedra.

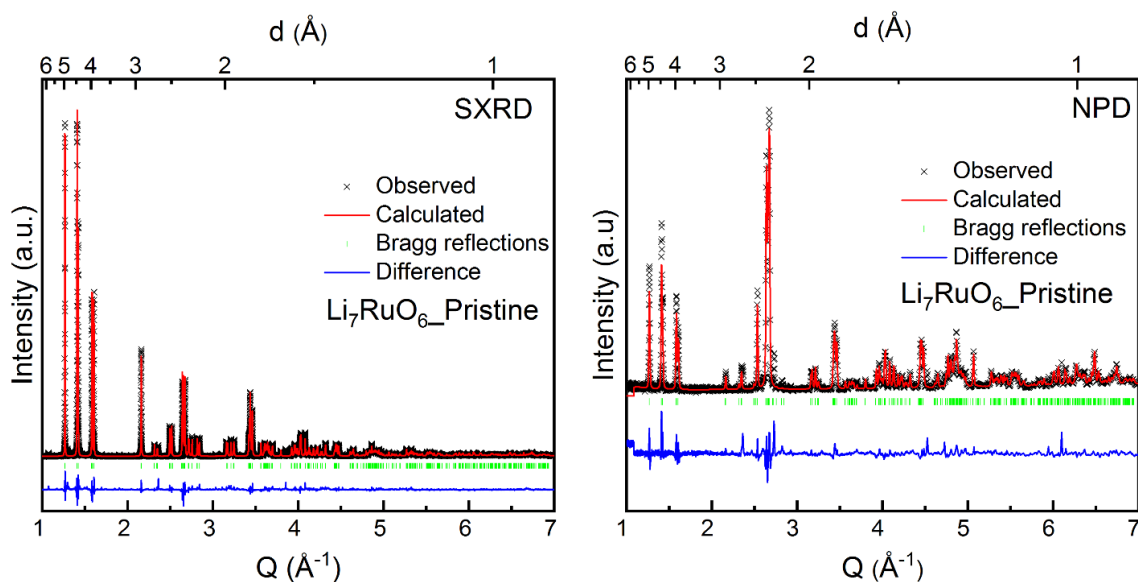


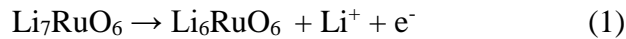
Figure 1. Joint Rietveld refinement of SXRD and NPD patterns of Li₇RuO₆ pristine. Black crosses: experimental patterns, red solid line: calculated patterns, blue solid line: difference and green bars: Bragg reflections.

Table 1. Crystallographic parameters and reliability factors extracted from the combined Rietveld refinement of SXRD and NPD for Li₇RuO₆.

| Li ₇ RuO ₆ | | | | | | |
|--|------------------|------------|------------|------------|------------------------|-----------|
| Space group: P $\bar{1}$; a = 5.36567 Å; b = 5.86049 Å; c = 5.35015 Å; α = 117.1729°; β = 119.1174°; γ = 62.6432°; V = 124.511 Å ³ | | | | | | |
| Atom | Wyckoff position | x | y | z | Uiso (Å ²) | Occupancy |
| Ru1 | 1a | 0.000000 | 0.000000 | 0.000000 | 0.01112(14) | 1.000 |
| O1 | 2i | 0.9030(4) | 0.2367(4) | 0.3716(4) | 0.01582(25) | 1.000 |
| O2 | 2i | 0.2203(5) | 0.2334(4) | 0.0797(4) | 0.01582(25) | 1.000 |
| O3 | 2i | 0.3728(4) | 0.7782(4) | 0.2371(4) | 0.01582(25) | 1.000 |
| Li1 | 2i | 0.5025(19) | 0.3755(19) | 0.1056(19) | 0.0343(8) | 0.8750 |
| Li2 | 2i | 0.0948(18) | 0.6477(18) | 0.2413(18) | 0.0343(8) | 0.8750 |
| Li3 | 2i | 0.7565(18) | 0.6438(18) | 0.4940(18) | 0.0343(8) | 0.8750 |
| Li4 | 2i | 0.6375(20) | 0.0147(14) | 0.3144(20) | 0.0343(8) | 0.8750 |
| R _{WP} = 14.220%; χ^2 = 2.98 | | | | | | |

3.2 Voltage cutoff window of 2.6-3.68 V

Similar to our previous report of Li₃RuO₄,³⁶ the electrochemical properties of Li₇RuO₆ were evaluated within two separate windows: 2.6-3.68 V and 1.5-2.6 V vs Li⁺/Li⁰. In the first window, the initial oxidation of Li₇RuO₆ was carried out, to explore the following hypothetical reaction:



Meanwhile, the reduction of Li₇RuO₆ was the initial step in the latter voltage window, corresponding to the following hypothetical reaction:



Starting with a step of oxidation, the electrochemical cell displayed a long plateau at around 3.1 V, corresponding to a capacity equivalent to 0.7 mol Li per mol compound (Figure 2a). The differential capacity curve showed a sharp process centered at 3.1 V and a small shoulder at higher potential (Figure 2b). Further oxidation proceeded via a plateau at ~3.67 V that proceeded for a duration that was unreasonable under the assumption of a deintercalation mechanism (Figure 2c). For the purposes of evaluating reversibility, an experiment was reversed after an accumulation of capacity equivalent to ~1.3 mol Li per mol Ru (Figure 2a). In these conditions, the reverse reduction consisted of a steep voltage drop and a comparably small plateau at 2.9 V (Figure 2a) corresponding to a sharp peak in the differential capacity plot (Figure 2b). During the reduction, 0.5 mol Li per mol compound was reinserted. In addition, the disappearance of the 3.68 V plateau demonstrated an electrochemical irreversibility at high voltage. Indeed, when charging was allowed to proceed beyond 3.7 V, there was a sharp voltage fall with a substantial capacity loss during reduction (Figure 2c). Clearly, excessive charging leads to an irreversible process that destroys the function of the oxide. In contrast, the presence of the 2.9 V plateau reflects a reasonable reversibility with a modest hysteresis in potential (Figure 2d). Evaluation of sustained reversibility was conducted in an electrochemical experiment with a constrained cutoff voltage of 3.4 V (Figure 2d). Upon subsequent cycling, the electrochemical profile underwent a gradual disappearance of the reversible plateaus to lead to a sloping shape upon charge and the total capacity diminished (Figure 2a).

