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#### **RESEARCH PAPER**



# Large hydraulic safety margins protect Neotropical canopy rainforest tree species against hydraulic failure during drought

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#### Abstract

- *Key message* Abundant Neotropical canopy-tree species are more resistant to drought-induced branch embolism than what is currently admitted. Large hydraulic safety margins protect them from hydraulic failure under actual drought conditions.
- *Context* Xylem vulnerability to embolism, which is associated to survival under extreme drought conditions, is being increasingly studied in the tropics, but data on the risk of hydraulic failure for lowland Neotropical rainforest canopy-tree species, thought to be highly vulnerable, are lacking.
- Aims The purpose of this study was to gain more knowledge on species drought-resistance characteristics in branches and leaves and the risk of hydraulic failure of abundant rainforest canopy-tree species during the dry season.
- *Methods* We first assessed the range of branch xylem vulnerability to embolism using the flow-centrifuge technique on 1-mlong sun-exposed branches and evaluated hydraulic safety margins with leaf turgor loss point and midday water potential during normal- and severe-intensity dry seasons for a large set of Amazonian rainforest canopy-tree species.
- *Results* Tree species exhibited a broad range of embolism resistance, with the pressure threshold inducing 50% loss of branch hydraulic conductivity varying from -1.86 to -7.63 MPa. Conversely, we found low variability in leaf turgor loss point and dry season midday leaf water potential, and mostly large, positive hydraulic safety margins.

DB and SC should be considered joint senior authors

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Contributions of the co-authors: Authors' contribution Conceived and designed the experiments: CZ, SC, CS, LS, and DB; acquired data: CZ, SC, CS, SD, SL, PH, JYG, and JC; managed forest inventory data: GJ; analyzed the data: CZ, SC, CS, and DB; wrote the first draft of the paper: CZ, SC, CS, and DB. All authors read and approved the final manuscript.

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• *Conclusions* Rainforest canopy-tree species growing under elevated mean annual precipitation can have high resistance to embolism and are more resistant than what was previously thought. Thanks to early leaf turgor loss and high embolism resistance, most species have a low risk of hydraulic failure and are well able to withstand normal and even severe dry seasons.

Keywords Amazon rainforest · Embolism resistance · Hydraulic safety margins · Turgor loss point · Water potential

#### 1 Introduction

Tropical rainforest ecosystems are characterized by high annual rainfall. Yet, most Amazonian rainforests are regularly exposed to seasonal droughts due to the latitudinal movements of the Intertropical Convergence Zone (Bonal et al. 2016). Reduced soil water availability due to low precipitation during the dry season can cause a reduction in tree growth (Wagner et al. 2012), photosynthetic assimilation, and whole-tree transpiration due to stomatal closure (Stahl et al. 2013). Increased mortality can occur with increasingly severe dry seasons (Phillips et al. 2010) and species exhibiting contrasting drought-resistance characteristics are likely to be affected differently (Anderegg et al. 2016). This indicates that drought resistance may provide an advantage for tropical trees under climate change and that droughts are likely to alter tree species' distribution as well as community composition and functioning (Esquivel-Muelbert et al. 2019). Furthermore, little is known about the trait syndromes that confer drought resistance in tropical rainforests or how they participate in shaping species distribution along macro-environmental gradients of water availability (Zhu et al. 2018).

Assessing tropical rainforest species and community resistance to drought in a context of increasingly frequent severe dry seasons (Duffy et al. 2015) requires a deep understanding of the mechanisms enabling the maintenance of physiological functions, and hence survival, during periods of low water availability (Anderegg et al. 2015). Tree species display a variety of drought tolerance and drought avoidance strategies (Delzon 2015), especially in hyper-diverse tropical rainforests (Santiago et al. 2016). Therefore, using traits to describe these strategies may be particularly helpful in predicting whether a given species will be able to withstand future climatic conditions which could potentially alter its present bioclimatic distribution range.

Loss of hydraulic conductivity leading to xylem hydraulic failure and plant desiccation has been identified as an important mechanism involved in drought-induced tree mortality (Adams et al. 2017). Therefore, the spread of branch embolism during drought could not only have an impact on the overall fitness of individual trees but could also affect tree community functioning. Plants can, however, avoid hydraulic failure through the expression of several hydraulic traits. Plant xylem vulnerability to embolism—expressed as the xylem pressure at which 50% of branch hydraulic conductivity is lost ( $\Psi_{50}$ ; MPa)—is an adaptive (Maherali et al. 2004) and mechanistic trait with strong predictive power for plant survival and distribution (Urli et al. 2013;

Anderegg et al. 2015; Brodribb 2017). Embolism resistance varies with mean annual precipitation at the inter-biome scale (Maherali et al. 2004; Choat et al. 2012) and with water availability at the local scale (Oliveira et al. 2019). Species with more negative  $\Psi_{50}$  values are able to tolerate more negative water potentials and sustain hydraulic conductivity and can therefore thrive in drier conditions (Choat et al. 2018). The xylem hydraulic safety margin (HSM; MPa), expressed as the difference between seasonal minimum xylem water potential and  $\Psi_{50}$ , was found to better explain mortality during severe droughts than  $\Psi_{50}$  alone or than other traits (Anderegg et al. 2016). In a metaanalysis, Choat et al. (2012) showed that trees typically operate at positive HSM, indicating that they are not being routinely exposed to high levels of branch embolism. Yet, Choat et al. (2012) reported converging, narrow HSM values (< 1 MPa) among biomes for Angiosperm species, suggesting that forests will be highly vulnerable to an increase in the frequency of severe droughts. During moderate droughts, some species have the ability to preserve leaf functioning (i.e., growth, gas exchange, leaf hydraulic conductance) thanks to a lower leaf water potential at which leaf cells lose turgor (henceforth denoted  $\pi_{tlp}$ ; MPa; Bartlett et al. 2012a, b). With increasing drought intensity, leaf water potential decreases to and past  $\pi_{tlp}$ , mediating stomatal closure and thereby limiting leaf transpiration and the consequential further decrease in water potential (Brodribb et al. 2003; Bartlett et al. 2016). As a consequence,  $\pi_{tlp}$  has been used as an indicator for stomatal behavior (Mencuccini et al. 2015) and as a proxy for stomatal closure during drought (Martin-StPaul et al. 2017; Hochberg et al. 2018).

These hydraulic traits can act in concert or as a result of tradeoffs that determine plant drought resistance, which can be attained through multiple strategies (Pivovaroff et al. 2016). It is therefore paramount to consider drought resistance strategies in terms of interactions among traits expressed in different organs. The longstanding view that  $\varPsi_{50}$  and  $\pi_{tlp}$  are coordinated to maintain leaf functioning and productivity under drought stress (Jones and Sutherland 1991) has recently been challenged (Mencuccini et al. 2015; Martin-StPaul et al. 2017). Indeed,  $\pi_{tlp}$  was much less variable and in most cases less negative than  $\Psi_{50}$  along the global spectrum of  $\Psi_{50}$  variations. The same pattern was found in another meta-analysis for ~ 80% of tropical rainforest tree species for which sigmoidal vulnerability curves were selected (Bartlett et al. 2016). This indicates that (i) trees generally have a positive hydraulic safety margin between stomatal closure  $(\pi_{tln})$  and the spread of embolism ( $\Psi_{50}$ ) and that (ii) stomata must close in order



to avoid the rapid spread of branch embolism and subsequent hydraulic failure.

Over the past decades, a tremendous effort has been made to improve our understanding of the mechanisms of plant response and resistance to drought in various biomes. Yet, a major knowledge gap still remains for tropical rainforest tree species (Bonal et al. 2016). These species, which typically experience high precipitation regimes, are believed to have low intra-biome variation and less negative  $\Psi_{50}$  values (i.e., higher vulnerability to drought-induced branch xylem embolism) than those in drier forest biomes (Maherali et al. 2004; Choat et al. 2012). Unfortunately, the data available for Amazonian rainforest canopy-tree species are limited and some are subject to methodological doubt (see Torres-Ruiz et al. 2016 concerning Rowland et al. 2015). Tropical rainforests also represent the woody-biome with the least negative mean  $\pi_{tln}$  values observed so far (Bartlett et al. 2012a, b; Zhu et al. 2018), reinforcing the claim that rainforest tree species cannot tolerate strong declines in water potential without closing their stomata (Fisher et al. 2006). However,  $\pi_{tlp}$ showed significant interspecific variation for Amazonian rainforest canopy-tree species in French Guiana (Marechaux et al. 2015), which extends the expected range for tropical rainforest canopy tree species. Moreover, species identity was shown to be the main driver of variation in  $\pi_{tln}$ , with, respectively, low and negligible intraspecific and seasonal variability (Maréchaux et al. 2016). At present, the few studies providing a combined investigation of  $\Psi_{50}$  and  $\pi_{tlp}$  for tropical rainforest species concern only a limited number of species and have yielded contradictory conclusions (Mencuccini et al. 2015; Nolf et al. 2015; Bartlett et al. 2016; Martin-StPaul et al. 2017; Powell et al. 2017). Likewise, the absence of a general consensus on the magnitude of  $\Psi_{50}$  and the risk of hydraulic failure in hyper-diverse ecosystems such as tropical rainforests highlights the need for more research, in particular for large canopy-trees, which are at higher risk of drought-induced mortality during extreme climatic events (Bennett et al. 2015).

