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## ARTICLE

## Linking triptycene to silole: a fruitful association†

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1,1'-disubstituted-2,3,4,5-tetraphenylsiloles incorporating triptycene moieties **Tp-DMTPS** and **Tp-MPTPS** were prepared and characterized. Like other 2,3,4,5-tetraphenylsiloles, both compounds exhibit aggregation-induced emission (AIE) behavior. Unexpectedly, the solid state PL emission of **Tp-DMTPS** show dependence according to the degree of crystallinity of the powders. While precipitates display a blue-green emission, microcrystalline powders emit in the yellow-orange region. Moreover a rare reversible mechanohypsochromic phenomenon is observed: upon grinding, the emission of a microcrystalline powder changes from yellow-orange to blue-green, the original emission being restored by annealing the sample. The mechanism of this blue-shift upon crystal grinding was investigated by X-ray diffraction, <sup>13</sup>C CP-MAS NMR and DFT calculations. Under mechanical stress, strong intermolecular CH/π interactions are found to promote the less energetically stable population of conformers present in the crystals, leading to this blue-shift. Finally the influence of the triptycene moieties on the thermal and structural stability of **Tp-DMTPS** and **Tp-MPTPS** was studied. Compared with **DMTPS** and **MPTPS** parents, a general enhancement of the properties is noticed, especially when used as active layer in OLED fabrication. Our results suggest that the attachment of triptycene moieties to π-conjugated molecules is an interesting way to improve their thermal, structural and photophysical properties for sensing or optoelectronic applications.

## Introduction

Limited to very few applications for a long time because of their complicated synthetic chemistry,<sup>1</sup> siloles or silacyclopentadienes experienced a spectacular rebirth thanks to the works of K. Tamao and S. Yamaguchi in the late 90s.<sup>2, 3</sup> Indeed, the general and versatile synthesis via an intramolecular reductive cyclization of diethynylsilanes they proposed allowed the preparation of various 2,5-difunctional siloles that would be otherwise very difficult to obtain. Shortly afterwards, on account of their unique electronic properties, *i.e.*, their very low LUMO energy levels combined with high fluorescence quantum yields in the solid state, siloles were used successfully as both emissive and electron-transporting materials in organic light emitting diodes (OLED) manufacture.<sup>4-7</sup> The origin of their electronic properties is the consequence of an efficient interaction between the σ\* orbital of the silole

silicon atom with the π\* orbital of the butadiene fragment<sup>5, 8</sup> whereas the origin of their outstanding high solid-state fluorescence is attributed to the aggregation induced emission (AIE) phenomenon that was described for the first time with 1-methyl-1,2,3,4,5-pentaphenylsilole.<sup>9</sup> Since then, AIE materials are the subject of intense scientific research and have found many applications that encompass various domains going from organic electronics to theranostic bioprobes.<sup>10-14</sup> Moreover, with the growing number of AIE materials described in the literature, rather new and uncommon phenomena such as reversible mechanofluorochromism have started to be reported since their first observation with tetraphenylethene (TPE) and diphenyldibenzofulvene (DPDBF) derivatives.<sup>15-20</sup>

In small molecules-based organic electronics, besides the device efficiency, the thermal and structural stabilities of the used organic materials are an important concern.<sup>21, 22</sup> Indeed, for instance, an operating OLED releases heat that may alter quickly its efficiency.<sup>23</sup> This is the reason why materials with high melting points and high glass transition temperatures (T<sub>g</sub>) are desirable to achieve the fabrication of devices with good durability. One of the strategies used to increase the thermal stability of the organic layers is to crosslink the adequately functionalized molecules after their deposition.<sup>24</sup> Another strategy involves the control of the spatial organization, *i.e.*, distance and relative orientation of the constitutive molecules through the chemical synthesis and/or the use of an appropriate construction scaffold.<sup>25</sup> Triptycene with its fascinating paddlewheel shape has been widely used for this purpose in supramolecular chemistry, polymer chemistry and materials science.<sup>26-28</sup> However, in spite of the thermal

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‡ Dedicated to Profs J. Veciana and C. Rovira at the occasion of their 70<sup>th</sup> anniversary.

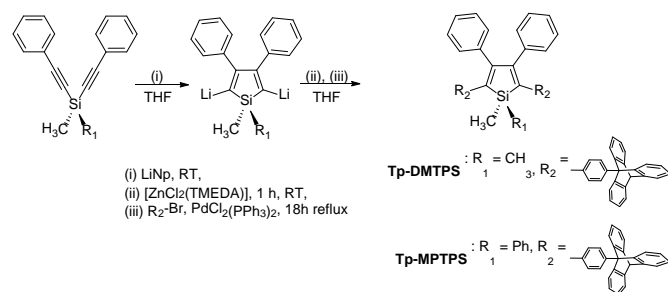
stabilization afforded by its presence in the molecular structures, only few examples of the use triptycene-based luminescent materials in OLEDs have been reported so far.<sup>29–31</sup>

With this in mind we report herein the synthesis and structural characterization of two novel silole derivatives incorporating triptycene moieties at the 2,5-positions (**Tp-DMTPS** and **Tp-MPTPS**, Fig. 1) with the first aim to use them as emissive layers in OLEDs. We will focus on the interplay between the triptycene side-groups and the silole core that induces an original behavior in terms of thermal and structural stability, luminescence and mechanofluorohypsochromism, phenomenon that was still rarely observed up to now.<sup>32–37</sup> We show that the modulation of the structure in the solid state resulting from the incorporation of triptycene groups affords an enhancement of OLED performance by formation of morphologically stable non-crystalline emissive layers.

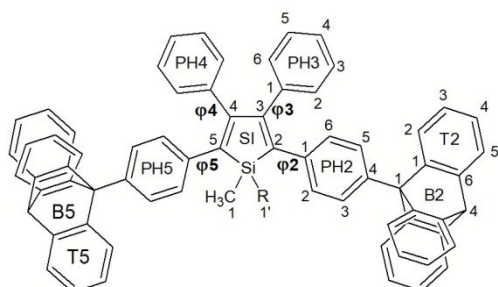
## Results and discussion

### Synthesis

The siloles **Tp-DMTPS** and **Tp-MPTPS** were conveniently prepared by the method previously described by Tamao *et al.* that involves the intramolecular reductive cyclization of 1,1-bis(phenylethynyl)silane, followed by a palladium-catalyzed cross-coupling reaction with the appropriate bromoaryl compound (Scheme 1).<sup>4, 38</sup> 9-(*p*-bromophenyl)triptycene was prepared via a Diels-Alder reaction between *in-situ* generated benzyne, and 4'-bromophenylanthracene.<sup>39</sup> **Tp-DMTPS** and **Tp-MPTPS** were isolated in 54 and 49% yields, respectively, as pale yellow powders.



