

Trends in sympatric otariid populations suggest resource limitations in the Peruvian Humboldt Current System

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- FULL TITLE: Trends in sympatric otariid populations suggest resource limitations in the
 Peruvian Humboldt Current System
- 3
- 4 SHORT TITLE: Resource limitation may influence recent trends in Peruvian otariids
- 5

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32 HIGHLIGHTS

- Limitations in population growth of Peruvian sympatric otariid populations
- Sea lions are the current 'dominating' otariid species in Peru
- Decrease in juveniles contributes towards population decline
- Resource partitioning between otariids needs to be further explored in Humboldt Current
 System
- 38

39 ABSTRACT

40 Sympatric species evolve mechanisms to avoid competition and coexist. In the Humboldt 41 Current System (HCS), populations of South American sea lions (SASL, Otaria byronia) and 42 South American fur seals (SAFS, Arctocephalus australis) fluctuate mostly due to ENSO events 43 and prey availability. We evaluate population trajectories of Peruvian sympatric otariids and 44 discuss mechanisms for competition and/or resource limitation. For this purpose, we analyzed 45 population trajectories of SASL and SAFS in a sympatric breeding site in Punta San Juan, Peru 46 between 2001-2019. Wavelet analysis was used to extract trends and derivatives to estimate rates 47 and turning points. Age-class proportions and biomass times series were constructed from 48 weekly counts and evaluated. Both populations show a growth phase and subsequent decline. 49 SAFS started decline ~2.25 years before and at a rate 1.5 times faster than SASL. Decrease in 50 juvenile age-class suggests that resource limitation is the main contributing factor for current 51 population decline.

52

53 **KEYWORDS**

54 Peru; Punta San Juan; population decline; competition; fur seal; sea lion; *Otaria byronia*;
55 Arctocephalus australis

56

57 CREDIT AUTHOR STATEMENT

58 Cárdenas-Alayza, Susana: Conceptualization, Methodology, Analysis, Data handling, Writing

59 -initial version, Review, Editing. Gutiérrez, Dimitri: Supervision, Writing - Review and

60 Editing. Tremblay, Yann: Methodology, Supervision, Writing – Review and Editing.

62

63 1 INTRODUCTION

64 Understanding how species coexist is an important theme in ecology. Coexistence theory is a 65 framework to understand how competitor traits can maintain species diversity and prevent 66 competitive exclusion, even among similar species in ecologically similar habitats (Chesson and 67 Kuang, 2008). Competition can compromise the fitness of one of the species involved, and may 68 ultimately lead to its exclusion (Pacala and Roughgarden, 1985). Under the resource-utilization 69 niche concept, two species cannot occupy the same ecological niche without exerting strong 70 competition on each other (Schoener, 1974). The Lotka-Volterra competition model states that 71 coexistence of two species is possible when the competitive effect that a species has on another 72 species (interspecific competition) is less than the competitive effect that it has on its own 73 species (intraspecific competition) (Chase et al., 2002; Chesson and Warner, 1981; Schoener, 74 1983). Furthermore, competitive interactions are known to be stronger between morphologically 75 and phylogenetically closely related sympatric species (Di Bitetti et al., 2009; Loveridge and 76 Macdonald, 2003; Schoener, 1983).

77

78 Otariids, composed of fur seals and sea lions, occur in sympatry in a number of upwelling 79 regions in the world and have many similar life-history traits and foraging habits (Bailleul et al., 80 2005; Dellinger and Trillmich, 1999; Franco-Trecu et al., 2012; Jeglinski et al., 2013; Page et al., 81 2005; Villegas-Amtmann et al., 2013; Waite et al., 2012). Coexisting species are expected to 82 develop strategies for niche differentiation when resources become scarce, to mitigate the 83 reduction in population attributes, such as growth, survival or fecundity (Begon et al., 2006). The 84 duration of coexistence in sympatric otariid seals is not known, and it is possible that sympatry 85 has resulted from recent population recoveries posterior to the period of commercial sealing 86 (Arnould and Costa, 2006). If sympatry is a result of recent events, it is possible that divergence 87 is not yet evident or that competitive exclusion is now occurring.

88

89 In sympatric fur seals and sea lions, past research have found mixed results with regards to 90 competition and segregation. Contrary to the situation in Peru, in many locations fur seal 91 populations are typically larger and they appear to outcompete sea lions (Wickens and York, 92 1997). Researchers studying sympatric otariids have found trophic segregation, differing 93 foraging strategies and contrasting population trends as mechanisms that explain coexistence 94 (Franco-Trecu et al., 2014; Pablo-Rodríguez et al., 2016; Páez-Rosas et al., 2012). More recent 95 studies demonstrate that intraspecific segregation, driven by interindividual specialization, takes 96 place in colonial species (such as otariids) that are constrained to limited foraging ranges that overlap with conspecifics in similar niches (Jeglinski et al., 2013; Villegas-Amtmann et al., 97 98 2013; Schwarz et al., 2021).

99

100 Some authors suggest that trophic segregation between sea lions and fur seals is associated with 101 the observed divergent trends in epipelagic and benthic foraging characteristic of each group 102 (Arnould and Costa, 2006) and argue that body size is a central feature that characterizes 103 foraging modes between larger sized benthic foraging sea lions and smaller epipelagic foraging 104 fur seals. In those studies, sea lions showed greater oxygen storage capabilities; enabling them to 105 aerobically dive longer and deeper resulting in higher metabolic and reproductive outputs (Costa 106 et al., 1998). However, other studies have also demonstrated that sea lions of different ageclasses (Jeglinski et al., 2013; Villegas-Amtmann et al., 2013) and within the same age-class 107 108 (Schwarz et al., 2021) display specialized types of benthic, pelagic and nocturnal epipelagic 109 foraging. Other studies have shown that foraging modes can vary regionally in the same species, 110 therefore demonstrating the importance of prey availability as a driver for foraging mode 111 (Hückstädt et al., 2016).

112

113 The Humboldt Current System (HCS) in Peru is recognized as the most productive of the Eastern Boundary Upwelling Systems in the world (Bakun and Weeks, 2008). As other upwelling 114 ecosystems, cold nutrient rich water rises to the euphotic layer by a combination of the South 115 116 east trade winds and the Coriolis force that fuel Ekman transport, giving rise to primary 117 productivity in the upper pelagic and nearshore areas. Peru's coast is characterized by a narrow 118 continental shelf, shallow productive upwelling waters and a relatively shallow thermocline related to an upper minimum oxygen layer at similar depths of approximately 50-80m, on 119 120 average (Bertrand et al., 2010; Demarcq, 2009; Echevin et al., 2008). These features limit the potential habitat for otariid prey to be linked to the benthos. Thus, in this productive shallow environment foraging on highly patchy, but dense prey resources near the surface, may preclude the advantages of searching for more evenly distributed, but less dense, prey resources on the benthos (Arnould and Costa, 2006), which are also constrained by bottom water hypoxia.

