



HAL
open science

Preliminary evidence for a role of the adrenergic nervous system in generalized anxiety disorder

Xiaobin Zhang, Joanna L. Norton, Isabelle Carrière, Karen A. Ritchie,
Isabelle Chaudieu, Joanne Ryan, Marie-Laure Ancelin

► **To cite this version:**

Xiaobin Zhang, Joanna L. Norton, Isabelle Carrière, Karen A. Ritchie, Isabelle Chaudieu, et al.. Preliminary evidence for a role of the adrenergic nervous system in generalized anxiety disorder. Scientific Reports, 2017, 7, pp.42676. 10.1038/srep42676 . hal-02400516

HAL Id: hal-02400516

<https://hal.umontpellier.fr/hal-02400516v1>

Submitted on 9 Dec 2019

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Distributed under a Creative Commons Attribution 4.0 International License

SCIENTIFIC REPORTS



OPEN

Preliminary evidence for a role of the adrenergic nervous system in generalized anxiety disorder

Xiaobin Zhang^{1,2,3}, Joanna Norton^{1,2}, Isabelle Carrière^{1,2}, Karen Ritchie^{1,2,4},
Isabelle Chaudieu^{1,2}, Joanne Ryan^{1,2,5} & Marie-Laure Ancelin^{1,2}

Received: 02 October 2015

Accepted: 13 January 2017

Published: 15 February 2017

Generalized anxiety disorder (GAD) is a common chronic condition that is understudied compared to other psychiatric disorders. An altered adrenergic function has been reported in GAD, however direct evidence for genetic susceptibility is missing. This study evaluated the associations of gene variants in adrenergic receptors (ADRs) with GAD, with the involvement of stressful events. Data were obtained from 844 French community-dwelling elderly aged 65 or over. Anxiety disorders were assessed using the Mini-International Neuropsychiatry Interview, according to DSM-IV criteria. Eight single-nucleotide polymorphisms (SNPs) involved with adrenergic function were genotyped; adrenergic receptors alpha(1A) (*ADRA1A*), alpha(2A) (*ADRA2A*), and beta2 (*ADRB2*) and transcription factor *TCF7L2*. Questionnaires evaluated recent stressful life events as well as early environment during childhood and adolescence. Using multivariate logistic regression analyses four SNPs were significantly associated with GAD. A 4-fold modified risk was found with *ADRA1A* *rs17426222* and *rs573514*, and *ADRB2* *rs1042713* which remained significant after Bonferroni correction. Certain variants may moderate the effect of adverse life events on the risk of GAD. Replication in larger samples is needed due to the small case number. This is the first study showing that ADR variants are susceptibility factors for GAD, further highlighting the critical role of the adrenergic nervous system in this disorder.

Generalized anxiety disorder (GAD) is one of the most common chronic and debilitating anxiety disorders in older adults, with lifetime prevalence estimates ranging between 5 and 13%^{1,2}. Previous family and twin studies suggest a substantial genetic contribution to GAD of up to 30%^{3,4}, yet there is a paucity of studies in this area, and none in the elderly. The rare studies have examined principally neurotransmitter-related genes, and have reported relatively small effects and associations with a number of other psychiatric disorders too^{5,6}. A targeted strategy driven by pathobiochemical mechanisms could be better suited for hypothesis-testing regarding the specific involvement of genes susceptible to play a key role in the disease etiology. Our recent findings have shown that in the elderly, GAD has specific clinical characteristics, which are distinct from other psychiatric disorders^{2,7}. GAD in later life does not represent the continuing chronic course of early onset illness either, but has a clinical presentation distinct from GAD in younger population with age-specific predictors such as metabolic disorders and chronic diseases (e.g. adiposity, respiratory disorders, arrhythmia and heart failure, and cognitive impairment)⁷. Anxiety disorders are frequently triggered by stressful life events⁸ and our recent data has also shown that adverse life events including early events, were predictors of GAD in the elderly⁷. This longstanding vulnerability suggests possible biological origins related to dysfunction of the stress systems, especially the adrenergic/noradrenergic systems⁷.

Pathological abnormalities in the (nor)adrenergic system have been reported in certain stress-related psychiatric disorders, e.g. posttraumatic stress disorder (PTSD) and panic disorder, and preliminary evidence of pathological changes in the noradrenergic system in GAD have recently been proposed (see for review⁹). For example, adolescents with GAD have been shown to have increased plasma levels of norepinephrine after stress¹⁰. A direct role of adrenergic receptors (ADRs) in GAD however, has not been examined previously. ADRs belong to the G-protein-coupled receptor (GPCR) family. They are expressed in the brain and are involved in a range of processes including signal transduction of adrenaline, noradrenaline and to a lesser extent dopamine¹¹. A possible

¹Inserm, U1061, Montpellier, France. ²Univ Montpellier, Montpellier, France. ³Tianjin Mental Health Center, Tianjin, China. ⁴Faculty of Medicine, Imperial College, London, UK. ⁵Disease Epigenetics Group, Murdoch Children's Research Institute, and Department of Paediatrics, The University of Melbourne, Parkville, Australia. Correspondence and requests for materials should be addressed to M.L.A. (email: marie-laure.ancelin@inserm.fr)

role of ADRs is also supported by the anxiolytic activity of several antiadrenergic agents in GAD patients^{12,13}, suggesting the possibility that *ADR* genetic variants could play a role in GAD.

