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# Using deep-neural-network-driven facial recognition to identify distinct Kabuki syndrome 1 and 2 gestalt

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Kabuki syndrome (KS) is a rare genetic disorder caused by mutations in two major genes, *KMT2D* and *KDM6A*, that are responsible for Kabuki syndrome 1 (KS1, OMIM147920) and Kabuki syndrome 2 (KS2, OMIM300867), respectively. We lack a description of clinical signs to distinguish KS1 and KS2. We used facial morphology analysis to detect any facial morphological differences between the two KS types. We used a facial-recognition algorithm to explore any facial morphologic differences between the two types of KS. We compared several image series of KS1 and KS2 individuals, then compared images of those of Caucasian origin only (12 individuals for each gene) because this was the main ethnicity in this series. We also collected 32 images from the literature to amass a large series. We externally validated results obtained by the algorithm with evaluations by trained clinical geneticists using the same set of pictures. Use of the algorithm revealed a statistically significant difference between each group for our series of images, demonstrating a different facial morphotype between KS1 and KS2 individuals (mean area under the receiver operating characteristic curve = 0.85 [ $p = 0.027$ ] between KS1 and KS2). The algorithm was better at discriminating between the two types of KS with images from our series than those from the literature ( $p = 0.0007$ ). Clinical geneticists trained to distinguished KS1 and KS2 significantly recognised a unique facial morphotype, which validated algorithm findings ( $p = 1.6e-11$ ). Our deep-neural-network-driven facial-recognition algorithm can reveal specific composite gestalt images for KS1 and KS2 individuals.

## INTRODUCTION

Kabuki syndrome (KS) is a rare genetic disorder characterised by mild to moderate intellectual disability (ID), skeletal dysplasia, visceral malformations, immunopathological manifestations, dermatoglyphic anomalies including persistence of foetal fingertip pads, and specific facial features. Two major genes are responsible for the two subtypes of KS—KS type 1 (KS1 OMIM147920) and KS type 2 (OMIM300867). Pathogenic variants in *KMT2D* (OMIM602113 [1]) lead to KS1 and account for 34–76% of KS (autosomal dominant), whereas pathogenic variants in *KDM6A* (OMIM300128 [2, 3]) lead to KS2 and account for less than 10% of all KS (X-linked) [4]. Currently we lack a description of how to

differentiate the subtypes of KS based on clinical phenotypic features alone.

Recent advances in high-throughput sequencing technologies have changed the strategy and process for a precise diagnosis in individuals. Before next-generation sequencing (NGS), the classical strategy was based on the ability of the clinician to identify a genetic disease by the individual's phenotype (phenotype-first strategy). This strategy was limited to clinically recognisable phenotypes according to the facial gestalt recognition expertise of the clinical geneticist and was responsible for some delayed diagnoses. NGS provides high diagnostic yields and allows for molecular studies without a preconceived

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hypothesis (genotype-first strategy), a more effective approach than the phenotype-first strategy [5].

However, physicians now have difficulty identifying the narrow set of candidate variants among all examined genes and their proper interpretation. The reverse phenotyping step helps associate the candidate genotype with the observed phenotype. Nevertheless, reverse phenotyping is time-consuming and still depends on the availability of a small number of experts.

In addition to technological progress in DNA sequencing, further advances have been made with deep learning technologies in genomics, such as automated recognition of the facial phenotype [6]. Automated association of facial phenotype with a genetic disease, also called next-generation phenotyping, could allow for dissemination of facial gestalt recognition expertise of clinical geneticists during the reverse phenotyping step [7–9].

Automated facial recognition has been helpful in medical diagnosis [10, 11]. Computer-assisted syndromology seems even more precise than clinical geneticists' expertise, except surprisingly for KS [12]. However, whether automated recognition can highlight specific facial features yet undescribed by humans is unknown. In this study, we used facial morphology analysis to detect any facial morphological differences between the two KS types.