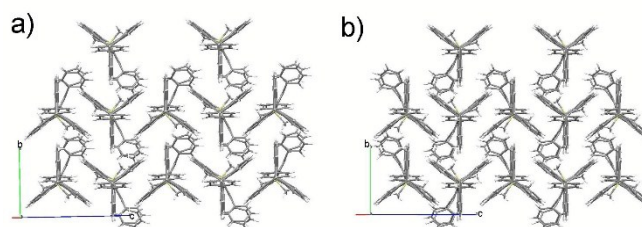
**Scheme 1** Synthetic Route to **Tp-DMTPS** and **Tp-MPTPS** (see more details in SI).



**Fig. 1** Labelling scheme for **Tp-DMTPS** (R<sub>1</sub>' = Me) and **Tp-MPTPS** (R<sub>1</sub>' = Ph).

### Crystal structure.

As shown in Fig. 2 (and Fig. S3–S6), the molecular packing of **Tp-DMTPS** is mainly governed by the paving of the triptycene moieties in alternating up (one of the phenyl ring parallel to the *c*-axis) and down (antiparallel to the *c*-axis) columns leading to a layered structure. Inside the triptycene double layer, the 3,4-diphenylsilole moieties also adopt an up and down columnar packing corresponding to the orientation of the triptycene side-groups. Interestingly, the structure shows that the silole rings could be inclined either on the right or on the left with respect to a plane going through the middle of a column (Fig. 3). This situation leads to the co-existence of two conformers in the crystal, which will be named *R* and *L*. In the *R*-conformer, the methyl group C69 (see Fig. 3) is nestled between two phenyl rings of the molecule immediately below. Short CH/ $\pi$  hydrogen bonds are present between two hydrogen atoms belonging to the methyl group and the interacting phenyl rings ( $d_{\text{CH}/\pi}$  = 2.43 Å and 2.97 Å).<sup>40</sup> In the *L*-conformer (Fig. S5 and S6), the other methyl group (C70) is nestled between the phenyl rings and shorter CH/ $\pi$  hydrogen bonds are observed ( $d_{\text{CH}/\pi}$  = 2.55 Å and 2.85 Å).



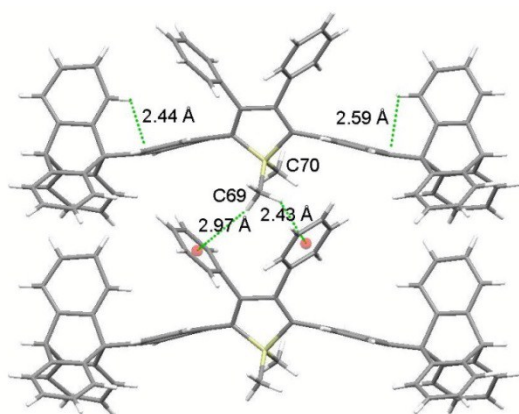
**Fig. 2** Views of the crystal packing of **Tp-DMTPS** showing the triptycene paving in the *bc* plane for a) *R*-conformers and b) *L*-conformers.

The distance and the orientation of the CH/ $\pi$  hydrogen bonds observed in each conformer are in the range of what is frequently observed in the literature ( $d_{\text{CH}/\pi}$  = 2.73  $\pm$  0.13 Å).<sup>40</sup> Since it is only the 1,1-dimethyl-3,4-diphenylsilole moiety that is involved in this swinging, this induces torsion angle modifications between the silole core and the four phenyl rings at its periphery (Table 1). The phenyl rings located at the 2,5-position of the silole core are locked within the structure because of a strong CH/ $\pi$  interaction between their aromatic system and a hydrogen atom belonging to the triptycene moieties ( $d_{\text{CH}/\pi}$  = 2.44 Å and 2.59 Å). This CH/ $\pi$  interaction leads to a moderate bending of the phenyl moiety with respect to the threefold axis of the triptycene by ca. 17° (a bending angle of 6° is found in the crystal structure of 9-phenyltriptycene<sup>41</sup>). If present in solution, this strong CH/ $\pi$  interaction should lead to an appreciable shift of the <sup>1</sup>H NMR signal of the corresponding hydrogen atom compared to the two other ones, because of the shielding anisotropy cones of the adjacent phenyl ring.<sup>42</sup> However in none of the molecules incorporating triptycene moieties we have synthesized (see Fig. S30 – S32), this feature was observed. In every cases, the hydrogen atoms of the C(2) carbon atoms (see Fig. 1 for labelling) belonging to the triptycene paddlewheels are equivalents, indicating a free rotation of the later in solution at ambient temperature.

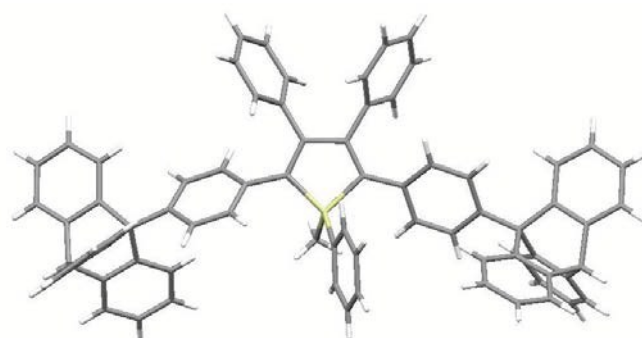
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**Table 1** Selected torsion angles and calculated orbital energies of **Tp-DMTPS** and **Tp-MPTPS**.

		$\phi 2$ (°)	$\phi 3$ (°)	$\phi 4$ (°)	$\phi 5$ (°)	HOMO (eV)	LUMO (eV)	Eg (eV)
<b>Tp-DMTPS</b>	<b>Optimized<sup>a</sup></b>	42.6	59.5	58.2	42.6	-5.56	-1.99	3.57
	<b><i>R</i>-conformer<sup>b</sup></b>	54.0	70.2	50.4	58.6	-5.65	-1.81	3.84
	<b><i>L</i>-conformer<sup>b</sup></b>	74.2	76.0	71.4	67.5	-5.88	-1.64	4.24
<b>Tp-MPTPS</b>	<b>Optimized<sup>a</sup></b>	43.9	59.4	58.1	43.6	-5.58	-2.00	3.58
	<b>X-ray</b>	51.4	53.6	56.2	33.1			

<sup>a</sup> B3LYP/6-31G optimization. <sup>b</sup> Determined from XRD data.**Fig. 3** View of the *R*-conformers of **Tp-DMTPS** in the *ab* plane showing the methyl C69 nestling between the aromatic rings. Intra and intermolecular CH/π interactions are marked with green dotted lines.