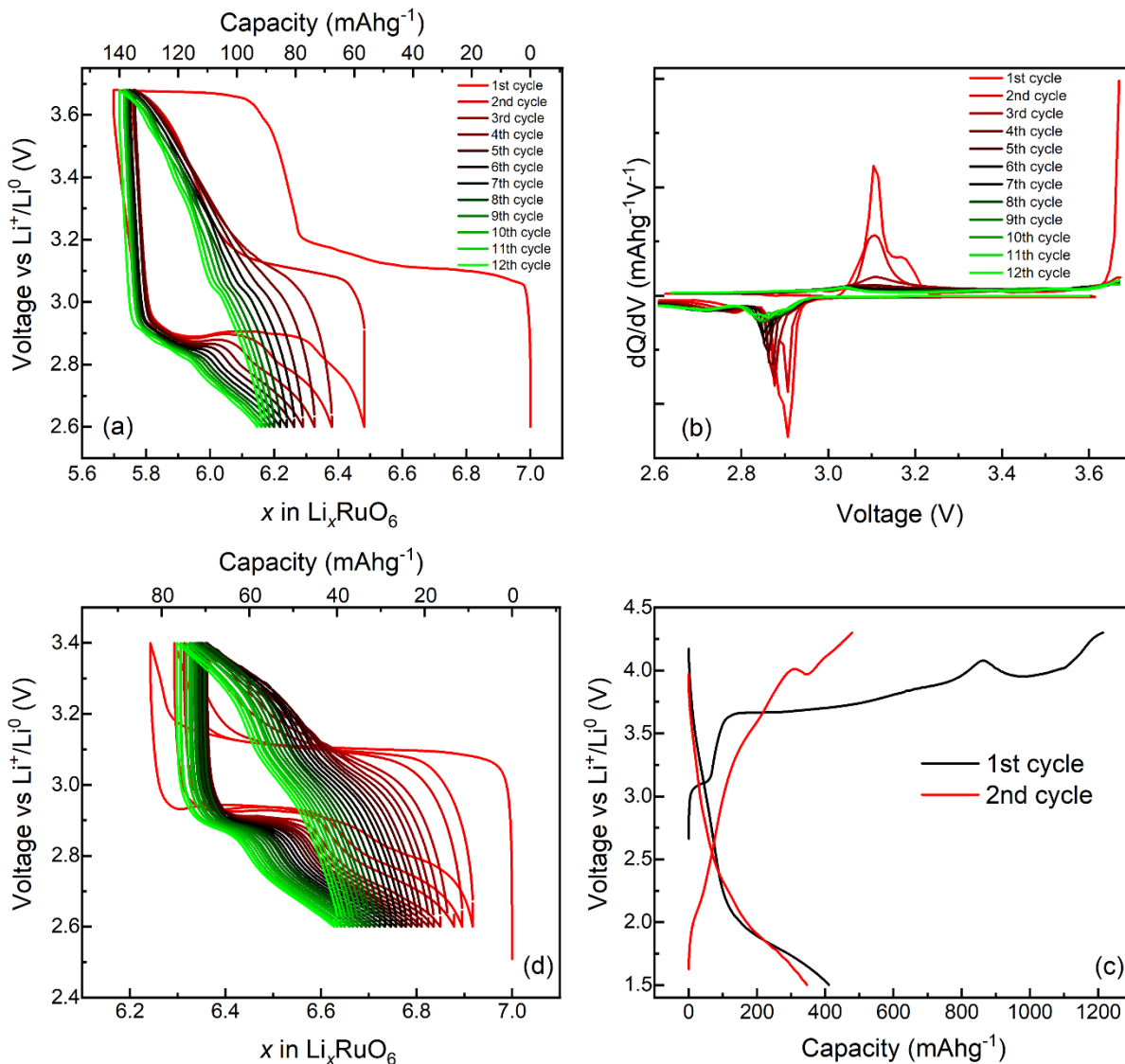


Figure 2. (a) Voltage-composition profiles of Li_7RuO_6 in the voltage window of 2.6-3.68 V and (b) the corresponding differential capacity plots. (c) Voltage-capacity profiles of Li_7RuO_6 between 1.5 and 4.3 V. (d) Evolution of the profiles by constraining the charge cutoff to 3.5 V.

Ex situ SXR D data were collected at different states of charge (Figure 3). Oxidation to 3.4 V brought about new Bragg peaks, suggesting a variation in the crystal symmetry and likely a change in volume of the unit cell. The peak broadening and loss of intensity associated with the extraction

of Li reflected a decrease in crystallinity. This pattern could not be matched to any known phases. As a result, the structure of the charged state could not be solved and remains under study. The structure of the electrode harvested at 3.68 V resembled the previous state, without a shift of peak positions, except a subtle broadening of the peaks and a small reduction in peak intensity, implying a minimal loss in the crystallinity related to this additional process. The subsequent reduction largely restored the reflections of the pristine state, indicating a reversible process, but with a minor reduction in the peak intensity and some peak broadening, suggesting a permanent decrease in crystallinity from the electrochemical reactions (Figure S2). The SXRD data collected after the 2nd cycle demonstrated the same evolution of patterns as the first cycle (Figure 3b), further supporting a reversible (de)intercalation process.