## MATERIALS AND METHODS

### Image series

As the basis for this study, frontal images of individuals with a definite molecular diagnosis of KS1 or KS2 were used to capture the facial gestalt of KS individuals. A first set of pictures was collected by collaboration, and a second set was collected from the literature. References of pictures from the literature are in Supplementary Tables S1 and S2 [13–19]. All facial images were fully de-identified by using DeepGestalt facial analysis. For this study, we compared two sets of images: KS1 and KS2.

### Statistical analysis

*Capabilities of DeepGestalt technology and statistical analysis.* DeepGestalt technology combines facial-recognition software with clinical knowledge (feature annotation and anthropometric measurements), thus enabling detection of dysmorphic features and recognisable patterns of human malformations from 2D facial photographs.

*Process of image analysis.* The photo or input image was first pre-processed for facial detection, landmark detection, and alignment. Then the input image was cropped into facial regions. Each region was fed into a Deep Convolutional Neural Network (DCNN) to obtain a softmax vector indicating its correspondence to each syndrome in the model. The output vectors of all regional DCNNs were then aggregated and sorted to obtain a final ranked list of genetic syndromes—the 30 syndrome matches displayed in the Face2Gene online tool (FDNA Inc. Boston, MA, USA). The analysis of visual facial data is used to form a mathematical representation of the face (facial descriptor), which can be readily compared to other such descriptors.

*Masks/composite images and heatmaps.* The facial descriptor can also be graphically displayed as a 2D model of the face specific to the particular condition of interest (mask/composite image). These 2D mask/composite images can be used to visually represent the comparison of two digital facial descriptors, and a graphical heatmap can be applied to visualise the degree of similarity between the two descriptors being compared.

*Binary comparisons (receiver operating characteristic curve [ROC] and area under the ROC curve [AUC]).* The comparison and separation quality between the two KS groups was evaluated by measuring the AUC. To estimate the statistical power of DeepGestalt in distinguishing KS individuals from controls, a cross-validation scheme was used, including a series of binary comparisons between all groups with the data split randomly multiple times into training and test sets. Each set contained half of the samples for the group, and this random process was repeated 10 times. The results of the binary comparisons are reported both numerically and graphically.

*Comparing image size.* We compared the mean file size of image from our series to those from the literature by using Student *t* test with the biostaTGV website (<https://biostatgv.sentiweb.fr/>).

### Identifying facial morphology features

To compare the facial morphology of KS1 and KS2, we used the computed specific gestalts resulting from the algorithm. The gestalts were analysed by two independent experts in dysmorphology, then notable differences were translated into human phenotype ontology (HPO) terms on the HPO website (<https://hpo.jax.org/>).

### External validation with clinical geneticists

To determine whether clinical geneticists can differentiate KS1 and KS2 facial morphology, we organised a 20-min online challenge as follows: first, we explained the goals of the study and asked participants to estimate their expertise in KS dysmorphology by ranking themselves from 1 (no expertise) to 5 (experts in KS dysmorphology). Next, we showed the clinicians slides from the literature of individuals with KS1 and KS2, authenticated by molecular genetic analysis, to allow them to identify phenotypic differences between the two subtypes.

Finally, we showed the same set of images obtained by the DeepGestalt technology algorithm. The clinicians could observe the image for 10 s and were asked to classify the images as KS1 and KS2. However, we used only 33/34 images from the set because we did not receive consent to use the image for one individual with KS2.

Statistical analysis involved using Python 3.7 and the SciPy 1.5.4 package. Shapiro test and QQ plots were used to assess the normal distribution of scores from the performance challenge. Characteristics of KS1 and KS2 groups in images were compared by Fisher exact test. One-sample Student *t* test was used to determine whether health professionals could distinguish a specific gestalt (more than a random distribution). Two-sided Student *t* test was used to assess whether self-estimated expertise affected performance.

## RESULTS

### Building the datasets

*Collaborative dataset.* The first dataset was a national collaboration series that contained frontal facial views of 17 KS individuals (10 males) with *KMT2D* pathogenic variants (KS1) and 17 (10 males) with *KDM6A* variants (KS2). Most of the individuals were children, with only one adult in the *KDM6A* group and three adults in the *KMT2D* group. The KS1 and KS2 groups did not differ by sex, ethnicity, or genetic variant type (Supplementary Table S3).