In this study, we have investigated key *ADR* genetic variants in adrenergic-related pathways to determine their association with GAD in community-dwelling elderly, and whether lifetime stress could moderate the associations.

Subjects and Methods

Participants. Community-dwelling participants aged 65 years and over were recruited by random selection from electoral rolls of the Montpellier district between 1999 and 2001 as part of the ESPRIT study of neuropsychiatric disorders in French elderly¹⁴. Of the people initially contacted, 27.3% refused to participate and were replaced by another participant drawn randomly from the same electoral division.

Ethics statement. All participants provided written informed consent to participate in the study, prior to the experimental protocol, and approved by the National Ethics Committee (CPP Sud Ouest et Outre Mer I France, #2007.10.23.v3 and ID RCB: 2007-A 00281-52, n°DGS2007-0477) conforming to the Declaration of Helsinki. The methods in the current study were carried out in accordance with the approved guidelines.

Persons with dementia at base-line ($n = 70$) were excluded from the present study but there was no other exclusion criteria. Dementia was diagnosed by a neurologist as part of a standardized examination and validated by a panel of independent neurologists according to DSM-IV revised criteria as described previously^{7,15}. Of the 2189 non-demented participants recruited to the study, 1068 provided buccal mucosa samples for genotyping. Forty-one participants were excluded from the current analysis because they were not assessed for GAD at base-line and 183 were missing covariate data (139 of these participants having not completed the childhood questionnaire). This left 844 participants for the analysis. Compared with the participants included in the analysis, those excluded were older ($t = 10.76$, $p < 0.001$), with a lower education level ($\chi^2 = 39.4$, $df = 1$, $p < 0.001$), and were more likely to be overweight ($\chi^2 = 18.0$, $df = 1$, $p < 0.0001$), with cognitive impairment ($\chi^2 = 47.1$, $df = 1$, $p < 0.0001$), hypertension ($\chi^2 = 11.5$, $df = 1$, $p = 0.0007$), ischemic pathologies ($\chi^2 = 16.3$, $df = 1$, $p < 0.0001$) and phobia ($\chi^2 = 6.4$, $df = 1$, $p = 0.01$), and to be treated with psychotropic medication ($\chi^2 = 10.5$, $df = 1$, $p = 0.001$).

Socio-demographic and clinical variables. The standardized interview included information regarding socio-demographic characteristics, physical health, medical history of the participants and current medications. Information was also collected on the history of ischemic pathologies, arrhythmia and heart failure, as well as other chronic disorders (respiratory and thyroid disorder, osteoporosis, and recent cancer). Clinical examinations were also conducted²⁷. Global cognitive function was assessed with the Mini-Mental State Examination (MMSE) and cognitive impairment was defined as a score of less than 26¹⁶. Exposure to recent adverse life events during the past year was assessed using the Gospel Oak questionnaire¹⁷. This 12-item list of major life events included bereavement, rupture of close relationships, severe illness and serious financial or judicial problems. A retrospective self-report questionnaire examining a large range of traumatic experiences during childhood and adolescence was completed at the second follow-up assessment (after 4-years) as described previously¹⁸. Low affective support was defined as having reported five or less protective factors from a list of eight that included mother, father or adult friend affection, having a happy childhood, a 'normal' education, parents perceived as doing their best, feeling happy at school, and raised by both parents⁷.

Psychiatric Diagnosis. The diagnosis of psychiatric disorders (GAD, social phobia, specific phobia and agoraphobia, panic disorder, obsessive compulsive disorder, PTSD, and major depression) was made by psychologists and psychiatric nurses according to DSM-IV criteria and using the Mini-International Neuropsychiatric Interview (MINI, French version 5.00) as described previously⁷. The interviewers were initially trained using video recordings of interviews by the clinicians responsible for the development of the French version of the MINI. The interviewers then administered the MINI over a 3 month period under the supervision of psychiatrists from the Department of Adult Psychiatry at Montpellier University Hospital. Dual interviews were randomly conducted to minimize interviewer drift. During this training period, false negatives were rare, in agreement with the high negative predictive value of the MINI for GAD^{19,20}. In the Esprit study, only positive cases were thus reviewed by a panel of independent psychiatrists to validate the initial diagnosis as described previously^{7,21}. The psychiatrists reviewed cases independently in accordance with DSM-IV criteria, using all information provided by the MINI participant responses as recorded by the interviewers, all other available clinical data, and if necessary after consultation with the participant's general practitioner. Consensus agreement was subsequently reached for all cases. The MINI is a standardized and structured diagnostic examination validated within the general population setting¹⁹ which uses a nonhierarchical case-identification procedure, thus permitting the diagnosis of psychiatric comorbidities. GAD was established using the current definition implying the presence of symptoms for at least six months²².