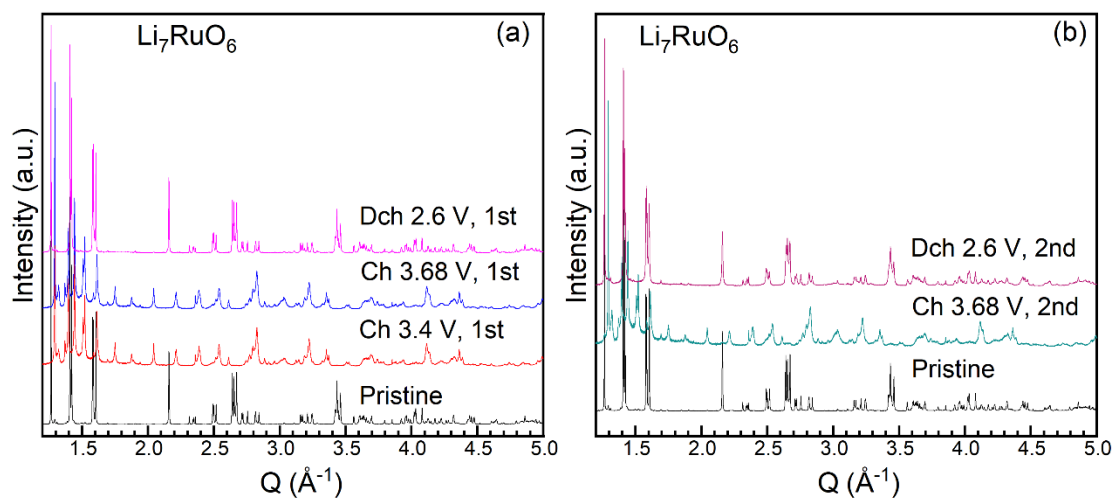


Figure 3. Stacked *ex situ* SXRD patterns of Li_7RuO_6 upon (a) the first and (b) the second charge and discharge in the voltage window of 2.6-3.68 V. Versions without offset are available in the Supporting Information.

Ex situ Ru K-edge X-ray absorption near-edge structure (XANES) spectroscopy was performed for Li_7RuO_6 samples at different states of charge to gain insight into charge

compensation. The first derivative of the spectrum was used to establish the position of the absorption edge, using the first inflection point above 22120 eV. This inflection point was considered to reflect the position of the main absorption edge, which, in turn, is affected by the formal oxidation state. An oxidation state of Ru(V) was assigned to pristine Li_7RuO_6 by comparing with the Ru K-edge XANES of Ru(IV)O_2 and $\text{Li}_3\text{Ru(V)O}_4$ (Figure S3). No obvious pre-edge features were observed. These features arise from dipole-forbidden $1s \rightarrow 4d$ transitions, but they can be enhanced in the presence of distortions of the octahedral environment that eliminate its inversion center, thus promoting the mixing between $4d$ and $5p$ orbitals. The absence of an obvious pre-edge peak in Li_7RuO_6 indicates that the distortion induced by a displacement of Ru off the center of the RuO_6 octahedra did not appear to meaningfully promote such mixing.

Upon the initial oxidation of Li_7RuO_6 to 3.4 V, the absorption rising edge underwent a shift by (+0.7 eV) relative to the pristine state (Figure 4), clearly reflecting the oxidation of Ru, but with a final oxidation state slightly lower than (VI) based on the comparison with reference $\text{K}_2\text{Ru(VI)O}_4$ (Figure S4).³⁵ This observation is consistent with the fact that less than 1 mol electrons were removed per mol compound. Further oxidation to 3.68 V did not affect either the position of the absorption edge or the pre-edge intensity in comparison with the previous state, indicating that Ru did not directly participate in the reactions occurring at this potential. The reverse reduction shifted the rising edge back to lower energy to closely approach the pristine state (Figure 4), demonstrating the reduction of Ru upon discharge with very high efficiency.

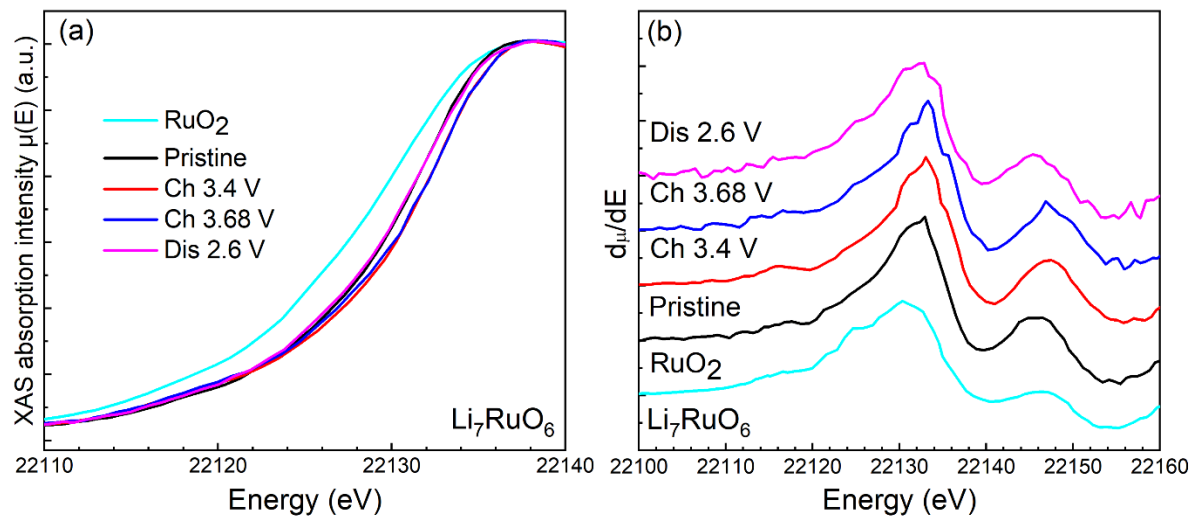


Figure 4. (a) *Ex situ* Ru K-edge XANES spectra of Li_7RuO_6 at different states of charge in the voltage window of 2.6-3.68 V as well as RuO_2 reference, and (b) the corresponding first derivative.