We assessed the vulnerability of rainforest tree species to drought-induced branch xylem embolism and the risk of hydraulic failure under normal- and severe-intensity dry seasons. We explored interspecific variability and covariations in branch and leaf hydraulic traits known to be important in drought response and survival. Xylem branch vulnerability to embolism, leaf water potential at turgor loss point, and midday leaf water potential were estimated in 30 co-occurring rainforest canopy-tree species in French Guiana. With this unique set of hydraulic traits, we addressed the following questions:

(i) What is the range of variation in branch xylem vulnerability to embolism in abundant, co-occurring lowland tropical rainforest canopy-tree species? (ii) Are these species exposed to a risk of hydraulic failure during normal and severe droughts?

#### 2 Materials and methods

#### 2.1 Study site and species selection

The fieldwork was conducted at Paracou Research Station (https://paracou.cirad.fr;  $5^{\circ}16'26''N$ ,  $52^{\circ}55'26''W$ ) in a lowland tropical rainforest of the Guiana Shield in French Guiana. The site has a mean ( $\pm$  SE) annual air temperature of 25.7 °C  $\pm$  0.1 °C and a mean precipitation of 3102 mm  $\pm$  70 mm (from 2004 to 2014; Aguilos et al. 2019) with a dry season typically occurring from mid-August to mid-November. During this dry season, precipitation can be less than 50 mm/month and soil water availability is strongly reduced (< 0.4; Aguilos et al. 2019). The forest is characterized by a succession of small hills (up to 40 m a.s.l) comprising plateaus and slopes as well as seasonally flooded areas.

The study was conducted at the Paracou experimental site, managed by CIRAD, in plots 6, 11, and 15, each of which are 6.25 ha of undisturbed forest. All trees  $\geq 10$  cm in diameter within the plots have been tagged, mapped, identified to species level, and annually or biennially measured for diameter growth for about 30 years. Based on total basal area by species in this botanical inventory data, we selected the most common canopy-tree species present on plateaus or moderate slopes (*terra firme*) at the site. The sampling included the three main commercial species (*Dicorynia guianensis*, *Qualea rosea*, and *Sextonia rubra*), which account for 71.7% of the logging volume in French Guiana (Fargeon et al. 2016).

We sampled branches and leaves of co-occurring canopytree species belonging to 11 botanical families (Table 3 in the Appendices) of which Burseraceae, Chrysobalanaceae, Fabaceae, Lecythidaceae, and Sapotaceae were the most represented in our sample. Thirty tree species were investigated, eight of which produce exudates (Table 7 in the Appendices). We selected healthy dominant or co-dominant canopy trees. Only one understory species (*Gustavia hexapetala*) was selected. Accessibility for tree climbers to sunlit leaves or branches was also a selection criterion.

We measured leaf traits on 29 species and the hydraulic characteristics of the branches supporting these leaves for 25 species (Table 4 in the Appendices). The latter measurements were conducted on three to ten individuals per species (Table 4 in the Appendices), with exceptions for seven species out of the total 25 for which we could obtain only one or two vulnerability curves. Branch hydraulics could not be obtained for four species: *Licania alba* and *Licania heteromorpha* had extremely long maximum vessel lengths and were not measured (see *Vulnerability curves*); we did not succeed in



measuring Vouacapoua americana despite repeated attempts; and too few Sextonia rubra individuals were sampled to yield results. Leaf traits could not be obtained for two species: the only Protium sagotianum individual on which branches were sampled died; and the dense secondary vein network of Qualea rosea hampered an accurate determination of turgor loss point (Maréchaux, Bartlett et al. 2016).

# 2.2 Vulnerability curves

Professional tree climbers sampled 2-3-m-long, sun-exposed canopy branches with a diameter under bark ranging from 8.7 to 18.2 mm during the rainy season, i.e., between January and July 2017. While sampling the branches, the climbers attached strings to nearby fine, sun-exposed twigs so that they could later be pulled down for leaf sampling. After sampling, the branches intended for the determination of branch xylem vulnerability to embolism were immediately defoliated to prevent transpiration loss, recut under water, conditioned in wet cloth, and sealed in heavy-duty black plastic bags to halt moisture loss (Delzon et al. 2010). They were taken to the laboratory in Kourou (a 45-min drive), recut to 1.5 m in length, reconditioned in damp absorbent paper with both ends covered in plastic wrap, sealed with tape inside heavy-duty plastic bags, and immediately sent by priority transport (1-2 days) to the Caviplace phenotyping platform (Genebois, Univ. of Bordeaux, Pessac, France).

Prior to final measurements, the branches were recut under water to a length of 1 m and debarked at both ends to help limit the amount of exuded mucilage. Vulnerability to embolism was measured following the flow-centrifugation technique (Cochard et al. 2013) in a large Cavitron equipped with a 1-m diameter custom-built rotor (Cavi1000; DGMeca, Gradignan, France). This large rotor was designed to process species with long vessels (Lobo et al. 2018). This limits the occurrence of 'open-vessel' artifacts if the vessel length is much shorter than the diameter of the rotor (Cochard et al. 2013). The percentage loss of conductivity (PLC) was measured for each branch in 0.5 MPa pressure steps (Cavisoft v1.5; Univ. Bordeaux, Pessac). Vulnerability curves (VCs) were obtained by plotting increasing PLC with decreasing xylem pressure. VCs were fitted with a sigmoid function (Pammenter and Vander Willigen 1998) following the Nlin procedure in SAS (SAS 9.4, SAS Institute, Cary, NC, USA) and hydraulic traits were determined.  $\Psi_{50}$  (MPa), the xylem pressure inducing 50% loss of branch hydraulic conductivity, is a widely used metric representing the steepest point of the VC, meaning that even a small decrease in water potential will cause a substantial reduction in hydraulic conductivity (Maherali et al. 2004; Choat et al. 2012).  $a_x$  (%MPa<sup>-1</sup>) is the slope of the VC at the inflexion point and is a good indicator of the speed at which embolism affects the stem (Delzon et al. 2010). Additionally, we obtained the xylem pressures inducing 12% ( $\Psi_{12}$ ; MPa) and 88% ( $\Psi_{88}$ ; MPa) loss of branch hydraulic conductivity.  $\Psi_{12}$  corresponds to the water potential which reflects the initial air-entry

producing embolism (Meinzer et al. 2009), while  $\Psi_{88}$  is thought to be the xylem water potential threshold above which hydraulic damage may be irreversible, leading to high levels of tree mortality in Angiosperm tree species (Choat et al. 2012; Urli et al. 2013).

We also measured the maximum vessel length (MVL; cm; Table 7 in the Appendices) in canopy branches of similar dimensions from the same trees sampled for the VCs, following the air-injection method (Ewers and Fisher 1989). Air was injected at a pressure of 1 kPa from the distal end of the branch while the basal end was recut underwater every 2 cm. Once the first air bubbles appeared from the cut end surface, branch length was measured with a measuring tape and MVL was recorded. We excluded species with a MVL far above 1 m for a given diameter of ca. 2 cm (i.e., Licania alba and Licania heteromorpha) and selected smaller diameter branches for species with a MVL close to 1 m (i.e., Eperua falcata, Goupia glabra, and Licania membranacea).

### 2.3 Leaf turgor loss point

Leaf water potential at turgor loss point ( $\pi_{tlp}$ , MPa) was estimated during the 2017 dry season on canopy leaves with a vapor pressure osmometer (VAPRO 5520, Wescor, Logan, UT, USA; Bartlett et al. 2012a, b). This method was previously validated and used in a study conducted on tropical rainforest trees in French Guiana (Maréchaux, Bartlett et al. 2016). After harvest, the leaves were immediately sealed in plastic bags containing moist absorbent paper, placed in a cooler, taken to the laboratory in Kourou and placed at 5 °C to allow them to rehydrate overnight. The next day, one 8-mm disk per leaf was sampled with a sharp cork borer, care being taken to avoid first- and secondorder veins. The disk was immediately wrapped in tinfoil and frozen by immersion in liquid nitrogen (LN<sub>2</sub>) for at least 2 min, then punctured 10-15 times with a needle and sealed in an osmometer with a standard 10-µl chamber well. The equilibrium solute concentration value C<sub>0</sub> (mmol kg<sup>-1</sup>) was recorded from the osmometer when the difference between two consecutive measurements fell below 5 mmol kg<sup>-1</sup>. A previously established linear relationship with the osmotic potential at full turgor  $(\pi_{osm})$ was used to convert to  $\pi_{osm}$  following the van't Hoff equation relating solute concentrations to vapor pressure:

$$\pi_{osm} = \frac{2.5}{1000} \times c_o,\tag{1}$$

where the numerator of the first term represents  $R \times T = 2.5 \text{ L}$ MPa mol<sup>-1</sup> at 25 °C, with R, the ideal gas constant, and T, the temperature in degrees Kelvin. The value of  $\pi_{thp}$  was then calculated from  $\pi_{osm}$  (Bartlett et al. 2012a, b) as

$$\pi_{tlp} = 0.832 \times \pi_{osm} - 0.631.$$
 (2)





# 2.4 Dry season midday leaf water potential

Midday leaf water potential ( $\Psi_{md}$ ; MPa) was measured with a pressure chamber (Model 1505D, PMS, USA) between 11:00 and 14:00 on clear sunny days with a high vapor pressure deficit (VPD = 1.32 kPa) during the 2018 dry season in early October when soil relative extractable water was low (REW = 0.21; Fig. 4 in the Appendices). The measurements were conducted on individual trees for which vulnerability curves were available and also on additional co-occurring canopy trees of the same species in order to increase sample size and reach three to five replicates per species. Additionally, we measured  $\Psi_{\rm md}$  on four species for which we had not obtained VCs. We followed a specific protocol to ensure reliable readings of  $\Psi_{\rm md}$ for laticiferous species exuding clear-exudates (as opposed to colored ones). When clear foam (latex plus air) appeared, usually from only a few distinct points on the petiole crosssection, it was rapidly blotted away with absorbent paper. This was repeated until a liquid (xylem water) appeared and covered the entire cross-section, then  $\Psi_{\rm md}$  was immediately recorded.