ADR Genotyping. We selected the most commonly studied *ADR* polymorphisms that have been associated with physiological stress response related to dysfunction of the adrenergic system and other disorders. The $\alpha 1A$ -adrenergic receptor (*ADRA1A*) gene is located in chromosomal region 8p21.2 and the chosen SNPs include *rs3808585* in the promoter region and the three intronic SNPs *rs573514*, *rs4732682* and *rs17426222*. The *ADRA1A* gene has been found to be a vascular risk factor, as well as being related to obesity, attention-deficit hyperactivity disorder, and schizophrenia^{23–26}. The $\alpha 2A$ -adrenergic receptor (*ADRA2A*) gene is located in chromosomal region 10q25.2 and chosen SNPs include *rs1800544* in the promoter region and *rs11195419* located in the 3' untranslated region. The *ADRA2A* gene has been associated with the risk of schizophrenia²⁷, Tourette syndrome²⁸, and suicidal ideation²⁹, as well as high blood pressure³⁰. The closely positioned *rs7903146* variant is also located in region 10q25.2 in intron 3 of the gene transcription factor 7-like 2 (*TCF7L2*). This gene encodes a high mobility group

box-containing transcription factor that plays a key role in the Wnt signaling pathway influencing the transcription of a large number of genes including *GPCRs*, thereby implicated in a large variety of diseases^{31,32}. This SNP has been linked with *ADR* variants³³ and was associated with schizophrenia³⁴, adiposity and type 2 diabetes^{35,36}. The β 2-adrenergic receptor (*ADRB2*) gene is an intronless gene with single exon in chromosomal region 5q31–32. The most extensively studied functional polymorphism is *rs1042713*, a common non-synonymous SNP resulting in an amino acid substitution (otherwise referred to as Arg16Gly). This variant has been associated with vascular response to stress, as well as alterations in cognitive function and brain white matter integrity, autism, PTSD^{37–41}.

DNA was extracted from buccal mucosa samples collected during the second follow-up, and then stored at -80°C . *ADR* genotyping was performed by LGC genomics, Hoddesdon, UK using their KASP SNP genotyping system as described previously^{42,43}. KASP is a competitive allele-specific PCR incorporating a FRET quencher cassette, which has an error rate of less than 0.3%. The amplified PCR products were analyzed by fluorescence scanning in a BMG labtech Pherastar scanner and the results were interpreted with KlusterCaller 1.1 software. All SNPs except *rs180054* had also been separately genotyped by the Lille Genopole (<http://www.genopole-lille.fr/spip/>) using DNA extracted from blood samples collected at baseline. Both genotyping data were available for one third of the sample. The results of genotyping from buccal- and blood-cell derived DNA were identical, which helped verify the accuracy of the data. Prior genotyping data also indicated that less than 1% of the participants were non-Caucasian, and these participants were excluded from the present analyses (unpublished data and⁴⁴).

Statistical analysis. Chi-squared tests were used to compare the distribution of *ADR* genotypes with those expected under the Hardy-Weinberg equilibrium. Associations between *ADR* polymorphisms and current GAD were assessed using multivariate logistic regression models adjusted for age and sex. Covariates that were found to be significantly associated with GAD and which could be potential mediating factors, *e.g.* body mass index (BMI) and major depression, were also considered. Interactions with adverse life events were also examined in *post-hoc* analyses, given prior evidence to suggest possible independent group effects³⁹. SAS (version 9.4, SAS institute, NC, USA) was used for all of the statistical analysis and all tests were two-tailed, with the significance level of $p < 0.05$. Given that eight genetic variants were investigated, the level of significance after Bonferroni-correction was set at $p < 0.0063$.

Results

Participant characteristics. Characteristics of the 844 participants (57.9% females) are summarized in Table 1. The mean age (SD) of the sample was 71.5 (4.5) years, with no significant differences between participants with and without GAD ($p = 0.44$). The 6 month prevalence of GAD was 4.4%. In terms of comorbidity, 13.5% of GAD cases also had major depression and 32.4% phobia.