*Collaborative dataset—only individuals of Caucasian origin.* The second dataset included individuals of only Caucasian origin from the national collaboration dataset: 12 with a *KMT2D* variant, and 12 with a *KDM6A* variant.

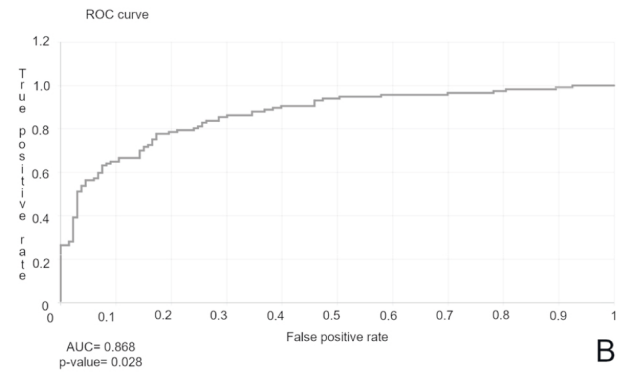
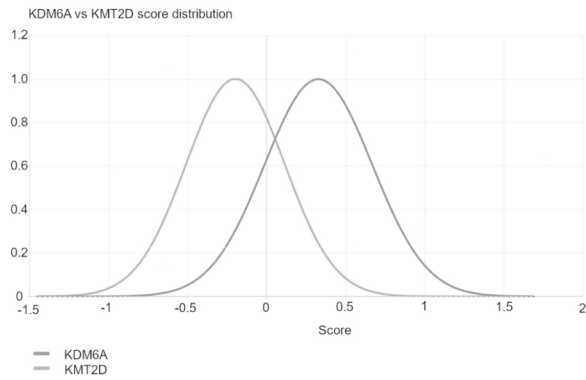
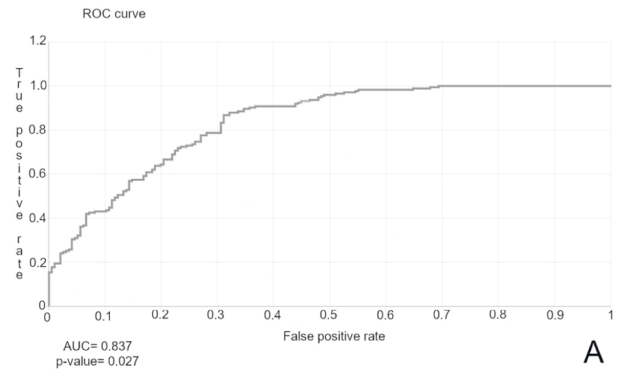
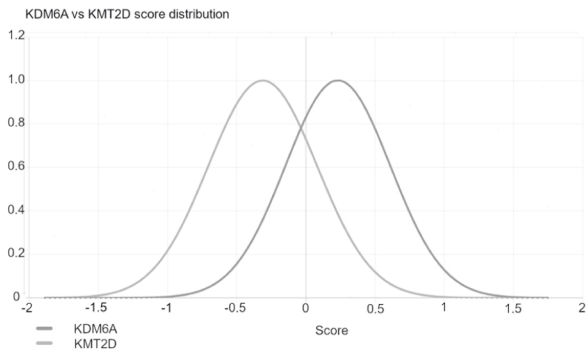
*Literature dataset.* This third dataset contained frontal facial views of KS individuals of different ethnic origins reported in the literature: 16 with a *KMT2D* variant (4 males) and 16 with a *KDM6A* variant (3 males) (Supplementary Table S4).

*Full mixed dataset.* The full mixed dataset contained the full collaborative and literature datasets (33 males and 33 females). Detailed information for age, sex, and ethnicity is in Supplementary Table S5).

