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1 **Morphological and phylogenetic data do not support the split of *Alexandrium* into four genera**

2

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85

## 86 **Abstract**

87           A recently published study analyzed the phylogenetic relationship between the genera  
88 *Centrodinium* and *Alexandrium*, confirming an earlier publication showing the genus *Alexandrium* as  
89 paraphyletic. This most recent manuscript retained the genus *Alexandrium*, introduced a new genus  
90 *Episemicolon*, resurrected two genera, *Gessnerium* and *Protogonyaulax*, and stated that: “The  
91 polyphyly [*sic*] of *Alexandrium* is solved with the split into four genera”. However, these reintroduced  
92 taxa were not based on monophyletic groups. Therefore this work, if accepted, would result in  
93 replacing a single paraphyletic taxon with several non-monophyletic ones. The morphological data  
94 presented for genus characterization also do not convincingly support taxa delimitations. The  
95 combination of weak molecular phylogenetics and the lack of diagnostic traits (i.e., autapomorphies)  
96 render the applicability of the concept of limited use. The proposal to split the genus *Alexandrium* on  
97 the basis of our current knowledge is rejected herein. The aim here is not to present an alternative  
98 analysis and revision, but to maintain *Alexandrium*. A better constructed and more phylogenetically  
99 accurate revision can and should wait until more complete evidence becomes available and there is a  
100 strong reason to revise the genus *Alexandrium*. The reasons are explained in detail by a review of the  
101 available molecular and morphological data for species of the genera *Alexandrium* and *Centrodinium*.  
102 In addition, cyst morphology and chemotaxonomy are discussed, and the need for integrative  
103 taxonomy is highlighted.

104

105 **Keywords:** taxonomy, phylogenetics, paraphyletic, saxitoxin, spirolides, harmful algal blooms

106

## 107 **Highlights**

- 108       • Morpho-molecular data do not support the split of *Alexandrium* into four genera.
- 109       • The genera *Episemicolon*, *Gessnerium*, and *Protogonyaulax* should not be used.
- 110       • A proposal to conserve *Alexandrium* against *Centrodinium* will be submitted.

111

## 112 *Introduction and aims*

113

114 The genus *Alexandrium* includes many species that have caused extensive economic and human  
115 health impacts worldwide (e.g., Anderson et al., 2012). *Alexandrium* currently encompasses 34  
116 accepted species, with *A. camurascutulum* considered invalid (Guiry in Guiry and Guiry, 2020). Of  
117 these species, 14 are known to produce paralytic shellfish toxins (PSTs) (Moestrup et al., 2009), which  
118 have caused extensive damage to aquaculture industries. The wide range of toxins produced by  
119 *Alexandrium* species, belong to four families – PSTs (saxitoxin (STX) and its derivatives), spiroidines  
120 (spirolics and gymnodimines), goniodomins (e.g., Lassus et al., 2016), and lytic compounds (e.g.,  
121 Tillmann and John, 2002; Blossom et al., 2019). The toxins with the most recognized potential for  
122 economic impact are the PSTs, which are responsible for outbreaks of paralytic shellfish poisoning  
123 (PSP), one of the most widespread harmful algal bloom (HAB)-related shellfish poisoning syndromes.  
124 PSP outbreaks can cause human illness and death from contaminated shellfish or fish, loss of wild and  
125 cultured seafood resources, impairment of tourism and recreational activities, alterations of marine  
126 trophic structure, and death of marine mammals, fish, and seabirds (Anderson et al., 2012). Symptoms  
127 of PSP in humans range from spreading numbness and tingling sensations, headache and nausea to  
128 more extreme fatal cases due to respiratory paralysis (Hallegraeff, 2003). Blooms of species such as  
129 *Alexandrium catenella*, *A. minutum*, and *A. pacificum* regularly cause losses of tens of millions of  
130 dollars to aquaculture industries in North and South America, Europe, Asia (e.g., Trainer and Yoshido,  
131 2014; Sanseverino et al., 2016), and Australia and New Zealand (e.g., Jin et al., 2008; MacKenzie,  
132 2014). For example, in late 2012, a single bloom of *A. catenella* along the east coast of Tasmania  
133 (Australia) resulted in ~AUD\$ 23 million loss to the wild harvest and aquaculture industries (Condie  
134 et al., 2019). Monitoring of *Alexandrium* cells in the water column and of toxins in shellfish is  
135 therefore critical for avoidance of adverse effects on human health (e.g., Nagai et al., 2019; EFSA,  
136 2009) and nationally and internationally standardized methods have been established to guide PSP  
137 testing (e.g., FAO Marine Biotoxins, 2004; [U.S.] National Shellfish Sanitation Program, 2017;  
138 Australian Shellfish Quality Assurance Program, 2019; Turner et al., 2019). The European Union  
139 requires all its member states to monitor coastal waters for toxin-producing plankton and toxins in

140 mussels (Directive 91/492d/EC and Commission Decision 2002/225/EC). In parallel, research on  
141 *Alexandrium* species is vigorous: since 1975, there have been 2,768 published studies that include the  
142 word *Alexandrium*, which have been cited 70,322 times, for an average of about 150 publications per  
143 year over the last 10 years (Clarivate Analytics search on Web of Science Core Collection on 20  
144 August 2020).