Environmental conditions during these measurements were typical of an average-intensity (henceforth denoted "normal") dry season, according to 40 years of available REW data (Fig. 4 in the Appendices). We also compiled  $\Psi_{\rm md}$  data from the literature (Stahl et al. 2010) recorded for the same species (n=11) at the same site during a strong-intensity (henceforth denoted "severe") dry season in early November 2008 (REW = 0.10; Fig. 4 in the Appendices; VPD = 1.51 kPa), the second driest in the past 40 years at our site. This allowed us to compare our data with those from more severe soil drought conditions.

# 2.5 Hydraulic safety margins

Xylem hydraulic safety margins (HSM; MPa) were calculated as the difference between either (i) dry season midday leaf water potential (HSM $_{\Psi md-\Psi x}$ ; Meinzer et al. 2009) or (ii) leaf turgor loss point (HSM $_{\pi tlp-\Psi x}$ ; Martin-StPaul et al. 2017) and the xylem pressure inducing either 12, 50, or 88% loss of branch hydraulic conductivity. Leaf safety margins were calculated as the difference between  $\Psi_{md}$  and  $\pi_{tlp}$  (SM $_{leaf}$ ; MPa). All safety margins were calculated for individual trees and then averaged at the species level. We report HSM $_{\Psi md-\Psi x}$  calculated from  $\Psi_{md}$  datasets recorded both in 2018 (normal dry season; Table 1) and in 2008 (severe dry season; Table 8 in the Appendices).

#### 2.6 Data analysis

We used ANOVA tests to estimate differences in mean species values for embolism resistance parameters, leaf water potentials and HSM, and unpaired t tests for differences in mean  $\Psi_{50}$ 

values between our study and other biomes. Linear models were performed to analyze trait correlations. We used a sensitivity analysis to assess the relative contributions of  $\Psi_{50}$ ,  $\Psi_{md}$ , or  $\pi_{tlp}$  in driving the variations in HSM. To test whether species ranking in  $\Psi_{md}$  between 2018 and 2008 was conserved, we computed Kendall's coefficient of concordance ( $\tau$ ). The above-mentioned analyses were performed for all species with at least three individuals measured concurrently. Species that did not meet this criterion were simply projected onto the figures. All statistical analyses were performed with the R software.

#### 3 Results

Vulnerability curves yielded embolism resistance parameters that varied strongly along a continuum across the studied species:  $\Psi_{12}$ varied from -0.99 to -6.78 MPa,  $\Psi_{50}$  varied from -1.86 to -7.63 MPa,  $\Psi_{88}$  varied from -2.55 to -10.22 MPa (F > 9; p <0.001; Fig. 1, Table 1; Table 5, Fig. 5 and Fig. 6 in the Appendices) and a<sub>x</sub> varied from 21 to 240 %MPa<sup>-1</sup> (Table 5 in the Appendices). Mean community branch  $\Psi_{50}$  (- 3.93  $\pm$  0.31 MPa; Fig. 1 and Table 1) was more negative than the worldwide mean for both tropical rainforests (-1.70 MPa; p < 0.001) and tropical dry forests (-2.40 MPa; p < 0.001); indeed, it was comparable to values found for drier biomes such as temperate (-3.86 MPa; p = 0.85) and Mediterranean/dry forests (-3.88 MPa; p = 0.85)MPa; p = 0.93; see insert in Fig. 1; Choat et al. 2012). Leaf turgor loss point ranged from -1.32 to -2.15 MPa with a significant species effect (F = 12.1; p < 0.001; Table 1). Midday leaf water potential ( $\Psi_{md}$ ) measured during the 2018 dry season ranged from -0.88 to -2.25 MPa with a significant species effect (F = 2.4; p = 0.002; Table 1).

We observed no relationship between  $\Psi_{50}$  and  $\Psi_{md}$  in 2018 (Fig. 2a), nor did we find any significant relationship between  $\pi_{\text{tlp}}$  and  $\Psi_{50}$  ( $R^2 = 0.17$ ; p = 0.09; Fig. 2b). Indeed,  $\pi_{\text{tlp}}$  was relatively constant with a relatively small range (0.8 MPa) regardless of species branch xylem vulnerability to embolism along the full range of  $\Psi_{50}$  (5.8 MPa).  $\pi_{th}$  reached a plateau at ca – 2.12 MPa (i.e., the lowest 99th percentile for  $\pi_{tlp}$ ; dotted line in Fig. 2b). However, there were significant relationships between  $\Psi_{12}$ ,  $\Psi_{50}$ , and  $\Psi_{88}$ . A sensitivity analysis showed that  $\Psi_{50}$ explained as much as 88% of the variance in  $HSM_{\Psi md-\Psi 50}$  and even 98% of the variance in  $HSM_{\pi tlp-\Psi 50}$ , with lower interspecific variation in  $\Psi_{\rm md}$  and  $\pi_{\rm tlp}$  than in  $\Psi_{\rm 50}$  (Fig. 2a,b). Species with lower  $\pi_{\text{tlp}}$  also had lower  $\Psi_{\text{md}}$  ( $R^2 = 0.16$ ; p = 0.048; Fig. 2c). There was no other relationship between  $\pi_{tlp}$  and other independent hydraulic traits (Table 2). All correlations among traits are summarized in Table 2.

We found considerable interspecific variation along a continuum for xylem hydraulic safety margins.  $HSM_{\Psi md - \Psi 12}$  varied from -0.63 to 5.88 MPa (F = 9.0; p < 0.001; Table 6 in the Appendices),  $HSM_{\Psi md - \Psi 50}$  varied from 0.33 to 6.73 MPa (F =



Table 1 Key branch and leaf hydraulic traits. Water potential at 50% loss of branch hydraulic conductivity ( $\Psi_{50}$ ; MPa), midday leaf water potential during a normal-intensity dry season ( $\Psi_{md}$ ; MPa), leaf water potential at turgor loss point ( $\pi_{tlp}$ ; MPa), xylem hydraulic safety margins (HSM; MPa), and leaf safety margin (SM<sub>leaf</sub>; MPa) derived from leaf and branch hydraulic traits for the 30 canopy-tree species sampled in French Guiana. The results of a one-way ANOVA test for species effect for each studied trait are shown. Mean  $\pm$  SE, F, and p values of species comparison are displayed. Only species with at least three measured individuals are included in the analysis. Correspondance between species' code and botanical name can be found in Table 3.