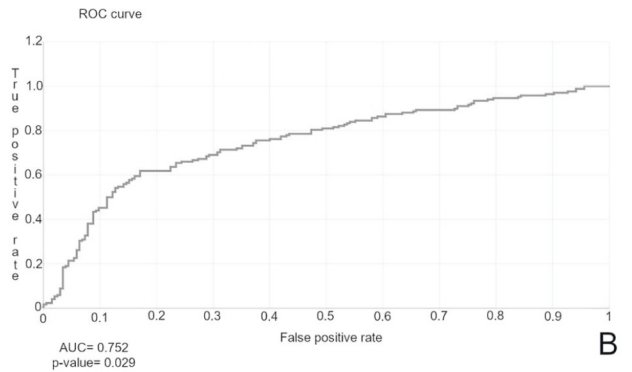
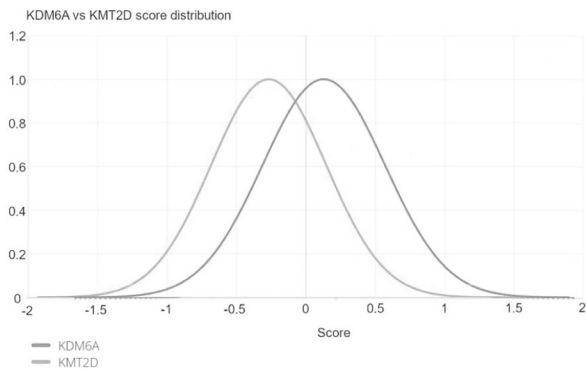
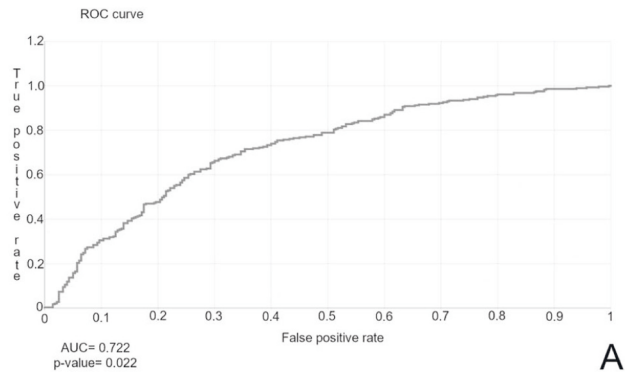
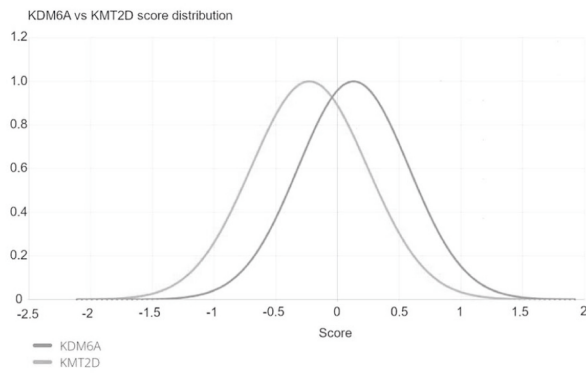
*Full mixed dataset—only Caucasian origin.* This dataset contained images of 49 KS individuals (23 with a *KDM6A* variant and 26 with a *KMT2D* variant) of Caucasian origin from the national collaboration and literature datasets.

### Statistical analysis

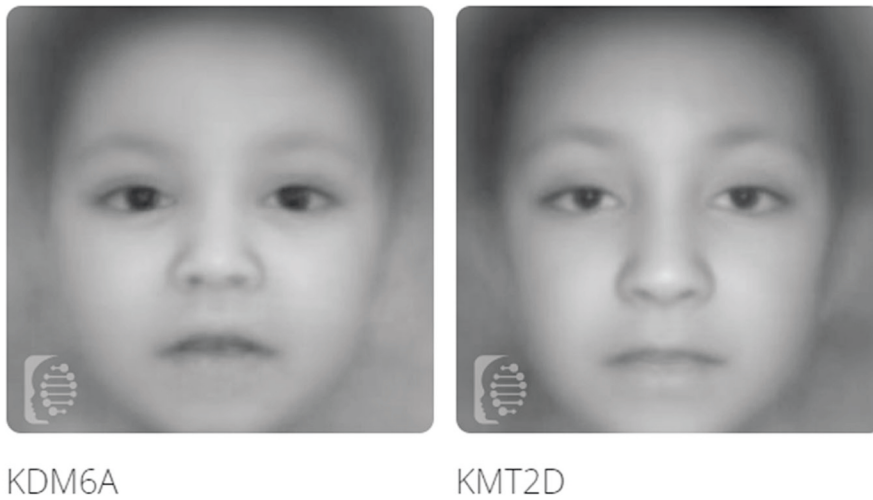
The AUC was used to measure the comparison and separation quality between the KS1 and KS2 groups. For the collaborative dataset, the AUC was 0.837 ( $p = 0.027$ ) (Fig. 1A). The mean AUC was 0.85 with SD 0.08 (Supplementary Table S6).