145 The taxonomic history of the genus *Alexandrium* is complex, and nomenclatural stability was not  
146 attained for some time, as detailed by Balech (1995, pp. 1–3) and Taylor and Fukuyo (1998). The  
147 genus *Alexandrium* was erected by Halim (1960) with the PST-producing *Alexandrium minutum* as its  
148 type. A few years later, Halim (1967) erected *Gessnerium* with *Gessnerium mochimaense* Halim as its  
149 type; this species had a pentagonal first apical (1') plate not in contact with the pore plate (Po).

150 Loeblich III and Loeblich (1979) considered *Alexandrium minutum* to be inadequately described, left  
151 it in the genus *Alexandrium* and transferred seven *Gonyaulax* species and two *Goniodoma* species into  
152 the genus *Gessnerium*. At the same time, Taylor (1979) erected *Protogonyaulax*, with *P. tamarensis* as  
153 the type species and defined it as having a 1' plate directly contacting the Po of the apical pore

154 complex, and transferred eight species of the genus *Gonyaulax* and one *Pyrodinium* species into  
155 *Protogonyaulax*. Taylor's proposal was followed by Fukuyo et al. (1985), who described two new  
156 *Protogonyaulax* species (*P. affinis* and *P. compressa*). After a detailed examination of samples from  
157 the type locality of *A. minutum* (the type species of *Alexandrium*), Balech (1989) noted that plate 1'  
158 does not necessarily directly contact the Po in this species (the same applies to *A. fraterculus* and *A.*  
159 *kutnerae*). He therefore re-established the genus *Alexandrium*, considering *Protogonyaulax* a junior  
160 synonym of *Alexandrium*. All *Protogonyaulax* and *Gessnerium* species were thus transferred to  
161 *Alexandrium* (Balech 1985, 1995) and *Gessnerium* was retained as a subgenus of *Alexandrium* for  
162 species in which plate 1' is not rhomboidal and does not contact the Po (Balech, 1995). Since Balech  
163 (1989), the consensus has been to only use the genus name *Alexandrium*. The currently accepted plate

164 formula for *Alexandrium* is Po, 4', 6'', 6c, 9–10s, 5''', 2'''' (Balech, 1980, 1995; Balech and Tangen,  
165 1985).

166 The advent of molecular approaches provided significant contributions to the circumscription  
167 of species within this important genus. Morpho-molecular studies suggested that species placed in the  
168 subgenus *Gessnerium* do not form a monophyletic group (John et al., 2003; MacKenzie et al., 2004;  
169 Kim et al., 2005; Rogers et al., 2006; Penna et al., 2008; Gu et al., 2013). More recently, a detailed  
170 study encompassing the morphology of vegetative cells, phylogenies based on multiple molecular  
171 markers, mating compatibility and presence/absence of genes coding for STX and analogues has  
172 shown that morphological characters used to identify species within the *Alexandrium tamarense*  
173 complex (*A. tamarense* and related species) were not consistent, but that molecular markers were able  
174 to delineate unambiguous species boundaries (John et al., 2014; but see Fraga et al., 2015 and Litaker  
175 et al., 2018).

176 Recently, Li et al. (2019) showed that the fusiform dinoflagellate *Centrodinium punctatum*  
177 forms a clade nesting within *Alexandrium*. Through a morpho-molecular study of two other  
178 *Centrodinium* species (*C. eminens* and *C. intermedium*), but without a re-investigation of the type, *C.*  
179 *elongatum*, Gómez and Artigas (2019) proposed to retain *Centrodinium* and subdivide the species  
180 formerly included in the genus *Alexandrium*, sharing a common thecal plate pattern, into four distinct  
181 genera, namely *Alexandrium sensu stricto* (*s.s.*) (emended diagnosis), the re-introduced genera  
182 *Gessnerium* and *Protogonyaulax*, and the new genus *Episemicolon*. Here, the data presented in Gómez  
183 and Artigas (2019) are critically discussed, and it is shown that they do not support such a drastic  
184 taxonomic rearrangement. The aim of this study is not to present an alternative analysis and revision,  
185 but to maintain the genus *Alexandrium*. Furthermore, it is emphasized to use integrative taxonomy  
186 which delimits taxa using multiple and complementary perspectives (Dayrat, 2005), including the  
187 consideration of cyst morphology in recognizing taxa (as previously suggested by Taylor and Fukuyo,  
188 1998, p. 6) and chemotaxonomy, amongst other criteria.