To explore the variation of the electronic structure of O during the same redox reactions, *ex situ* O K-edge XAS measurements were carried out. Signals arise from dipole-allowed transitions from core O $1s$ orbitals to unoccupied O $2p$ states. The pre-edge region, at ≤ 535 eV, represents the unoccupied states resulting from O $2p$ orbitals hybridized with Ru $4d$ orbitals, and the broad band above 535 eV corresponds to the excitation from localized O $1s$ orbital to empty states of O $2p$ orbitals mixed with Ru $5s$ and $5p$ orbitals, followed by higher states and multiple scattering events of the ejected electrons. The position of the pre-edge peaks is affected by the relative change in O $1s$ and $2p$ levels energy depending on the effective nuclear charge, Z_{eff} , of O, the ligand field splitting, and the strength of Ru-O overlap. Their intensity reflects both the number of unoccupied hybridized states and the contribution of O to their wavefunction. The position of the rising edge in the broad band can be deduced from the 1st derivative of the XAS spectrum and provides insight into the binding energy of the O $1s$ level. XAS was measured simultaneously under both TEY and

TFY modes, with probing depths around 10 and 100 nm into the electrode, respectively. The TEY spectra showed a prominent peak at 533.8 eV (Figure S5), which has been attributed to π^* (C=O) orbitals in carbonated-related species (like Li_2CO_3) present on the sample surface. Thus, analysis mainly focused on TFY spectra, where the bulk is dominant (Figure 5a). Since spectral intensities in TFY are distorted by the self-absorption, only relative trends will be established.

The O K-edge XAS spectrum of Li_7RuO_6 displayed distinct pre-edge features centered at 528.4, 530.5, and 532 eV, respectively (Figure 5a). In accordance with ligand field theory, the sharp peak at 528.4 eV is assigned to unoccupied orbitals of O $2p$ -Ru $4d$ states with a π interaction, whereas the intense signal at 532 eV and a shoulder at around 530.5 eV is assigned to unoccupied orbitals of O $2p$ -Ru $4d$ states with a σ interaction. The lower relative intensity of the signals associated with σ compared to π interactions reflects the d^3 configuration of Ru(V), and is reminiscent of previous observations of Li_3RuO_4 .³⁶ The O K-edge XAS was computed using non-spin polarized ground state calculations (Figure 6a). The computed spectrum reproduced the position of three main peaks in the spectra at 528.4 eV (“ α ”), 532 eV (“ β ”) and 534.8 eV (“ γ ”), yet the relative intensities showed discrepancies that will be discussed later. The shoulder around 530.5 eV was not captured by simulations without spin polarization. When such polarization was introduced, the resulting simulated XAS displayed more complex features in the pre-edge (Figure 6a), which, in turn, depended on the magnetic ground state of Ru reached in the converged calculations. Some of these features could plausibly be associated with the experimental shoulder, suggesting that further details of the magnetic ground state are needed to fully explain the spectra. The peak around 535 eV was not affected by the magnetic configuration of the Ru $4d$ states, so it was correspondingly assigned to higher O $2p$ -Ru $5s,p$ hybridized states, in agreement with previous literature.⁵⁸ Projection of XAS on individual O atoms (Figure S6) showed that signal splitting is

dominated by the ligand field, rather than a shift of individual oxygen spectrum with respect to each other as observed in Li_3IrO_4 ²⁵.

Upon oxidation to 3.4 V, the peak at lowest energy redshifted by 0.3 eV concurrent with a noticeable intensity reduction relative to the pristine state (Figures 5a). A new shoulder feature emerged at around 527.2 eV, indicating a formation of new unoccupied states, and raising the overall intensity below 530 eV (Figure 5b). The peak at 532 eV underwent a remarkable intensity rise, although its position was stable (Figures 5a and S7). The corresponding shoulder initially located at 530.5 eV moved to 531.1 eV with increased intensity. No obvious trend could be extracted from the analysis of the inflection at the absorption edge, above 535 eV (Figure S8). The changes in the peak position and intensity at the pre-edge part reflected the notable changes taking place in the hybridization of the O 2*p*-Ru 4*d* orbitals.

Contrary to the absence of visible changes at the Ru K-edge and in the XRD, further oxidation affected the O K-edge XAS (Figures 5). First, further increases in relative intensity were observed at around 528.1 eV, including its shoulder at 527.2 eV, and, to a lesser extent, between 530 and 532 eV (Figures 5b and 5c). There was no significant shift in the centroids of the pre-edge, with the exception of the shoulder at 530 eV. Lastly, there was a clear decrease in the intensity of the feature at 535 eV (Figures 5a and 5d), yet no clear shifts of the inflection point were noticed at the absorption edge (Figure S8). Reduction back to 2.6 V restored the spectral features largely to the pristine state, implying a reversible process, consistent with the other characterization results.