***ADR* polymorphisms and GAD.** The *ADR* genotype frequencies were not significantly different from those predicted by Hardy-Weinberg equilibrium ($p > 0.10$ for all SNPs). For *rs3808585*, *rs1800544* and *rs11195419*, the frequency of the homozygotes for the minor allele was very low (less than 7%), and they were thus combined with the heterozygotes for analysis (Table 2). In logistic regression analyses adjusted for age and sex, two *ADRA1A* SNPs were associated with current GAD. The homozygotes for the minor alleles of *rs17426222* and *rs573514* had a more than 4-fold increased odds of GAD compared with homozygotes for the major allele ($p = 0.003$ and 0.005 , respectively), which remained significant after Bonferroni correction. There was weaker evidence to suggest that minor allele carriers of *ADRA1A rs4732682* had a decreased risk of GAD compared to those homozygous for the major allele (CT/TT: OR = 0.47, 95% CI = 0.24–0.92, $p = 0.027$). No significant associations were found with the two *ADRA2A* variants. The TT homozygote of *TCF7L2 rs7903146* was associated with a 3.2-fold increased risk of GAD. In contrast to the other SNPs which showed some evidence of a dose-response, for *ADRB2 rs1042713* it was the heterozygous that were less likely to have GAD compared to the AA homozygotes. In multivariate models further adjusted for potential mediating factors, *i.e.* BMI and major depression, the same pattern of associations was found and for *ADRB2 rs1042713* the association was significant with a *p*-value lower than the corrected level of significance (see Supplementary Table S1).

***ADR* polymorphisms and phobia.** In this elderly population, phobia prevalence and comorbidity with GAD are relatively high in contrast with other psychiatric disorders (see Table 1). *Post-hoc* analyses were then performed to determine whether the SNPs associated with GAD were also associated with phobia (in the absence of GAD). Despite a much higher number of phobia cases than GAD, no significant associations were observed (Table 3).

Possible role of adverse life events. In *post-hoc* analysis, given previous findings in the literature, we tested the possibility that some adverse life events modified the association between these variants and GAD. Regarding recent events, we found an interaction with *TCF7L2* ($p = 0.05$). The subjects with the minor T allele of *rs7903146* who reported recent adverse events had a 3.8-fold increased risk of GAD (OR = 3.78, 95% CI = 1.46–9.82, $p = 0.006$, $n = 256$) and further adjusting for past GAD episodes did not modify the association (data not shown). This was not found for those not reporting recent trauma (OR = 0.65, 95% CI = 0.15–2.80, $p = 0.56$, $n = 212$). Similarly, the T allele was also associated with a more than 5 times higher risk of GAD in the participants having reported low affective support during childhood (OR = 5.34, 95% CI = 1.01–28.3, $p = 0.049$, $n = 68$), not found among those who did not (OR = 1.50, 95% CI = 0.61–3.67, $p = 0.37$, $n = 400$). The AG heterozygotes of *ADRB2 rs1042713* were associated with lower odds of GAD only in the subjects who did not report low affective support during childhood (OR = 0.16, 95% CI = 0.05–0.56, $p = 0.004$, $n = 322$).

Characteristic	No GAD		GAD (n = 37)		GAD versus no GAD		
	Mean	SD	Mean	SD			P
Age	71.55	4.47	70.97	4.10			0.44
	N	%	N	%	OR ^c	95% CI	p
Sex (female)	461	57.13	28	75.68	2.35	1.10–5.05	0.03
Education level (≥ 5 years)	442	54.77	15	40.54	0.63	0.32–1.24	0.18
BMI (≥ 25 kg/m ²)	346	42.87	8	21.62	0.42	0.19–0.93	0.03
At least one recent adverse events during the past year	463	57.37	29	78.38	2.68	1.21–5.96	0.02
Low affective support	111	13.75	13	35.14	3.28	1.62–6.67	0.001
Hypercholesterolemia (cholesterol ≥ 6.2 mmol/L or treated)	451	56.09	21	56.76	0.87	0.44–1.71	0.68
Hypertension (resting blood pressure $\geq 160/95$ mm Hg or treated)	321	39.78	14	37.84	1.03	0.52–2.05	0.93
Ischemic pathologies ^d	82	10.16	4	10.81	1.35	0.46–3.99	0.59
Arrhythmia and heart failure	97	12.03	6	16.22	1.52	0.61–3.77	0.37
At least one chronic disorder ^e	469	58.12	20	54.05	0.91	0.47–1.77	0.78
MMSE (<26)	76	9.43	5	13.51	1.38	0.52–3.67	0.52
Use of psychotropic medication	80	9.91	10	27.03	3.02	1.38–6.62	0.006
Major depression	11	1.38	5	13.51	10.04	3.24–31.1	<0.0001
Anxiety disorder (without GAD)	69	8.55	12	32.43	4.40	2.11–9.37	<0.0001
Phobia	64	7.93	12	32.43	4.81	2.27–10.2	<0.0001
Post-traumatic stress disorder	1	0.12	1	2.70	n.a	n.a	n.a
Panic disorder	1	0.12	0	0.00	n.a	n.a	n.a
Obsessive compulsive disorder	4	0.50	1	2.70	n.a	n.a	n.a

Table 1. Characteristics of participants according to current GAD^a in an elderly cohort (n = 844^b).

