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1 **Non-precious metal cathodes for anion exchange membrane fuel cells from**
2 **ball-milled iron and nitrogen doped carbide-derived carbons**

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13 **Abstract**

14 Iron and nitrogen doping of carbon materials is one of the promising pathways towards
15 replacing Pt/C in polymer electrolyte fuel cell cathodes. Here, we show a synthesis method to
16 produce highly active non-precious metal catalysts and study the effect of synthesis
17 parameters on the oxygen reduction reaction (ORR) activity in high-pH conditions. The
18 electrocatalysts are prepared by functionalizing silicon carbide-derived carbon (SiCDC) with
19 1,10-phenanthroline, iron(II)acetate and, optionally polyvinylpyrrolidone, by ball-milling
20 with ZrO₂ in dry or wet conditions, followed by pyrolysis at 800 °C. The catalysts are
21 characterized by scanning and transmission electron microscopy, X-ray photoelectron
22 spectroscopy, X-ray absorption spectroscopy, N₂ physisorption and inductively coupled
23 plasma mass spectrometry. By optimizing the ball-milling conditions, we achieved a
24 reduction in the size of SiCDC grains from >1 μm to 200 nm without negatively affecting the

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1 high BET area of catalysts derived from SiCDC. This resulted in increased ORR activity in
2 both rotating disk electrode and anion exchange membrane fuel cell (AEMFC) environments,
3 and improved mass-transport properties of the cathode layer in fuel cell. The ORR activity at
4 0.9 V in AEMFC of the optimized iron and nitrogen-doped SiCDC reaches 52 mA cm⁻²,
5 exceeding that of a Pt/C cathode at 36.5 mA cm⁻².

6 **Keywords:** oxygen reduction, electrocatalysis, carbide-derived carbon, Fe-N_x site, ball-
7 milling, anion exchange membrane fuel cell

8

9 **1. Introduction**

10 Creating a carbon neutral energy economy while retaining the current lifestyle is one of the
11 most important challenges for the 21st century. Polymer electrolyte membrane fuel cells have
12 emerged as one of the pathways towards reducing the CO₂ emissions from automotive
13 applications [1]. In such a fuel cell, the energy from a hydrogen molecule is converted cleanly
14 into electrical energy with water being the only product [2]. Much work has been done in
15 developing proton exchange membrane fuel cells, where the environment is inherently acidic.
16 The main challenge in this field is to reduce the amount of platinum needed to catalyze the
17 sluggish oxygen reduction reaction (ORR) taking place on the cathode, or to replace it
18 entirely with non-precious metal catalysts (NPMCs) [3,4]. Recently, the emergence of highly
19 conductive anion exchange membranes (AEM) has boosted the research of AEM fuel cells
20 (AEMFC), where the pH is elevated [5–10]. In such conditions, the ORR proceeds much
21 faster and thus other, cheaper catalysts can rival or even exceed the activity of platinum-based
22 ones [11,12]. One of the best candidates so far is the class of NPMCs based on iron and
23 nitrogen co-doped nanocarbon materials, labelled FeNC [13–17]. FeNC catalysts can, in turn,
24 be classified into two main categories, depending on the type of Fe-based active sites: Fe-N_x
25 single metal-atom sites, where the iron atom is coordinated to multiple (usually 4) nitrogen

1 atoms [18–22], or metallic iron and/or iron carbide particles covered by nitrogen-doped
2 carbon layers, labelled NC@Fe [11,23–28]. When FeNC catalysts contain exclusively Fe-N_x
3 sites, they are sometimes referred to as single atom catalysts (SAC) [29]. Often, however,
4 pyrolyzed FeNC catalysts comprise both NC@Fe and Fe-N_x sites, in widely different
5 proportions depending on the synthesis, total Fe content and/or subsequent leaching steps.
6 The Fe-N_x moieties are known to catalyze the ORR mostly via a direct four-electron pathway,
7 while the NC@Fe sites reduce oxygen mostly via a 2+2 electron pathway with HO₂⁻ being
8 the intermediate product of the ORR in alkaline conditions [11,23,24,30]. Recently, the
9 electron density on the central metal atom was found to be a key descriptor for the activity of
10 SACs [31], while the number of carbon overlayers on top of the iron/iron carbide particles has
11 been shown to affect the intrinsic activity of NC@Fe structures. In addition, carbon atoms
12 next to nitrogen atoms doped into the carbon structure also have significant activity towards
13 the ORR, especially in alkaline conditions, with graphitic-N (nitrogen doped into a six-
14 member carbon ring bound to three carbons) and pyridinic-N (nitrogen doped into a six-
15 member carbon ring bound to two carbon atoms) being the most beneficial [20,32–34]. Long-
16 range effects have also been shown to play an important role in the intrinsic ORR activity of
17 NC@Fe and Fe-N_x sites, such as the basicity and degree of graphitization of the N-doped
18 carbon matrix in which these sites are embedded [18,35–37]. In addition to these descriptors
19 controlling the ORR activity, the porous structure of the catalyst has a profound effect on the
20 fuel cell performance. When the latter operates at high current densities not only the ORR
21 kinetics, but also Ohmic losses and especially O₂ mass-transfer losses become important.
22 While micropores are needed in order to reach a high density of Fe-N_x active sites [19,38] a
23 proper balance between micropores and macropores in the FeNC active layer is crucial for
24 efficient mass-transport [39–41].

1 Carbide-derived carbons (CDC) are carbon materials made by high-temperature chlorination
2 of metal carbides and have the advantage compared to other high-area carbon materials
3 offering a control over the pore size distribution (micropore size in particular) [42,43].
4 Several CDC-based catalysts have already shown significant ORR catalytic activity in acidic
5 [44–46] or alkaline conditions [26,47]. However, due to the initial particle size of inexpensive
6 carbide precursors, the particle size of the final catalysts shown in the literature to date
7 (average particle diameter >500 nm) has been larger than desired for fuel cell purposes [44].
8 We have previously studied carbide-derived carbons prepared from Ti, Mo, B, and Si carbides
9 [44,46,48,49], with SiCDC-based catalysts giving very good results in acidic media [49].
10 Inspired by this, we intend to explore the activity of SiCDC-based catalysts in alkaline media
11 and also in an AEMFC.

12 In this study, we show a method for drastically reducing the particle size of CDC-based
13 catalysts during the synthesis and doping procedure while retaining a high porosity. The CDC
14 materials are functionalized with 1,10-phenanthroline (Phen) and iron(II) acetate using ball-
15 milling before pyrolysis. It is shown that, in the process, ball-milling not only disperses the Fe
16 and N precursors in CDC but also reduces the CDC particle size, leading to reduced particle
17 size in the final FeNC catalysts. The importance of optimizing the milling step is
18 demonstrated for such CDCs to maximize the electrocatalytic ORR activity.