The simulations of XAS (Figures 6b and 6c and S9) of two theoretical states, Li_6RuO_6 and Li_5RuO_6 , using structures predicted from the convergence to the most stable arrangements at each composition, largely reproduced the trends upon delithiation of Li_7RuO_6 . It is worth noting that the converged arrangements predicted a preservation of the octahedral coordination around Ru,

contrary to other reports of formation of Ru(VI).³⁵ The depopulation of O 2*p*-Ru 4*d* states led to an increase of the crystal field splitting concomitant with the increase in intensity below 530 eV (Figure 5b). The oxygen atoms in proximity of lithium that is removed are preferentially oxidized. This is reflected by the Bader charge analysis and the associated shortening of the Ru-O bonds due to the increase in covalency when depopulating antibonding O 2*p*-Ru 4*d* states (Table 2). The variations in the Ru-O bond distances within a single RuO₆ unit cause subtle relative shifts of the spectra from individual oxygen atoms (the colour code in Figures 6b and 6c is explained in Figure S9). As with the pristine phase, the peak shapes originate from interaction of individual oxygen atoms with the metal d-orbitals. Further, they were found to be sensitive to the magnetic ground state obtained in the converged calculations (Figures S9), and it could account for the comparably more complex lineshapes observed in the experimental spectra. Various distributions of lithium vacancies were considered, but they did only affect the spectral features above the pre-edge (i.e., around 535 eV and higher).

When the Li₅RuO₆ composition is reached, the peak around 535 eV is no longer present in the calculated XAS. In contrast to Li₇RuO₆ and Li₆RuO₆ where lithium sits in tetrahedral and octahedral environments, all Li atoms lie in octahedral positions in Li₅RuO₆ structure. As a result, we propose that the peak at 535 eV is due to polarization effects of the oxygen electron cloud due to Li site occupancies. This peak decreases in the experimental spectra, suggesting that Li removal beyond compensation by O 2*p*-Ru 4*d* states is possible. However, it never vanishes completely, probably because the experimental composition where the spectra was collected corresponded to Li_{5.7}RuO₆, assuming 100% faradaic efficiency, rather than Li₅RuO₆.

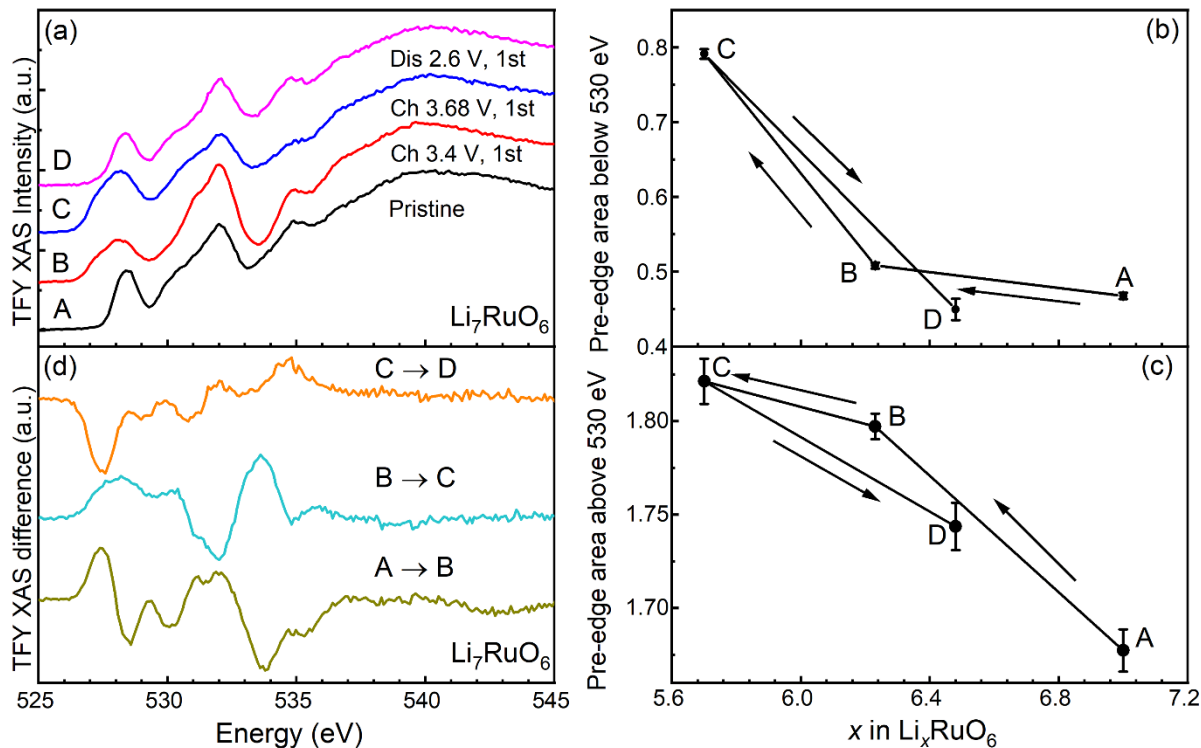


Figure 5. (a) *Ex situ* O K-edge XAS spectra of Li_7RuO_6 at different states of charge in the voltage window of 2.6-3.68 V measured in TFY mode. (d) Differential XAS spectra between states of charge indicated. Variations in relative pre-edge intensity as a function of x in Li_xRuO_6 integrated (b) below and (c) above 530 eV.