189

190 *The presented phylogenetic trees do not support the proposed subdivision of Alexandrium.*



191 The finding that species of *Centrodinium* nest phylogenetically in *Alexandrium* (Li et al.,  
192 2019; Gómez and Artigas, 2019) makes the latter paraphyletic. Gómez and Artigas (2019) proposed to  
193 taxonomically split *Alexandrium* into four separate genera (not including *Centrodinium*, which never  
194 belonged to *Alexandrium*). This proposal establishes a new genus *Episemicolon*, while the diagnosis of  
195 three other genera (*Alexandrium*, *Gessnerium*, and *Protogonyaulax*) was emended to group subsets of  
196 former *Alexandrium* species. The authors stated that “The polyphyly [*sic*] of *Alexandrium* is solved  
197 with the split into four genera”. Notably, *Alexandrium* was not polyphyletic, but paraphyletic. The  
198 suggestion that their proposal ‘solved’ this problem is the basis for our critique, and thus it is therefore  
199 worth evaluating the phylogenetic information presented by Gómez and Artigas (2019) in detail. Our  
200 question was: Does the data justify splitting a phylogenetically well-defined group (e.g., John et al.,  
201 2003; Rogers et al., 2006; Orr et al., 2011; Anderson et al., 2012; Baggesen et al., 2012; Gu et al.,  
202 2013; Murray et al 2015; Menezes et al., 2018; Kretzschmar et al., 2019), sharing the same Kofoidian  
203 plate tabulation, into segregate genera?

204 Gómez and Artigas (2019) presented two phylogenetic trees, Fig. 4 a phylogenetic analysis of  
205 alignments of the SSU (18S) region of the rRNA operon and Fig. 5 the D1–D2 region of the LSU  
206 (28S) region of the rRNA operon. Each alignment was analyzed using a maximum likelihood (ML)  
207 approach and clades were given with bootstrap support. There was no information on the number of  
208 base pairs analyzed, the number of informative sites, and the alignments were not made available for  
209 evaluation. These phylogenetic analyses are problematic for several reasons:

210

- 211 1. In their Fig. 5, the phylogeny using the D1–D2 regions of rRNA, which are generally ~600 bp in  
212 length, the proposed *Alexandrium s.s.* and *Protogonyaulax* are polyphyletic. In their Fig. 4, the  
213 phylogeny using (presumably) partial SSU regions of rRNA, of an unknown length, *Protogonyaulax*,  
214 *Episemicolon* and *Alexandrium s.s.* are all para- or polyphyletic. In other words, based on their own  
215 analysis, the authors propose the replacement of a single paraphyletic taxon (*Alexandrium*) with  
216 several non-monophyletic ones. The generic concepts are not substantiated by the molecular data.  
217 Thus, rather than solving the taxonomic problems, they exacerbate them.

218

219 2. There is very little genetic difference between species of *Centrodinium* and *Episemicolon*. In a  
220 comparison of sequences of *C. punctatum* in the NCBI database, a difference of < 1% and < 5% was  
221 found between it and *A. affine* in aligned sequences of SSU and LSU rRNA, which was less than the  
222 genetic differences among species of *Centrodinium*. Species that are highly similar in molecular  
223 genetic sequences of rRNA genes, with identical tabulation when considering plate homologies, need  
224 exceptionally different other autapomorphies in order to be placed in separate genera, and little  
225 evidence of this is found (see below).

226

227 3. The Gómez and Artigas (2019) phylogenies have used short alignments with too few characters and  
228 taxon information to accurately infer deeper, clade level branchings within the genus *Alexandrium*  
229 which will be stable into the future. Of the major factors that impact the accuracy of phylogenetic  
230 inference, two are particularly important: the length of aligned sequence/number of genes used, and  
231 the taxon sampling. Past studies of *Alexandrium* phylogenetics were reviewed (Table 1, John et al.,  
232 2003; Leaw et al., 2005; Rogers et al., 2006; Orr et al., 2011; Anderson et al., 2012; Baggesen et al.,  
233 2012; Gu et al 2013; Murray et al., 2014; Murray et al., 2015; Menezes et al., 2018; Gottschling et al.,  
234 2020), and this showed that clades within *Alexandrium* differed depending on gene and taxon  
235 sampling (exemplified by basal clades shown in Table 1). All else being equal, more sequence data  
236 and greater taxon sampling generally leads to more accurate and well-supported phylogenies. Murray  
237 et al. (2015) conducted an analysis of *Alexandrium* using a concatenated alignment of eight genes,  
238 with a total length of 7308 bp. The position of several of the major clades of *Alexandrium* differed in  
239 that analysis, compared to the analysis presented by Gómez and Artigas (2019). Similarly, new  
240 ribotypes are still being reported, such as *Alexandrium fragae* (Branco et al., 2020) and three new  
241 phylotypes nested within the genus (Nishimura et al., in review). It is likely that these new discoveries  
242 and longer alignments/greater gene sampling will lead to more strongly supported phylogenies than  
243 those of Gómez and Artigas (2019), whose phylogenies appear to be too weak to support taxonomic  
244 rearrangements.