Code	$\Psi_{50}$	$\Psi_{ m md}$	$\pi_{ m tlp}$	HSM <sub>∉md</sub> - ∉50	HSM <sub>πtlp-Ψ50</sub>	SM <sub>leaf</sub>
Вр	$-4.29\pm0.23$	$-1.74 \pm 0.28$	$-1.82 \pm 0.05$	$2.65\pm0.54$	$2.51\pm0.28$	$0.10 \pm 0.31$
Csch	$-\ 2.43\pm0.28$	$-\ 1.16\pm0.09$	$-\ 1.54\pm0.05$	$1.29\pm0.34$	$0.82 \pm 0.29$	$0.44 \pm 0.08$
Cpri	-	$-\ 1.37\pm0.18$	$-2.15\pm0.12$	-	-	$0.78 \pm 0.24$
Csan	$-4.05\pm0.04$	$-\ 1.60\pm0.24$	$-\ 1.80\pm0.05$	$2.45\pm0.24$	$2.27\pm0.05$	$0.18 \pm 0.24$
Dg	$-2.38\pm0.13$	$-\ 1.36\pm0.15$	$-\ 1.32\pm0.05$	$1.03\pm0.31$	$1.09 \pm 0.17$	$-0.01 \pm 0.17$
Ef	$-3.86\pm0.55$	$-1.29\pm0.16$	$-\ 1.68\pm0.05$	$2.53\pm0.59$	$2.02\pm0.71$	$0.30 \pm 0.21$
Eg	$-6.14 \pm 0.11$	$-1.29 \pm 0.22$	$-1.93\pm0.05$	$4.86 \pm 0.31$	$4.21\pm0.10$	$0.65 \pm 0.30$
Ec	-3.27	- 0.94	- 1.57	2.33	1.70	0.63
Es	$-2.88\pm0.12$	$-\ 1.23\pm0.17$	$-1.52\pm0.05$	$1.65\pm0.19$	$1.29 \pm 0.13$	$0.47 \pm 0.20$
Gg	$-4.99\pm0.34$	$-\ 1.04\pm0.22$	$-1.92 \pm 0.11$	$3.96\pm0.24$	$3.05\pm0.40$	$0.91 \pm 0.29$
Gh	$-7.63 \pm 0.14$	$-0.90 \pm 0.11$	$-1.98\pm0.08$	$6.73\pm0.10$	$5.64 \pm 0.03$	$1.10\pm0.11$
Is	- 3.69	$-\ 1.63\pm0.17$	$-\ 1.54\pm0.03$	-	2.11	$-0.10 \pm 0.22$
Lper	$-3.58\pm0.36$	$-\ 1.38\pm0.23$	$-\ 1.82\pm0.07$	$2.30\pm0.28$	$1.77 \pm 0.28$	$0.46 \pm 0.17$
Lpoi	$-2.14\pm0.31$	$1.82 \pm 0.07$	$-2.14\pm0.12$	$0.33\pm0.32$	$-0.09 \pm 0.36$	$0.42\pm0.07$
La	-	$-\ 1.28\pm0.11$	$-1.69\pm0.03$	-	-	$0.41\pm0.10$
Lh	-	$-\ 1.12\pm0.24$	$-\ 1.45\pm0.06$	-	-	$0.33 \pm 0.25$
Lm	$-2.97\pm0.32$	$-\ 1.65\pm0.24$	$-\ 1.76\pm0.05$	$1.31\pm0.43$	$1.18 \pm 0.27$	$0.13\pm0.23$
Mb	$6.87 \pm 0.62$	$-\ 1.86\pm0.25$	$-2.07\pm0.04$	$5.38 \pm 0.58$	$4.75\pm0.62$	$0.22 \pm 0.18$
Mc	-2.97	$-1.69\pm0.16$	$-\ 1.66\pm0.02$	1.44	1.29	$-0.03 \pm 0.18$
Pc	$6.25 \pm 0.51$	$-\ 2.25\pm0.27$	$-\ 1.72\pm0.05$	$3.19 \pm 0.49$	$4.49\pm0.48$	$0.58 \pm 0.27$
Po	$-2.41 \pm 0.15$	$-2.01 \pm 0.55$	$-1.98\pm0.08$	$0.40\pm0.66$	$0.35 \pm 0.26$	$0.05\pm0.50$
Psag	- 4.94	-	-	-	-	-
Psub	- 2.93	$-\ 1.93\pm0.08$	$-2.08\pm0.06$	0.96	0.71	$0.15\pm0.12$
Qr	$-\ 1.86\pm0.18$	$-1.23 \pm 0.39$	-	$0.46 \pm 0.42$	-	-
Rs	- 4.13	$-\ 1.61\pm0.48$	$1.70 \pm 0.12$	1.64	2.19	$0.02\pm0.33$
Sr	-	$-\ 1.30\pm0.14$	$-\ 1.49\pm0.07$	-	-	$0.14 \pm 0.19$
Sg	$-2.09\pm0.12$	$-\ 1.15\pm0.08$	$-\ 1.58\pm0.02$	$1.00\pm0.08$	$0.50\pm0.12$	$0.43\pm0.09$
Tm	$-4.13\pm0.17$	$-\ 1.82\pm0.29$	$-\ 1.75\pm0.04$	$2.31\pm0.32$	$2.39 \pm 0.18$	$0.08 \pm 0.26$
Vm	$-5.27\pm0.30$	$0.88 \pm 0.19$	$-\ 1.62\pm0.03$	$4.38 \pm 0.40$	$3.64 \pm 0.31$	$0.74 \pm 0.17$
Va	-	$-1.90\pm0.16$	$-1.94\pm0.05$	-	-	$0.05\pm0.17$
All	$-3.93 \pm 0.31$	$-$ 1.46 $\pm$ 0.07	$-$ 1.75 $\pm$ 0.04	$2.37 \pm 0.35$	$2.17 \pm 0.32$	$0.30 \pm 0.01$
F	28.0	2.4	12.1	19.4	22.6	1.9
p	< 0.001	0.002	< 0.001	< 0.001	< 0.001	0.02

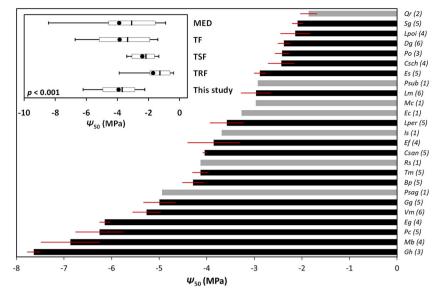
19.4; p < 0.001; Table 1), and HSM $_{\Psi md \cdot \Psi 88}$  varied from 1.08 to 8.34 MPa (F = 19.6; p < 0.001; Table 6 in the Appendices). As much as 78% of the species investigated in 2018 exhibited more negative  $\Psi_{12}$  than  $\Psi_{md}$  values and therefore had a positive HSM $_{\Psi md \cdot \Psi 12}$  with an average of 1.28  $\pm$  0.33 MPa. All species had more negative  $\Psi_{50}$  and  $\Psi_{88}$  than  $\Psi_{md}$  values, and HSM $_{\Psi md \cdot \Psi 50}$  and HSM $_{\Psi md \cdot \Psi 88}$  remained positive for all species with an average of 2.37  $\pm$  0.35 MPa and 3.46  $\pm$  0.42, respectively (Fig. 2a, Tables 1, Tables 5 and 6 in the Appendices). HSM $_{\pi tlp \cdot \Psi 12}$  varied from - 1.05 to 4.79 MPa (F = 7.3; p < 0.001; Table 6 in the Appendices), HSM $_{\pi tlp \cdot \Psi 88}$  varied from 0.87 to 8.10 MPa (F = 27.8; p < 0.001; Table 6 in the Appendices). As much as 74% of the species exhibited a positive HSM $_{\pi tlp \cdot \Psi 12}$ , with an average of

 $1.03 \pm 0.30$  MPa (Table 6 in the Appendices). All species but one (*Lecythis poiteaui*) had more negative  $\Psi_{50}$  than  $\pi_{tlp}$  values and therefore had a positive  $HSM_{\pi tlp-\Psi 50}$ , with an average of  $2.17 \pm 0.32$  MPa (Fig. 2b and Table 1). All species had a positive  $HSM_{\pi tlp-\Psi 8}$  with an average of  $3.31 \pm 0.39$  MPa. The relationship between  $\pi_{tlp}$  and  $\Psi_{50}$  thus restricted species to the area bound by the lower limit of  $\pi_{tlp}$  and the 1:1 line between the two considered traits.

There was a significant species effect in  $SM_{leaf}$  measured in 2018 ( $F=1.9;\ p=0.02;$  Table 1).  $\pi_{tlp}$  values were more negative than  $\Psi_{md}$  values measured in 2018 for more than 80% of the species (Fig. 2c), with subsequent positive  $SM_{leaf}$  ranging from -0.58 to 1.10 MPa with an average of  $0.30\pm0.01$  MPa (Table 1).







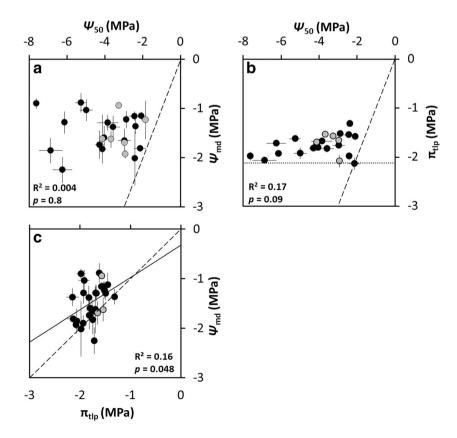
**Fig. 1** Water potential at 50% loss of branch hydraulic conductivity ( $\Psi_{50}$ ; MPa) for the 25 canopy-tree species sampled in French Guiana. Each bar represents species mean (black,  $n \ge 3$ ; gray n < 3) with the number of replicates per species indicated in parentheses. Error bars represent standard errors of the mean. Insert: box plots of mean  $\Psi_{50}$  values for 329 adult tree species from different biomes (MED: Mediterranean

forests/Woodlands, n = 77; TF: Temperate Forest, n = 133; TSF: Tropical Seasonal Forest, n = 54; TRF: Tropical Rainforest, n = 65) extracted from the meta-analysis by Choat et al. (2012) and with data for the 25 species in this study. Boxes show the mean (black dots), median (horizontal bar), 25th and 75th percentiles; error bars show the 10th and 90th percentiles

Species  $\Psi_{\rm md}$  recorded during the 2008 severe dry season ranged from -1.57 to -3.03 MPa (Table 8 in the Appendices; Stahl et al. 2010), showed strong interspecific variation (F=18; p<0.001), and was, on average,

 $0.62 \pm 0.10$  MPa (57%) more negative than  $\Psi_{\rm md}$  in 2018 for the species in common to both years (p < 0.001; data not shown). Consequently, the  ${\rm HSM}_{\Psi{\rm md}-\Psi{\rm 50}}$  calculated from the  $\Psi_{\rm md}$  measured in 2008 for ten species was lower

Fig. 2 Relationships between  $\Psi_{50}$ , the water potential at 50% loss of branch hydraulic conductivity ( $\Psi_{50}$ ; MPa), and a dry season midday leaf water potential ( $\Psi_{md}$ ; MPa), **b** the water potential at turgor loss point ( $\pi_{tlp}$ ; MPa), and  $\mathbf{c}$   $\pi_{\text{tlp}}$  and  $\Psi_{\text{md}}$ , for the 30 canopy-tree species sampled in French Guiana (black  $n \ge 3$ ; gray n < 3). All error bars represent standard errors of the mean. The 1:1 line (dashed line) is represented in all panels; the 99% percentile of  $\pi_{tlp}$  (- 2.12 MPa; dotted line) is represented in b; and the regression (solid line) is represented in c. Coefficients of determination  $(R^2)$  and significance levels (p) are shown