**Fig. 1** On the left is the score distribution for KDM6A vs KMT2D, and on the right is the ROC curve obtained by using DeepGestalt analysis. Binary comparison of facial images of individuals with *KDM6A* and *KMT2D* pathologic variants from the collaborative dataset (A) and the collaborative dataset with Caucasian origin only (B).



**Fig. 2** On the left is the score distribution for KDM6A vs KMT2D, and on the right is the ROC curve obtained by using DeepGestalt analysis. Binary comparison of facial images of individuals with *KDM6A* and *KMT2D* pathologic variants from the mixed dataset of the full collaborative and literature datasets (A) and individuals of Caucasian origin only and literature datasets (B).



KDM6A

KMT2D

**Fig. 3** On the left is the composite gestalt based upon 17 KDM6A individual's pictures from our collaborative dataset, and on the right is the composite gestalt based upon 17 KMT2D individual's pictures from our collaborative dataset. Composite gestalt images of individuals with *KDM6A* and *KMT2D* variants based on the collaborative dataset.

For the second dataset, the AUC was 0.868 ( $p = 0.028$ ) (Fig. 1B). The mean AUC was 0.89 and SD 0.05 (Supplementary Table S7).

For the full mixed dataset, the AUC was 0.722 ( $p = 0.022$ ) (Fig. 2A). The mean AUC was 0.74 and SD 0.04 (Supplementary Table S8).

For the full mixed dataset with only Caucasian individuals, the AUC was 0.752 ( $p = 0.029$ ) (Fig. 2B). The mean AUC was 0.77 and SD 0.05 (Supplementary Table S9).

### Computed *KS1*- and *KS2*-specific gestalt

The specific gestalt composites for *KS1* and *KS2* carrying *KMT2D* or *KDM6A* variants, respectively, computed from collaborative dataset (17 individuals per *KS* group), are in Fig. 3. In terms of the whole *KS* composite gestalt image, the main morphological differences were located on the midface shape. Individuals with *KMT2D* variants seemed to have a longer face (HPO no.: HP:0000276), longer nose (HP:0003189), thin upper lip vermilion (HP:0000219) and longer middle part of the face (no HP number) than *KDM6A* variant individuals, whereas individuals with *KDM6A* variants seemed to share a round face (HP:0000311), thick vermilion border (HP:0012471) and anteverted nares (HP:0000463)

### Comparing mean file size

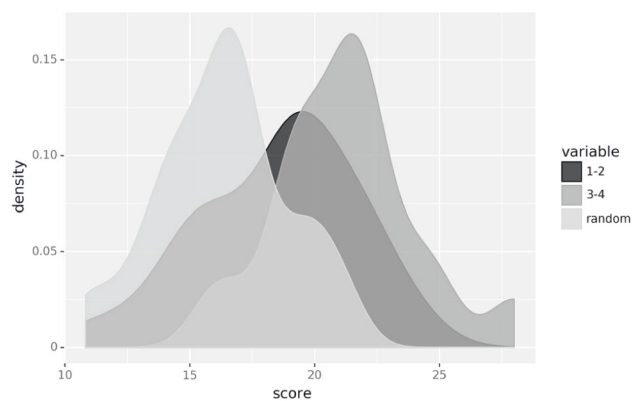
The mean picture file size was 901 Ko and 133 Ko for the collaborative and literature datasets, respectively ( $p = 0.0007$ ).