245 As any taxonomic rearrangement of *Alexandrium* will potentially impact hundreds or  
246 thousands of scientists, government regulators, and the seafood industry, it is crucial that the

247 phylogenetic basis for such a change be exceptionally clear, highly stable, and reproducible by other  
248 scientists. However, this has not occurred in this case. Gómez and Artigas (2019) have not provided  
249 access to their alignment or details of their analytical output. Dinoflagellate lineages display major  
250 differences in evolutionary rates in ribosomal RNA genes, particularly in the gonyaulacoid lineage.  
251 Examples of this can be seen in Orr et al. (2012), Gu et al. (2013) and Gottschling et al. (2020), in  
252 which the species of the Gonyaulacales are generally present on much longer branches than most other  
253 clades of dinoflagellates, a difference not seen in analyses using nuclear genes (e.g., Kretzschmar et  
254 al., 2019). While Gómez and Artigas (2019) selected a potentially suitable model for their ML  
255 analyses, inclusion of divergent taxa can still lead to misplacement of taxa/clusters, with high support  
256 values, due to various long-branch effects (Kück et al., 2012). No phylogeny-testing (such as leave-  
257 one-out testing and jackknifing by species/clusters) apart from the bootstrap support was used to  
258 determine whether the branch order of taxa/clusters was stable or unaffected by long-branch artifacts.

259

260 *The morphological concepts of the reinstated and emended genera proposed by Gómez and Artigas*  
261 *(2019) have little taxonomic value*

262 As mentioned in the introduction, *Protogonyaulax* was described by Taylor (1979) as having a  
263 plate 1' in contact with the Po. As remarked by Balech (1989, p. 210) for the type species of

264 *Alexandrium*, *A. minutum*, as well as for *A. fraterculus* and *A. kutnerae*, this feature is variable, and the  
265 plate 1' can have an indirect contact with the Po through a filiform prolongation of plate 1'

266 (*Alexandrium acatenella*, *A. andersonii*, *A. ostefeldii*, *A. tropicale* are additional examples; Balech,  
267 1995). Gómez and Artigas (2019) considered species exhibiting only a direct contact of plate 1' to the

268 Po as belonging to their emended concept of *Protogonyaulax*, and species with direct or indirect  
269 contact (presumably meaning displaying both types) as belonging to their emended genus

270 *Alexandrium*. However, when reviewing the literature, it is clear that there is no consistency with the  
271 application of both genus concepts (Table 2); furthermore, the high variability of the contact between

272 plate 1' and Po within *Alexandrium* species speaks against considering this as a reliable taxonomic

273 character. In addition, Gómez and Artigas (2019) describe the 6'' plate as being “usually narrow” in  
274 *Alexandrium s.s.*, however, this is not so in *A. insuetum*, *A. ostenfeldii*, and *A. tamutum*, species which  
275 are retained in *Alexandrium s.s.* in their proposed phylogeny. Finally, the posterior sulcal plate (Sp) of  
276 the emended genus *Protogonyaulax* is longer than wide, and in *Alexandrium s.s.* wider than long, but  
277 the Sp of *A. leei*, included in *Protogonyaulax*, is wider than long and in *A. margalefii*, included in  
278 *Alexandrium s.s.*, longer than wide with an extremely oblique anterior margin (Balech, 1995). In  
279 summary, the considerations of Balech (1995) which support synonymization of *Protogonyaulax* with  
280 *Alexandrium*, still stand.

281         The reinstated genus *Gessnerium* also presents problems. Gómez and Artigas (2019) included  
282 within this genus species with a pentagonal plate 1' not in contact with the Po. They excluded three  
283 species with a plate 1' not in contact with the Po from their concept of *Gessnerium*: *A. insuetum*, which  
284 they included in *Alexandrium s.s.* despite the fact that this species has a pentagonal 1' plate that does  
285 not touch the Po (Balech, 1995, Plate XVII, Figs. 1–23), and *A. pohangense* and *A. margalefii*, which  
286 were not formally attributed to any genus, although were assigned to the *Alexandrium s.s.* clade in  
287 their Fig. 4. The authors considered the quadrangular plate 1' of *A. pohangense* and *A. margalefii* as a  
288 unique character distinguishing them from the other *Gessnerium*. However, the plate 1' in *A.*  
289 *pohangense* has a short suture with plate 2' and can therefore be considered pentagonal (Lim et al.,  
290 2015, their Fig. 4B), and such a short suture can also be observed in *A. balechii* and *A. foedum*  
291 according to Balech (1995, p. 103), which were classified as *Gessnerium* by Gómez and Artigas  
292 (2019). Within *A. taylorii*, the plate 1' is known to vary between a quadrangular and pentagonal shape  
293 (Delgado et al., 1997). The infraspecific variability of the shape of this plate indicates that it cannot be  
294 used as a diagnostic character at the genus level (Table 2). Finally, the Sp of the emended genus  
295 *Gessnerium* is longer than wide and extending obliquely, but in *A. monilatum*, which is included in  
296 this genus, the Sp is rhomboid (Balech, 1995).