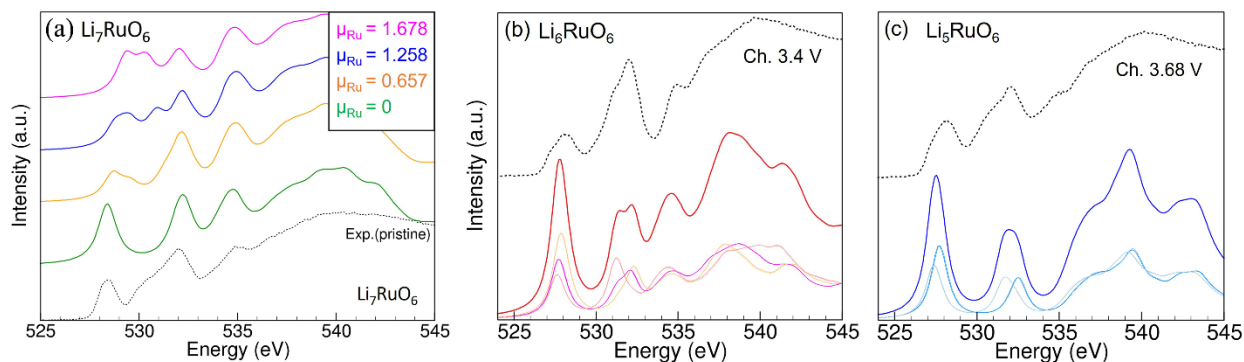


Figure 6. (a) Comparison of observed and calculated O K-edge XAS for Li_7RuO_6 (P-1). The theoretical spectra were calculated in the absence/presence of spin polarization of Ru ground state.

The calculation with the absence of ground state spin polarization of Ru well matches the observed one. In addition, the peak “ γ ” is not affected by the spin polarization of Ru and should not be associated with hybridized Ru(d)-O(p) states. Panels (b) and (c) show the same comparison for Li_6RuO_6 and Li_5RuO_6 , respectively, with projected XAS included. The simulated spectra are obtained from ground state structures emerging from non-spin-polarized calculations (corresponding spectra including spin-polarization are in Figure S9).

Table 2. Bader net population for Ru and O at different delithiation stages. Initially, the O atoms are equivalent, and small variations in the net population come from small differences in Ru-O bond lengths. Removal of Li leads to removing electrons from Ru-O covalent bonds and the atoms that are oxidized in the first-place are the ones in the proximity of Li that goes away. Differentiation of Ru-O bonds becomes more pronounced during at lower lithium content (Li_6RuO_6 and Li_5RuO_6), where clearly oxygen that loses the most charge is associated with the shortest Ru-O distances.

| | Li_5RuO_6 | | Li_6RuO_6 | | Li_7RuO_6 | |
|----|---------------------------|----------|---------------------------|----------|---------------------------|----------|
| | Net population | Ru-O (Å) | Net population | Ru-O (Å) | Net population | Ru-O (Å) |
| Ru | -1.9703 | - | -1.9088 | - | -1.7869 | |
| O1 | 0.9737 | 1.844 | 1.1690 | 1.919 | 1.2475 | 1.954 |
| O2 | 0.9712 | 1.844 | 1.1690 | 1.919 | 1.2957 | 2.000 |
| O3 | 1.0801 | 1.905 | 1.2409 | 1.984 | 1.2455 | 1.970 |
| O4 | 1.0798 | 1.905 | 1.2411 | 1.984 | 1.3361 | 2.042 |
| O5 | 1.0816 | 1.905 | 1.0800 | 1.880 | 1.2641 | 1.974 |
| O6 | 1.0814 | 1.905 | 1.0801 | 1.880 | 1.2549 | 1.968 |

3.3 Voltage cutoff window of 1.6-2.6 V

Upon initial reduction to 1.5 V, a long plateau was observed at around 2.2 eV versus Li^+/Li in

the electrochemical profile of the Li_7RuO_6 electrode (Figure 7), accumulating a capacity equivalent to approximately 1 mol Li per mol compound. The reverse oxidation occurred at ~ 2.23 V, concomitant with the extraction of 1 mol Li, reflecting an exceedingly small voltage hysteresis. The profiles of subsequent cycling remained with a slight loss in the capacity, indicating a high reversibility of the redox process associated with Li^+ insertion/removal.

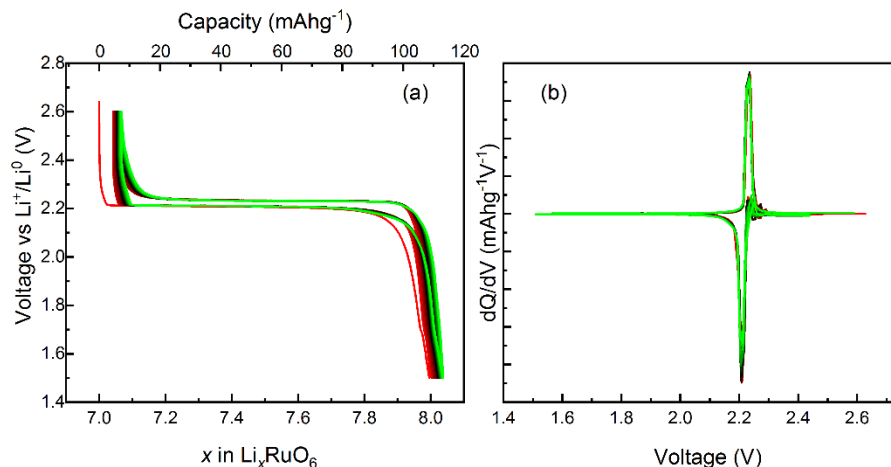


Figure 7. (a) Charge-composition profiles of Li_7RuO_6 between 1.5 and 2.6 V and (b) the corresponding dQ/dV plots.