The crystal packing of **Tp-MPTPS** (Fig. S7) does not show any of the features found with **Tp-DMTPS**. Probably because of the presence of a phenyl ring attached to the silicon atom, the triptycene moieties are not able to organize themselves in regular layers. Consequently, the molecular packing is less dense in **Tp-MPTPS** and CH<sub>2</sub>Cl<sub>2</sub> molecules are found in the structural voids. Notably short CCl/π halogen interactions are also observed between one chlorine atom belonging to these molecules and one of the phenyl rings adjacent to the silole ring ( $d_{\text{CCl}/\pi} = 3.51$  Å, see Fig. S2).<sup>43</sup> Because of the chirality of the silicon atom in **Tp-MPTPS**, both (*R*) and (*S*) enantiomers were found in the crystal lattice. The molecular structure of **Tp-DMTPS** shows a paddlewheel arrangement of the 2, 3, 4 and 5-phenyl rings around the silole core, whereas a more conventional propeller-like disposition of the phenyl rings is found in **Tp-MPTPS** (Fig. 4). In this case, the structure also reveals that the phenyl moieties are bent off the threefold axis of the triptycene by angle of 16° on average.

**Fig. 4** View of **Tp-MPTPS** molecular structure.**Hirshfeld surface analysis.**

The Hirshfeld surfaces<sup>44</sup> of both conformers of **Tp-DMTPS** (Fig. 5) were calculated with the CrystalExplorer program<sup>45</sup> and are mapped with the  $d_{\text{norm}}$  property<sup>46</sup> where the color scheme goes from red ( $d_{\text{norm}} = -0.2664$  and  $-0.2795$  for conformers *L*- and *R*-, respectively) to blue ( $d_{\text{norm}} = 2.2715$  and  $2.2586$  for conformers *L*- and *R*-, respectively). The most notable interactions shown in red in Fig. 5 are indeed in both cases between the methyl hydrogens and the aromatic rings of adjacent molecules. Interestingly, in *R*-conformer, the secondary interactions between the CH systems of one ring to the π-system of an adjacent ring seem to be less important than in *L*-conformer. An analysis of the elemental fingerprint plots shows that the relative contributions of the different interactions in the two conformers are anyhow approximately equal: 31.7% / 31.3% for H...C/C...H interactions and 64.8 / 65.2% for hydrophobic H...H interactions. The Hirshfeld surface analysis of **Tp-MPTPS** (Fig. S8) shows  $d_{\text{norm}}$  ranging from  $-0.1149$  to  $2.3526$  with the following relative elemental interactions: H...H (62.4%), H...C (31.5%), H...Cl (3.6%) and C...Cl (0.7%). However, it is clearly seen that the intermolecular interactions in the case of **Tp-MPTPS** are more dispersive than in the case of **Tp-DMTPS**.

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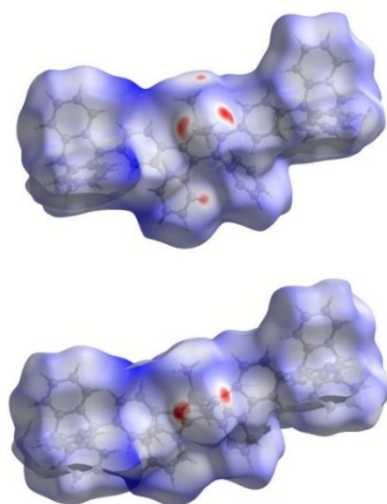


Fig. 5 Hirshfeld surfaces for the *L*-conformer (top) and the *R*-conformer (bottom) of **Tp-DMTPS**.

### Thermal properties

The thermal properties of **Tp-DMTPS** and **Tp-MPTPS** were examined by thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) measurements. As shown in Fig. 6, the TGA of **Tp-DMTPS** exhibits a weight loss in one step leading to a high decomposition temperature ( $T_d$ ) of 486 °C. In contrast, the weight loss of **Tp-MPTPS** takes place in two steps. The first weight loss of ca. 10% could be ascribed to the loss of interstitial solvent molecules, as observed in the crystal structure of **Tp-MPTPS** (see above). This high temperature of desolvation may be due to the strong CCl/ $\pi$  halogen interactions that have been characterized in the crystal lattice. The second weight loss is due to the decomposition of **Tp-MPTPS** molecule. As for **Tp-DMTPS**, a high  $T_d$  of 489 °C was noticed highlighting the high thermal stability of these two siloles. It is noteworthy that these  $T_d$  are higher than their parent compounds, namely, 1,1-dimethyl-2,3,4,5-tetraphenylsilole (**DMTPS**): 272 °C and 1-methyl-1,2,3,4,5-pentaphenylsilole (**MPTPS**): 309 °C.<sup>47</sup> The same trend is observed with the melting point, measured at 431 °C for **Tp-DMTPS**; 250 °C above that of the **DMTPS** parent (Fig. S13).<sup>47</sup>

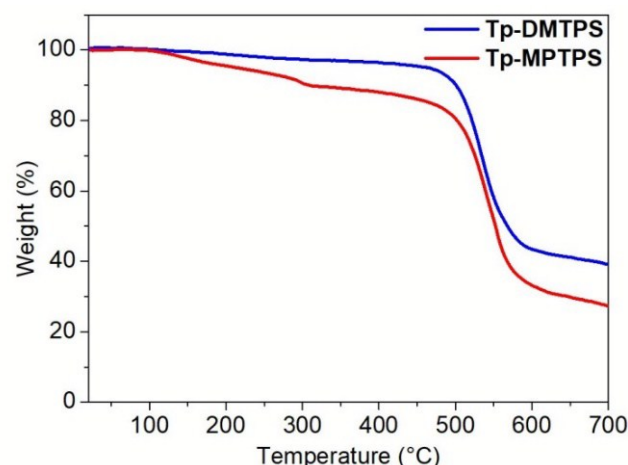


Fig. 6 TGA plots of **Tp-DMTPS** and **Tp-MPTPS** under nitrogen at a heating rate of 10 °C min<sup>-1</sup>.

### Photophysical properties.

The UV-visible absorption and fluorescence spectra were measured in dilute dichloromethane solution (Fig. 7). The data are gathered in Table 2. **Tp-DMTPS** exhibits an absorption maximum at 357 nm corresponding to the  $\pi$ - $\pi^*$  transition involving mainly the HOMO and the LUMO energy levels of the silole core (see below and Fig. 13).<sup>48</sup> For **Tp-MPTPS** this transition is slightly red-shifted at 369 nm. This shift could be explained by the stabilization effect of the LUMO induced by the presence of the phenyl group on the silicon atom.<sup>5</sup> The absorption maximum of thin films is slightly red-shifted to 365 nm for **Tp-DMTPS** (Fig. S9), but remains unchanged for **Tp-MPTPS**.