125

126 Furthermore, HCS is characterized by recurring El Niño Southern Oscillation (ENSO) events, 127 which can vary in intensity and duration. ENSO is known as a cycle of alternating warm El Niño 128 and cold La Niña events and is the most prominent climate signal on Earth (McPhaden et al., 129 2006). When HCS is affected by an ENSO, increments of sea surface temperature and reduction 130 of primary productivity occur in the marine environment, altering distribution of habitats and associated prey biomass. The ENSO events that occurred in 1982-83 and 1997-98, were 131 categorized as 'Extraordinary' and are well documented for dramatically altering species 132 133 composition and reducing marine biomass (Barber and Chavez, 1983; Tam et al., 2008). These 134 climatic signals were strong and felt worldwide, changing the availability of prey items and 135 causing declines in reproduction and survival of top marine predator populations around the globe (Arias-Schreiber and Rivas, 1998; Bond and Lavers, 2014; Cárdenas-Alayza, 2012; 136 Forcada et al., 2006; Oliveira et al., 2006; Sprogis et al., 2018; Trathan et al., 2007). 137

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139 In Peru two species of otariids coexist that have breeding colonies along the coast, the South 140 American sea lion (SASL) and South American fur seal (SAFS). Analysis of population trends 141 of coexisting species helps understand population status and response to ecosystem conditions. Otariid surveys for the coast of Peru exist from mid 1960s, providing information on the 142 143 abundance of these populations, but had heterogeneous sampling effort. Since the mid 1990s, 144 systematic annual surveys are conducted at main colonies and during each species breeding season (February/March for SASL and November/December for SAFS) by scientists from 145 146 Instituto del Mar del Peru (IMARPE) to monitor their status and fluctuations (Arias-Schreiber 147 and Rivas, 1998; Oliveira et al., 2006). Regardless, variability in Peru otariid continues to be 148 observed (Figure 1). In the 1970s and early 1980s, historical records show a similar abundance 149 for SASL and SAFS of ~ 20-25,000 individuals. However, in the late 1980s and 1990s SASL 150 were more numerous than SAFS. Furthermore, a decline of 75% and 80% is documented for both SAFS and SASL, respectively due to the 1997-98 ENSO (Oliveira et al., 2006, 2012).

152 Surveys post ENSO reflect population recoveries for both species but with a larger SASL

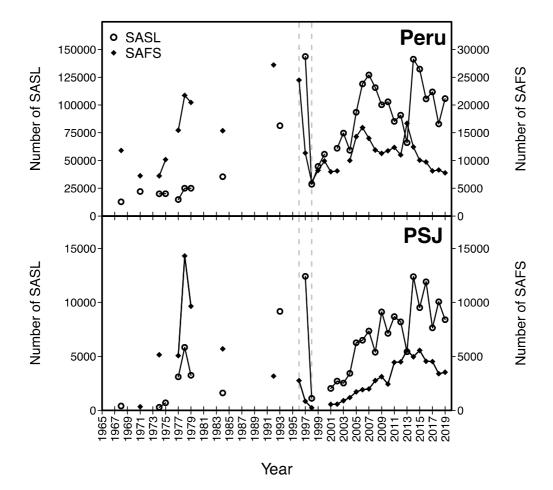
abundance. Similar fluctuations are observed until 2014, after which, there is a decline in SAFS.

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155 Existence of sympatric colonies of SAFS and SASL implies that some mechanism currently 156 operates (or has in the past), to permit interspecific coexistence in the HCS ecosystem. Sympatry 157 of SAFS and SASL occur at only a few locations along the distribution ranges of our study 158 species in the HCS. Punta San Juan (PSJ), a peninsula on the south coast of Peru is a sympatric 159 site and is considered a historically important breeding center for otariids in Peru. The effects of 160 the 1997-98 ENSO also impacted this breeding site, with local reductions of ~87% in both species. In PSJ, abundance declined from 2,751 to 345 in SAFS from November 1996 to 161 November 1999 and from 12,415 to 1,495 in February 1997 to February 1999 in SASL (Arias-162 163 Schreiber and Rivas, 1998). Since the decline, weekly counts of otariids were conducted to monitor population recovery. Although high resolution time series of marine megafauna 164 165 populations are scarce; at this location, weekly counts permit to study fluctuations in abundance, which can help understand interspecific and intraspecific interactions in a common ecological 166 167 context. Thus, we consider that the long time series collected at PSJ (which accounts roughly for 168 10-50% of the total Peruvian populations in both species in this only site) provide an adequate 169 setting to study the coexistence of SAFS and SASL and is representative of their dynamics in 170 Peru.

171

The main goal of this study is to describe population trajectories over the last 20 years, in a 172 173 sympatric otariid colony in Peru and secondly, to discuss mechanisms (e.g. competition and/or 174 resource limitation), possibly explaining these trends. In terms of the population trajectories, we expect a first phase of fast population recovery post 1997-98 ENSO, followed by a subsequent 175 176 stabilization in populations' size. Given the larger body size and population size of SASL on the 177 coast of Peru we hypothesize that the abundance and biomass of SASL dominates over SAFS, in 178 PSJ during our study period. By using a combination of abundance time series, age-class 179 proportions and biomass time series within and between species, we consider this is a first step 180 towards exploring if larger body mass (SASL), a proxy for higher consumption of prey resources, can offer a greater competitive advantage in comparison to a smaller species (SAFS)
to sustain population abundance in a common environmental setting.



183

Figure 1. Time series of the total number South American sea lions (SASL, open circles) and South American fur seals (SAFS, filled diamonds) counted on the coast of Peru (top) and Punta San Juan study site (bottom) between 1965-2019. Dashed grey vertical lines delimit the population decline caused by the 1997-98 ENSO. Time series were constructed with official counts from surveys obtained from various sources (Arias-Schreiber and Rivas, 1998; IMARPE, 2018, 2017, 2016, 2015, 2014, 2013, 2012; Majluf and Trillmich, 1981; Muck and Fuentes, 1987; SERNANP, 2016; Tovar and Fuentes, 1984).

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196 **2 METHODS**

197 2.1 Study site and data collection

198 Punta San Juan (15°22'S, 75°12'W) is a peninsula protected from land by a 1.2 km concrete wall 199 that is part of a marine coastal national reserve system called 'Reserva Nacional Sistema de Islas, 200 Islotes y Puntas Guaneras' (RNSIIPG, Spanish acronym). The PSJ coastline consists of 20 sites, 201 which are beaches and coves all used for breeding and/or as haul-out sites by SASL and SAFS. 202 In PSJ operates the Punta San Juan Program, a long-term research program that monitors otariid 203 and seabird populations in-situ. Access to the PSJ Program database was granted for purpose of 204 this study. At PSJ, land-based counts of accessible otariid sites are conducted at least once per 205 week since 2001 to monitor population dynamics. For this study we accessed the count data 206 during years 2001 to 2019, which have a minimum frequency of once per week. Counts took place between 0600-0900 hours by observers with 10x50 binoculars and tally counters on top of 207 208 8 – 30 meter cliffs overlooking otariid sites. Accessible sites are counted for total abundance and 209 age-class categories, for each species. Gaps in count data occurred when roosting seabirds made 210 it impossible to access the cliff-edge to view beaches and coves. More frequent counts available 211 during the breeding seasons (January thru March for SASL and October thru December for 212 SAFS) at selected breeding sites, were also included to enhance time series. Due to the long-term 213 nature of this dataset (19 years), counters changed over time and beaches were counted by 214 different observers. Standard error of the mean count between three simultaneous observers 215 ranges between 3-4% for SAFS and 3-13% for SASL. Satellite images with 2.8m resolution 216 (image courtesy of CNES/Airbus 31 October 2019 via Google Earth) were used to estimate the 217 area of the main breeding sites for each species. SAFS main breeding site was estimated through in-situ measurements collected during low tide in 1999 when animal abundance was zero and 218 219 used to validate use of satellite images. Finally, a time series of mean monthly density was estimated during the breeding season (January - March for SASL and October - December for 220 221 SAFS) by dividing mean monthly number of otariids by the area of the site where animals were 222 counted on land and reported as number of individuals per square meter (ind $/m^2$).