297           The main diagnostic character of the new genus *Episemicolon* is the presence of an anterior  
298 attachment pore placed on the dorsal side of the apical pore plate. However, in *A. gaarderae* the  
299 anterior attachment pore is defined as semi-dorsal (Larsen and Nguyen-Ngoc, 2004) and in *A.*  
300 *monilatum*, included in *Gessnerium*, the anterior attachment pore is slightly to the right of the dorsal  
301 side (Balech, 1995). Moreover, *A. concavum*, which also has a semi-dorsal attachment pore (Larsen  
302 and Nguyen-Ngoc, 2004) was placed in *Gessnerium* by Gómez and Artigas (2019). There is presently  
303 insufficient evidence to accept the location of the anterior attachment pore in the apical pore plate as a  
304 diagnostic character to separate these taxa at the generic level from other *Alexandrium* species. In  
305 addition, Gómez and Artigas (2019) claim that the shape of the apical pore of *Episemicolon* is unique  
306 because it is “oval or bullet” (their Table 1, as “Shape of apical pore plate”) which is incorrect because  
307 it is comma-shaped (Larsen and Nguyen-Ngoc, 2004), just like other *Alexandrium* species.

308           The tabulation of the genus *Centrodinium*, as displayed in *Centrodinium punctatum*, is  
309 identical to that of *Alexandrium* when taking into account plate homologies (Li et al., 2019). It can not  
310 be excluded that some of the differences listed by Gómez and Artigas (2019) such as the shape of the  
311 apical pore, the presence of a pore in the anterior sulcal plate, etc., could be sufficient to separate this  
312 taxon on a subgeneric level. More detailed morphological information on the type species of  
313 *Centrodinium*, *C. elongatum*, is however required. The large variation in tabulation reported in species  
314 of *Centrodinium* by Hernández-Becerril et al. (2010, see their Table 1 for a summary) indicates that  
315 further investigation into this genus is needed to properly report on its phylogenetic placement.

316           In summary, the morphological concepts used to separate the reinstated genera from  
317 *Alexandrium s.s.* are highly variable and insufficient to justify a split of the genus *Alexandrium*. There  
318 is also insufficient morphological evidence to decide whether *Episemicolon* and *Centrodinium* warrant  
319 separate generic names.

320

321 *The cyst morphology does not support the new genera*

322           Cysts of *Alexandrium* are morphologically diverse (Table 2) and different from cysts of  
323 closely related genera, such as *Pyrodinium*, which has process-bearing cysts (e.g., Wall and Dale,  
324 1968, pp. 102–103) or *Fragilidium*, which has a very thick layer of mucus (12–18 µm; Owen and

325 Norris, 1985). Cyst morphology can serve to subdivide genera, as has been proposed for the genus  
326 *Protoperidinium* (Harland, 1982). Because cysts are well-known within the genus *Alexandrium* (e.g.,  
327 Bolch et al., 1991; Matsuoka and Fukuyo, 2000; Bravo et al., 2006), they should be taken into account  
328 for the best possible integrative taxonomy. Since there is no consistent cyst morphology that can be  
329 associated with any of the genera proposed by Gómez and Artigas (2019), cyst morphology also does  
330 not unambiguously support the subdivision of *Alexandrium* into these genera.

331

332 *There is no evident relationship of the proposed genera to toxin production (chemotaxonomy).*

333 Toxin production has long been considered to be a character independent of chemical taxonomy  
334 because the same toxins have been described in very distantly related dinoflagellate genera, e.g.,  
335 okadaic acid in *Prorocentrum* spp. and *Dinophysis* spp., STX or analogs in *Alexandrium* spp.,  
336 *Gymnodinium catenatum*, *Pyrodinium bahamense* as well as in several cyanobacterial species (e.g.,  
337 *Aphanizomenon flosaquae* and *Lyngbya wollei*), or domoic acid which is produced in several diatom  
338 genera of *Pseudo-nitzschia* and *Nitzschia*, as well as in the macroalga *Chondria armata*.

339 For the genera in question here, three toxin groups are worth considering for chemotaxonomy:  
340 saxitoxins (STXs), spiroimines (spiroptides and gymnodimines) and goniodomins. Based on John et al.  
341 (2014), Murray et al. (2015), Lassus et al. (2016), Lugliè et al. (2017), and Branco et al. (2020), STX  
342 or analogs are produced by 14 *Alexandrium* species (*A. acatenella*, *A. affine*, *A. andersonii*, *A.*  
343 *australiense*, *A. catenella*, *A. cohorticula*, *A. fragae*, *A. leei*, *A. minutum*, *A. ostenfeldii*, *A. pacificum*,  
344 *A. tamarensis*<sup>1</sup>, *A. tamiyavanichii*, *A. taylorii*), which do not form a clear monophyletic cluster (Murray  
345 et al., 2015, Fig. 1). Due to the spread of STX-production across a range of phylogenetically different  
346 *Alexandrium* species, STX-production in this genus appears to be very common but it is not clear  
347 whether it should be considered a coherent taxonomic feature for this genus. The increasing number of  
348 STX analogues should be systematically re-verified in a large number of geographically diverse  
349 strains, with the limits of detection (LOD) and quantification (LOQ) provided.

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<sup>1</sup> Following the taxonomic concept of *A. tamarensis* of John et al. (2014), only one strain of *A. tamarensis* is currently considered to produce low amounts of gonyautoxins (Lugliè et al., 2017).