**Table 2** Pearson's correlation coefficients (r) between hydraulic traits and species bioclimatic affiliation for the canopy-tree species sampled in French Guiana. Bivariate comparisons were applied using species means for each variable, with n varying from 18 to 26 species. r values are shown in bold type when significant (p < 0.05). Abbreviations are as follows:  $a_x$  (MPa<sup>-1</sup>) represents the slope of the vulnerability curve;  $\Psi_{12}$ ,  $\Psi_{50}$ , and  $\Psi_{88}$  (MPa) represent the water potential at 12, 50, and 88% of

branch embolism, respectively;  $\Psi_{md}$  (MPa) represents midday leaf water potential measured in 2018;  $\pi_{tlp}$  (MPa) represents leaf turgor loss point;  $\mathrm{HSM}_{\Psi md-\Psi 50}$  and  $\mathrm{HSM}_{\pi tlp-\Psi 50}$  (MPa) represent the hydraulic safety margins calculated as the difference between  $\Psi_{md}$  or  $\pi tlp$  and  $\Psi_{50}$ ; SMleaf represents the leaf safety margin calculated as the difference between  $\Psi_{md}$  and  $\pi_{tlp}$ 

	$a_{\mathrm{x}}$	$\Psi_{12}$	$\Psi_{50}$	$\Psi_{88}$	$\Psi_{ m md}$	$\pi_{ m tlp}$	HSMΨmd- Ψ50	HSM $\pi$ tlp- $\Psi$ 50	$SM_{leaf}$
$a_{\mathrm{x}}$	-	0.07	0.25	0.46	0.11	0.09	- 0.23	- 0.25	- 0.12
$\Psi_{12}$	-	-	0.92	0.77	-0.18	0.33	- 0.90	- 0.92	- 0.31
$\Psi_{50}$	-	-	-	0.96	- 0.06	0.41	- 0.96	- 0.99	- 0.22
$\Psi_{88}$	-	-	-	-	0.03	0.43	- 0.91	- 0.95	- 0.14
$\Psi_{ m md}$	-	-	-	-	-	0.40	0.32	0.11	0.79
$\pi_{ m tlp}$	-	-	-	-	-	-	- 0.35	- 0.29	- 0.23
HSMΨmd-Ψ50	-	-	-	-	-	-	-	0.96	0.46
HSM $\pi$ tlp- $\Psi$ 50	-	-	-	-	-	-	-	-	0.20
$\mathrm{SM}_{\mathrm{leaf}}$	-	-	-	-	-	-	-	-	-

than in 2018 though it remained positive, ranging from 0.52 to 2.39 MPa with an average of 1.43 MPa  $\pm$  0.22 (Figs. 3b; Fig. 7 and Table 8 in the Appendices). Mean SM<sub>leaf</sub> calculated from  $\Psi_{\rm md}$  measured in 2008 for 12 species ranged from - 1.09 to 0.01 MPa, with an average of - 0.43  $\pm$  0.09 MPa. SM<sub>leaf</sub> was negative for all species but one (*Symphonia sp. 1*; Fig. 3a and Table 8 in the Appendices) and species ranking in SM<sub>leaf</sub> was not conserved between years ( $\tau$  = 0.5; ns; Fig. 3a).

#### 4 Discussion

Considerable interspecific variability in branch xylem vulnerability to embolism In this study, our sampling of 25 tropical rainforest canopy-tree species showed a four-fold range of magnitude in branch xylem vulnerability to embolism (Fig. 1, Table 1). This range encompasses 72% of the previously observed angiosperm variation in  $\Psi_{50}$  at the global scale (Choat et al. 2012) and expands the range of known branch xylem vulnerability to embolism for tropical forest Angiosperm tree species (Choat et al. 2012; Nolf et al. 2015; Rowland et al. 2015; Christoffersen et al. 2016; Powell et al. 2017; Santiago et al. 2018). The limited importance of confounding factors (e.g., genetics, ontogeny, competition, habitat) indicates that the observed interspecific variability was mostly related to the species' intrinsic functional characteristics.

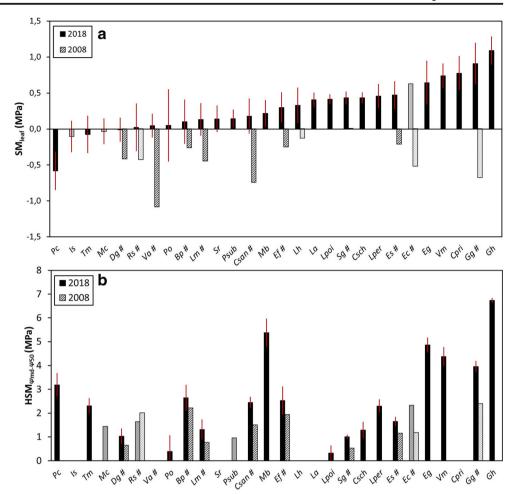
Mean community branch  $\Psi_{50}$  ( $-3.93 \pm 0.31$  MPa; Fig. 1 and Table 1) was much more negative than the worldwide mean for tropical rainforests; it was also more negative than the mean values published to date for *terra firme* canopy-tree species ( $-2.31 \pm 0.20$  MPa; Sperry et al. 1988; Machado and Tyree 1994; Tyree et al. 1998; Choat et al. 2007; Sperry et al. 2007; Meinzer

et al. 2008; Nolf et al. 2015; Rowland et al. 2015; Powell et al. 2017; Santiago et al. 2018) and was comparable to values found for drier biomes (Fig. 1). Our results therefore clearly contrast with the global and inter-biome pattern of interspecific variation in branch embolism resistance, where total precipitation is thought to be the main driver (Maherali et al. 2004; Choat et al. 2012).

The differences in branch xylem vulnerability to embolism between our study and the existing literature on tropical rainforests could be due to differences in water availability or habitat type, the species' ontogenetic stage of development, species phylogeny or methodological aspects of hydraulic measurements. However, the lower branch xylem vulnerability to embolism we found cannot be explained by differences in water availability since all our measurements were conducted on similar habitats (i.e., terra firme). Furthermore, the differences are unlikely to be due to either ontogeny or limited phylogenetic differences since we sampled branches of mature canopy trees covering a broad range of species and botanical families, as was also the case for the previously recorded  $\Psi_{50}$  values. It therefore appears that methodological choices are probably the main reason for the inconsistencies that have appeared across studies. The precautions we took when sampling, combined with the use of the in situ flow-centrifuge technique, makes it unlikely that our measurements were affected by native embolism. Herein, we therefore provide robust results for an ecosystem where the scarcity of data limits our understanding of the hydraulic functioning and fate of tree species (Cochard and Delzon 2013; Delzon and Cochard 2014). Although it has been shown that branch xylem vulnerability to embolism varies along macro- (Maherali et al. 2004; Choat et al. 2012) and micro-environmental scales of water availability (Oliveira et al. 2019), we still must decipher the past and present ecological processes underlying the large



Fig. 3 Leaf safety margin (SM<sub>leaf</sub>; MPa) and xylem safety margin (HSM $_{\Psi md\text{-}\Psi 50}$ ; MPa) during a normal (2018) and a severe (2008) dry season. a SM<sub>leaf</sub> was calculated as the difference between dry season midday leaf water potential ( $\Psi_{md}$ ) and the water potential at turgor loss point  $(\pi_{tln}; MPa)$  for, respectively, 28 and 12 canopy-tree species sampled in French Guiana. Species common to both years are identified by hash signs (#). b  $HSM_{\Psi md - \Psi 50}$  was calculated as the difference between  $\Psi_{\mathrm{md}}$  and the water potential at 50% loss of branch hydraulic conductivity  $(\Psi_{50}; MPa)$  for, respectively, 23 and 12 canopy-tree species sampled in French Guiana. The ranking of species between both years was not retained for SMleaf  $(\tau = 0.5; n = 8; ns)$  but was retained for  $HSM_{\Psi md-\Psi 50}$  ( $\tau =$ 0.71; p < 0.01). Each bar represents the species mean for 2018 (black;  $n \ge 3$ ; gray, n < 3) and 2008 (black dashes, n > 3; gray dashes, n < 3) and all error bars represent standard errors of the mean for SM<sub>leaf</sub> measured in 2018



interspecific variability we found in co-occurring rainforest species in the same habitat. Several approaches may be pertinent, for example, the investigation of trade-offs related to hydraulic safety vs efficiency (Santiago et al. 2018), the existence of contrasting strategies of drought resistance (Pivovaroff et al. 2016), or better knowledge of species biogeographic distribution in relation to water availability (Esquivel-Muelbert et al. 2019).

Low risk of hydraulic failure during a normal dry season Our results show that most of the studied species share a low risk of hydraulic failure during a normal dry season since both xylem hydraulic safety margins were large with regard to critical thresholds of branch embolism (i.e.,  $\Psi_{50}$  and  $\Psi_{88}$ ). One of our major findings concerns leaf turgor loss point, for which we gathered one of the largest datasets to date for tropical rainforest tree species. The range of  $\pi_{tlp}$  we found confirms previous findings (Marechaux et al. 2015) while the mean was more negative than what is currently generally accepted for tropical rainforests (Bartlett et al. 2012a, b, 2016; Zhu et al. 2018). However,  $\pi_{tlp}$  was relatively constant along the full range of  $\Psi_{50}$ . Our results suggest that turgor loss, which was used in this study as a proxy for stomatal closure, precedes the rapid spread of branch embolism (Brodribb et al. 2003; Creek et al. 2018), regardless of

species branch xylem vulnerability to embolism. This result both supports (Mencuccini et al. 2015; Martin-StPaul et al. 2017) and contradicts (Christoffersen et al. 2016) patterns found in recent global meta-analyses, and it is consistent with the rather conservative behavior of rainforest species with regard to water-use (Fisher et al. 2006).