224 2.2 Abundance time series

225

226 In otariid breeding sites, the ratios of age-classes change throughout the year. Most evident shifts 227 occur during the breeding season when territorial males, adult females and pups increase and 228 subadult males and juveniles decrease. Breeding season for SAFS and SASL last for 229 approximately 3 months (SAFS: October – December; SASL: January - March). Early in the 230 breeding season males arrive to compete and hold territories; subsequently females arrive to give 231 birth and there is rise in female and pup numbers. Females generally stay with the pup for 5-13 232 days, depending on the species. After females complete their post-partum fasting period, they 233 will be at sea for varying periods and return to land to nurse the pup. Intra-annual dynamics in 234 each species and age-class was examined (Appendix A). Due to the intra-annual dynamics due to 235 breeding and non-breeding seasons as well as the long-term population fluctuations, we 236 detrended the abundance time series with the goal to extract an annual trend of abundance for 237 analysis and remove all the high-frequencies' signal in the data.

238

239 Total abundance for PSJ was estimated from the sum of all sites individually counted on a given 240 date between 2001 and 2019, for each species. We verified that interannual dynamics of study 241 sites was the same as dynamic of the sum of all sites. Because counts varied on a daily to weekly 242 scale, these were linearly interpolated to generate a time series with an equal sampling interval of 243 every 3 days. To detrend the abundance time series and be able to extract the values we used a 244 wavelet trend decomposition with a "morlet" mother wavelet, since it overcomes the problems of 245 non-stationarity in a time series by performing a local time-scale decomposition of the signal. 246 This method gives the possibility to investigate the temporal evolution of a times series with 247 different rhythmic components.

248

We identified the wavelength scale corresponding to one year using wavelet analysis in WaveletComp R package v. 1.1 to extract the trend of abundance for both species. To test the study hypothesis, the trend of abundance time series, density and ratios of abundance will be compared between both species. Increasing or decreasing phase of trends, were determined with first order derivatives. Turning points will permit to detect when the date of change in direction of a species population trajectory occurred. Difference of first order derivatives was used to understand which species was increasing over the other.

256

257 2.3 Age-class proportions

258 Time series for the proportion of each age-class were constructed using counts available for the 259 main breeding sites of PSJ for SASL and SAFS, respectively. For this, we selected data sets from 260 the main breeding sites in PSJ that are exclusive to one of the two species year-round. Age-class 261 counts from beach site S3 were collected for SAFS between November 2003 to December 2019 262 (n = 977 observations). For SASL, there was a change in the beach site used to estimate age-263 classes in years 2012-2013 to improve the number of times the sea lion breeding site could be 264 accessed over the year by observers. Therefore, we constructed a single time series combining 265 counts from beach site S7.S8 for years 2003-2013 and beach N7 for years 2012-2019 (n = 666266 observations), including a mean proportion between the two sites for overlapping dates. The 267 pattern in the proportions was inspected carefully to verify that age-classes did not vary abruptly 268 due to site change.

269

Age-class categories for this study includes: pups, juveniles, adult females, subadult males and territorial males. These are based on the categories used in the field at PSJ for counting animals, based on distinguishable anatomical features and behaviors (Vaz-Ferreira and Ponce de León, 1987). A 'juvenile' category was created in this study to group the 'yearling' (1 year old) and 'immature' (2-5 years old) categories used in the field. To estimate age-class proportions we only used the dates that considered all the beforementioned categories. Median proportions and interquartile range (IQR) were estimated .

277

278 Mean mass \pm SD of otariids according to age classes were estimated using PSJ Program 279 database. Mass was collected at PSJ between during otariid tagging and health assessment 280 fieldwork that involved weighing live animals during the study period. Mass of adult age classes 281 were collected during the breeding season while pupping was taking place. Meanwhile, pup mass 282 was collected during pup round-ups, approximately 2 months after the peak of pupping occurs in 283 each species (April for SASL and January for SAFS). Mass for the SAFS subadult male ageclass was obtained from the PSJ Program necropsy records of individuals that stranded dead in PSJ due to interactions with longline fisheries, and is reported here. These animals were confirmed to have died from interaction with fishing gear, carcasses were in good body condition and therefore we consider their mass accurately represents their age-class. The only records of mass for dead juveniles available in PSJ were in emaciated body condition, probably due to nutritional stress, thus we do not consider they represent mass of healthy live individuals.

290

291 If values for the mean mass of an age-class were not available from PSJ, these were obtained 292 from literature from conspecific or similar sized age-class otariids. We used reported mass of 293 juveniles (Hückstädt et al., 2016) and territorial males (George-Nascimento et al., 1985) SASL 294 collected in Chile. To our knowledge, no published values exist for mass of juvenile SAFS in the 295 Pacific Ocean and in the Atlantic, studies either report captive animals or small sample sizes (<5) 296 for an class that represents many ages and high variance. We therefore applied the reported mass 297 of conspecific Northern fur seals (Callorhinus ursinus) from a study involving live captures and 298 grouped mass of animals of ages 1-2, 2-3, 3-4 and 4-5 years old for the juvenile age class (Baker 299 et al., 1994).

300

301 To verify our hypothesis about body size, we tested for differences between mean mass of age-302 classes between species. To evaluate if there is a source of competition between age-classes 303 during breeding and non-breeding season (e.g., overlap in the proportion of territorial males and 304 adult females in non-breeding season) we tested for independence between the weighted ageclass proportions (product of proportion and mean mass for each age-class) within each species 305 306 and season. This was done by applying a t-test or Mann Whitney Wilcoxon Test (p < 0.05) after 307 verifying data distribution. Finally, we describe any shifts in the trends of age-class proportion 308 time series to explain the mechanisms behind the population trajectory for each species.