The photoluminescence spectra in solution show faint PL signals with maxima at 486 nm ( $\phi_f = 1.4\%$ ) and 491 nm ( $\phi_f = 1.2\%$ ) for **Tp-DMTPS** and **Tp-MPTPS**, respectively (Fig. 7). In comparison with the corresponding siloles without triptycene, **DMTPS** ( $\lambda_{\text{fmax}} = 470$  nm,  $\phi_f = 0.2\%$ ) and **MPTPS** ( $\lambda_{\text{fmax}} = 471$  nm,  $\phi_f = 0.1\%$ ),<sup>47</sup> **Tp-DMTPS** and **Tp-MPTPS** both shows a small red shift in the emission maxima and a much higher quantum yield.

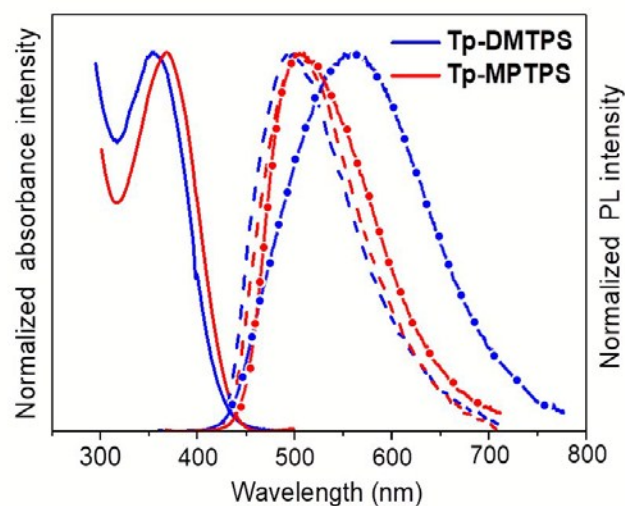
Table 2 Optical and electronic properties of **Tp-DMTPS** and **Tp-MPTPS**.<sup>a</sup>

	$\lambda_{\text{abs}}$ (nm)		$\lambda_{\text{em}}$ (nm)		$E_g^{\text{opt}}$ (eV)	HOMO (eV) <sup>c</sup>	LUMO (eV) <sup>c</sup>	$E_g^{\text{el}}$ (eV)
	Soln	Thin film <sup>b</sup>	Soln ( $\phi_f$ )	Solid ( $\phi_f$ )				
<b>Tp-DMTPS</b>	357	365	486 (1.4)	561 (43) – 533 (43) <sup>d</sup>	2.89	-5.54	-2.48	3.06
<b>Tp-MPTPS</b>	369	370	491 (1.2)	498 (24)	2.85	-5.54	-2.51	3.03

<sup>a</sup>  $\lambda_{\text{abs}}$  = absorption maximum;  $\lambda_{\text{em}}$  = emission maximum;  $\phi_f$  = fluorescence quantum yield in  $\text{CH}_2\text{Cl}_2$  solutions (Soln) and in the solid state (Solid);  $E_g^{\text{opt}}$  = energy gap calculated from the absorption edge;  $E_g^{\text{el}}$  = electrochemical gap obtained from HOMO and LUMO; <sup>b</sup> 100 nm thick film; <sup>c</sup> HOMO and LUMO levels determined from CVs in  $\text{CH}_2\text{Cl}_2$  /  $\text{Bu}_4\text{NPF}_6$ . <sup>d</sup> First values : microcrystalline powders, second values : ground powders. Excitation wavelength: 365 nm.



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**Fig. 7** Absorption (solid lines) and photoluminescence (PL) normalized spectra of **Tp-DMTPS** and **Tp-MPTPS** in  $\text{CH}_2\text{Cl}_2$  solution ( $10^{-5}$  M, dashed lines) and as microcrystalline powders (lines and dotted lines).

Since it is known that it is the intramolecular rotation of the phenyl rings in 2,3,4,5-tetraphenylsiloles that makes them essentially non-luminescent when molecularly dissolved,<sup>49</sup> the enhancement of the quantum yields is probably due to the restriction of such intramolecular rotation (RIR) afforded by the triptycene moieties.

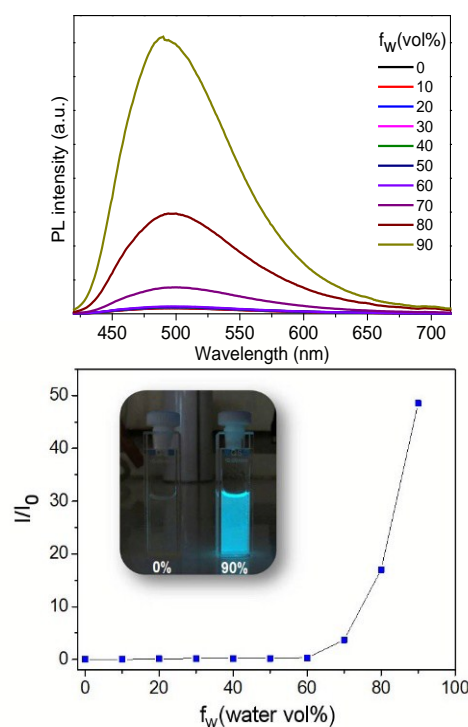
In contrast to what is observed in solution, the microcrystalline powders of **Tp-DMTPS** and **Tp-MPTPS** display a rather good fluorescence with emission maxima at 561 and 498 nm, and quantum yields of 43 and 24%, respectively. The same trend is also observed with **DMTPS** and **MPTPS** and was related to the Aggregation Induced Emission (AIE) phenomenon that was reported for the first time with hexaphenylsilole.<sup>50</sup> However, the significant red-shift found in the **Tp-DMTPS** PL spectrum in comparison with what was observed both in solution ( $\lambda_{\text{max}} = 486$  nm) or deposited as 100 nm thick films ( $\lambda_{\text{max}} = 485$  nm, Fig. S10) is surprising.

#### Aggregation induced emission (AIE).

The AIE properties of **Tp-DMTPS** and **Tp-MPTPS** were studied in THF/water mixtures with different water fractions ( $f_w$ ) in view of fine-tuning the aggregation extent (Fig. 8). As expected, the larger the water fraction, the stronger the fluorescence; molecular aggregation inducing a red shift of 12 nm in the emission spectrum. As shown in Fig. 8, when  $f_w$  varies from 0 to 60 vol.%, the fluorescence of **Tp-DMTPS** remains very low. A remarkable 50-fold increase of fluorescence intensity is

observed at a water fraction of 90 vol.%. The same trend is observed with **Tp-MPTPS** with a 60-fold increase of the fluorescence intensity when  $f_w$  also reached 90% (Fig. S12).