Although we found that higher leaf tolerance to desiccation allows leaves to function at more negative water potentials during the dry season (Fig. 2c; Zhu et al. 2018), our results indicate that in this ecosystem,  $\Psi_{50}$  and  $\pi_{tlp}$  are not linearly coupled to ensure the maintenance of leaf functioning. Therefore, lower branch xylem vulnerability to embolism may not involve maintaining gaseous exchanges to maximize carbon gain as long as possible during drought (Jones and Sutherland 1991), but rather maintaining tissue water potential (Martin-StPaul et al. 2017). This indicates that preserving perennial organs (i.e., branches) is usually favored at the expense of cheaper, renewable organs (i.e., leaves). However, the six species that would reach  $\Psi_{12}$  before  $\pi_{tlp}$  may be able to tolerate a certain percentage of branch hydraulic conductivity loss or this may indicate that other mechanisms are acting (e.g., at the leaf level) to avoid the initial spread of branch embolism. In order to have a more comprehensive understanding of how the functioning of branches and leaves interact, we need to



investigate leaf drought resistance in greater depth, notably to evaluate the potential role of the loss of leaf conductance prior to turgor loss (i.e., the loss of leaf extra-xilary hydraulic conductance; Scoffoni et al. 2017), hydraulic segmentation (Pivovaroff et al. 2014), vulnerability segmentation (Zhu et al. 2016), and cuticular conductance (Duursma et al. 2019) as potentially determinant drought-resistance features in rainforest tree species.

During our study, we also evaluated whether species exceeded xylem hydraulic safety margins ( $HSM_{\Psi md-\Psi 50}$ ) during a normal dry season. Our results show that most of the studied species operate without developing any xylem embolism. Only five showed slightly negative  $HSM_{\Psi md-\Psi 12}$  meaning that branches could have been subjected to some degree of embolism. However, all remained above  $\Psi_{50}$  (Fig. 2 and Table 1) and far from  $\Psi_{88}$  (> 1 MPa), the threshold water potential thought to cause irreversible hydraulic failure in angiosperms (Table 6 in the Appendices; Urli et al. 2013). However, six species had relatively narrow values of  $HSM_{\Psi md-\Psi 50}$ , close to or lower than 1 MPa. Overall, our data support the view that the hydraulic safety margins of most tropical rainforest tree species are wide during a normal dry season (Delzon and Cochard 2014).

#### Limited risk of hydraulic failure during a severe dry season In

2008, our site experienced the second most severe dry season in the past four decades (Aguilos et al. 2019). The SM<sub>leaf</sub> values estimated in 2008 were equal to or below zero, indicating that most species had their stomata closed (Fig. 3a and Table 8 in the Appendices). Additional water loss and the resulting decline in water potential from  $\pi_{tlp}$  to  $\Psi_{md}$  in 2008 could therefore have been due to cuticular transpiration (Martin-StPaul et al. 2017; Choat et al. 2018; Duursma et al. 2019). In these conditions, water potential gradients between branches and leaves are strongly reduced and midday leaf water potential measured that year can therefore be considered as an estimate of the lowest branch water potential these trees may have experienced in the past four decades (i.e., minimum water potential). However, the same year, though there was a clear decrease in photosynthetic assimilation for most of the trees, it was never null, some trees were not affected (Stahl et al. 2013), no leaf mortality was observed (Clément Stahl, personal communication), and litterfall was similar to other dry seasons (Wagner et al. 2013). Despite considerable diversity of response to drought, this suggests that no leaves were fully embolized.

Additionally, we found no clear pattern in species  $SM_{leaf}$  between a normal and a severe dry season (Fig. 3a), indicating that the response to drought might be non-linear and affected by threshold effects depending on the intensity and duration of the dry season. The lack of a clear pattern could also arise from the large uncertainties involved or the low sample size for some of the measured species. For the ten species in common to both years, the calculated  $HSM_{\Psi md \cdot \Psi 50}$  was narrower in 2008 than in 2018, but always remained positive (Figs. 3b; Fig. 7 and Table 8 in the Appendices). Unfortunately,  $\Psi_{md}$  and therefore

HSM<sub>\(\Psi\)md-\(\psi\)50</sub> were not available in 2008 for some species with the narrowest  $HSM_{\Psi md-\Psi 50}$  in 2018. Yet, species' ranking was comparable between the 2 years ( $\tau = 0.71$ ; p < 0.01; data not shown), hinting that some species with relatively narrow HSM<sub>Vmd-V50</sub> in 2018 could have suffered from reduced branch hydraulic conductivity during the severe 2008 dry season due to partial branch xylem embolism. However, this was not a general rule since our data support that most canopy tree species, which experience steep gradients in evaporative demand even during the rainy season, develop an embolism resistant xylem, and share a limited risk of hydraulic failure during a severe dry season. Such severe dry season droughts due to anthropogenic-induced climate change are projected to increase over the next century in the Eastern-Amazon (Duffy et al. 2015). Though it is believed that previous shifts in species composition occurred throughout the late Pleistocene and Holocene because of dramatic changes in climate and in rainfall seasonality (Bonal et al. 2016), a vast majority of the Amazon basin remained as intact forest (Mayle and Power 2008). At present, there is already evidence that the recruitment of drought-tolerant taxa has increased over the past three decades in Amazonia in areas affected by droughts, while mortality among taxa affiliated to wet conditions has simultaneously increased (Esquivel-Muelbert et al. 2019). However, such changes in tree communities lag behind the pace of climate change, thus raising concern about the fate of these rainforest ecosystems. A trait-based approach encompassing a mechanistic understanding of drought resistance may help decipher what facilitates such changes in species composition and may help predict how long species will be able to avoid reaching catastrophic levels of branch embolism, which still needs to be addressed by modeling approaches.

### **5 Conclusions**

In this study, we report key branch and leaf hydraulic traits related to drought response and resistance for a set of 30 co-occurring Neotropical rainforest canopy-tree species. We found that (i) rainforest canopy-tree species that grow with elevated mean annual precipitation and yearly experience several months of meteorological drought and reduced soil water availability can have high resistance to embolism and are more resistant than what was previously thought and that (ii) most species have a low risk of hydraulic failure and are well able to withstand normal and even severe dry seasons thanks to early leaf turgor loss and low branch xylem vulnerability to embolism. Yet, in the forest we studied, such a severe drought has not occurred in the previous four decades and it is therefore unlikely that canopy-tree species suffered from hydraulic failure during that time, even during severe dry seasons as the one experienced in 2008. Our results therefore support the idea that, at least for the most abundant canopy-tree species, expected climate change over the next decades, at least in terms of water availability, will not directly or severely affect



the survival of these species at the adult stage in terra-firme forests of the Guiana Shield. Yet, considering that the studied canopy trees are long-living organisms, they may have previously experienced severe droughts in the past beyond our records and will most likely do in a near future, while considerable uncertainties remain on their capacity to withstand repeated drought episodes. Whether species capacity to avoid hydraulic failure is influencing the slow compositional shift in the Amazon rainforest at the early development stage (Esquivel-Muelbert et al. 2019) in response to increasingly frequent and severe dry seasons is a worthy question. Moreover, little is known about the drought-resistance characteristics of the less-abundant species that compose the vast majority of Neotropical tree communities. We therefore encourage further research in this domain to better predict tree species' and forest communities' fate in a rapidly changing climate (Brodribb 2017).

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Data availability statement All data corresponding to species' mean trait values are included in this published article (and its supplementary information files). All raw data generated during the current study are not publicly available at the moment because the authors of the study wish to keep them for further analysis. They will then be made public on an online repository. In the meantime, they can nonetheless be made available by the corresponding author on request.