19

20 **2. Materials and methods**

21 *2.1. Synthesis of iron and nitrogen doped catalysts*

22 Silicon carbide-derived carbon (SiCDC) was supplied by Skeleton Technologies OÜ
23 (Estonia). The SiCDC material (200 mg) was weighed and poured into a ZrO₂ crucible, which
24 contained also either 50 ZrO₂ balls of 5 mm diameter or 20 g of ZrO₂ beads of 0.5 mm
25 diameter. After that, 50 mg of 1,10-phenanthroline (99%, Acros Organics) and 4 mg of

1 iron(II) acetate (95%, Sigma-Aldrich) was added. After this, the crucible was sealed and
2 rotated in a planetary ball-mill (Fritsch Pulverisette 7) at either 100, 200, 400 or 800 rpm with
3 4 consecutive cycles of 30 min segments and 5 min cool-down periods between each cycle.
4 The catalysts are labelled FeN-SiCDC-x-y, where x is the diameter (in mm) of the ZrO₂ beads
5 and y is the rotation rate of the ball-miller (in rpm). Some materials were ball-milled in the
6 presence of ethanol and these have the suffix (-wet) added to the catalyst name. The steps
7 were as described above, except that 4 ml of ethanol was added, just before sealing the
8 crucible. For one down-selected catalyst (FeN-SiCDC-0.5-400-wet-PVP), 20 mg
9 polyvinylpyrrolidone (PVP) and 4 ml ethanol were added in order to further enhance the ball-
10 milling process. After the ball-milling, all catalyst precursors were sieved to remove the balls,
11 dried and flash pyrolyzed at 800 °C for 1 h in inert atmosphere and quickly removed from the
12 heating zone afterwards.

13

14 ***2.2. Physical characterization of FeN-SiCDC catalysts***

15 Morphology and composition of the catalytic materials were studied with a high-resolution
16 scanning electron microscope (HR-SEM) Helios Nanolab 600 (FEI) and a high-resolution
17 (scanning) transmission electron microscope (HR-(S)TEM) Titan 200, equipped with an
18 energy dispersive X-ray (EDX) Super X detector system (FEI), respectively. The SEM
19 worked with 10 kV and (S)TEM 200 kV primary electron beams, studying the catalytic
20 powder as-received or after their deposition from a suspension to a TEM sample Cu-grid
21 covered by a lacey film, for SEM and (S)TEM analyses, respectively. The bulk content of Fe
22 in the synthesized catalysts was measured using inductively coupled plasma mass
23 spectrometry (ICP-MS, Agilent 8800 ICP-MS/MS). More details on the method are available
24 in our previous work [44].

1 The N₂ adsorption/desorption analysis was performed at 77 K using the NOVAtouch LX2
2 analyzer (Quantachrome Instruments). The surface area (S_{dft}) and pore size distribution (PSD)
3 were calculated from N₂ isotherms using a quenched solid density functional theory (QSDFT)
4 equilibrium model for slit-type pores. The total pore volume (V_{tot}) was derived at P/P_0 of 0.97
5 and the specific surface area (S_{BET}) was estimated with the Brunauer-Emmett-Teller (BET)
6 theory in the interval of P/P_0 values appropriate for microporous materials [50].

7 X-ray photoelectron spectroscopy (XPS) measurements were conducted using a SCIENTA
8 SES 100 electron spectrometer. For excitation, the Mg K_α radiation (1253.6 eV) from the non-
9 monochromatic twin anode X-ray tube (Thermo XR3E2) was used. All XPS spectra were
10 acquired under ultrahigh-vacuum conditions. The survey scan was collected using the
11 following parameters: energy range, 0–1000 eV; pass energy, 200 eV; step size, 0.5 eV; step
12 duration, 1 s. To measure core-level XPS spectra in the N 1s region, the following parameters
13 were used: energy range 390–410 eV; pass energy 200 eV; step size 0.2 eV; step duration 1 s;
14 number of scans at least 20. The raw data were processed using the Casa XPS software
15 (version 2.3.17). Data processing involved removal of K_α and K_β satellites, correction with
16 electron spectrometer transmission function and peak fitting using the Gauss–Lorentz hybrid
17 function GL 70 (Gauss 30%, Lorentz 70%) and Shirley-type backgrounds.

18 X-ray absorption spectroscopy (XAS) data were collected at the Fe K-edge in fluorescence
19 geometry at the SAMBA beamline of the synchrotron SOLEIL (France) using a sagittally
20 bent double crystal Si(220) monochromator and a Canberra 35-elements germanium detector.
21 Data treatment and linear combination fitting were performed with the Athena software [51].

22

23 ***2.3. Electrode preparation and electrochemical characterization***

24 The electrocatalytic activity of the FeN-SiCDC catalysts was measured with the rotating disk
25 electrode (RDE) method. The catalyst materials were dispersed in ethanol into which 1 μl of 2

1 wt.% aQAPS S-14 ionomer solution (Hephas Energy, China) was added per mg of catalyst.
2 The suspension with a concentration of $2 \text{ mg}_{\text{FeNC}} \text{ cm}^{-3}$ was then coated onto a glassy carbon
3 (GC, GC-20SS, Tokai Carbon, Japan) tip of 0.2 cm^2 geometric area in a sequence of $1 \text{ }\mu\text{l}$,
4 then 2 times $2 \text{ }\mu\text{l}$ and then 4 times $4 \text{ }\mu\text{l}$, giving a total loading of $200 \text{ }\mu\text{g cm}^{-2}$. The electrodes
5 were dried using rotation and inserted into an electrochemical cell containing O_2 -saturated
6 (99.999%, AGA) 0.1 M KOH solution. A saturated calomel electrode (SCE) connected via
7 Luggin's capillary served as the reference electrode and a glassy carbon rod was used as the
8 counter electrode. All the potentials in this work are however reported vs. the reversible
9 hydrogen electrode (RHE) and the conversion from SCE to RHE was made by calibrating the
10 reference electrode used. The potential was converted with the following formula: $E_{\text{RHE}} =$
11 $E_{\text{SCE}} + 1.008 \text{ V}$.

12 The catalyst-coated electrodes were pre-conditioned via 10 cyclic voltammograms from 1.0 to
13 -0.2 V vs. RHE at a scan rate (ν) of 100 mV s^{-1} . The ORR activity was measured at different
14 electrode rotation rates (ω) using staircase voltammetry with a step of 25 mV and a dwell time
15 of 15 s . A PGSTAT30 Autolab potentiostat/galvanostat (Metrohm Autolab, The Netherlands)
16 with NOVA 2.0 software was used to control the experiments. A CTV101 speed control unit
17 connected to an EDI101 rotator (Radiometer) controlled the electrode rotation rate.

18

19 **2.4. MEA fabrication and fuel cell measurement**

20 Membrane-electrode assemblies (MEAs) for AEMFC measurements were prepared by first
21 dispersing the catalyst in a 3:1 mixture (by volume) of methanol:Milli-Q water. The mixture
22 contained $1 \text{ wt.}\%$ total of solids, which in turn was comprised of 85% catalyst and 15% of
23 hexamethyl-*p*-terphenyl poly(benzimidazolium) ionomer (HMT-PMBI) [52]. The dispersion
24 was sonicated for 1 h , after which it was pipetted onto a Freudenberg H23C4 gas diffusion
25 layer (GDL) of geometric area of 5 cm^2 in $200 \text{ }\mu\text{l}$ aliquots (multiple droplets of the solution

1 were deposited). The loading of FeN-SiCDC catalyst on the cathode was 2 mg cm^{-2} . PtRu/C
2 catalyst (Alfa Aesar HiSPEC™ 12100 50 wt.% Pt, 25 wt.% Ru), with a loading of $0.8 \text{ mg}_{\text{PtRu}}$
3 cm^{-2} on Freudenberg H23C4 GDL was used as the anode. For reference, 46.1 wt.% Pt/C
4 (TKK, Japan), was also tested as the cathode. The ink formulation and electrode preparation
5 procedure for the anode was the same as for the cathode. The electrodes and the HMT-PMBI
6 membrane were soaked in 1 M KOH solution for 1 day prior to testing and then installed into
7 a 5 cm^2 single cell (Fuel Cell Technologies, Inc., USA) and the cell assembled with a torque
8 of 7 N m. The single cell fuel cell performance was evaluated at the cell temperature of $60 \text{ }^\circ\text{C}$
9 with Greenlight Fuel Cell Test Station (G50 Fuel cell system, Hydrogenics, Vancouver,
10 Canada). Fully humidified oxygen (0.2 NLPM) and 82% RH hydrogen (0.4 NLPM) were fed
11 into the cell with a backpressure of 200 kPa.