Moreover, we found that unlike **Tp-MPTPS**, the position of the emission of **Tp-DMTPS** in the solid state depends strongly on its degree of crystallization. Whereas rapid precipitation of **Tp-DMTPS** by addition of pentane affords a solid exhibiting blue emission under excitation at 365 nm, slow crystallization from acetone/dichloromethane mixtures leads to a yellow-orange emission for the obtained microcrystals (Fig. S11). These changes in emission features should mainly originate from the transition from the crystal to the amorphous state as well as the changes in the molecular conformations.<sup>50-55</sup>

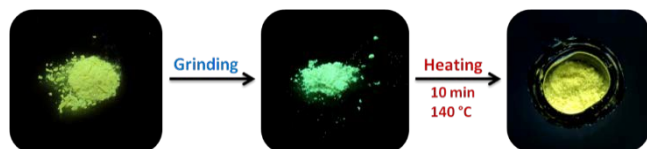


**Fig. 8** Top: PL spectra of **Tp-DMTPS** in THF/water mixtures with different water fractions ( $f_w$ ). Concentration:  $10^{-5}$  M; excitation wavelength: 365 nm. Bottom: Plot of ( $I/I_0$ ) values versus water fractions in THF/water mixtures.  $I_0$  is the PL intensity in pure THF solution. Inset: photographs **Tp-DMTPS** in THF/water mixtures ( $f_w = 0$  and 90%) taken under UV lamp illumination.

#### Mechanofluorochromism.

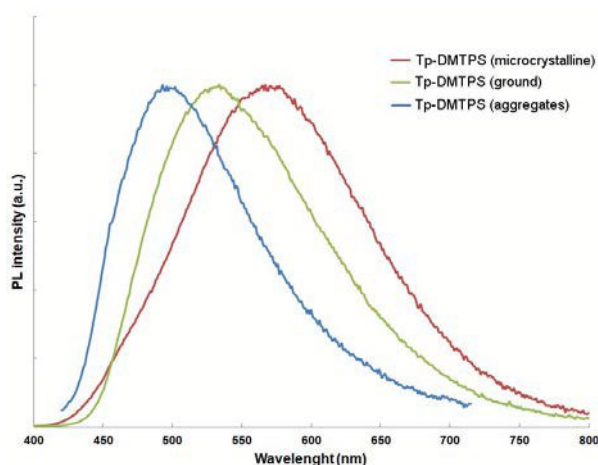
The dependence of the position of the **Tp-DMTPS** emission maximum on its procedure of isolation (precipitation / crystallization), suggests that this compound could display mechanofluorochromism.<sup>18, 56, 57</sup> This hypothesis was confirmed by simply grinding a sample of microcrystalline **Tp-DMTPS**. As

shown in Fig. 9, the emission turned from orange to blue-green upon UV illumination. It is important to mention that this phenomenon called mechanohypsochromism is rarely observed, a red-shift being observed in almost all cases.<sup>32-37</sup> Finally, the initial orange emission could be restored by heating the ground solid at 140 °C for 10 min. The choice of this annealing temperature was based on the DSC analyses of **Tp-DMTPS** after grinding, which shows an exothermic signal associated to a crystallization process (Fig. S13).



**Fig. 9** Photographs of **Tp-DMTPS** under UV illumination before grinding (left), after grinding (middle) and after annealing at 140 °C for 10 min (right).

The corresponding PL spectra (Fig. 10) shows that the grinding process is not only affecting the position of the emission maximum ( $\lambda_{em}(\text{crystals}) = 561 \text{ nm}$ ,  $\lambda_{em}(\text{after grinding}) = 533 \text{ nm}$ ), but is also modifying the peak shape, the solid state quantum yield of emission remaining the same. Interestingly, the emission of the aggregates generated by adding water to a THF solution shows a sharper emission peak ( $\lambda_{em} = 498 \text{ nm}$ ), which is very similar to the one obtained in solution (see Fig. 7). These emission changes should mainly be due to their different molecular conformations that are affected by the crystallinity in these solids.<sup>54, 58</sup> To evaluate the degree of crystallinity, we performed powder XRD analyses, the patterns indicate that grinding microcrystalline **Tp-DMTPS** leads to a dramatic decrease of its crystallinity, but not reaching complete amorphization (Fig. S14). On the other hand, fast precipitation from a mixture of THF with “high” water content should lead to the formation of amorphous aggregates, as previously observed by Tang *et al.*<sup>50, 55</sup> Therefore, there is a clear dependency between the long-range molecular order and the emission: the higher the order, the stronger the emission is red-shifted. Interestingly, similar experiments conducted with microcrystalline **Tp-MPTPS** powders showed that the position of the emission maximum remains unaffected upon grinding.



**Fig. 10** PL spectra of **Tp-DMTPS** in various forms.

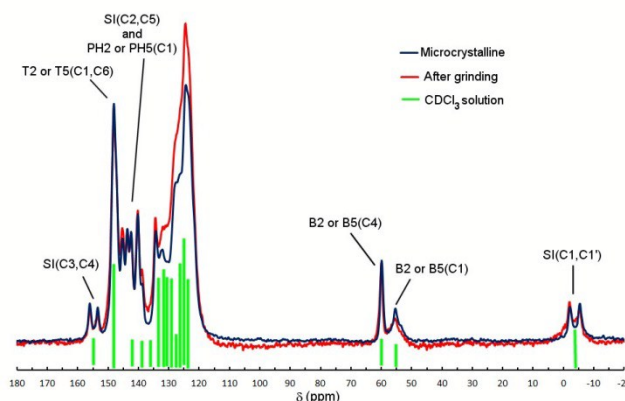
### Solid state NMR experiments.

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<sup>13</sup>C CP-MAS NMR spectra of either microcrystalline or ground powders of **Tp-DMTPS** are shown in Fig. 11. In comparison with the spectrum recorded in CDCl<sub>3</sub> solution, the peaks were observed at very similar chemical shifts. The signal arising from the resonance of the methyl groups SI(C1,C1') at  $\delta = -3.6 \text{ ppm}$  is split into two, as well as the signals from the carbon atoms belonging to the silole ring SI(C3,C4)  $\delta = 154.8 \text{ ppm}$  and SI(C2,C5)  $\delta = 141.8 \text{ ppm}$  (see Fig. 1 for labelling). The same splitting is also observed for the carbon atoms PH2(C1) and PH5(C1) ( $\delta = 139.0 \text{ ppm}$ ), which are directly connected to the silole ring. It is noteworthy that this peak splitting is also noticed, in the <sup>13</sup>C CP-MAS NMR spectrum of **DMTPS** but with a smaller extent (Fig. S15). As shown in Fig. 11, grinding the microcrystalline powder does not affect the spectrum pattern to a large extent; only a peak broadening is observed.