# **Compliance with ethical standards**

**Conflicts of interest** The authors declare that they have no conflict of interest.

# **Appendices**

Table 3 Species full botanical name, family, species' abbreviation code, and percent of total tree basal area at our site (TBA; %) for the 30 rainforest canopy-tree species sampled in French Guiana

Species	Family	Code	TBA
Bocoa prouacensis Aubl.	Fabaceae	Вр	1.7
Chaetocarpus schomburgkianus (Kuntze)	Peraceae	Csch	0.8
Chrysophyllum prieurii A. DC.	Sapotaceae	Cpri	1.0
Chrysophyllum sanguinolentum (Pierre) Baehni	Sapotaceae	Csan	0.4
Dicorynia guianensis Amshoff	Fabaceae	Dg	2.2
Eperua falcata Aubl.	Fabaceae	Ef	15.4
Eperua grandiflora (Aubl.) Benth.	Fabaceae	Eg	4.7
Eschweilera coriacea (DC.) S.A. Mori	Lecythidaceae	Ec	0.4
Eschweilera sagotiana Miers	Lecythidaceae	Es	8.0
Goupia glabra Aubl.	Goupiaceae	Gg	1.0
Gustavia hexapetala (Aubl.) Sm.	Lecythidaceae	Gh	0.5
Iryanthera sagotiana (Benth.) Warb.	Myristicaceae	Is	0.4
Lecythis persistens Sagot	Lecythidaceae	Lper	2.3
Lecythis poiteaui O. Berg	Lecythidaceae	Lpoi	0.3
Licania alba (Bernouilli) Cuatrec.	Chrysobalanaceae	La	3.4
Licania heteromorpha Benth.	Chrysobalanaceae	Lh	1.6
Licania membranacea Sagot ex Laness.	Chrysobalanaceae	Lm	1.1
Manilkara bidentata (A. DC.) A. Chev.	Sapotaceae	Mb	0.6
Moronobea coccinea Aubl.	Clusiaceae	Mc	1.6
Pradosia cochlearia (Lecomte) T.D. Penn.	Sapotaceae	Pc	2.6
Protium opacum Swart	Burseraceae	Po	0.3
Protium sagotianum Marchand	Burseraceae	Psag	0.1
Protium subserratum (Engl.) Engl	Burseraceae	Psub	0.2
Qualea rosea Aubl.	Vochysiaceae	Qr	2.3
Recordoxylon speciosum (Benoist) Gazel	Fabaceae	Rs	1.5
Sextonia rubra (Mez) van der Werff	Lauraceae	Sr	1.1
Symphonia globulifera L.f. sp. 1	Clusiaceae	Sg	1.9
Tachigali melinonii (Harms) Zarucchi & Her.	Fabaceae	Tm	0.6
Virola michelii Heckel	Myristicaceae	Vm	0.4
Vouacapoua americana Aubl.	Fabaceae	Va	1.9



**Table 4** Number of replicates for key branch and leaf hydraulic traits. Water potential at 50% loss of branch hydraulic conductivity ( $\Psi_{50}$ ; MPa), midday leaf water potential during a normal intensity dry season ( $\Psi_{md}$ ; MPa), leaf water potential at turgor loss point ( $\pi_{tlp}$ ; MPa), xylem hydraulic safety margins (HSM; MPa), and leaf safety margin (SM<sub>leaf</sub>; MPa) derived from leaf and branch hydraulic traits for the 30 canopy-tree species sampled in French Guiana. Results of a one-way ANOVA test for species effect for each studied trait are shown. Only species with at least three measured individuals are included in the analysis

Sp.	n Ψ <sub>50</sub>	n $\Psi_{\mathrm{md}}$	n π <sub>tlp</sub>	n HSM $_{\Psi md$ - $\Psi 50}$	n HSM <sub>πtlp-Ψ50</sub>	n SM <sub>leaf</sub>
Вр	5	8	10	5	5	8
Csch	4	5	7	4	4	5
Cpri	-	3	3	-	-	3
Csan	5	5	6	5	5	5
Dg	6	7	10	5	6	7
Ef	4	5	5	4	3	4
Eg	4	4	7	4	4	4
Ec	1	1	1	1	1	1
Es	5	7	9	5	5	6
Gg	5	5	6	5	5	5
Gh	3	3	5	3	3	3
Is	1	2	3	-	1	2
Lper	5	6	7	5	5	6
Lpoi	4	4	6	4	4	4
La	-	3	3	-	-	3
Lh	-	3	3	-	-	3
Lm	6	6	10	6	6	6
Mb	4	4	7	3	4	4
Mc	1	2	2	1	1	2
Pc	5	6	8	3	5	6
Po	3	3	5	3	3	3
Psag	1	-	-	-	-	-
Psub	1	3	4	1	1	3
Qr	2	3	-	2	-	-
Rs	1	3	4	1	1	3
Sr	-	3	4	-	-	3
Sg	5	6	8	5	5	6
Tm	5	5	5	5	5	5
Vm	6	6	6	6	6	6
Va	-	5	5	-	-	5

**Table 5** Key branch and leaf hydraulic traits. Water potential at 12 and 88% loss of branch hydraulic conductivity ( $\Psi_{12}$ ,  $\Psi_{88}$ ; MPa) and the slope of vulnerability curves ( $a_x$ ; %MPa $^{-1}$ ) for the 25 canopy-tree species sampled in French Guiana. Results of a one-way ANOVA test for species effect for each studied trait are shown. Mean  $\pm$  SE, F, and P values are displayed. Only species with at least three measured individuals are included in the analyses

Code	$\Psi_{12}$	$\varPsi_{88}$	$a_x$
Вр	$-3.35 \pm 0.51$	$-5.23 \pm 0.26$	86 ± 24
Csch	$-1.19 \pm 0.29$	$-3.68 \pm 0.43$	$52\pm13$
Csan	$-3.61 \pm 0.09$	$-4.48\pm0.09$	$144 \pm 37$
Dg	$-1.35 \pm 0.19$	$-3.41 \pm 0.15$	$56 \pm 10$
Ef	$-2.83\pm0.80$	$-4.88\pm0.58$	$89 \pm 40$
Eg	$-5.38\pm0.32$	$-6.90 \pm 0.19$	$93\pm30$
Ec	- 3.06	- 3.48	240
Es	$-2.56 \pm 0.11$	$-3.21 \pm 0.16$	$172\pm19$
Gg	$-3.40\pm0.58$	$-6.58 \pm 0.22$	$38 \pm 9$
Gh	$-6.78\pm0.62$	$-~8.49\pm0.36$	$109 \pm 49$
Is	- 1.30	- 6.08	21
Lper	$-2.59 \pm 0.34$	$-4.56 \pm 0.43$	$59 \pm 12$
Lpoi	$-\ 1.18\pm0.20$	$-3.10 \pm 0.62$	$98 \pm 52$
Lm	$-1.41 \pm 0.25$	$-4.53 \pm 0.56$	$53\pm24$
Mb	$-3.52 \pm 1.06$	$-10.22 \pm 0.69$	$22\pm 9$
Mc	- 2.52	- 3.41	113
Pc	$-4.84\pm0.95$	$-7.67 \pm 0.17$	$68\pm21$
Po	$-1.73 \pm 0.31$	$-3.10\pm0.20$	$100 \pm 44$
Psag	- 4.58	- 5.30	139
Psub	- 1.44	- 4.41	34
Qr	$-0.99 \pm 0.39$	$-\ 2.72\pm0.04$	$62\pm15$
Rs	- 2.95	- 5.32	42
Sg	$-1.64 \pm 0.21$	$-2.55 \pm 0.04$	$132\pm25$
Tm	$-2.61 \pm 0.41$	$-5.66 \pm 0.40$	$44\pm12$
Vm	$-3.83 \pm 0.59$	$-6.70 \pm 0.18$	$45\pm 9$
All	$\mathbf{-2.83} \pm 0.29$	$-\textbf{5.03} \pm \textbf{0.39}$	$\textbf{84}\pm\textbf{10}$
F	8.3	31.8	2.7
p	< 0.001	< 0.001	0.002





**Table 6** Xylem hydraulic safety margins (HSM, MPa) derived from leaf and branch hydraulic traits for the 25 canopy-tree species sampled in French Guiana. Results of a one-way ANOVA test for species effect for each studied trait are shown. Mean  $\pm$  SE, F, and p values are displayed. Only species with at least three measured individuals are included in the analyses

Code	$\mathrm{HSM}_{\Psi\mathrm{md}\text{-}\Psi12}$	HSM <sub>Ψmd</sub> - Ψ88	$\mathrm{HSM}_{\pi\mathrm{tlp-}\varPsi12}$	HSM <sub>πtlp-</sub> Ψ88
Вр	$1.70 \pm 0.85$	$3.59 \pm 0.28$	$1.57 \pm 0.55$	$3.45 \pm 0.29$
Csch	$0.04\pm0.39$	$2.53\pm0.43$	$0.43 \pm 0.32$	$2.06 \pm 0.41$
Csan	$2.02\pm0.2$	$2.89 \pm 0.29$	$1.84 \pm 0.13$	$2.70\pm0.06$
Dg	0.38	$2.07 \pm 0.30$	$0.05 \pm 0.23$	$2.12\pm0.18$
Ef	$1.51\pm0.73$	$3.55\pm0.72$	$1.00\pm1.10$	$3.05\pm0.73$
Eg	$4.10\pm0.44$	$5.62\pm0.33$	$3.45 \pm 0.33$	$4.97 \pm 0.16$
Ec	2.12	2.54	1.49	1.91
Es	$1.32\pm0.14$	$1.97 \pm 0.24$	$0.97 \pm 0.13$	$1.62 \pm 0.14$
Gg	$2.37 \pm 0.42$	$5.55\pm0.31$	$1.46\pm0.65$	$4.64 \pm 0.23$
Gh	$5.88 \pm 0.53$	$7.59 \pm 0.46$	$4.79 \pm 0.52$	$6.49 \pm 0.46$
Is	-	-	- 0.28	4.50
Lper	$1.31\pm0.33$	$3.28 \pm 0.32$	$0.78 \pm 0.29$	$2.75 \pm 0.34$
Lpoi	$-0.63\pm0.15$	$1.29 \pm 0.64$	$-\ 1.05\pm0.21$	$0.87 \pm 0.67$
Lm	$-0.25\pm0.34$	$2.87 \pm 0.65$	$0.38 \pm 0.25$	$2.74 \pm 0.5$
Mb	$2.42\pm1.07$	$8.34 \pm 0.78$	$1.40\pm1.08$	$8.10 \pm 0.67$
Mc	0.99	1.88	0.85	1.74
Pc	$1.12\pm0.9$	$5.26 \pm 0.12$	$3.08 \pm 0.93$	$5.91 \pm 0.15$
Po	$0.28 \pm 0.86$	$1.08\pm0.48$	$0.33 \pm 0.39$	$1.03\pm0.26$
Psag	-	-	-	-
Psub	- 0.53	2.44	-0.78	2.20
Qr	$0.41 \pm 0.21$	$1.32\pm0.64$	-	-
Rs	0.45	2.82	1.01	3.38
Sg	$0.55\pm0.18$	$1.46\pm0.05$	$0.04 \pm 0.22$	$0.95 \pm 0.03$
Tm	$0.79 \pm 0.43$	$3.83\pm0.53$	$0.87 \pm 0.39$	$3.91 \pm 0.42$
Vm	$2.95\pm0.62$	$5.81 \pm 0.35$	$2.21\pm0.59$	$5.07 \pm 0.20$
All	$\textbf{1.28} \pm \textbf{0.33}$	$\textbf{3.46} \pm \textbf{0.42}$	$\textbf{1.03} \pm \textbf{0.30}$	$\textbf{3.31} \pm \textbf{0.39}$
$\boldsymbol{F}$	9.0	19.6	7.3	27.8
p	< 0.001	< 0.001	< 0.001	< 0.001