The *ex situ* SXRD pattern after reduction to 1.5 V (Figure 8) could be indexed with a $R\bar{3}$ space group, corresponding to a transition to a trigonal structure. Rietveld refinement was carried out to extract detailed structural information (Figure 9 and Table 3). The results demonstrated that the previously vacant sites in Li_7RuO_6 were fully filled by intercalated Li. The increase in symmetry led to only two unique sites for Li, with tetrahedral symmetry in the Li layers and octahedral symmetry in the metal layers. The peak positions and intensity returned to the pristine state after the subsequent oxidation (Figure 8a), reflecting a highly reversible cycling process. Again, similar to the 2.5-3.68 V cycling voltage window, *ex situ* SXRD data collected after the 2nd discharge-

charge cycle (Figure 8b) further substantiated the high chemical reversibility associated with Li insertion and removal.

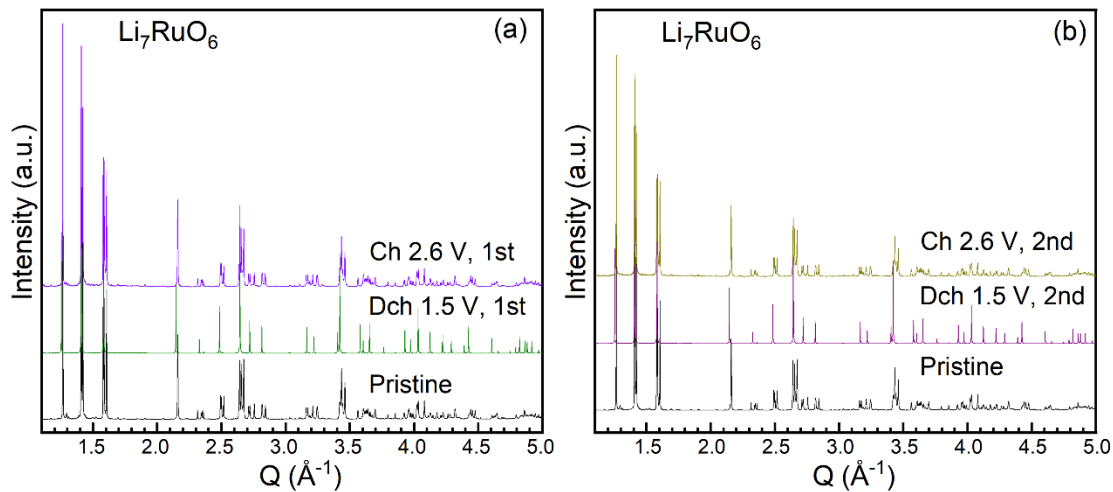


Figure 8. *Ex situ* SXR D patterns of Li_7RuO_6 upon (a) the first and (b) the second cycling between 1.5 V and 2.6 V.

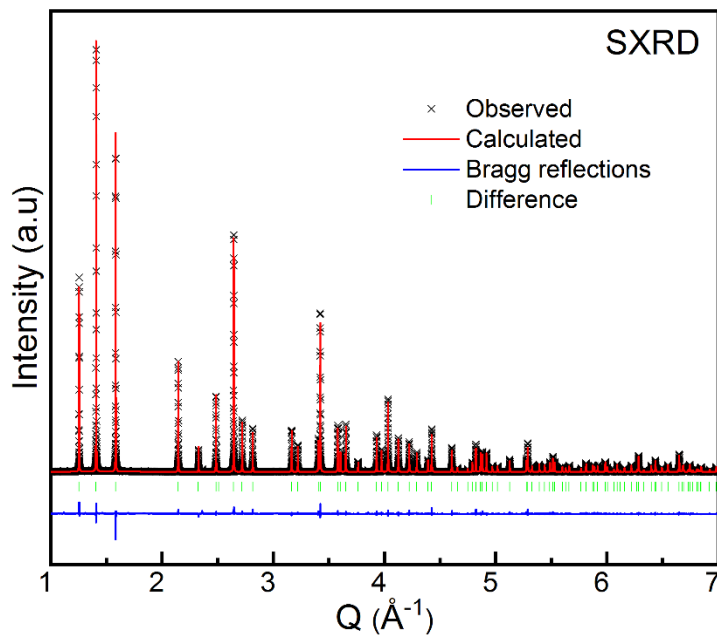


Figure 9. Rietveld refinement of SXRD patterns of Li_7RuO_6 after reduction to 1.5 V. Black crosses: experimental patterns, red solid line: calculated patterns, blue solid line: difference and green bars: Bragg reflections.

Table 3. Crystallographic parameters and reliability factors extracted from the combined Rietveld refinement of SXRD for Li_7RuO_6 after reduction to 1.5 V.

| Li ₈ RuO ₆ | | | | | | |
|--|------------------|---------|---------|---------|------------------------|-----------|
| Space group: $R\bar{3}$; a = 5.399156 Å; b = 5.399156 Å; c = 10.031876 Å; $\alpha = 90^\circ$; $\beta = 90^\circ$; $\gamma = 120^\circ$; V = 379.486 Å ³ | | | | | | |
| Atom | Wyckoff position | x | y | z | Uiso (Å ²) | Occupancy |
| Ru1 | 3a | 0.33333 | 0.66667 | 0.16667 | 0.001 | 1.000 |
| O1 | 18f | 0.02331 | 0.65405 | 0.24524 | 0.004 | 1.000 |
| Li3 | 18f | 0.31870 | 1.02000 | 0.28389 | 0.008 | 1.000 |
| Li4 | 6c | 0.66667 | 1.33333 | 0.17400 | 0.014 | 1.000 |
| R _{WP} = 10.245%; $\chi^2 = 2.01$ | | | | | | |