350 Spiroimines are solely known to be produced by *Alexandrium ostenfeldii* (= *Alexandrium*  
351 *peruvianum*; Kremp et al., 2014; Zurhelle et al., 2018).

352 Goniodomins have been reported to be produced by *Alexandrium monilatum*, *Alexandrium*  
353 *hiranoi* and *Alexandrium pseudogonyaulax* (Harris et al., 2020).

354 Overall, it should be noted that many papers only report positive presence of toxins in a strain  
355 but not the LOD or LOQ for those analogues that were not discovered. There are few studies  
356 systematically reporting comparative presence of analogues in a wide range of species, e.g. Wiese et  
357 al. (2010), for STX group toxins. However, spiroclides have not been systematically searched in most  
358 *Alexandrium* species and goniodomins have been largely overlooked other than in the three species  
359 mentioned above.

360

### 361 *Conclusions*

362 The data presented by Gómez and Artigas (2019) are insufficiently robust to form the basis on  
363 which to subdivide species of the genus *Alexandrium* into four different genera, and maintain  
364 *Centrodinium*. Resolving consistent generic-level clusters within the genus *Alexandrium* and across  
365 the gonyaulacoids more generally, would require additional detailed morphological re-investigations  
366 and more extensive multigene phylogenies, with careful attention to rigorous testing of taxon sampling  
367 effects, branching order stability, long-branch effects, and careful selection of appropriate multiple  
368 outgroups for rooting local versus global dinoflagellate phylogenies. A secondary structure analysis of  
369 a more expanded dataset could also be beneficial. Inclusion of cyst morphology and chemotaxonomic  
370 information should also be strived for. There is an extensive literature on *Alexandrium* species and this  
371 is a very active area of research. If the proposal of Gómez and Artigas (2019) is adopted there will be  
372 considerable disruption and confusion to this field of study.

373 Therefore, here it is recommended to continue using the generic name *Alexandrium* for  
374 species of this complex, and to refrain from using *Protogonyaulax*, *Gessnerium*, and *Episemicolon*.  
375 The proposals by Gómez and Artigas (2019), if adopted, would introduce taxonomical instability into  
376 this group of species. A proposal to conserve *Alexandrium* against *Centrodinium* will be submitted to  
377 the International Nomenclature Committee for Algae (INA) in parallel to this note. The nomenclatural

378 stability has particular importance as many species of *Alexandrium* cause harmful algal blooms and  
379 produce potent biotoxins. In addition to the biological scientific community the generic name  
380 *Alexandrium* is used also by chemists, medical scientists such as toxicologists, veterinarians, seafood  
381 safety regulators, fisheries and aquaculture industry personnel, administrators, and environmental and  
382 fisheries policy makers as outlined by Litaker et al. (2018). Furthermore, *Alexandrium* species are an  
383 important component of planktonic assemblages and taxonomic changes can create confusion for  
384 climate change studies and interpretations of long-term data sets. Finally, it is recommended that  
385 morphological criteria used to separate taxa are unambiguous and leave no room for doubt in the  
386 attribution of taxa (cf. paragraph 1 of the preamble of the ICN, Turland et al., 2018), that authors make  
387 their alignments freely available to allow for coherent progress in the field, and that authors strive for  
388 integrative taxonomy (Dayrat, 2005). Conservation of taxon names has been promoted across all  
389 organisms, to avoid taxonomic anarchy (Garnett and Christidis, 2017). As such, taxonomists should  
390 aim to conserve original names as much as possible and new taxa and combinations should only be  
391 created when robust morpho-molecular data obliges it (cf. paragraph 12 of the preamble of the ICN,  
392 Turland et al., 2018).

393

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399

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Table 1: A summary of published *Alexandrium* phylogenies carried out with different DNA regions; reference of the study, figure number in that study, basal species mentioned (question marks denote uncertainty), and accessory notes. ND: the basal species cannot be determined because the authors chose *Alexandrium* species as the outgroup.