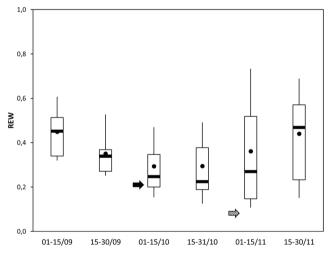
**Table 7** Presence of exudates, mean values, and standard error (SE) of maximum vessel length (MVL, cm) and branch diameter calculated as the average diameter at both ends (mm; including bark) as well as the number of individual replicates for 25 canopy-tree species sampled in French Guiana. Species with MVL > 100 cm were excluded from the sample for measurements of branch resistance to embolism, with the exception of *Eperua falcata*, *Goupia glabra*, and *Licania membranacea* for which we sampled smaller diameter branches, since branch diameter and MVL were positively related (Jacobsen et al. 2012; this study). Results of a one-way ANOVA test for species effect for each studied trait are shown. Mean  $\pm$  SE, F, and P values are displayed. Only species with at least three measured individuals are included in the analyses

Species	Exudates	MVL	Diameter	n
Вр	-	$61.3 \pm 1.5$	$15.3 \pm 0.4$	6
Csch	-	$42.1\pm2.5$	$14.3 \pm 0.4$	10
Csan	Yes	$24.1 \pm 2.1$	$15.4 \pm 0.7$	9
Dg	-	$60.0\pm3.5$	$15.9 \pm 0.6$	10
Ef	-	$140.5\pm3.5$	$19.4 \pm 0.6$	7
Eg	-	$92.8 \pm 2.6$	$17.6\pm0.7$	9
Ec	-	30.0	14.8	1
Es	-	$29.4\pm1.5$	$14.2 \pm 0.9$	7
Gg	-	$111.5 \pm 3.4$	$16.3\pm0.8$	7
Gh	-	$40.1\pm1.8$	$14.1\pm0.5$	6
Is	Yes	57.0	15.6	1
Lper	-	$49.2\pm1.7$	$14.0 \pm 0.4$	5
Lpoi	-	$52.3 \pm 3.6$	$14.3 \pm 0.5$	8
Lm	-	$111.0 \pm 3.4$	$15.9 \pm 0.6$	13
Mb	Yes	$72.6 \pm 4.3$	$16.0\pm0.6$	9
Мс	Yes	71.0	13.6	1
Pc	Yes	$44.9 \pm 2.0$	$14.0\pm0.4$	10
Po	-	$51.7 \pm 1.9$	$13.9\pm0.3$	5
Psub	-	$54.8 \pm 3.7$	$15 \pm 0.5$	4
Qr	-	$49.4 \pm 2.2$	$15.5 \pm 0.7$	7
Rs	-	$93.2 \pm 1.7$	$17.2\pm0.6$	5
Sg	Yes	$67.7 \pm 3.3$	$13.4 \pm 0.4$	5
Tm	-	$75.7 \pm 3.1$	$17.3 \pm 0.4$	5
Vm	Yes	$39.6 \pm 2.1$	$14.5 \pm 0.7$	6
All		$\textbf{62.2} \pm \textbf{5.8}$	$\textbf{15.3} \pm \textbf{0.3}$	-
F test		10.6	2.8	-
p value		< 0.001	< 0.001	_



Table 8 Mean values and standard error (SE) of midday leaf water potential ( $\Psi_{md}$ ; MPa) measured during the severe 2008 dry season ( $\Psi_{\rm md}$ ; MPa; Stahl et al. 2010), the driest in the past decade (Aguilos et al. 2019), and the second driest in the past four decades. Results are shown for the 12 canopy-tree species sampled at the same site in French Guiana in 2008 that are common to our study. Xylem hydraulic safety margins computed from embolism resistance traits  $(HSM_{\Psi md - \Psi x})$  or leaf safety margins computed from leaf turgor loss point measured in 2018 (SM<sub>leaf</sub>) are also shown

Species	n $\Psi_{ m md}$	$\Psi_{ m md}$	$\mathrm{HSM}_{\Psi\mathrm{md} ext{-}}$	$\mathrm{HSM}_{\Psi\mathrm{md} ext{-}}$	$SM_{leaf}$
Вр	3	$-2.08 \pm 0.26$	1.27	2.21	- 0.26
Csan	5	$-2.55 \pm 0.18$	1.06	1.50	- 0.75
Dg	4	$-1.74\pm0.09$	- 0.39	0.64	- 0.42
Ef	5	$-1.93 \pm 0.14$	0.90	1.93	- 0.25
Ec	4	$-2.09\pm0.12$	0.97	1.18	- 0.52
Es	4	$-1.74\pm0.04$	0.82	1.14	- 0.22
Gg	1	- 2.60	0.80	2.39	- 0.68
Lh	2	$-\ 1.58\pm0.33$	-	-	-0.13
Lm	5	$-2.21\pm0.12$	-0.80	0.76	- 0.45
Rs	2	$-2.13\pm0.02$	0.82	2.01	- 0.43
Sg	5	$-\ 1.57\pm0.12$	0.07	0.52	0.01
Va	10	$-3.03\pm0.08$	-	-	- 1.09
All	-	$\mathbf{-2.10} \pm 0.13$	$\textbf{0.55} \pm \textbf{0.22}$	$\textbf{1.43} \pm \textbf{0.22}$	$\mathbf{-0.43} \pm 0.09$
$\boldsymbol{F}$	-	18	-	-	-
p	-	< 0.001	-	-	-

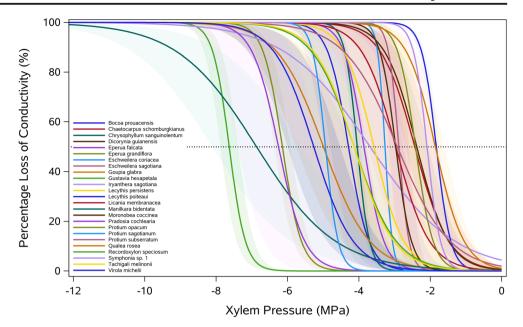


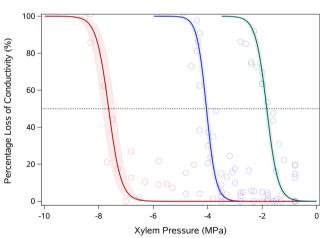
**Fig. 4** Box plots showing the bi-monthly variation in relative extractable water (REW) from 2004 to 2018. Boxes show the mean (black dots), median (horizontal bar), 25th and 75th percentiles; error bars show the 10th and 90th percentiles. Mean REW values when measurements of dry season midday leaf water potential were conducted for 2018 (our study; black arrow) and 2008 (Stahl et al. 2010, dashed arrow) are shown



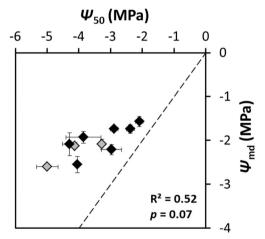


Fig. 5 Mean vulnerability curves for 25 co-occurring species showing the percentage of loss of hydraulic conductivity (%) in the xylem as a function of xylem pressure (Mpa). Shaded bands represent standard errors and 50% loss in conductivity is indicated by a horizontal dotted line





**Fig. 6** Mean vulnerability curves for three species showing the percentage of loss of hydraulic conductivity (%) in the xylem as a function of xylem pressure (Mpa). Open circles represent raw data; shaded bands represent standard errors; and a 50% loss in conductivity is indicated by a horizontal dotted line for *Gustavia hexapetala* (red; n = 3), the most resistant species in our dataset, *Chrysophyllum sanguinolentum* (blue; n = 5), an intermediately-resistant species, and *Lecythis poiteaui* (green; n = 4), the least resistant species



**Fig. 7** Relationships between  $\Psi_{50}$ , the water potential at 50% loss of branch hydraulic conductivity ( $\Psi_{50}$ ; MPa), and midday leaf water potential measured during the severe dry season of 2008 ( $\Psi_{md}$ ; MPa) for 10 canopy-tree species sampled in French Guiana (black  $n \ge 3$ ; gray n < 3). All error bars represent standard errors of the mean. The 1:1 line (dashed line) is represented. Coefficients of determination ( $R^2$ ) and significance levels (p) are shown



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