309

310 2.4 Biomass times series

311 Biomass time series were constructed for each species in tons (t) by calculating the product of 312 the abundance time series trend, the median age-class proportion and the mean mass per age-313 class. A time series for total otariid biomass was created from the sum of the SASL and SAFS 314 biomass time series to determine the proportion of biomass that pertains to each species. To test

315 our hypothesis, the percentages of each of the species biomass over time are used to describe

316 interspecific competition and evaluate if SASL are dominating over SAFS. All analysis were

- 317 done using R software version 3.6.2.
- 318

319 3 RESULTS

320 **3.1** Abundance time series

After removing high frequency signal from count data, annual trends of abundance were extracted for each species. This revealed population trajectories in PSJ that vary between a range of 829 - 4,550 individuals for SASL (Figure 2A) and 291 – 3,443 individuals for SAFS (Figure 2B) between 2001 to 2019. During this study period mean density of individuals during breeding was 0.40 ± 0.23 ind /m² for SASL (January-March) and 0.12 ± 0.08 ind /m² for SAFS (October-December).

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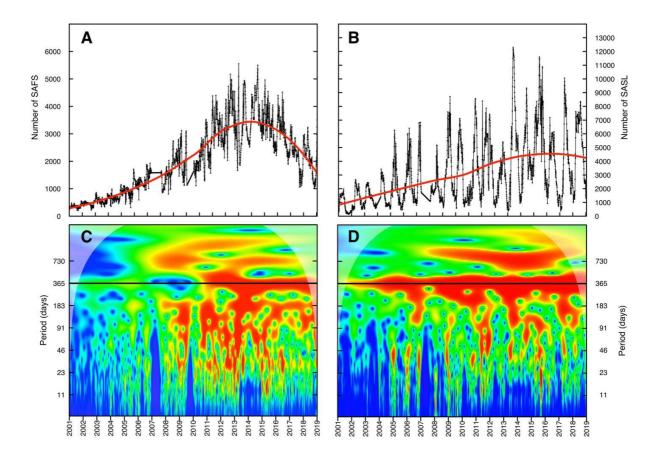
Throughout the abundance time series, there is always a higher abundance of SASL in comparison to SAFS, with a median ratio of 1.65 SASL : 1 SAFS. The ratio of SASL to SAFS starts at a maximum ratio of 2.84 SASL : 1 SAFS, followed by decreasing phase that hits a minimum of 1.23 SASL : 1 SAFS in October 2012. Then, a final increase phase that rises until a ratio of 2.75 SASL : 1 SAFS in December 2019.

333

334 In general, both species started with a positive increasing phase and then both declined toward a negative phase, with differing dates at each turning point. Turning points were detected as the 335 336 date when a change from positive to negative phases occurred. First, SAFS changed its phase 337 from positive to negative in November 2014. Then, 2.25 years after (in March 2017), SASL changed its phase and started to decline. Linear regressions (p<0.05) were adjusted to 338 339 standardized data of the (negative) declining phase for each species. Slopes were significantly 340 different from zero in both species ($R^2 = 0.99$, p<0.05); being slope incline of SAFS ($B_0 = 179.35 \pm \text{SE} \ 0.21$) steeper than SASL slope ($B_0 = -97.33 \pm \text{SE} \ 0.23$). 341

The difference between first orders derivatives describes which of the species had a higher growth rate, at a given time, in comparison to the other. We used these values to organize the time series into three different periods. Sea lions had a greater growth rate in comparison to SAFS, during the first period (January 2001 - June 2007) and in the third period (March 2012 -December 2019). SAFS had a stronger growth rate in comparison to SASL during the second period (June 2007 - March 2012).

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350

351 Figure 2. Top panel shows 3-day interpolated counts (black line) with trend of abundance (red 352 line) for A) SAFS and B) SASL collected in Punta San Juan, Peru. Bottom panel shows wavelet 353 spectrum with horizontal line indicating the annual signal at 365 days for C) SAFS and D) SASL 354 abundance time series.

355

356 3.2 Age-class proportions

Median age-class proportions (Median, IQR) and mean mass (Mean \pm SD) estimated for the entire time series are reported in Table 1. Due to the non-normal distribution of datasets, we applied the Mann Whitney Wilcoxon Test (p<0.05) with Bonferroni p-adjustment. Significant differences were found between mean mass of all age-class proportions within species and between species (p<0.05), with SASL being of a significant larger size (x1.5 larger) than SAFS (Table 1). Between the adult sexes, territorial male SAFS are 2.23 larger than adult female SAFS and territorial male SASL are 3.74 times larger than adult female SASL.

364

Significant differences were found between weighted age-class proportions (product of mean
mass and number of individuals) of each species between breeding and non-breeding seasons
(Mann Whitney Wilcoxon Test, p<0.05), during the final period of the study (March 2012 -
December 2019) (Figure 3), suggesting low intraspecific competition between age-classes at this
scale.

370

371 Time series of age-class proportions with smooth loess curve and 95% CI were compared during 372 November 2003 – December 2019 (Figure 4). Evident shifts in proportion of age-classes include 373 i) increase in adult females (+0.2 SASL and +0.1 SAFS) between 2016 - 2018; ii) decrease in 374 juveniles (-0.40 SASL and -0.30 SAFS) from 2015 - 2019; iii) decrease in sub adult males (-0.10 375 SASL and -0.05 SAFS) between 2007 - 2015; iv) decrease in territorial males (-0.10 SASL) 376 between 2008 - 2013. All the other ages class proportions are relatively stable. These trends 377 suggest that the population decline in recent years in both species is associated to a decrease in 378 the juvenile and, to a lesser extent, the sub-adult male age-classes at PSJ.

379

380 3.3 Biomass time series

Total otariid biomass time series was in the range of 83.16 - 506.72 t with a mean of 335.26 ± 139.95 t and reached its maxima in March of 2016. Throughout the time series $82.61 \% \pm 3.75$ of total biomass is composed on average by SASL (range: 77.72 - 88.97 %), and $17.39 \% \pm 3.75$ by SAFS (range: 11.03 - 22.28 %). This trend continues until the end of the study period, with 88.63 % of otariid biomass comprised by SASL and 11.36% by SAFS in the end of 2019. SASL occupy a biomass in the range of 73.99 - 406.25 t with a mean 272.97 ± 108.61 t, reaching its

maxima in March 2017. Whereas SAFS occupy a biomass of 9.17 - 108.41 t with a mean of 62.30 ± 33.21 t, reaching its maxima in November 2014 (Figure 5).

- **Table 1.** Median proportion of age-class per species with inter-quartile range (IQR). Mean mass and standard deviation (± SD) in
- 390 kilograms. Sample size and source used for each age-class.