^aThe diagnosis of GAD and other psychiatric disorders was performed using the MINI and according to DSM-IV criteria (see Methods). ^bExcept for hypercholesterolemia (n = 841); arrhythmia and heart failure and MMSE (n = 843); major depression (n = 837). ^cAdjusted for age (continuous) and sex. ^dIschemic pathologies correspond to angina pectoris, myocardial infarction, stroke, cardiovascular surgery, and arteritis. ^eChronic disorders correspond to hypercholesterolemia, hypertension, diabetes, asthma, osteoporosis, thyroid disorder, and recent cancer. n.a.: not applicable due to the low number of participants.

Discussion

As the first genetic association study of GAD in the elderly population, we have shown that variants of the *ADRA* genes are susceptibility factors for GAD, but not the other major late-life anxiety disorder, phobia. We found strong associations (4-fold modified risk) which remained after correction for multiple testing and were not mediated by other health-related factors. Our data thus support the critical role of the adrenergic nervous system in GAD and possible moderating effect of *ADRA* genes in response to stressful adverse life events.

ADRs mediate action in the sympathetic nervous system through binding of catecholamines, essentially epinephrine and norepinephrine, as well as exogenous administered drugs, including alpha and beta antagonists¹¹. ADRs play a pivotal role in neuroendocrine communication and are involved in the neuroendocrine control of the stress response⁴⁵. The $\alpha 1$ -ADRs signal mainly via G proteins of the $G_{q/11}$ family coupling to activation of a phospholipase C and resulting in increased intracellular calcium, $\alpha 2$ -ADRs couple to the G_i proteins to inhibit adenylate cyclase and subsequently the formation of cAMP, whereas β -ADRs mainly couple to G_s proteins to activate adenylate cyclase and cAMP production and notably, isoforms of some of the ion channels⁴⁰. Only a limited number of small studies have addressed the role of genetic variants in the signaling cascades of ADRs⁴⁰ and although α - and β -blockers of ADRs could be effective in treating anxiety and physiological symptoms of GAD, the relevant contribution of *ADRA* variants to these effects remains largely unknown⁴⁶.

In our study, *ADRA1A* and *ADRB2* variants were most strongly associated with GAD. No functional promoter polymorphisms have so far been identified for *ADRA1A*⁴⁰ and the two variants associated with GAD in our study are intronic. It is possible that they could influence gene function through alternative splicing resulting from different isoforms expressed in brain⁴⁷, however this hypothesis remains to be tested. Conversely, the *ADRB2* *rs1042713* variant in the coding region appears to be functionally significant as it affects receptor down-regulation and agonist-induced *ADRB2* desensitization, and as well as antagonist (beta-blockers) medication response and vascular reactivity^{48–50}. Decreased sympathetic responsiveness, *i.e.* lower-efficiency transcription and thus lower expression of *ADRB2* could protect against negative biological consequences of chronic activation of (nor)adrenergic systems in adversity exposure³⁹.

Gene	SNPs and genotype		No GAD		GAD		Odds of GAD versus no GAD		
			N	%	N	%	OR ^a	95% CI	p
ADRA1A	rs4732682	CC	225	29.7	17	47.2	—	—	—
		CT	386	51.0	15	41.7	0.51	0.25–1.04	0.06
		TT	146	19.3	4	11.1	0.36	0.12–1.09	0.07
	rs17426222	CC	433	54.0	13	35.1	—	—	—
		CT	315	39.3	17	46.0	1.81	0.86–3.79	0.12
		TT	54	6.7	7	18.9	4.34	1.65–11.4	0.003
	rs3808585	CC	418	52.6	21	56.8	—	—	—
		CT/TT	376	47.4	16	43.2	0.84	0.43–1.64	0.61
	rs573514	TT	224	28.6	5	14.3	—	—	—
CT		414	52.9	15	42.9	1.58	0.56–4.41	0.39	
CC		145	18.5	15	42.9	4.48	1.59–12.7	0.005	
ADRA2A	rs1800544	CC	422	54.4	20	54.1	—	—	—
		CT/TT	353	45.6	17	45.9	0.97	0.50–1.89	0.94
	rs11195419	CC	650	82.7	29	78.4	—	—	—
		AA/AC	136	17.3	8	21.6	1.34	0.60–3.01	0.47
TCF7L2	rs7903146	CC	340	43.5	10	27.8	—	—	—
		CT	358	45.8	18	50.0	1.68	0.76–3.70	0.20
		TT	84	10.7	8	22.2	3.23	1.23–8.52	0.018
ADRB2	rs1042713	AA	110	13.8	9	24.3	—	—	—
		AG	372	46.7	9	24.3	0.28	0.11–0.73	0.009
		GG	314	39.5	19	51.4	0.73	0.32–1.67	0.45

Table 2. Logistic regression analysis for the association between ADR polymorphisms and current GAD in an elderly cohort^a. ^aAdjusted for age and sex.