These observations are in line with what has been learned from the crystal structure analysis, *ie.* the splitting of the methyl group signals reflects their non-equivalent environment in the crystal lattice, one being nestled between two phenyl rings. As mentioned above, going from the *R*- to the *L*-conformer brings modifications of the torsion angles  $\varphi_2$ ,  $\varphi_3$ ,  $\varphi_4$  and  $\varphi_5$ . Because of them, the conjugation between the surrounding phenyl rings and the silole core should be affected and the resonance peaks corresponding to the carbon atoms involved in the conjugation pathway split into two. This is also what was observed for **DMTPS**, its crystal structure exhibiting two molecules in the asymmetric unit that mainly differ in the rotation of the phenyl groups with respect to the central silole ring.<sup>47</sup>



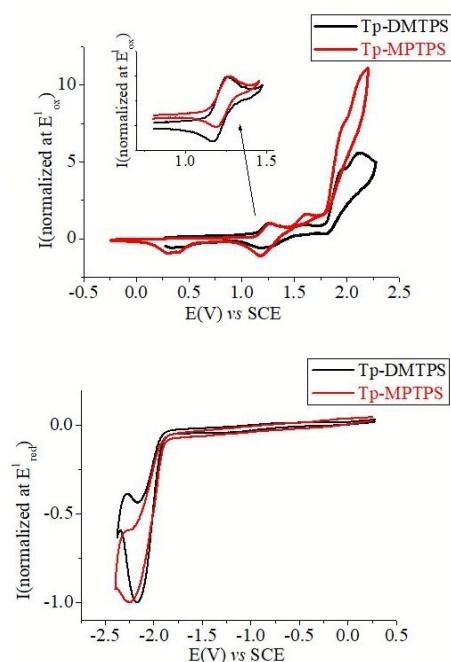
**Fig. 11** <sup>13</sup>C CP-MAS NMR spectra of **Tp-DMTPS** (microcrystalline and ground). Green bars represent the peaks observed in the <sup>13</sup>C{<sup>1</sup>H} NMR spectrum recorded in CDCl<sub>3</sub> solution. See Fig. 1 for labelling.

From the <sup>13</sup>C CP-MAS NMR experiments, we have shown that the spectrum of the ground powder is very similar to that of the crystalline one. The only difference consists in the broadening of some resonance peaks that should originate from the loss of crystallinity, as observed by XRD. Therefore, the two methyl groups remaining non-equivalent, this should indicate that a methyl group is always nestled between two phenyl rings both in the crystalline and the ground form. Interestingly, since the emission is blue-shifted, disordering the crystal packing upon grinding appears to favour the emission originating from

the less conjugated **L**-conformer. This preference should be due to the stronger CH/ $\pi$  interactions found with this conformer. Finally, when vacuum-deposited as thin films, the PL and EL spectra showed similarities with that measured in solution. This indicates that this deposition process does not promote a good molecular organization even at a very small scale. Contrastingly, **Tp-MPTPS** displayed a more conventional behavior since the conformation of the molecules do not show any noticeable change depending on their physical state as it is observed with its parent **MPTPS**.

### Electrochemical properties.

Cyclic voltammetry (CV) of **Tp-DMTPS** and **Tp-MPTPS** were recorded in  $\text{CH}_2\text{Cl}_2/\text{Bu}_4\text{NPF}_6$  (0.2 M) both in oxidation and reduction. In oxidation (Fig. 12, up), both compounds show similar successive oxidation steps with maxima closed to 1.26, 1.60, 1.90 and 2.10 V. Only the first oxidation step is reversible for the two compounds (see inset Fig. 12, top). From the onset potential of this first oxidation (1.14 V for both compounds), the HOMO levels were calculated at -5.54 eV.<sup>59, 60</sup> Thus, in the light of theoretical calculation (see further, Fig. 15), this first electron transfer is centered on the phenyl/silole moiety. Recurrent cycles recorded up to the fourth oxidation step, lead for the two compounds to electrodeposition processes with the appearance and growth of new redox systems along the cycles and to the coverage of the electrode surface by insoluble electroactive deposits (Fig. S16 – S17). Although this observation is not directly of interest in the topic of the present work, it is worth mentioning that the deposit obtained from **Tp-MPTPS** present both p- and a n-doping processes with a gap as low as 1.80 eV (see Fig. S17, right).

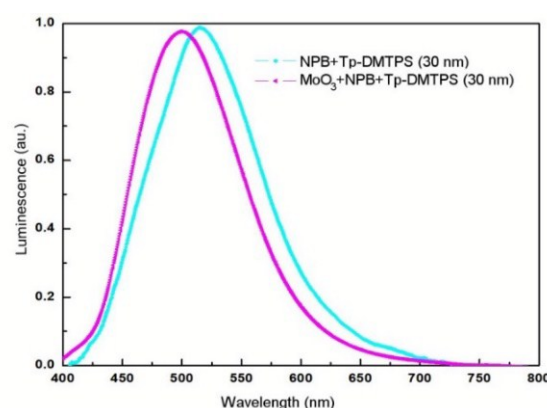


**Fig. 12** Top :cyclic voltammetry in  $\text{CH}_2\text{Cl}_2/\text{Bu}_4\text{NPF}_6$  (0.2 M) of **Tp-DMTPS** (in black) and **Tp-MPTPS** (in red). Exploration in the anodic direction (top) and in the cathodic direction (bottom). Working electrode: platinum disk, sweep-rate: 100  $\text{mV.s}^{-1}$ .

As electropolymerization is a useful technique to design low gap materials,<sup>61</sup> the present silole derivatives may be investigated in the future for that purpose. In reduction, both compounds exhibit a similar irreversible reduction wave (Fig. 12, bottom) from which the LUMO levels were calculated around -2.5 eV (-2.48 eV for **Tp-DMTPS** ( $E_{\text{onset}}^{\text{red}}$ : -1.92 V) and -2.51 eV for **Tp-MPTPS** ( $E_{\text{onset}}^{\text{red}}$ : -1.89 V)). The electrochemical gaps ( $E_g^{\text{el}}$ ) for both compounds were calculated to be 3.06 eV for **Tp-DMTPS** and 3.03 eV for **Tp-MPTPS**. These  $E_g^{\text{el}}$  values and their contraction of 0.03 eV from **Tp-DMTPS** to **Tp-MPTPS** are in accordance with the optical gaps calculated from absorption spectra recorded in  $\text{CH}_2\text{Cl}_2$  ( $E_g^{\text{el}}$  = 2.89 eV for **Tp-DMTPS** and 2.85 eV for **Tp-MPTPS**).