Species	Age-class	Proportion of age-class		Mass of age-class (kg)		
		Median	IQR	$Mean \pm SD$	n	Source
SASL	Pups	0.16	0.18	13.98 ± 0.62	77	This study
	Juveniles	0.23	0.17	78.88 ± 17.68	9	Hückstädt et al 2016
	Adult females	0.38	0.19	82.74 ± 11.31	23	This study
	Subadult males	0.12	0.16	173.78 ± 40.96	44	This study
	Territorial males	0.05	0.08	310	-	George-Nascimento et al 1985
SAFS	Pups	0.36	0.13	8.75 ± 0.65	171	This study
	Juveniles	0.26	0.10	24.88 ± 14.4	5606	Baker et al 1994
	Adult females	0.33	0.09	48.56 ± 8.08	91	This study
	Subadult males	0.03	0.02	66.53 ± 8.56	6	This study
	Territorial males	0.04	0.03	108.64 ± 14.20	26	This study

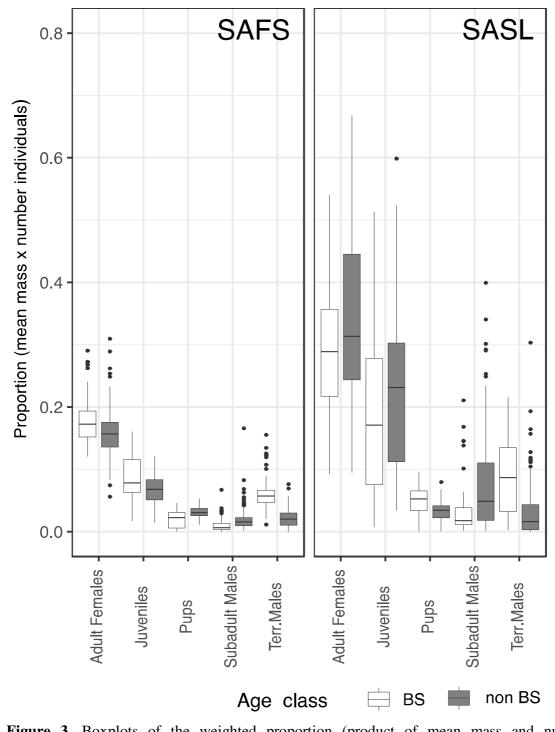


Figure 3. Boxplots of the weighted proportion (product of mean mass and number of
individuals) of each age-class for SAFS and SASL during breeding season (BS, white) and nonbreeding season (non BS, grey) during March 2012 - December 2019.

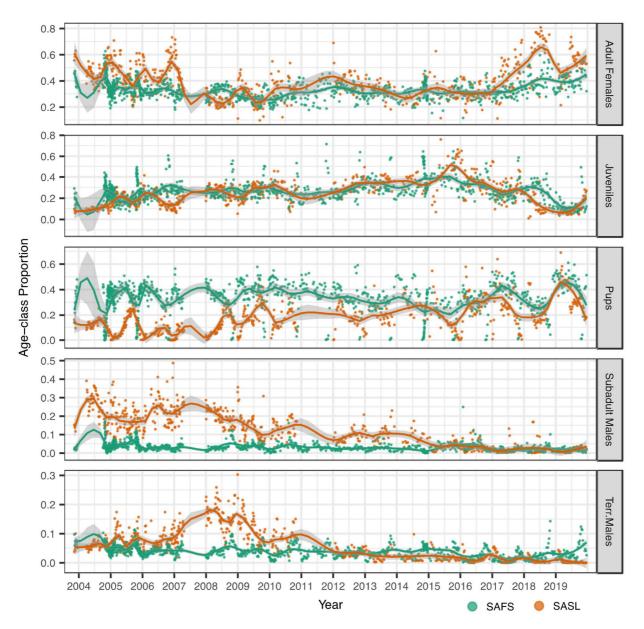
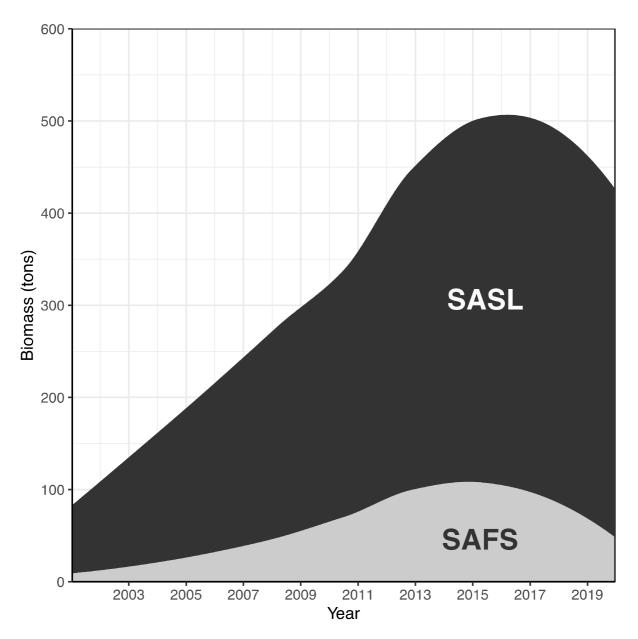


Figure 4. Time series of the proportion of age-classes for SAFS (green) and SASL (orange)
constructed from weekly counts (circles) with smooth curve (line) with 95% CI (grey area). Ageclass counts collected at Punta San Juan otariid breeding sites between November 2003 December 2019.



406 Figure 5. Stacked area chart of constructed biomass time series in tons (t) estimated from otariid
407 abundance in Punta San Juan for SAFS (light gray) and SASL (dark grey) during years 2001408 2019.

415 4 **DISCUSSION**

416 **4.1** Changes in abundance, density and population trajectories

Since the effects of the Extraordinary ENSO in 1997-98 that caused otariid mortality and 417 dispersal, it took SAFS 13 years and SASL 15 years to reach peak abundances similar to levels 418 419 in 1980s and 1990s. Decomposition of time series of counts and biomass during years 2001-2019 420 shows that both species had a growth phase, a turning point and are currently in a declining 421 phase. Our analyses reveal that SAFS abundance began to decline ~2.25 years before SASL and 422 at a rate 1.5 times faster than SASL. The current phase of decline in SASL was unexpected and 423 was only detectable with an in-depth analysis of the population trajectories and associated 424 variables. In this study we also found a decrease in the juvenile and (to a lesser extent) in 425 subadult male age-class proportions in both SASL and SAFS that is contributing to the recent 426 decline detected in both species (Figure 4). Decrease in juveniles and subadult males can be attributed to an increase in mortality or dispersal of these age-classes, exacerbating failure of 427 428 recruitment in PSJ. Unfortunately, we do not have information to adequately address mortality or 429 dispersal. Furthermore, in our study we did not find any evidence for intraspecific competition at 430 population level (Table 1, Figure 3). However it is possible that intraspecific competition can be 431 operating at another scale, such as in the overlap of resource utilization or in use of foraging 432 grounds, that should be further explored.

433

434 The trend in biomass in this study between species shows that, on average, 83% of all otariid biomass is composed by SASL and 17% by SAFS, making SASL the current 'dominating' 435 436 species, as hypothesized. In the final phase of the time series 89% of total otariid biomass 437 belongs to SASL, marking a large difference in the current composition between the two sympatric otariid species that inhabit the PSJ study site. Total SASL abundance is 1.23 - 2.84 438 439 times larger in comparison to SAFS, with an median ratio of 1.65 throughout the entire time 440 series. We think this can be related to the different mechanisms of congregation employed by 441 each species, evidenced at PSJ. The aggregation of individuals on land is different when we 442 examine raw counts of otariids at PSJ over time (Figure 2A-B). Following recolonization, raw 443 counts show that SAFS increments with what appears to be an exponential rate at PSJ (CárdenasAlayza, 2012) that grows accumulating individuals at PSJ. Meanwhile, SASL shows pulse-like increments with seasonal peaks of abundance that can vary widely between years. However, as SAFS abundance increments plateau and then decrease, populations pulses of SASL have been up to four times the abundance of SAFS (Figure 2A-B). This variability in the recruitment of individuals in a given breeding season has played an important role in the population growth of SASL at PSJ.