Gene	SNPs and genotype		No phobia		Pure phobia		Odds of pure phobia versus no phobia		
			N	%	N	%	OR	95% CI	p
ADRA1A	rs4732682	CC	214	30.75	11	18.03	—	—	—
		CT	350	50.29	36	59.02	1.95	0.97–3.94	0.06
		TT	132	18.97	14	22.95	2.04	0.89–4.68	0.09
	rs17426222	CC	399	54.21	34	51.52	—	—	—
		CT	290	39.40	25	37.88	1.03	0.59–1.77	0.93
		TT	47	6.39	7	10.61	1.81	0.75–4.37	0.19
	rs3808585	CC	388	53.22	30	46.15	—	—	—
		CT/TT	341	46.78	35	53.85	1.30	0.77–2.18	0.32
	rs573514	TT	206	28.57	18	29.03	—	—	—
CT		381	52.84	33	53.23	0.96	0.52–1.77	0.90	
CC		134	18.59	11	17.74	0.92	0.42–2.03	0.83	
ADRA2A	rs1800544	CC	389	54.33	33	55.00	—	—	—
		CT/TT	327	45.67	27	45.00	0.92	0.54–1.57	0.76
	rs11195419	CC	597	82.46	53	85.48	—	—	—
AA/AC		127	17.54	9	14.52	0.82	0.39–1.71	0.59	
TCF7L2	rs7903146	CC	310	43.00	30	49.18	—	—	—
		CT	333	46.19	25	40.98	0.77	0.44–1.36	0.37
		TT	78	10.82	6	9.84	0.81	0.32–2.05	0.66
ADRB2	rs1042713	AA	104	14.23	6	6.23	—	—	—
		AG	339	46.37	33	50.77	1.68	0.68–4.16	0.26
		GG	288	39.40	26	40.00	1.61	0.64–4.06	0.31

Table 3. Logistic regression analysis for the association between ADR polymorphisms and current phobia^a. ^aAdjusted for age and sex. The participants with prevalent GAD were not included in the analysis.

While no previous studies have investigated the role of ADR genetic variants in GAD or phobia, associations have been reported with vascular response to stress as well as certain psychiatric disorders, *i.e.* schizophrenia and PTSD^{23,39,40}. Interestingly, comorbidity and overlap between schizophrenia and anxiety have been

found previously, as well as evidence for shared genes which could primarily have to do with reactivity and stress response⁵¹. In line with our finding that *ADRA1A* variants were associated with GAD, genetic variants in the promoter region of *ADRA1A* have been associated with schizophrenia in a Basque (Spanish) population²³, although this failed to be replicated in a Chinese population⁵². A number of studies have also reported an association between *ADR* genes and obesity-related phenotypes including in schizophrenia patients^{25,53}. Importantly in the present study, the associations with GAD were not mediated by BMI. We also observed an association between *TCF7L2 rs7903146* and GAD. *TCF7L2* can notably be activated by $\beta 2$ adrenoreceptor agonists³³ and can also influence the transcription of a number of other *GPCRs*, thereby implicated in a large variety of diseases, especially diabetes, through however, uncharacterized mechanisms^{31,32,35}. In our study, the association with GAD remained significant after controlling for diabetes (data not shown). The direct mechanism linking this SNP with GAD is not known but it has also been associated with schizophrenia³⁴. Conversely, we did not find significant associations between the two *ADRA2A* variants and GAD or phobia. A number of prior studies have examined the associations between *ADRA2A* variants and depression or schizophrenia but they also failed to find significant associations^{27,54–56}.

Rs1042713, one of the two non-synonymous common SNPs in *ADRB2* (the other is *rs1042714* which is in strong linkage disequilibrium) is the most frequently studied variant in response to stress. Recently, Liberzon *et al.*³⁹ examined the associations between *ADR* variants and PTSD in trauma-exposed adults. They did not identify any significant main effect surviving multiple correction. However, they found significant interactions between *ADRB2 rs2400707* and childhood adversity on PTSD, as well as nominally significant interactions with six other SNPs in the *ADRB2* locus, all in high linkage disequilibrium with *rs2400707*, including *rs1042713*³⁹. In our study, we found a significant association between *ADRB2 rs1042713* and GAD, the risk of GAD appeared lower in the subjects who did not report low affective support during childhood. This association was specific to the heterozygotes consistent with an overdominant mode of effect⁴⁹. A heterosis effect may occur in up to 50% of all gene associations, particularly in European people⁵⁷ and it has already been reported with *ADRB2 rs2400707* in asthma⁴⁹ and lung function⁵⁸. This may also explain the conflicting findings which have been reported in association studies on vascular factors or asthma, depending on allele grouping (either G or A)⁴⁸. An interaction between the wild type and mutant protein isoforms resulting in a heterodimeric receptor with optimal properties compared to that of the wild type or mutant homodimers has been proposed as a possible mechanism for heterozygote advantage⁵⁸. Other explanations could be related to interactions with other genes or variants (as reported with *ADRB2* in asthma⁴⁹), environmental factor, or age-related factors (as observed for *rs1042713* and cognitive function³⁷) causing a hidden stratification of the sample such that the anxiety phenotype would be associated with either one set of homozygote subjects or the alternate homozygote set⁵⁷.