### Electroluminescence.

To evaluate the benefit brought by tritycene groups, we compared **Tp-DMTPS**, **Tp-MPTPS** and **DMTPS** as both emissive and electron transporting layer in OLEDs. Since silole derivatives are good electron transporting materials, particular efforts must be done to have efficient hole injection at the anode for getting high luminescence.<sup>62</sup> Therefore, we have used an ITO/PEDOT-PSS/NPB/silole/Ca simplified architecture to evaluate the performances of these compounds without trying to especially improve them. Because of its tendency to form highly crystalline thin films (Fig. S18), it was very difficult to collect reproducible data from devices made with **DMTPS**. However, we were able to make measurements showing very low performances with a quantum efficiency of 0.08 Cd/A at 6.0 V (Fig. S19) which is in line with what has been previously described.<sup>63</sup> Contrastingly, **Tp-DMTPS** displays good film-forming ability, the thin films remaining amorphous even after being annealed at 80°C for 1h. As seen above, this excellent film stability is due to the drastic enhancement of both thermal and structural properties afforded by the triptycene moieties. Normalized electroluminescence (EL) spectra collected from two different devices are shown in Fig. 13.



**Fig. 13** Normalized EL spectra of **Tp-DMTPS**.

The EL spectrum an OLED with the simple architecture ITO/PEDOT-PSS/NPB/**Tp-DMTPS**/Ca displays a maximum at 516 nm, which is not affected by the applied voltage (Fig. S20). The insertion of a  $\text{MoO}_3$  layer between PEDOT-PSS and NPB layers (ITO/PEDOT-PSS/ $\text{MoO}_3$ /NPB/**Tp-DMTPS**/Ca) induces a blue



shift of *ca.* 20 nm in the EL spectrum. This could be the consequence of a micro cavity effect, the emission wavelength being affected by the thickness of the active layer.<sup>64</sup>

The current density and luminous intensity are shown in Fig. 14 for an ITO/PEDOT-PSS/MoO<sub>3</sub>/NPB/Tp-DMTPS/Ca device. The stability and repeatability for these devices are quite good, the quantum efficiency which is 2.8 Cd/A at 6.0 V is 35 fold higher than with DMTPS and the CIE color coordinates are *x* = 0.228 and *y* = 0.412 (Fig. S21). As anticipated from its lower solid state quantum yield, OLEDs with an ITO/PEDOT-PSS/NPB/Tp-MPTPS/Ca architecture show lower performances than those obtained with Tp-DMTPS, *i.e.*, 0.8 Cd/A with CIE color coordinates of *x* = 0.301 and *y* = 0.312 (Fig. S22 and Fig. S23).

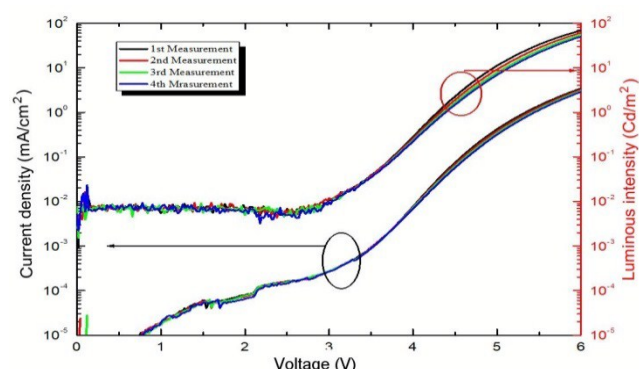


Fig. 14 J-V characteristics and luminous intensity versus voltage of an ITO/PEDOT-PSS/MoO<sub>3</sub>/NPB/Tp-DMTPS/Ca device.

### Electronic structure calculations.

The HOMO and LUMO energy levels of both optimized, *R*- and *L*-conformers of Tp-DMTPS and Tp-MPTPS were estimated at the Density Functional Theory (DFT) level using the B3LYP functional and the 6-31G\* basis set. Calculations have been done on single molecules, either fully unconstrained, referred here as “optimized structures” or on structures for which the torsion angles have been frozen to their crystal values during the optimization, noted here as “constrained structures”. The optimized structures and the shape of the HOMO and LUMO orbitals of Tp-DMTPS and Tp-MPTPS are depicted in Fig. 15.

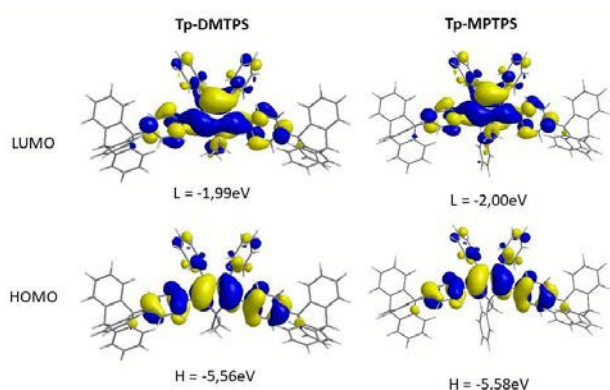


Fig. 15 Energy and shape of the HOMO and LUMO orbitals of optimized structures of Tp-DMTPS and Tp-MPTPS, as calculated at the B3LYP/6-31+G\* level.

As previously observed, the four phenyl rings decorating the central silole ring adopt a propeller-like arrangement. Surprisingly, the calculations took rather well into account the strong CH/ $\pi$  interactions found in the crystal structures of both siloles with calculated bending angles of *ca.* 11° (Tp-DMTPS) and 15° (Tp-MPTPS) between the threefold axis of the triptycene group and the adjacent phenyl moiety. The HOMO orbitals of both derivatives are mainly located on the central silole core and phenyl rings at 2,5-positions while the substituents located at the 3 and 4-positions bear much weaker contributions. Calculated values are -5.56 and -5.58 eV for Tp-DMTPS and Tp-MPTPS, respectively, values that are close to the ones estimated from CV measurements (see Table 2). This indicates that the optimized structures are certainly close to the ones present in solution. For the LUMO orbitals, a significant  $\sigma^*$ -type orbital density is found on the two exocyclic Si-C bonds. This is typical for siloles and the resulting  $\sigma(\text{Si-C}_{\text{exocyclic}})^* - \pi(\text{butadiene})^*$  hyperconjugation is responsible for the stabilization of the LUMO level; making those compounds good candidates for optoelectronic applications.<sup>7</sup> The triptycene moieties are not involved in the frontier orbitals; Tp-DMTPS and Tp-MPTPS being therefore similar from an electronic point of view to their DMTPS and MPTPS parents.