12

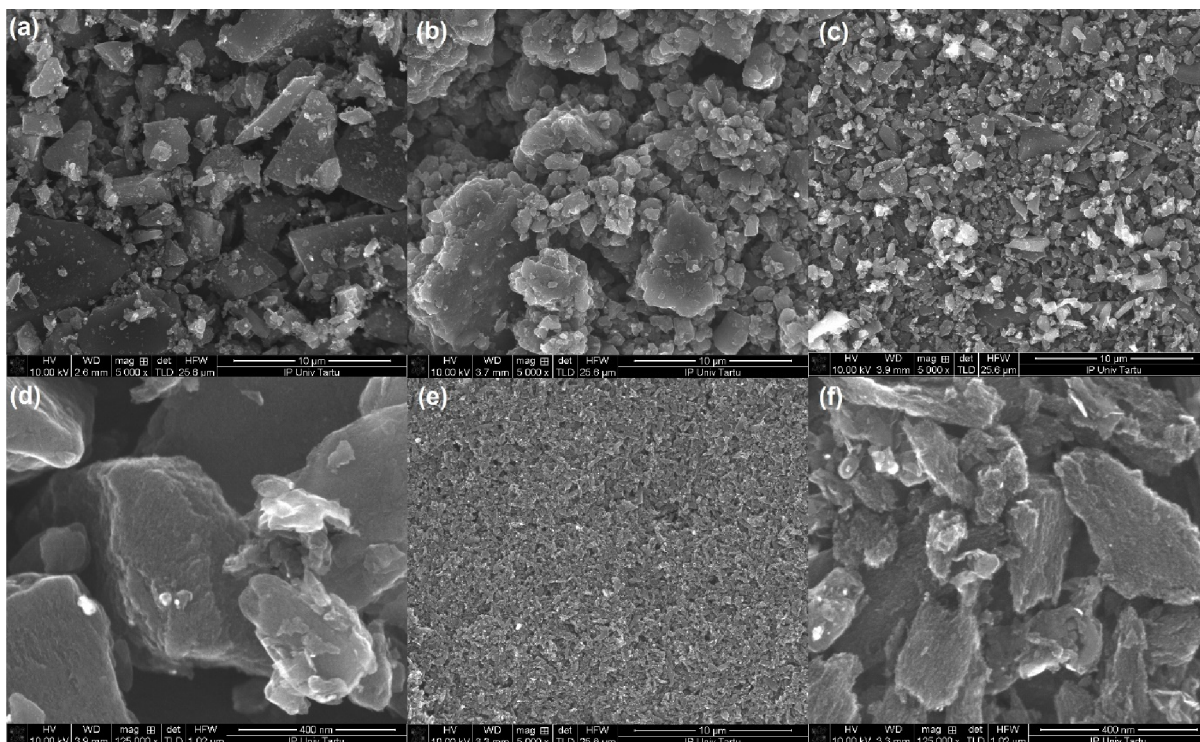
13 **3. Results and discussion**

14 ***3.1. Physical characterization of the pristine SiCDC and FeN-SiCDCs***

15 ***3.1.1 Effect of ball-milling on the physical characteristics of FeN-SiCDCs***

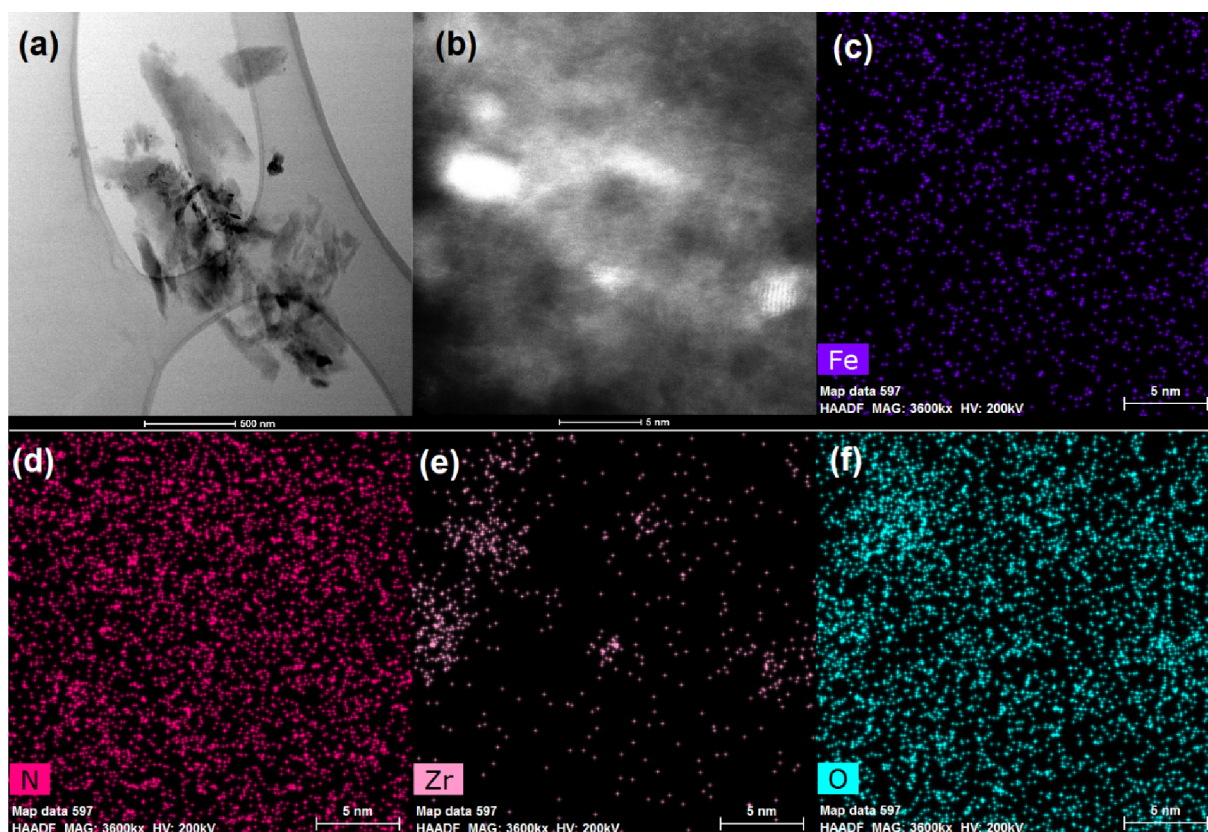
16 Figure 1 illustrates with SEM the effect of ball-milling on the particle size of the catalysts,
17 after pyrolysis. Figure 1a shows a SEM image of the catalyst obtained by pyrolysis (same
18 conditions as the other samples) of the FeN-SiCDC-wet precursor material. The latter was
19 obtained by mixing SiCDC, Phen and iron(II) acetate in ethanol using simply sonication and
20 followed by drying. A variety of particle sizes is shown with large catalyst particles (diameter
21 higher than $1 \text{ }\mu\text{m}$) dominating and some particles with a diameter over $10 \text{ }\mu\text{m}$ also visible. For
22 the same composition of the catalyst precursor (same ratios of SiCDC, Phen and iron(II)
23 acetate) but after ball-milling with 5 mm ZrO_2 beads followed by pyrolysis (FeN-SiCDC-5-
24 400, Figure 1b), one can see that the average grain size has decreased somewhat, with a
25 higher fraction of particles in the range of $1 \text{ }\mu\text{m}$ vs. those in the range of 5-10 μm in Figure