DNA region	Reference	Fig	Basal species	Note
SSU	Gómez and Artigas (2019)	Fig. 4	<i>A. satoanum</i> , <i>A. monilatum</i> , <i>A. taylorii</i> , <i>A. pseudogonyaulax</i>	
	John et al. (2003)	Fig. 1	<i>A. taylorii</i>	
	Rogers et al. (2006)	Fig. 2	<i>A. monilatum</i> , <i>A. taylorii</i>	
	Li et al. (2019)	Fig. S1	<i>A. pseudogonyaulax</i>	
LSU	Orr et al. (2011)	Fig. 1	<i>A. minutum</i> , <i>A. insuetum</i> , <i>A. ostenfeldii</i> , <i>A. tamutum</i> , <i>A. leei</i> ?	
	Li et al. (2019)	Fig. S2	<i>A. minutum</i> , <i>A. ostenfeldii</i> , <i>A. andersonii</i> , <i>A. tamutum</i> , <i>A. insuetum</i> , <i>A. pseudogonyaulax</i> ?	
LSU D1/D2	Gómez and Artigas (2019)	Fig. 5	<i>A. leei</i>	
	John et al. (2003)	Fig. 1	<i>A. taylorii</i>	
	Leaw et al. (2005)	Fig. 2	<i>A. leei</i>	<i>Pyrodinium</i> nests within <i>Alexandrium</i>
	Anderson et al. (2012)	Fig. 1	<i>A. leei</i> (+ <i>A. satoanum</i> , <i>A. pseudogonyaulax</i> , <i>A. hiranoi</i> , <i>A. taylorii</i> )?	
	Baggesen et al. (2012)	Fig. 3	ND	
	Gu et al. (2013)	Fig. 5	<i>A. insuetum</i> , <i>A. minutum</i> , <i>A. tamutum</i> , <i>A. ostenfeldii</i> , <i>A. margalefii</i> , <i>A. leei</i> ?	
	Menezes et al. (2018)	Fig. 5	ND	
ITS region	Gu et al. (2013)	Fig. 5	<i>A. leei</i>	
	Menezes et al. (2018)	Fig. 4	<i>A. margalefii</i>	
	Li et al. (2019)	Fig. S3	<i>A. pseudogonyaulax</i>	
SSU+ITS region+LSU	Orr et al. (2011)	Fig. 2	<i>A. ostenfeldii</i> , <i>A. andersonii</i> , <i>A. tamutum</i> , <i>A. leei</i> , <i>A. insuetum</i> ?	
	Murray et al. (2014)	Fig. 8	<i>A. hiranoi</i> , <i>A. pseudogonyaulax</i> , <i>A. taylorii</i> , <i>A. monilatum</i> , <i>A. satoanum</i>	
	Gottschling et al. (2018)	Fig. 4	<i>A. margalefii</i>	<i>Coolia</i> nests within <i>Alexandrium</i>
SSU+ITS region+LSU+cox1+cob+hsp90	Li et al. (2019)	Fig. 10	<i>A. pseudogonyaulax</i>	
SSU+ITS region+LSU+actin+beta-tubulin+cytochrome b+cox1+hsp90	Murray et al. (2015)	Fig. 8	<i>A. insuetum</i> , <i>A. ostenfeldii</i> , <i>A. andersonii</i> , <i>A. tamutum</i> , <i>A. minutum</i> , <i>A. margalefii</i> , <i>A. leei</i> , <i>A. diversaporum</i>	

Table 2: Summary of characters discussed in the text: name of taxon used in Gómez and Artigas (2019), species name, contact between plate 1' and Po, shape of plate 1', and resting cyst morphology. 1 = Balech, 1995; 2 = Balech, 1989; 3 = Lim et al., 2015; 4 = Montresor et al., 2004; 5 = John et al., 2014; 6 = Murray et al., 2014; 7 = MacKenzie and Todd, 2002; 8 = Gaarder, 1954; 9 = Larsen and Nguyen-Ngoc, 2004; 10 = Yuki and Fukuyo, 1992; 11 = Li et al., 2019; 12 = Fukuyo, 1985, as *A. catenella*; 13 = Delgado et al., 1997; 14 = Montresor et al., 1998; 15 = Shin et al., 2014; 16 = Bravo et al., 2006; 17 = Bolch et al., 1991; 18 = Kremp et al., 2009; 19 = Montresor et al., 2004; 20 = Yoshida et al., 2003; 21 = Anderson and Wall, 1978; 22 = Fukuyo and Pholpuntin, 1990a; 23 = Nagai et al., 2009; 24 = Fukuyo and Pholpuntin, 1990b; 25 = Nagai et al., 2003; 26 = Fukuyo and Inoue, 1990; 27 = Garret et al., 2011; 28 = Kita et al., 1993; 29 = Walker and Steidinger 1979; 30 = Montresor et al., 1993. 31 = Branco et al., 2020. \* = not all strains produce saxitoxins. # = most strains do not produce saxitoxins. & = although also placed in *Alexandrium* s.s. by Gómez and Artigas (2019).