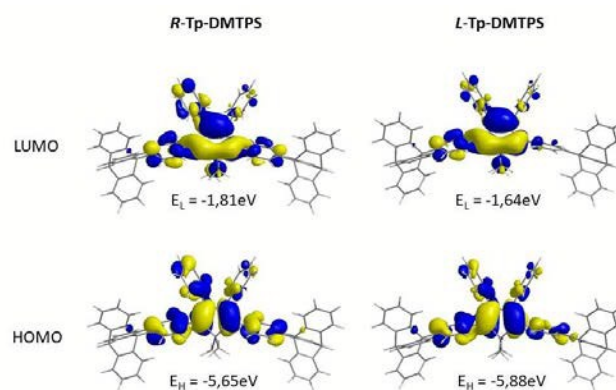


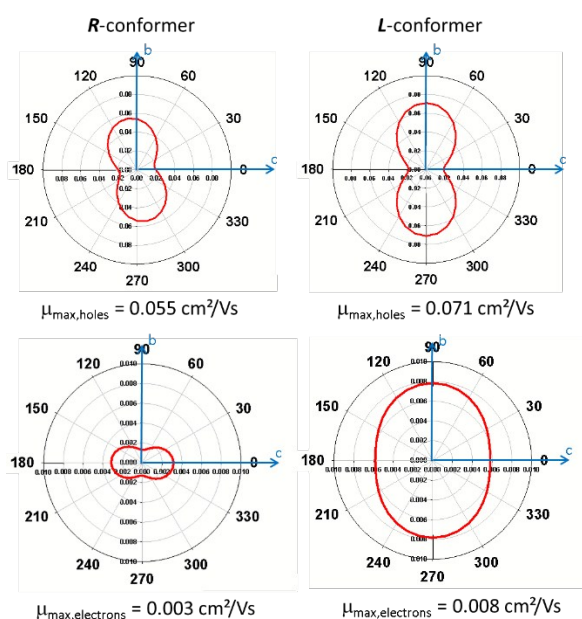
Fig. 16 Energy and shape of the HOMO and LUMO levels of constrained *R*- and *L*-conformers of Tp-DMTPS as calculated at the B3LYP/6-31+G\* level.

Concerning *R*- and *L*-conformers of Tp-DMTPS (Fig. 16), as expected from the increased values of the torsion angles with respect to fully optimized structures (Table 1), both conformers display larger *E<sub>g</sub>* values than for the optimized structure. Indeed, the *L*-conformer that is the less conjugated, displays the largest *E<sub>g</sub>* value of 4.24 eV. Consequently, the emission of Tp-DMTPS is expected to be blue-shifted when passing from solution (calculated *E<sub>g</sub>* = 3.37 eV) to the solid state, in contrast to experimental observations. This clearly suggests that the red-shift observed experimentally does not stem from the intrinsic properties of the molecules, but rather from the strong CH/ $\pi$  intermolecular interactions that occur in the solid.<sup>65, 66</sup>

### Charge transport calculations.

Finally, we decided to perform quantum-chemical calculation to evaluate both the role the CH/ $\pi$  intermolecular interactions and

the conformational modifications in a supramolecular property such as charge transport. Therefore, the estimation of the hole and electron mobilities for both *R*- and *L*-conformers of **Tp-DMTPS** as well as **DMTPS** as a reference were carried out.<sup>67, 68</sup> Interestingly, our methodology reproduces nicely the measured electron-transporting character of **DMTPS**<sup>47</sup> with the highest calculated electron mobilities of 0.134 cm<sup>2</sup>/(V.s), compared to 0.038 cm<sup>2</sup>/(V.s) for holes (see Fig. S24). In contrast to **DMTPS** for which high transport properties are almost isotropic, **Tp-DMTPS** only exhibits a two-dimensional charge transport character within the *bc* plane, especially along the *b* axes. It is worthy to note that this is also in this direction that the main CH/ $\pi$  intermolecular interactions are running (see above). The two-dimensional behavior is a result of the 'insulating character' of the triptycene moiety: since the HOMO and LUMO orbitals are localized on the TPS subunit and not on the triptycene, hole and electron hopping events are highly unlikely along the triptycene direction. Surprisingly, our simulations reveal that, compared to **DMTPS**, hole mobilities are slightly enhanced while electron mobilities are significantly lowered, down to values never exceeding 0.008 cm<sup>2</sup>/(V.s), see Fig. 17. Moreover, an increase of the mobilities is observed when passing from the *R*- to the *L*-conformer of **Tp-DMTPS**. This result is in good agreement with the conclusion drawn by the Hirshfield surface analysis (see Fig. 5), indicating that the *L*-conformer display stronger CH/ $\pi$  intermolecular interactions than the *R*-. These calculations also show that these interactions are likely involved in the charge transport pathways.



**Fig. 17** Anisotropy of the hole (top) and electron (bottom) mobilities (in cm<sup>2</sup>/(V.s)) in the *R*- (left) and *L*- (right) conformers of **Tp-DMTPS**. The horizontal [vertical] direction corresponds to the *c*-[*b*-] unit cell axis. Note that the mobility scale is different for holes and electrons.

Grafting a triptycene fragment on a TPS central core therefore strongly modifies its charge transport properties by (i) reducing its charge transfer character from 3-D to 2-D and by (ii)

inducing a stronger hole-transporting character while keeping a moderate electron mobility. These results imply that **Tp-DMTPS** is able to transport both types of charge carriers reasonably well, consistent with the device performances described above.

## Conclusions

Experimental and theoretical studies of two silole-triptycene derivatives **Tp-DMTPS** and **Tp-MPTPS** are presented. Both compounds display a very good AIE activity as well as increased thermal and structural stabilities in comparison with other silole congeners. Consequently, a substantial improvement is found in the formation of stable, amorphous thin films as well as in the performance of simple OLED. Further improvement of the devices performance should be reached through the correction of the charge-transport balance by molecular engineering.<sup>6, 69</sup> Among the two molecules, **Tp-DMTPS** displays a very interesting photophysical behavior. In contrast to what is frequently observed, an appreciable red-shift is observed when passing from either the solution or the tiny aggregates to the crystals. Moreover a pronounced reversible mechanohypsochromic phenomenon with a color emission changing from yellow-orange to blue-green is observed when microcrystalline powders are ground. Based on single crystal structural analysis, <sup>13</sup>C CP-MAS NMR and theoretical calculations this behavior was rationalized. We have demonstrated the significance of both molecular conformation and intermolecular CH/ $\pi$  interactions. <sup>13</sup>C CP-MAS NMR experiments conducted either on microcrystalline or ground powders confirmed that despite of the loss of crystallinity upon grinding, strong intermolecular CH/ $\pi$  interactions are preserved. This reflects the strong structure-directing properties brought by the triptycene groups. The origin of the red-shift observed when passing from isolated molecules to the crystal may be explained by the stabilization of the frontiers energy levels through CH/ $\pi$  intermolecular interactions. On the other hand, the origin of the mechanohypsochromism could be explained by the fact that the more energetic conformer is favored when the crystal environmental strain is diminished. Our results therefore indicate that the attachment of triptycene moieties to  $\pi$ -conjugated molecules is an interesting way to improve their thermal, structural and photophysical properties for sensing or optoelectronic applications. However, further experiments and sophisticated calculations are needed to fully understand this very original behavior.

## Conflicts of interest

There are no conflicts to declare.

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