1 1a. The particles in FeN-SiCDC-5-400 are also rounder than those in the SiCDC pristine
2 material and in the FeNC catalyst obtained without milling the precursors. Increasing further
3 the ball-milling rotation rate to 800 rpm in dry conditions and with 5 mm ZrO₂ beads only
4 slightly decreased the particle size of the final catalyst (Figure S1b). In contrast, a significant
5 effect from using smaller ZrO₂ beads and in wet conditions (ethanol) can be seen in Figure 1c
6 for the catalyst FeN-SiCDC-0.5-400-wet. It must be noted that milling in dry conditions with
7 0.5 mm beads has, however, negligible effect on the particle sizes because the balls tend to
8 get stuck in the soft precursor mixture and not grind efficiently (Figure S1a). This is the
9 reason why, in a following attempt, ethanol was added to allow for movement of the beads. In
10 those conditions, the ball-milling becomes very effective, as the particle sizes seen in Figure
11 1c and in the close-up in Figure 1d are much smaller than those achieved with 5 mm beads
12 without added ethanol. In Figure 1d, the smooth surface morphology of the particles can also
13 be seen. However, the particle sizes are still quite varied and a significant fraction of particles
14 have a size >1 μm, not ideal for ink preparation and for cathode layer structure. Figures 1e,f
15 show SEM images of the FeNC catalyst prepared in similar conditions (i.e. with added
16 ethanol) but also in addition using PVP, labelled FeN-SiCDC-0.5-400-wet-PVP. Using a
17 surfactant such as PVP further helps to separate smaller particles formed during the ball-
18 milling and to enhance the milling itself, thereby giving a more uniform particle size of <1
19 μm. This represents a significant breakthrough since decreasing the grain size of CDC-based
20 catalysts to such extent has hitherto been a challenge [26,44,53]. The rough surface structure
21 of the FeN-SiCDC-0.5-400-wet-PVP catalyst is shown with SEM at higher magnification in
22 Figure 1f, suggesting these particles are also highly porous.



1
 2 **Figure 1.** SEM micrographs of the FeNC catalysts obtained after same pyrolysis conditions
 3 but different milling conditions (a) FeN-SiCDC-400-wet, (b) FeN-SiCDC-5-400, (c,d) FeN-
 4 SiCDC-0.5-400-wet and (e,f) FeN-SiCDC-0.5-400-wet-PVP.
 5

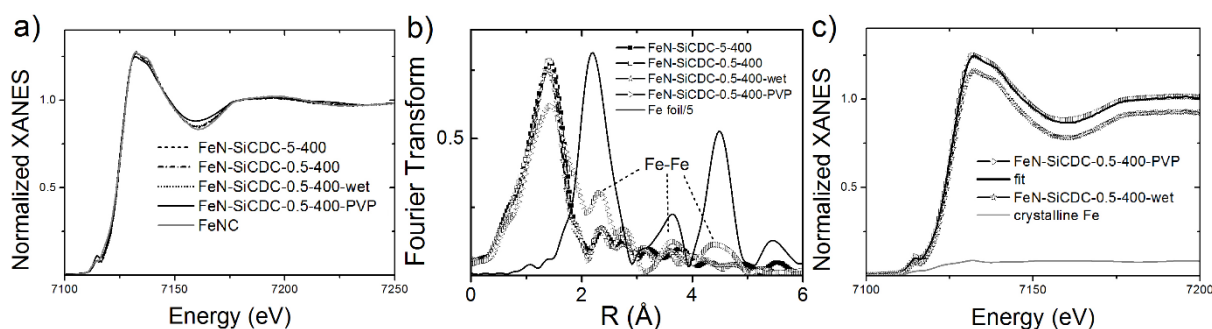
6 The structure of FeN-SiCDC-0.5-400-wet-PVP was further investigated by TEM and
 7 HAADF-STEM (Figure 2). In Figure 2a, particles with sizes ranging from tens of nanometers
 8 to hundreds of nanometers can be seen along with some denser particles. Figure 2b shows the
 9 surface of the catalyst, with amorphous carbon as well as bright nanometric clusters and
 10 bright single dots of atoms with higher atomic mass than carbon also visible. The nanosize
 11 clusters in this image are identified by EDX mapping to be rich in Zr and O (Figure 2e-f). We
 12 assign them therefore to ZrO_2 particles coming from the beads and/or the walls of the crucible
 13 used for the milling. In contrast, the bright dots uniformly distributed over the whole mapped
 14 area can be assigned to Fe atoms in $Fe-N_x$ sites as suggested also by the uniform distribution
 15 of N atoms (Figure 2c-d).



1
2 **Figure 2.** (a) TEM and (b) HAADF-STEM images of the catalyst FeN-SiCDC-0.5-400-wet-
3 PVP with (c-f) EDX elemental mapping images from the area shown in (b).
4

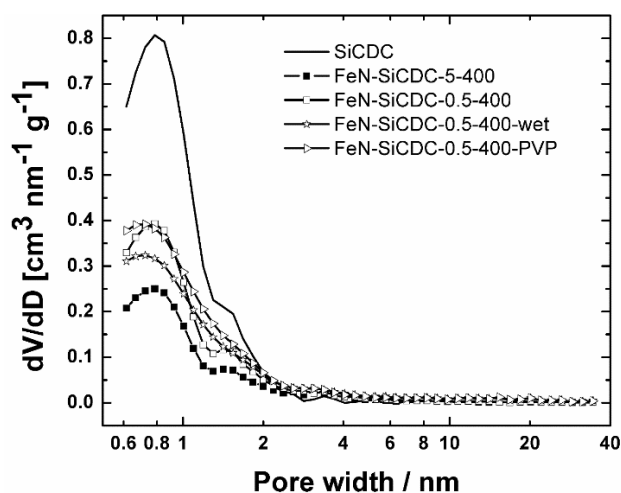
5 Figure 3a compares the X-ray absorption near-edge structure (XANES) spectra of the four
6 FeNC catalysts of the present study with that of a reference FeNC material comprising only
7 Fe-N_x sites (labelled Fe_{0.5}), whose active-site structure was identified in our previous study
8 [18]. The comparison reveals a similar XANES fingerprint for FeN-SiCDC-5-400, FeN-
9 SiCDC-0.5-400, FeN-SiCDC-0.5-400-wet and Fe_{0.5}, while FeN-SiCDC-0.5-400-wet-PVP has
10 a slightly different spectrum that can be a result of a minor presence of Fe-based nanoparticles
11 along with Fe-N_x sites (see Figure S4 of ref. 18). The fully atomically dispersed nature of Fe
12 in FeN-SiCDC-5-400, FeN-SiCDC-0.5-400 and FeN-SiCDC-0.5-400-wet was confirmed by
13 extended X-ray absorption fine structure (EXAFS) spectroscopy. The Fourier transform of the
14 EXAFS spectra (Figure 3b) of the four catalysts of this study reveals a main peak around 1.4
15 Å (not corrected for the phase shift) assigned to the Fe-N first coordination shell, while a
16 reduction of the intensity of the first-shell peak and the appearance of Fe-Fe contributions for