450

451 A model for male dispersal proposed by Giardino et al. (2016) developed in the Atlantic 452 population of SASL shows that male sea lions disperse between winter haulouts and breeding 453 sites. Haulouts can be spatially segregated from central breeding areas during the non-breeding 454 season, and are followed by male dispersal towards breeding sites in consecutive years. Males tend to have strong site fidelity to both breeding and haul-out sites maintaining functional 455 456 connectivity and gene flow throughout their range (Giardino et al., 2016). This same phenomenon contributes towards the recruitment of new individuals at breeding sites and can 457 458 also be occurring in Peru, with PSJ being a reproductive site where individuals that haul-out at 459 different sites congregate to breed. Furthermore, as evidenced from the raw counts and intra-460 annual dynamics (Appendix A), SASLs leave the PSJ breeding rookery decreasing their 461 abundance during non-breeding months. In contrast, SAFS female, juveniles and pups are found 462 year-round at PSJ to complete extended lactation periods (up to 36 months), during which 463 females, juveniles and pups show site fidelity towards lactation sites (Majluf, 1989). Thus, high 464 dispersal rates in SASL seem to be key for augmenting population growth in a given breeding season permitting the recruitment of more individuals for breeding. However, the decline in 465 466 juvenile and subadult age-classes suggests that PSJ is not a successful site for the recruitment of 467 these age-class in both otariid species in recent years.

468

469 Otariid breeding sites tend to show seasonal age-class proportions that reflect the roles of age-470 classes and sexual segregation in their polygynous mating system (Bartholomew 1970). In this 471 study we found that PSJ breeding sites are occupied by different proportions of age-classes 472 during breeding and non-breeding season in both species, with females, pups and juveniles being 473 the most important age-classes throughout the year. Intra-annual dynamics show that from July 474 until December, the abundance of all SASL age-classes decrease to very low and can reach zero 475 abundance in PSJ. In contrast to SAFS, which is present year round, and has a slight decrease in 476 July-August (Appendix A). The wide variability in SASL in comparison to SAFS over the study period is promoted by the arrival of larger number of animals in a given season and the higher 477 density at the breeding sites. Interestingly SAFS show a higher proportion of pups : female ratio 478 479 than SASL (Figure 4), which suggests that female condition may be better in SAFS. However, 480 this can be explained by the high site fidelity of breeding female SAFS at this site, where 481 experienced breeders return to pup (Majluf, 1989). Whereas in SASL, it is possible that a bulk of 482 the SASL females are young females.

483

484 In this study we found that SASL density $(0.40 \pm 0.23 \text{ ind } / \text{ m}^2)$ at PSJ during breeding was 3-4 485 times SAFS density $(0.12 \pm 0.08 \text{ ind } / \text{ m}^2)$. This can be explained by the difference in the social 486 tactics intrinsic to each species. Soto and Trites (2011) reported a lek-like mating system in SASL with the highest female : male ratio recorded for this species in Ballestas Islands in Peru, 487 488 about 250km from our study site. Large abundances of females congregate to breed at what some 489 authors identify as population "hotspots". These high density aggregations have been explained as a means to enhance mate selection (Soto and Trites, 2011). Territorial males line up at the tide 490 491 line to display themselves to reproductive females. Females benefit from male competition and 492 avoid subadult male harassment while remaining in close proximity to foraging sites (Bradbury 493 and Gibson, 1983, Soto and Trites, 2011). Although there are no studies on the SASL mating 494 system at PSJ, we suppose that the social tactics described above also occurs and PSJ is an important site for SASL breeding (S. Cárdenas-Alayza, personal observation). 495

496

We know from historical records that the SAFS population ranged between 9,644 - 14,303 and SASL between 3,249 – 5,836 at the PSJ study site in 1978-79 (Figure 1). This shows that PSJ is able to support larger abundances of these species on land and in the surrounding marine environment. Interestingly, during the post 1997-98 ENSO recolonization process, SAFS gathered in lower densities than SASL. Pre ENSO density of SAFS at PSJ was reported between 0.5 - 1 ind /m² (Majluf, 1989), considerably higher than average current density of 0.12 ± 0.08 ind / m² found in this study. In the 1980s and 1990s, 48% pup mortality was recorded in SAFS in 504 PSJ. This was caused by maladaptive aggression of females towards pups, attributed to the high 505 density aggregations (Harcourt, 1992a, 1992b; Majluf, 1992). Furthermore, SAFS currently occupies many more beaches for breeding in PSJ in lower density, in comparison to the pre 506 507 1997-98 ENSO era (Cárdenas-Alayza, 2007). Other studies have demonstrated that habitat 508 selection for breeding sites by SAFS and SASL varies in Peru, and is driven by access to water 509 or shade for thermoregulation (Stevens and Boness, 2003). Therefore, availability of suitable 510 habitat features will also play a role in the occupation of breeding sites in a given area so that 511 each species can efficiently operate mating tactics characteristic of species social system.

512

513 **4.2** Contributing factors of population fluctuations in Peruvian otariids

514 All species of otariid seals throughout the world were once subject to extensive and, in most 515 cases, excessive hunting pressure during the eighteenth, nineteenth and twentieth centuries. By 516 the late 1800s, however, most species had acquired total legislative protection or were subject to only regulated managed harvests (Wickens and York, 1997). Despite this protection, populations 517 518 of various sea lion species have experienced very little recovery, and in some cases, are 519 declining, and fur seal species have generally experienced rapid population recovery rates (Costa et al., 2007; Wickens and York, 1997). In Peru, we have the opposite case, a smaller fur seal 520 521 population and a more abundant sea lion population. The current lack of recovery of SAFS in 522 Peru may be explained in part by little time for SAFS population to recover from historical 523 commercial exploitation, in synergy with recurring ENSO events and competition with fisheries 524 and other predators (Majluf, 1991; Oliveira, 2011).

525

In Peru, SAFS were under more exploitation pressure due to the interest driven by the higher value of their pelts in the international fur trade market. By early 1900s, fur seal colonies in Peru were reported as small and isolated, describing this species as "close to the brink of extinction" (Murphy, 1925). The first commercial ban for otariids was legislated in Peru in 1946, prohibiting culling of adult female and pup SAFS (Majluf and Reyes, 1989). In 1950s fur seal abundance continued to be low which resulted in an extension of this ban to include all SAFS age-classes and also female SASL. Exploitation of otariids was reactivated in 1967 until early 1970s. Finally, in 1976 commercial exploitation of both species was banned in Peru (Tovar and Fuentes,1984).