GAD is often comorbid with other affective disorders and previous family studies suggest overlapping genetic risk factors between some disorders. We did not find significant associations between *ADR* variants and phobia despite its high prevalence in this elderly population. Our previous data indicated that whereas GAD and phobia cases shared some characteristics, they were clinically and etiologically different. GAD was more commonly associated with certain metabolic and somatic illnesses², which are more specifically related to the autonomic nervous system and the (nor)adrenergic system⁷. GAD is considered to be a disorder of emotional distress, but phobia a fear-based anxiety disorder⁵⁹. PTSD has elements of dysphoria as well as fear-based anxiety, and a recent study showed that PTSD's dysphoria factor was uniquely related to GAD. Interestingly, significant interactions between *ADRB2* variants and either childhood adversity on PTSD³⁹ or maternal stress during pregnancy on autism in children⁴¹ have been reported. Although speculative, this could suggest that *ADR* constitutes a vulnerability (or resilience) factor for the development of GAD following adversity, which may be shared by other stress-related disorders such as PTSD, possibly in its dysphoria dimension⁵⁹. We also observed a significant interaction between *TCF7L2 rs7903146* and history of adversity on GAD; the participants with the minor T allele having reported recent adverse events or low affective support during childhood being at 3–5 fold higher risk of GAD compared with those who did not. So far, few specific gene-environment interactions have been described for *TCF7L2* polymorphisms, mostly in relation with metabolic dysfunction but no previous studies have examined the role of upstream factors such as stressful environment.

The main limitation of our study is the relatively small number of GAD cases, which reduced the power of our analysis. A power calculation indicates that our study had 80% power ($\alpha = 5\%$) to detect an OR of 4 for most of the variants, but not for the rarer alleles such as homozygotes for the minor allele of *rs4732682* where we were only powered to detect $OR \leq 0.15$. This may explain the lack of significant associations with some SNPs. This is particularly the case for the analysis stratified according to stressful events and emphasizes the need for caution when interpreting these results. There are some other limitations which need to be considered. Selection bias concerned the recruitment from electoral rolls, the response rate, and the exclusion of institutionalized elderly people, which limits the generalizability of these findings. Excluding participants with missing data who were older and with poorer physical and mental health and thus more likely to be diagnosed with GAD may have decreased the overall power of the study, possibly underestimating the associations found. Bias from population stratification needs to be considered as French law prohibits collecting data related to ethnicity. However, prior genotyping data of these participants indicated that less than 1% were non-Caucasian⁴⁴ and genotype frequencies were all within Hardy-Weinberg Equilibrium and are similar with those already published in white Europeans^{24,27,34,38} (and <http://www.ncbi.nlm.nih.gov/projects/SNP/>). Further studies are needed to replicate our findings. Despite the large size of the sample, for some SNPs the power was insufficient to examine specifically minor homozygotes. The relative sample size when stratifying GAD cases according to stressful events and genotypes also emphasizes the need for caution when interpreting these results. Furthermore, data related to life events were self-reported and retrospective, which may introduce recall bias and the temporal relationship between recent adverse events and current GAD was not assessed, but further adjusting for past GAD episodes did not change the associations.

Strengths of our study are that it was population-based and involved 844 elderly people. This is the first study to investigate and compare potential associations of several *ADR* variants with the two most prevalent anxiety disorders, GAD and phobia. Psychiatric disorders were assessed by trained staff using a standardized and validated psychiatric examination based on DSM-IV criteria. A large amount of health data were collected which allowed controlling for potential mediating factors. The genotyping system used in our study had a very low error rate, and we were able to control for accuracy through duplicate samples.

To our knowledge, this is the first study which provides evidence that *ADRA1A* and *ADRB2* variants are strongly associated with GAD in the elderly, but not shared with the other major anxiety disorder, phobia. Our data also support the possible moderating effect of *ADR* genes in response to recent or early stressful life events. Exposure to adverse events has been associated with nervous system dysfunction and marked long-term changes in brain circuitry that regulates stress reactivity. Additional investigations are needed to confirm these findings in larger population samples and investigate the functionality of the associated polymorphisms. Our findings have implications not only for research but also potentially for nosology and practice. They provide an important lead for examining the pathogenesis of GAD involving the adrenergic stress system. Findings also have potential clinical implications for GAD etiology and more largely stress-related disorders, as well as for developing novel specific preventative and intervention strategies.