1 FeN-SiCDC-0.5-400-wet-PVP vs. all other catalysts of the present study indicates the
 2 coexistence of FeN_x moieties with metal-based nanoparticles. A linear combination fitting of
 3 the FeN-SiCDC-0.5-400-wet-PVP XANES spectrum was carried out using the XANES
 4 spectra of FeN-SiCDC-0.5-400-wet (the catalyst prepared identically except for the absence
 5 of PVP during milling) and metallic Fe foil as references. As shown in Figure 3c the spectrum
 6 of FeN-SiCDC-0.5-400-wet-PVP is well reproduced by linear addition of the two reference
 7 components, with a relative Fe proportion of 92% of Fe-N_x sites and 8% of metallic iron.



8 **Figure 3.** XAS characterization of FeNC catalysts. (a) Comparison between the Fe K-edge
 9 XANES experimental spectra of FeN-SiCDC-5-400 (dashed line), FeN-SiCDC-0.5-400
 10 (dash-dotted line), FeN-SiCDC-0.5-400-wet (dotted line), FeN-SiCDC-0.5-400-wet-PVP
 11 (black line) and FeNC (grey line) from ref. [15]. Note that all the spectra, except for the
 12 spectrum of FeN-SiCDC-0.5-400-wet-PVP, are nearly identical. (b) Phase-uncorrected
 13 Fourier transform of the experimental EXAFS spectra of the FeN-SiCDC catalysts and the
 14 crystalline metallic Fe foil (for the latter, the signal is divided by a factor 5 for easier
 15 comparison). (c) Linear combination fitting of the Fe k-edge XANES spectra of FeN-SiCDC-
 16 0.5-400-wet-PVP using the XANES spectra of FeN-SiCDC-0.5-400-wet and of a metallic Fe
 17 foil as fitting spectral components.

18
 19
 20 The porosity in the FeNC catalysts of the present study were then investigated with N₂
 21 physisorption and compared to those of the SiCDC pristine material. The pore size
 22 distributions calculated from the N₂ adsorption-desorption isotherms via the QSDFT model
 23 are shown in Figure 4 and the calculated textural properties are given in Table 1.



1
2 **Figure 4.** Pore size distributions for the pristine SiCDC and for the four FeN-SiCDC
3 catalysts.

4
5 Using 5 mm zirconia balls and dry milling, the specific surface area of the final catalyst
6 decreased more than 3 times when compared to the starting CDC material (458 and 1363 m²
7 g⁻¹, respectively, Table 1). This is similar in magnitude to the effect we previously observed
8 with 5 mm balls at the same rotation rate, which serves as a starting point [49]. Changing the
9 diameter of the zirconia balls from 5 to 0.5 mm reduced the losses in S_{BET} by a third, resulting
10 in a catalyst with a BET area of 709 m² g⁻¹ for FeN-SiCDC-0.5-400 compared to 458 m² g⁻¹
11 for FeN-SiCDC-5-400. This effect of the size of the zirconia balls on the specific surface area
12 of the final FeNC catalyst is similar if the milling is performed in ethanol (FeN-SiCDC-0.5-
13 400-wet has a BET area of 735 m² g⁻¹). However, the pore size distributions of FeN-SiCDC-
14 0.5-400 and FeN-SiCDC-0.5-400-wet are somewhat different (Figure 4), with less volume of
15 pores having a diameter under 1 nm when using wet milling. This probably implies that more
16 micropores of the pristine SiCDC were closed in wet vs. dry milling conditions. Thus, with
17 the different milling conditions investigated here, we identify that resorting to small zirconia
18 beads and combined with wet milling is beneficial for reducing catalyst particle sizes.
19 Surfactants are known to decrease agglomeration of milled particles and their sticking to the
20 milling jar and milling media, meaning that the final particle size achieved can be smaller
21 [54]. When adding PVP during the milling with small zirconia beads and in wet conditions,

1 the BET surface area of the resulting FeNC is maximum, reaching $865 \text{ m}^2 \text{ g}^{-1}$ (FeN-SiCDC-
 2 0.5-400-wet-PVP, Table 1). The loss of BET area compared to SiCDC is thus minimized to
 3 *ca* 40%. In our previous study, we determined that when iron(II) acetate and Phen are
 4 dispersed on SiCDC without ball-milling, the S_{BET} loss is also around 40%, due to the
 5 blocking or filling of some micropores of SiCDC with the new carbon phase derived from
 6 Phen during pyrolysis [49]. This means that wet milling with 0.5 mm ZrO_2 balls and added
 7 PVP in ethanol can completely avoid the negative effect of ball-milling on the BET area.

8 **Table 1.** Textural properties of FeN-SiCDC catalysts as determined by BET analysis.

Catalyst	$S_{\text{BET}}, \text{m}^2 \text{ g}^{-1}$	$S_{\text{DFT}}, \text{m}^2 \text{ g}^{-1}$	$V_{\text{tot}}, \text{cm}^3 \text{ g}^{-1}$	$V_{\mu(\text{t-plot})}, \text{cm}^3 \text{ g}^{-1}$	$V_{\mu(\text{DFT})}, \text{cm}^3 \text{ g}^{-1}$
SiCDC	1363	1346	0.688	0.60	0.57
FeN-SiCDC-5-400	458	455	0.296	0.2	0.18
FeN-SiCDC-0.5-400	709	701	0.43	0.31	0.28
FeN-SiCDC-0.5-400-wet	735	710	0.55	0.24	0.28
FeN-SiCDC-0.5-400-wet-PVP	865	835	0.62	0.28	0.33