535

536 In mid 1950s began the development of the industrial fishery for Peruvian anchovy (Engraulis 537 ringens) in Peru, and has turned into the largest monospecific fishery in the world capturing 538 between 4-12 million tons of fish annually (Chavez et al., 2008). Direct links have been found 539 between anchoveta biomass and population abundance of other top marine predators in HCS, 540 such as seabirds, that rely almost exclusively on this marine resource for growth, reproduction 541 and survival (Barbraud et al., 2018; Bertrand et al., 2012; Passuni et al., 2016). In synergy with 542 ENSO conditions, overfishing can have acute effects on the survival of predator populations in 543 HCS (Oliveira, 2011). After the effects of the 1997-98 ENSO, a mere abundance of 6,257 SAFS 544 was recorded on the coast of Peru from a previous count of 24,481 in 1996 (Arias-Schreiber and 545 Rivas, 1998). After some time, nationwide 2006 survey showed that the population had recovered to an abundance of 15,137 SAFS (IMARPE, 2006). However, the SAFS population 546 547 recovery was also limited geographically. Of the entire current abundance, 80% resides at five 548 sites on the entire coast of Peru (IMARPE, 2013). In comparison, 44,725 SASL were counted in 549 Peru in 1999 after the 1997-98 ENSO, from a previous abundance of 143,713 in early 1997 550 (Arias-Schreiber and Rivas, 1998; Oliveira, 2011). In 2006, recovery of SASL was documented 551 with a count of 118,220 (IMARPE, 2006). In these years, SASL, breeding colonies and haulouts 552 were already widespread along the coast compared to SAFS. For both species, authors suggest 553 that recovery is due to the re-establishment of prey populations, the lack of strong ENSO events and migration from individuals in the colonies in northern Chile (Cárdenas-Alayza, 2012; 554 555 Oliveira et al., 2012), but the fact that SASL was not reduced to such a small abundance seems 556 fundamental to their recovery potential.

557

558 Our results show that SAFS recently declined at a rate 1.5 faster than SASL. The steeper decline 559 in SAFS, is possibly linked to reduced recruitment in breeding adults at PSJ in recent years in 560 combination with juvenile dispersal and mortality from this site. In our study, the sympatric 561 otariids are exposed to the same environmental conditions, reason why the difference in this 562 response can also be linked to intrinsic species traits that respond differently to the changes in the

563 environment. A populations risk of extinction will depend both on the deterministic (harvest, 564 commercial exploitation, natural selection, etc.) and stochastic (environmental, genetic, etc.) 565 processes. The latter are more pronounced at small population sizes and can accelerate extinction process started by deterministic factors (Palstra and Ruzzante, 2008). Previous studies have 566 shown that the effective population (Ne) is used as a tool to evaluate viable populations. High Ne 567 568 values retain high levels of genetic diversity and reduce probability of effects from inbreeding depression (Oliveira et al., 2006, 2012; Wright, 1990). Whereas a population with a low Ne, is 569 570 susceptible to genetic drift and less apt to respond to selection (Oliveira, 2011).

571

572 After the 1997-98 ENSO, SAFS have a critical Ne value of 2,153 (Oliveira et al., 2006) while SASL Ne is 7,715 (Oliveira et al., 2012). According to Oliveira et al (2009) population 573 reductions after the 1997-98 ENSO, may have caused a significant genetic bottleneck in the 574 575 Pacific population of SAFS and SASL, with loss of genetic diversity and presumably some loss of evolutionary potential for the species (Oliveira et al., 2009; 2012). Furthermore, the rate of 576 577 loss of genetic diversity via genetic drift is greater in populations where Ne is small and migration is limited, as is the case for SAFS. This can be evidenced in the information gathered 578 through Peru's nationwide surveys, where >90% of SAFS breeding population is mostly 579 580 restricted to latitude 15 -17° South, with PSJ supporting at least 40% of entire Peru SAFS 581 population. Meanwhile, SASL population is distributed continuously along the coast, with important breeding sites in several latitudes (6, 13-14, 15 and 17° South) (IMARPE, 2018, 2017, 582 583 2016). Wider distribution of breeding sites with high density aggregations of SASL, suggest that migration and dispersal rates in SASL are higher than SAFS, resulting in higher connectivity. 584 585 Therefore, even if there was genetic loss due to a bottleneck from a strong ENSO event, SASL 586 has mechanisms to counterbalance loss of genetic diversity with temporal gene flow through migration. The difference in gene flow between these two species in HCS can be a key factor 587 588 explaining the limited response to achieve population growth under scenarios of environmental 589 change in SAFS in comparison to SASL suggested in this study, and should be further studied.

590

591 **4.3** Natural history traits that influence interspecific competition in otariids

592 Body size of SASL is overall 1.5 larger in all age-classes compared to SAFS. In this study, 593 although we hypothesize that a larger body size (SASL) could serve as advantageous to consume 594 larger/ more prey, the decline in population abundance of both SAFS and SASL suggests that a 595 resource limitation is affecting trajectories of both species. Between the sexes, territorial male 596 SAFS are 2.23 larger than adult females and SASL males are 3.74 times larger than adult SASL 597 females. Although at population level we did not find evidence for segregation between age-598 classes and sex, mechanism to avoid competition may be operating at a different scale. To 599 elucidate differences and how these species are exploiting the environment, further studies need 600 to be conducted to test intra and interspecific trophic niche segregation to understand how these 601 sympatric otariid species exploit the HCS.

602

603 In our study we compared otariid biomass as a possible outcome of interspecific competition in 604 PSJ and found that 83 % of total average biomass is composed by SASL throughout our time series. This can be explained by a the difference of 1.6 - 3.3 in mass between SASL and SAFS; 605 606 in combination with a high density mating system in SASL versus lower density aggregations in 607 SAFS. Researchers have examined potential competition between sympatric fur seal and sea 608 lions at other locations and have found in some cases that trophic segregation is a mechanism to 609 explain differing foraging strategies and contrasting population trends (Franco-Trecu et al., 2014; 610 Pablo-Rodríguez et al., 2016; Páez-Rosas et al., 2012). Some authors suggest that difference in 611 divergent trends is explained thru epipelagic and benthic foraging, being the latter characteristic 612 of sea lions that have larger body size and diving capacity (Arnould and Costa, 2006). Other studies have also demonstrated that different age-classes within the same sea lion population 613 614 have specialized benthic and epipelagic foraging modes (Jeglinski et al., 2013; Villegas-615 Amtmann et al., 2013). Therefore, otariid foraging ecology in PSJ needs to be evaluated at interspecific and intraspecific level to understand how the different groups are exploiting the 616 617 local environment.

618

619 In the coast off PSJ, the continental shelf is extremely narrow limiting the access to benthic 620 habitat. Furthermore, previous studies in Chile have demonstrated that SASL are epipelagic 621 foragers with mean dives of 29.0 ± 34.8 m, when they are in an upwelling environment 622 (Hückstädt et al., 2014, 2016). Therefore, we expect that competition for similar prey items may 623 be taking place within the upper limit of the oxygen minimum zone off the Peru coast, which is 624 usually located ~50 m in depth (Bertrand et al., 2010) making the foraging habitat of pelagic 625 prey readily available for both otariid species diving capacity, independent of differences in body 626 size.