Taxon used in Gómez and Artigas (2019)	Species name	Contact between plate 1' and Po	Shape of plate 1'	Resting cyst morphology
<i>Alexandrium</i> s.s.	<i>A. andersonii</i>	Direct or indirect <sup>1</sup>	Pentagonal <sup>1</sup>	Spherical <sup>14</sup>
<i>Alexandrium</i> s.s.	<i>A. diversaporum</i>	Direct <sup>6</sup>	Pentagonal <sup>6</sup>	Spherical <sup>6</sup>
<i>Alexandrium</i> s.s.	<i>A. insuetum</i>	None <sup>1</sup>	Pentagonal <sup>1</sup>	Spherical <sup>15</sup>
<i>Alexandrium</i> s.s.	<i>A. margalefii</i>	None <sup>1</sup>	Quadrangular <sup>1</sup>	Spherical <sup>16</sup>
<i>Alexandrium</i> s.s.	<i>A. minutum</i>	Direct or indirect <sup>2</sup>	Pentagonal <sup>1</sup>	Discoid <sup>17</sup>
<i>Alexandrium</i> s.s.	<i>A. ostenfeldii</i>	Direct or indirect <sup>1</sup>	Pentagonal <sup>1</sup>	Spherical <sup>18</sup>
<i>Alexandrium</i> s.s.	<i>A. pohangense</i>	None <sup>3</sup>	Pentagonal <sup>3</sup>	-
<i>Alexandrium</i> s.s.	<i>A. tamutum</i>	Direct <sup>4</sup>	Pentagonal <sup>4</sup>	Discoid <sup>19</sup>
<i>Protogonyaulax</i>	<i>A. acatenella</i>	Direct or indirect <sup>2</sup>	Pentagonal <sup>1</sup>	Ellipsoidal <sup>20</sup>
<i>Protogonyaulax</i>	<i>A. australiense</i>	Direct <sup>5</sup>	Pentagonal <sup>5</sup>	Ellipsoidal <sup>5</sup>
<i>Protogonyaulax</i>	<i>A. catenella</i>	Direct <sup>1</sup>	Pentagonal <sup>1</sup>	Ellipsoidal <sup>21</sup>
<i>Protogonyaulax</i>	<i>A. cohorticula</i>	Direct <sup>1</sup>	Pentagonal <sup>1</sup>	Ellipsoidal <sup>22</sup>
<i>Protogonyaulax</i>	<i>A. compressum</i>	Direct <sup>1</sup>	Pentagonal <sup>1</sup>	-
<i>Protogonyaulax</i>	<i>A. fraterculus</i>	Direct or indirect <sup>2</sup>	Pentagonal <sup>1</sup>	Spherical to ovoid <sup>23</sup>
<i>Protogonyaulax</i>	<i>A. kutnerae</i>	Direct or indirect <sup>2</sup>	Pentagonal <sup>1</sup>	Ellipsoidal <sup>16</sup>
<i>Protogonyaulax</i> &	<i>A. leei</i>	Direct <sup>1</sup>	Pentagonal <sup>1</sup>	Spherical <sup>24</sup>
<i>Protogonyaulax</i>	<i>A. mediterraneum</i>	Direct <sup>5</sup>	Pentagonal <sup>5</sup>	Ellipsoidal <sup>5</sup>
<i>Protogonyaulax</i>	<i>A. pacificum</i>	Direct <sup>5</sup>	Pentagonal <sup>12</sup>	Ellipsoidal <sup>12</sup>
<i>Protogonyaulax</i>	<i>A. tamarensis</i>	Direct <sup>1</sup>	Pentagonal <sup>1</sup>	Ellipsoidal <sup>21</sup>
<i>Protogonyaulax</i>	<i>A. tamiyavanichii</i>	Direct <sup>1</sup>	Pentagonal <sup>1</sup>	Spherical <sup>25</sup>
<i>Protogonyaulax</i>	<i>A. tropicale</i>	Direct or indirect <sup>1</sup>	Pentagonal <sup>1</sup>	-
<i>Episemicolon</i>	<i>A. affine</i>	Direct <sup>1</sup>	Pentagonal <sup>1</sup>	Spherical <sup>26</sup>
<i>Episemicolon</i>	<i>A. gaarderae</i>	Direct <sup>1</sup>	Pentagonal <sup>1</sup>	-
<i>Gessnerium</i>	<i>A. balechii</i>	None <sup>1</sup>	Pentagonal <sup>1</sup>	Spherical <sup>27</sup>
<i>Gessnerium</i>	<i>A. camurascutulum</i>	None <sup>7</sup>	Pentagonal <sup>7</sup>	-
<i>Gessnerium</i>	<i>A. concavum</i>	None <sup>8</sup>	Pentagonal <sup>8</sup>	-
<i>Gessnerium</i>	<i>A. foedum</i>	None <sup>1</sup>	Pentagonal <sup>1</sup>	-
<i>Gessnerium</i>	<i>A. globosum</i>	None <sup>9</sup>	Pentagonal <sup>9</sup>	-
<i>Gessnerium</i>	<i>A. hiranoi</i>	None <sup>1</sup>	Pentagonal <sup>1</sup>	Discoid <sup>28</sup>
<i>Gessnerium</i>	<i>A. monilatum</i>	None <sup>1</sup>	Pentagonal <sup>1</sup>	Spherical to ovoid <sup>29</sup>
<i>Gessnerium</i>	<i>A. pseudogonyaulax</i>	None <sup>1</sup>	Pentagonal <sup>1</sup>	Spherical, paratabulate <sup>30</sup>
<i>Gessnerium</i>	<i>A. satoanum</i>	None <sup>10</sup>	Pentagonal <sup>10</sup>	-
<i>Gessnerium</i>	<i>A. taylorii</i>	None <sup>1</sup>	Quadrangular-Pentagonal <sup>13</sup>	Spherical to discoid <sup>16</sup>
Not listed	<i>A. depressum</i>	Direct or indirect <sup>8</sup>	Pentagonal <sup>8</sup>	-
Not listed	<i>A. fragae</i>	Direct or indirect <sup>31</sup>	Pentagonal <sup>31</sup>	-
<i>Centrodinium</i>	<i>C. punctatum</i>	Direct <sup>11</sup>	Quadrangular <sup>11</sup>	-