9

10 **3.2 Elemental content in FeN-SiCDCs**

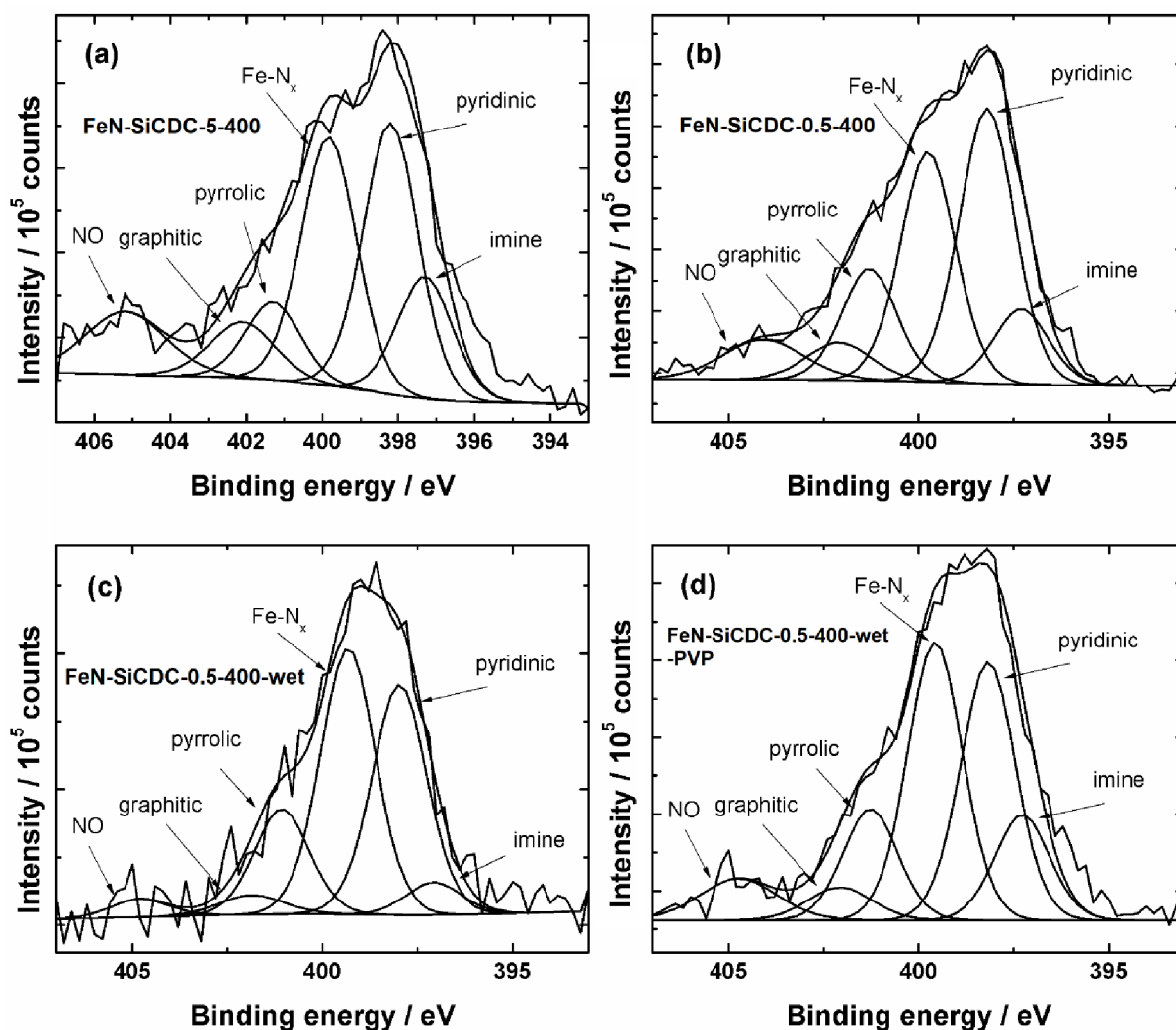
11 The bulk elemental content of the FeN-SiCDC catalysts was measured via ICP-MS. The iron
 12 content is near 0.5 wt.% for all the catalysts, while significant amounts of Zr is also present.
 13 The source of Zr is ZrO_2 from the crucible and the balls used for ball-milling. SiC cores are
 14 also seen in the center of some SiCDC particles (Figure 2a), implying that the chlorination
 15 used to prepare SiCDC from SiC was incomplete. It is suggested that the residual SiC renders
 16 the SiCDC material harder than carbon, or that SiC cores are separated from SiCDC grains
 17 during the milling, eroding the ball-milling apparatus since SiC is an extremely hard material
 18 and thereby explaining the presence of significant amount of Zr in the catalysts. ZrO_2 is
 19 present as nanoparticles on the catalyst surface as can be seen from the TEM images (Figure
 20 2). However, in our previous studies, we could exclude the ZrO_2 nanoparticles from having a

1 large effect on the high ORR activity observed for the present CDC-based FeNC catalysts
2 [26,44,49].

3 **Table 2.** Fe and Zr contents in the FeN-SiCDC catalyst materials as determined by ICP-MS.

Catalyst	Fe		Zr	
	wt.%	+/- wt%	wt.%	+/- wt%
FeN-SiCDC-5-400	0.506	0.015	2.703	0.027
FeN-SiCDC-0.5-400	0.424	0.010	0.260	0.008
FeN-SiCDC-0.5-400-wet	0.430	0.008	4.735	0.123
FeN-SiCDC-0.5-400-wet-PVP	0.503	0.008	3.791	0.099

4
5 The surface elemental composition of the FeN-SiCDC catalysts was analyzed by XPS, with
6 the most important results shown in Figure 5 (N1s core-level spectra deconvoluted according
7 to [20]) and in Table 3. An essential factor towards the ORR activity is the nitrogen content
8 and speciation. It can be seen that decreasing the zirconia ball size while keeping other ball-
9 milling conditions the same increased the overall N content by about 0.5 at.% (compare FeN-
10 SiCDC-0.5-400 vs FeN-SiCDC-5-400 in Table 3). More importantly, the increased N content
11 is assigned to the ORR-active Fe-N_x and pyridinic-N components. Introducing ethanol during
12 the ball-milling significantly lowered the total N content from ca 4 to 2 at.% (FeN-SiCDC-
13 0.5-400 vs. FeN-SiCDC-0.5-400-wet in Table 3), but also had an important impact on the
14 nitrogen speciation: the overall N content was divided by two, however the Fe-N_x content was
15 kept at a reasonably high level (0.72 at.%). The negative effect when ball-milling in wet
16 conditions on the total N content however was avoided when wet milling was done in the
17 presence of PVP. FeN-SiCDC-0.5-400-wet-PVP has 4.5 at.% N, comparable or even higher
18 than the N content of FeNC catalysts prepared via dry milling. Simultaneously, FeN-SiCDC-
19 0.5-400-wet-PVP shows a higher nitrogen content engaged in Fe-N_x sites, 1.47 at.%.



1
 2 **Figure 5.** XPS N1s core-level spectra for (a) FeN-SiCDC-5-400, (b) FeN-SiCDC-0.5-400, (c)
 3 FeN-SiCDC-0.5-400-wet and (d) FeN-SiCDC-0.5-400-wet-PVP.

4
 5 **Table 3.** Surface elemental composition and nitrogen speciation of the FeN-SiCDC catalysts.

Atomic concentration per element, or per N species	FeN-SiCDC-5-400	FeN-SiCDC-0.5-400	FeN-SiCDC-0.5-400-wet	FeN-SiCDC-0.5-400-wet-PVP
C at. %	90.22	89.14	89.32	86.62
O at. %	6.27	6.9	8.68	8.63
N at. %	3.5	3.94	2.01	4.5
Pyridinic N at. %	1.08	1.36	0.68	1.36
Imine at. %	0.49	0.37	0.1	0.56
Fe-N _x at. %	0.99	1.14	0.79	1.47
Pyrrolic N at. %	0.31	0.56	0.31	0.59
Graphitic N at. %	0.26	0.22	0.07	0.21
NO at. %	0.37	0.29	0.06	0.31

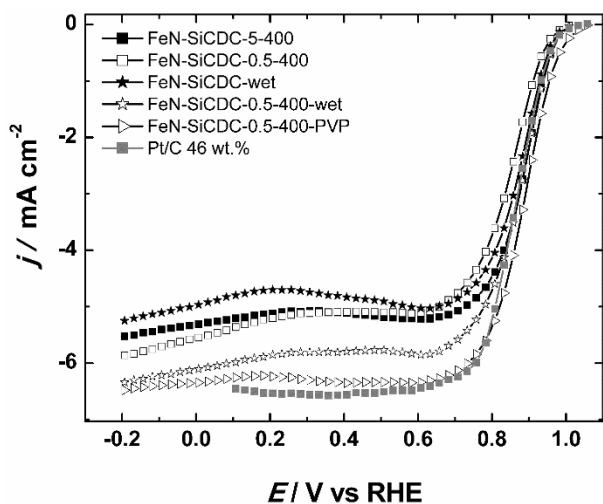
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1 **3.3. Electrocatalytic activity of FeN-SiCDC catalysts towards the ORR**