### Clinicians' control experiment

We recruited 60 clinical geneticists (Supplementary Table 10), with 2 groups of *KS* facial morphology expertise: (1) 28 with an expertise score of 1 or 2 (1–2 group) and (2) 32 with a score of 3 or 4 (3–4 group), with higher expertise. The score performance for clinicians was normally distributed (Shapiro test  $p = 0.14$ , Supplementary Fig. S10). After the training session, the overall cohort of clinicians significantly recognised a specific gestalt between *KS1* and *KS2* individuals (mean score = 20/33 correctly assessed images, one-sample Student  $t$  test  $p = 1.60e-11$ ). The performance significantly differed by self-evaluated expertise in *KS* (two-sided Student  $t$  test  $p = 6.49e-4$ , Fig. 4). Each subgroup of expertise significantly distinguished a specific gestalt (1–2 group: mean 18.5, one-sample Student  $t$  test  $p = 2.23e-3$ ; 3–4 group: mean 21.28, one-sample Student  $t$  test  $p = 1.04e-10$ ). All statistics are in Supplementary Table S11.

### DISCUSSION

The results of our algorithm using the collaborative dataset of images show a statistically significant difference between the *KS1*



**Fig. 4** Distribution of scores for each subgroup of clinicians in differentiating between *KS1* and *KS2* individuals ( $n = 60$ ). Normal random distribution was plotted with 60 events: mean 16.5, SD 2.9. 1–2, 3–4, level of expertise.

and *KS2* group images strongly suggesting a different facial morphotype, in particular regarding the midface shape (nose, philtrum, and upper lips). The removal of individuals of African origin had a slight positive effect on the AUC, although not significant, which implies that the phenotypes of *KS1* and *KS2* are probably still recognisable by the Face2Gene tool for individuals of African origin.

For the literature dataset, performance of the algorithm decreased greatly. One of the parameters that could explain this difference is image quality. Our collaborative dataset overall had very good image quality, whereas the literature images were usually of poor quality because they were taken as screenshots. Thus, good image quality seems important for the software to correctly assess and compare the images.

Collecting additional good-quality images from *KS1* and *KS2* individuals could help better decipher facial features associated with the genotype. Moreover, because of the lack of individuals of Asian origin in our collaborative dataset, we cannot conclude on the algorithm's ability to distinguish between *KS* types among individuals of Asian ethnicity.

Studying a larger series with more ethnicities would definitely be a great step to better delineate the facial phenotype between *KS1* and *KS2*.

We also tried to determine whether humans could differentiate between *KS1* and *KS2* phenotypes. Clinicians with basic training in

dysmorphology could indeed distinguish between the two KS groups. Clinicians with better self-reported dysmorphology expertise performed better than those with self-reported basic expertise. Thus, with training, human geneticists could distinguish phenotypes, and even better for those specialised in KS dysmorphology.

As in previous studies, these results support the significant discrimination capacity of a deep-neural-network-driven facial-recognition algorithm that may imply the possibility of using next-generation phenotyping in the diagnostic process of individuals with ID as long as images of good graphic quality are used.

Here we report a specific gestalt for KS1 and KS2 individuals revealed by use of a deep-neural-network-driven facial-recognition algorithm. Because the genetic determinants of craniofacial development are continuously being discovered, a precise facial phenotypic description could help in the biological interpretation of variants from whole exome and genome sequencing [20, 21]. Artificial intelligence technologies could push the boundaries of phenotype recognition beyond human capacity for identifying additional facial features of syndromic genetic disorders.

## DATA AVAILABILITY

The data (patient's facial pictures) that supports the findings of this study are available from the French research program PHRC AOM-09-070 (ClinicalTrials.gov identifier: NCT01314534), but restrictions apply to the availability of these data, which were used under license for the current study, and so are not publicly available. Data are however available from the authors upon reasonable request and with permission of patients and physicians in charge of the patients.

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## COMPETING INTERESTS

DG was a consultant for the Takeda Society in 2018. Takeda did not have any role in this study.

## ETHICAL APPROVAL

All clinical geneticist consents for participation were obtained through a survey where their responses were also collected.