627

628 It is well documented that the HCS in Peru holds a world-leading monospecific forage fishery in 629 terms of landings of Peruvian anchovy, sustaining >5 million tons per year on average since 630 1960s (Bakun and Weeks, 2008; Chavez et al., 2008). In Peruvian otariids, the number of pups 631 born, mean birth dates and anchovy biomass were found to have direct relationships in SASL 632 and SAFS reproductive success, demonstrating that anchovy is a key resource in fulfilling the 633 energetic demands of both species (Cárdenas-Alayza, 2012; Soto et al., 2004). Other studies 634 have evaluated diet composition between these sympatric otariids in the decades of 1980s and late 1990s in south Peru, but conclusions for resource partitioning are not clear. Vásquez (1995) 635 636 reported that otariid diets were both based on fish and cephalopods; with Peruvian anchovy (Engraulis ringens) and Jack Mackerel (Trachurus murphyi) as the main consumed species. 637 638 Afterwards, Arias-Schreiber (2000) found that Peruvian anchovy, squids and a crustacean, the 639 Red squat lobster (*Pleuroncodes monodon*), were ranked as important prey items shared by the 640 sympatric species. Based on this, we understand that anchovy is an important prey shared by 641 both otariids during these study periods, but mechanisms for trophic segregation are not clearly 642 explained. Furthermore, prey availability may have changed in the last 20 years. Therefore, 643 resource partitioning research needs to be updated and further explored in these sympatric 644 species to disentangle current resource utilization in relation to population trajectories.

645

646 4.4 Current and future trends

In the final 5 years of our time series, both sympatric otariid populations were found to be in a state of decline. SAFS were the first to decline in 2014, which coincides with a decrease in the anchovy biomass estimated for the coast of Peru during this period. Anchovy biomass dropped from 11,201 tons in 2013 to 3,799 tons in 2014 (Zavala et al., 2019). In 2014 anchovy landings summed 1,302 tons, leaving an available biomass of 2,497 tons for all predators and other 652 fisheries to consume, which may have posed limitations for growth and maintenance of predator 653 populations. Warmer oceanographic conditions in HCS persisted and coincided with a reduced 654 anchovy biomass from 2014 to 2017 (Zavala et al., 2019). Changes in oceanographic conditions can shrink and expand available habitat for prey items forcing new competition scenarios 655 between coexisting species. Thus, under a scenario of reduced anchovy biomass we consider it is 656 possible that prev availability is limiting population sizes at PSJ and can be responsible for the 657 658 declines observed in the juvenile and subadult age-class for both SAFS and SASL; as younger 659 individuals do not possess full diving capabilities.

660

661 Predictions for the future of HCS include scenarios with shoaling of the oxycline (Brochier et al., 662 2013), compressing the pelagic habitat that sustains the abundant biomass of small pelagic fish currently in the system (Bertrand et al., 2011). If this occurs, it will increase competition between 663 664 all the predators that depend on pelagic resources, unless they adapt foraging strategies under new conditions. Under this hypothetical predicted scenario and our findings, we suspect SASL 665 666 will be the more resilient of the two sympatric otariid species that will thrive in HCS, given its population recovery in the past 20 years. Therefore, to propose adequate management for the 667 future of these species, it is important to better understand current underlying foraging strategies 668 669 used by otariids of HCS and determine if trophic competition rules over space and time between 670 species. These studies are key to tease apart the underlying mechanisms behind the current 671 population trajectories revealed by the present study.

672

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944 Appendix A. Supplementary data.

945 Intra-annual dynamics of South American fur seals and South American sea lions by age-class.

946

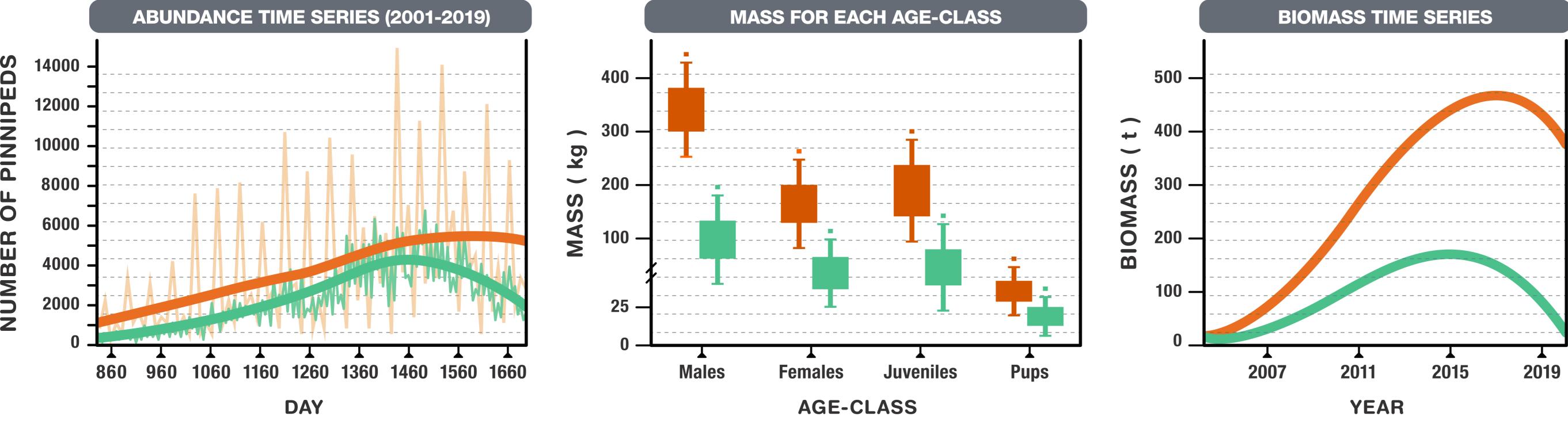
947 Multimedia Component 1.

- 948 Multi-panel plot of South American fur seals counts by age-class according to day of year
- 949 collected at the main breeding site S3 in Punta San Juan, Peru. Classes include: Adult
- 950 Females, Juveniles, Pups, Subadult Males and Territorial Males. Total is the sum of all the
- 951 age-classes. Blue line is a fitted loess model to depict the trend in abundance throughout the
- 952 year. Data points include all study years available for site.

954 Multimedia Component 2.

- 955 Multi-panel plot of South American sea lions counts by age-class according to day of year
- 956 collected at the main breeding site N7 in Punta San Juan, Peru. Classes include: Adult
- 957 Females, Juveniles, Pups, Subadult Males and Territorial Males. Total is the sum of all the
- 958 age-classes. Blue line is a fitted loess model to depict the trend in abundance throughout the
- 959 year. Data points include all study years available for site.

Information collected for 19 years in Punta San Juan, Peru. An important sympatric breeding site for pinnipeds of the Humboldt Current System.



Resource limitation may influence recent trends in Peruvian otariids





South American fur seals