2 **3.3.1. Effects of different ball size on the ORR activity of FeN-SiCDC catalysts**

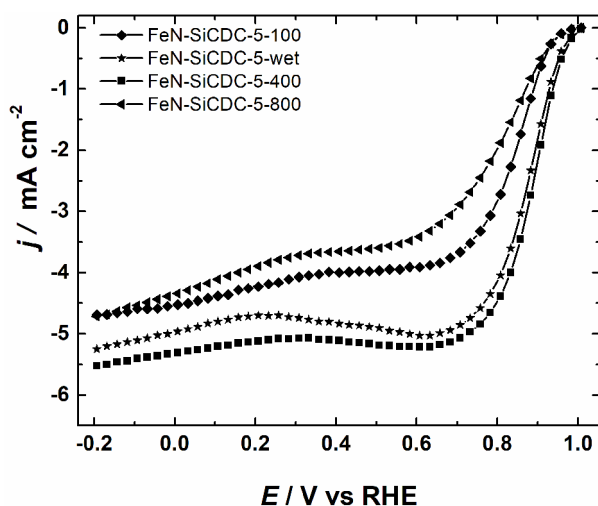
3 For evaluating the ORR activity of the prepared FeN-SiCDC catalysts, the first method used
4 was RDE. Figure 6 shows a comparison of the electrocatalytic activity of catalysts made by
5 ball-milling a mixture of SiCDC, Phen and iron(II) acetate using ZrO₂ beads of different sizes
6 and conditions in O₂-saturated 0.1 M KOH solution. Because increasing the rotation rate of
7 the ball-mill during synthesis over 400 rpm only decreased the particle size of the final FeN-
8 SiCDC catalysts somewhat, while bringing with itself more negative effects such as the large
9 decrease in specific surface area and destruction of the surface structure, which decreased the
10 ORR activity, smaller beads were used as an alternative. Decreasing the ball size in a ball-mill
11 is a known way to decrease the particle size of the final product. Because a smaller particle
12 size also increases the external surface area of a catalyst, this can lead to an increase in the
13 ORR electrocatalytic activity, especially in a fuel cell where mass-transfer is complicated in
14 highly microporous materials such as the SiCDC-based catalysts presented here. Figure 6
15 shows the ORR activity of catalysts prepared by ball-milling and pyrolysis at 400 rpm with
16 both 5 and 0.5 mm balls employing dry and wet milling. The kinetic current density (j_k)
17 achieved at 0.9 V using dry milling was 1.2 mA cm⁻², over two times lower than when using
18 5 mm balls. However, when ethanol was added to the precursors to create a motor-oil like
19 viscous mixture into the crucible, the effects were much more beneficial. The precursors are
20 much better mixed as a result and as can be seen from the SEM images, the particle size is
21 much decreased. This carries on to the ORR activity: the j_k value at 0.9 V vs RHE for FeN-
22 SiCDC-0.5-400-wet catalyst is 2.8 mA cm⁻². The wet milling with smaller balls and PVP also
23 managed to eliminate the negative effect on the S_{BET} of the catalyst from ball-milling. This
24 addition has a profound effect on the ORR activity as can be seen from Figure 6. The j_k for
25 FeN-SiCDC-0.5-400-wet-PVP is 3.8 mA cm⁻², which is the highest in this work and even
26 surpassed that of commercial Pt/C ($j_k = 2.3$ mA cm⁻² at 0.9 V). To elucidate whether or not

1 simply using ethanol and PVP to disperse the precursors without any ball-milling also has the
 2 same effect, a FeN-SiCDC-wet catalyst was synthesized by simply sonicating SiCDC,
 3 iron(II)acetate, PVP and Phen in a beaker containing ethanol, which was then dried and
 4 pyrolyzed at the same conditions as the other catalysts. As can be seen from Figure 6 and
 5 Table 4, the ball-milling of the catalyst at 400 rpm with 5 mm balls already increases both the
 6 onset potential, kinetic current density at 0.9 V and the diffusion-limited current density, so
 7 there is definitely a profound effect from the ball-milling on both descriptors. The increase in
 8 the kinetics at 0.9 V is regarded as a true effect of improved activity, while the increased
 9 diffusion-limited current density may be a true effect of increased selectivity for four-electron
 10 reduction, or more simply may reflect a more uniform and complete coverage of the GC disk
 11 by the catalyst ink. Improved ink dispersion was visible after the milling, which probably
 12 resulted in a more complete coverage of GC than without any ball-milling. Assuming same or
 13 similar ORR selectivity, an incomplete coverage of GC would result in a lower diffusion-
 14 limited current density, proportional to the coverage ratio.



15
 16 **Figure 6.** Steady-state ORR polarization curves for the FeN-SiCDC catalysts using different
 17 ball-milling conditions and a commercial Pt/C catalyst recorded in O₂-saturated 0.1 M KOH.
 18 $\omega = 1900$ rpm. The catalyst loading is 0.2 mg cm⁻² for the NPMCs and 46 μg_{Pt} cm⁻² for Pt/C.
 19
 20 **3.3.2. Effects of rotation rate of the ball-mill on the ORR activity of FeN-SiCDC catalysts**

1 Figure 7 shows a comparison of the ORR electrocatalytic activity of catalysts made by ball-
2 milling a mixture of SiCDC, Phen and iron(II) acetate using different rotation rates of the
3 ball-mill in O₂-saturated 0.1 M KOH solution. For the 100 rpm ball-milled catalyst, the
4 kinetic current density at 0.9 V was 0.7 mA cm⁻² (Table 4). Raising the rotation rate of the
5 ball-mill to 400 rpm increased the *j_k* value to 2.9 mA cm⁻². At 800 rpm, however, *j_k* at 0.9 V
6 decreased to 0.6 mA cm⁻², likely due to the CDC surface structure being destroyed by the
7 high impact ball-milling. Using no ball-milling at all and instead sonicating the reactants in
8 ethanol to disperse them followed by drying prior to the pyrolysis step resulted in the *j_k* value
9 of 2.2 mA cm⁻². The porosity of the final catalyst decreases as well at higher rotation rates
10 [49], which in turn also counteracts the positive effects of the milling. Pyrolysis of carbon
11 materials in the presence of a nitrogen source (Phen) and an iron source is known to create
12 ORR-active sites [26,44,55,56]. In this case iron(II) acetate and Phen form the Fe(Phen)₃
13 complex [57]. The Phen ligands protect the Fe atoms from agglomerating during the pyrolysis
14 step, thereby leading to more Fe-N_x sites than iron/iron carbide nanoparticles. As the rotation
15 rate of the ball-mill increases, the dopants are better dispersed onto the carbon material, which
16 itself is also ground into smaller particles with increasing rotation rate. A better dispersion of
17 the precursors also leads to more separation between the Fe atoms. Thus, 400 rpm is selected
18 as the optimum rotation rate for the ball-mill.