The initial Li intercalation brought about a large low-energy shift of the Ru K-edge absorption by 2.2 eV (Figure 10a), in close proximity to the position of RuO_2 , clearly indicating $\text{Ru}^{5+}/\text{Ru}^{4+}$ reduction. The subsequent oxidation reaction led to the recovery of the absorption edge to the position of the pristine state, clearly illustrating a reversible redox change. The most visible change upon Li intercalation on the *ex situ* O K-edge XAS (Figure 10b) was the shift of the peak at lowest energy from 528.4 eV to 528.7 eV, concurrent to a noticeable decrease in its intensity. The other pre-edge features underwent little variation in the position and only a small reduction in the normalized integrated intensity (Figures 10b). Subsequent oxidation to 2.6 V restored the spectrum to the pristine state (Figure 10b). No obvious trends were noticed in the inflection point at the absorption edge, above 535 eV, throughout the process (Figure S10). Overall, the variation in the

XAS spectra reflects a highly reversible intercalation reaction in the voltage window of 1.5-2.6 V. The computed O K-edge XAS and the corresponding projected density of states for Li_8RuO_6 (Figures 10c-e) shows that the decrease in the first peak is due to the filling of the antibonding O $2p$ -Ru $4d$ orbitals states with a π symmetry (t_{2g} in an ideal O_h field). The closest match between measured and computed spectra was achieved when the magnetic ground states was considered (Figure S11).

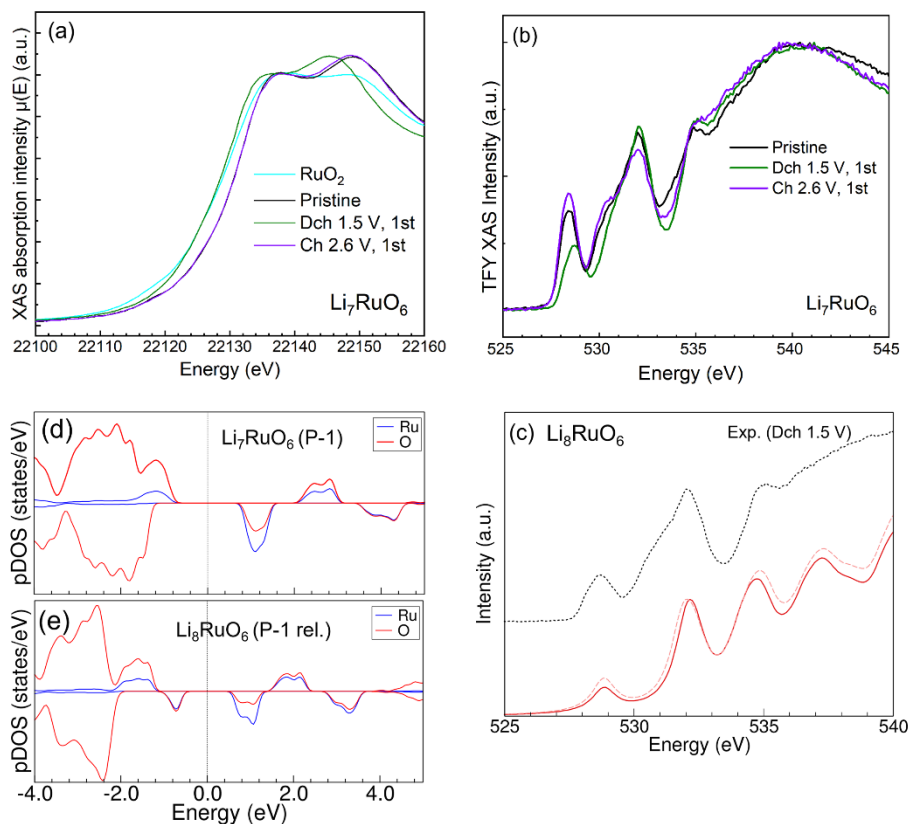


Figure 10. (a) Overlaid *ex situ* Ru K-edge XANES spectra of Li_7RuO_6 at different states of charge in the 1.5-2.6 voltage window as well as the reference RuO_2 . (b) *Ex situ* O K-edge XAS spectra of Li_7RuO_6 at different states of charge in the voltage window of 1.5-2.6 V measured under TFY mode. (c) Experimental and calculated O K-edge for the reduced Li_8RuO_6 structure belonging to

R-3 space group. The structure taken directly from XRD measurements (solid line) and the structure relaxed afterwards within DFT (dashed line) are compared. The XAS is obtained based on non-spin-polarized ground state calculations and shows good agreement with experimental spectra. The projected density of states (pDOS) for (d) Li_7RuO_6 and (e) Li_8RuO_6 clearly shows reduction of peak corresponding to $\text{Ru}(d_{t2g})\text{-O}(p)$ states. The pDOS was obtained using Quantum Espresso with NCPP and within $\text{GGA}+\text{U}=4\text{eV}$.

4. Conclusion

Li_7RuO_6 undergoes Li intercalation between 1.5 and 2.6 V to form Li_8RuO_6 through a classical topotactic process with the charge compensation through a formal change in oxidation state of Ru from (V) to (IV), as a proxy for states with the Ru and O covalent hybridization. The oxide also displays reversible anodic activity in the window of 2.6-3.4 V, but was comparably less efficient than at low potential. However, it is important to emphasize that the reversible capacity was accompanied by a complete return to the initial chemical state, without any hysteresis in chemical pathway. Analysis of the electronic structure reveals that $\text{Li}_{7-x}\text{RuO}_6$ undergoes formal oxidation of Ru(V) to Ru(VI), in staggering contrast with $\text{Li}_{3-x}\text{RuO}_4$ and $\text{Li}_{2-x}\text{RuO}_3$, where unconventional processes centered on O have been reported.

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