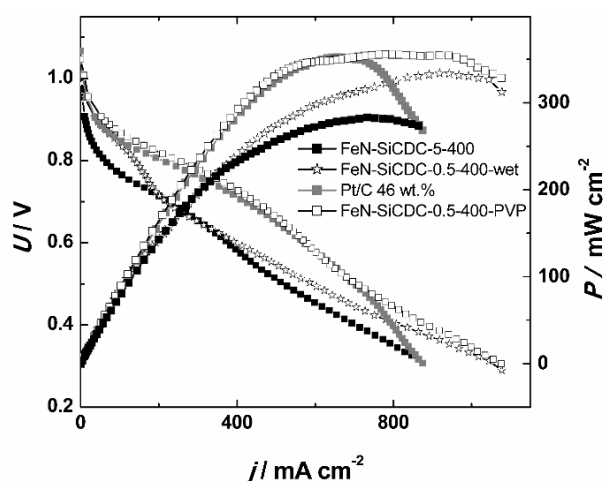
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1 **Figure 7.** Steady-state ORR polarization curves for the FeN-SiCDC catalysts synthesized
2 using 5 mm ZrO₂ balls and different rotation rates for the dry ball-milling and one sample
3 where the reactants were dispersed in ethanol. Polarization curves were recorded in O₂-
4 saturated 0.1 M KOH solution. $\omega = 1900$ rpm.

5 6 **3.3.3 AEMFC performance using FeN-SiCDC cathode catalysts**

7 For testing the *in situ* activity of the FeN-SiCDC, catalyst-based MEAs with different
8 cathodes and a PtRu/C anode with a HMT-PMBI membrane were sandwiched in a fuel cell as
9 described in the Experimental section. Figure 8 and Table 4 show the results of the AEMFC
10 testing. FeN-SiCDC-5-400, which had the largest particle size, performs the worst at both
11 higher potentials and at higher current densities, with the current density at 0.9 V being 10.3
12 mA cm⁻² and the maximum power density (P_{\max}) achieved 282 mW cm⁻². Using 0.5 mm ZrO₂
13 beads for wet ball-milling increased the fuel cell performance significantly, with the j at 0.9 V
14 being 36.2 mA cm⁻² and the P_{\max} of 333 mW cm⁻² for the FeN-SiCDC-0.5-400-wet catalyst.
15 This is likely due to the smaller particle size and better dispersion of the catalyst precursors as
16 well as retaining more surface area than FeN-SiCDC-5-400. A smaller particle size is also
17 beneficial for O₂ transport and ionomer access to the active sites, which increases the
18 performance at both high potentials and high current densities. Adding PVP to the precursor
19 mixture enhanced the activity even more, with FeN-SiCDC-0.5-400-wet-PVP showing 52 mA
20 cm⁻² at 0.9 V and P_{\max} of 356 mW cm⁻². The XPS results showed that the catalyst with added
21 PVP also had the highest elemental contents of nitrogen, especially that of the ORR-active
22 moieties in the near-surface layers, which is an important contributor to the high
23 electrocatalytic activity of this catalyst. This is especially impressive when compared to the
24 respective values obtained with the commercial 46 wt.% Pt/C cathode catalyst (36.5 mA cm⁻²
25 and 352 mW cm⁻²). A comprehensive comparison with other Fe-N-C catalysts utilized as
26 cathode materials in AEMFCs from the literature is given in Table S1 [10,48,58-85]. This
27 comparison shows that the FeN-SiCDC-0.5-400-wet-PVP catalyst has the second highest
28 ORR activity at 0.9 V and 60°C in fuel cell, only surpassed by a catalyst labelled as NH₃-

1 activated Fe-N-C ($80\text{-}90\text{ mA cm}^{-2}$ at 0.9 V) [10]. Even considering the peak power density
 2 (which depend on many other factors than the sole cathode catalyst ORR activity), the results
 3 obtained in this work are surpassed by only five other reports on Fe-N-C cathodes, obtained
 4 with more advanced commercial or developmental ionomers and/or AEMs [10,77–79,82]. It
 5 is well known in the field that the ionomer morphology and AEM properties (thickness,
 6 conductivity, water management) affect to an enormous extent the performance of AEMFCs
 7 at high current density [5,10,86], while the accuracy of the humidity control by different fuel
 8 cell test stations plays an additional role. Therefore, based on the ORR activity at 0.9 V and
 9 the internal comparison to a Pt/C cathode with same AEM and AEI and in a same fuel cell
 10 test station in the present work, it is clear that the FeN-SiCDC-0.5-400-wet-PVP catalyst is a
 11 promising alternative to the commercial Pt/C catalyst in AEMFC.



12
 13 **Figure 8.** Single cell AEMFC polarization curves using FeN-SiCDC or a commercial Pt/C
 14 cathode catalyst ($0.4\text{ mg}_{\text{Pt}}\text{ cm}^{-2}$). The anode was a commercial PtRu/C catalyst with $0.8\text{ mg}_{\text{PtRu}}\text{ cm}^{-2}$
 15 loading. $T = 60\text{ }^{\circ}\text{C}$, cathode RH = 100%, anode RH = 82%, backpressure = 200 kPa.

16
 17 **Table 4.** Kinetic current densities at 0.9 V vs RHE in the RDE setup and current densities at
 18 0.9 V in AEMFC using the FeN-SiCDC catalysts.

Catalyst	$j_{k, 0.9\text{ V, RDE}}\text{ (mA cm}^{-2}\text{)}$	$j_{0.9\text{ V, MEA}}\text{ (mA cm}^{-2}\text{)}$
FeN-SiCDC-5-100	0.7	-
FeN-SiCDC-wet	2.2	-
FeN-SiCDC-5-400	2.9	10.3
FeN-SiCDC-5-800	0.6	-
FeN-SiCDC-0.5-400	1.2	-
FeN-SiCDC-0.5-400-wet	2.8	36.2
FeN-SiCDC-0.5-400-wet-PVP	3.8	52.0
Pt/C 46 wt. %	2.3	36.5

1
2

4. Conclusions

3 The effect of the conditions used during the ball-milling of the catalyst precursor, comprising
4 silicon carbide-derived carbon, Phen and iron(II) acetate, on the electrocatalytic properties of
5 the ORR catalysts was studied. Using a ZrO₂ ball size of 5 mm, the CDC particle size
6 decreased as a result of the milling, but at high rotation rates, the negative effects induced by
7 the milling overshadowed the positive ones. An optimal ball-milling rotation rate of 400 rpm
8 was established. Decreasing the ball size to 0.5 mm ZrO₂ and wet ball-milling in the presence
9 of PVP, the particle size was uniformly reduced to ca. 200 nm, without negatively impacting
10 the microporous and BET areas. An increased surface nitrogen content and good dispersion of
11 the Fe-based active sites (92% as Fe-N_x) were identified as reasons for increased activity in
12 RDE mode. Due to the decreased particle size with no negative effects from the milling, the
13 best catalyst achieved a current density of 52 mA cm⁻² at 0.9 V and a maximum power
14 density of 356 mW cm⁻² in AEMFC, surpassing a commercial Pt/C cathode catalyst tested in
15 the same conditions. This study presents, for the first time, a ball-milling method for reducing
16 the particle size of Fe-N-CDC catalysts down to <1 μm while increasing their activity.

17

18 Declaration of interest

19 The authors declare that they have no known competing financial interests.

20

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3

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