References

- Hoge, E. A., Ivkovic, A. & Fricchione, G. L. Generalized anxiety disorder: diagnosis and treatment. *Brit. Med. J.* **345**, 37–42 (2012).
- Zhang, X. *et al.* Generalized anxiety in community-dwelling elderly: Prevalence and clinical characteristics. *J. Affect. Disord.* **172C**, 24–29 (2014).
- Domschke, K. & Deckert, J. Genetics of anxiety disorders - status quo and quo vadis. *Curr. Pharm. Des.* **18**, 5691–5698 (2012).
- Sartor, C. E. *et al.* Common heritable contributions to low-risk trauma, high-risk trauma, posttraumatic stress disorder, and major depression. *Arch. Gen. Psychiatry* **69**, 293–299 (2012).
- Tadic, A. *et al.* Association of a MAOA gene variant with generalized anxiety disorder, but not with panic disorder or major depression. *Am. J. Med. Genet. B. Neuropsychiatr. Genet.* **117B**, 1–6 (2003).
- You, J.-S., Hu, S.-Y., Chen, B. & Zhang, H.-G. Serotonin transporter and tryptophan hydroxylase gene polymorphisms in Chinese patients with generalized anxiety disorder. *Psychiatr. Genet.* **15**, 7–11 (2005).
- Zhang, X. *et al.* Risk factors for late-onset generalized anxiety disorder: results from a 12-year prospective cohort (The ESPRIT study). *Transl. Psychiatry* **5**, e536 (2015).
- Faravelli, C. *et al.* The role of life events and HPA axis in anxiety disorders: a review. *Curr. Pharm. Des.* **18**, 5663–5674 (2012).
- Hilbert, K., Lueken, U. & Beesdo-Baum, K. Neural structures, functioning and connectivity in Generalized Anxiety Disorder and interaction with neuroendocrine systems: a systematic review. *J. Affect. Disord.* **158**, 114–126 (2014).
- Gerra, G. *et al.* Neuroendocrine responses to psychological stress in adolescents with anxiety disorder. *Neuropsychobiology* **42**, 82–92 (2000).
- Dohlman, H., Thorner, J., Caron, M. & Lefkowitz, R. Model systems for the study of seven-transmembrane-segment receptors. *Annu. Rev. Biochem.* **60**, 653–688 (1991).
- Hood, S. D. *et al.* Noradrenergic function in generalized anxiety disorder: impact of treatment with venlafaxine on the physiological and psychological responses to clonidine challenge. *J. Psychopharmacol.* **25**, 78–86 (2011).
- Shad, M. U., Suris, A. M. & North, C. S. Novel combination strategy to optimize treatment for PTSD. *Hum. Psychopharmacol* **26**, 4–11 (2011).
- Ritchie, K. *et al.* Prevalence of DSM-IV psychiatric disorder in the French elderly population. *Br. J. Psychiatry* **184**, 147–152 (2004).
- The 3C Study Group. Vascular factors and risk of dementia: design of the Three-City Study and baseline characteristics of the study population. *Neuroepidemiology* **22**, 316–325 (2003).
- Folstein, M. F., Folstein, S. E. & McHugh, P. R. "Mini-mental state". A practical method for grading the cognitive state of patients for the clinician. *J. Psychiatr. Res.* **12**, 189–198 (1975).
- Harwood, R. H., Prince, M. J., Mann, A. H. & Ebrahim, S. The prevalence of diagnoses, impairments, disabilities and handicaps in a population of elderly people living in a defined geographical area: the Gospel Oak project. *Age. Ageing* **27**, 707–714 (1998).
- Ritchie, K. *et al.* Association of adverse childhood environment and 5-HTTLPR Genotype with late-life depression. *J. Clin. Psychiatry* **70**, 1281–1288 (2009).
- Leclercq, Y. *et al.* The Mini International Neuropsychiatric Interview (MINI). A short diagnostic structured interview: reliability and validity according to the CIDI. *Eur. Psychiatry*. **12**, 224–231 (1997).
- Sheehan, D. *et al.* The validity of the Mini International Neuropsychiatric Interview (MINI) according to the SCID-P and its reliability. *Eur. Psychiatry*. **12**, 232–241 (1997).
- Ritchie, K., Norton, J., Mann, A., Carriere, I. & Ancelin, M. L. Late-onset agoraphobia: general population incidence and evidence for a clinical subtype. *Am. J. Psychiatry* **170**, 790–798 (2013).
- American Psychiatric Association. *Diagnostic and statistical manual of mental disorders: DSM-IV*. (American Psychiatric Press, Washington, DC., 1994).
- Clark, D. A. *et al.* Polymorphisms in the promoter region of the alpha1A-adrenoceptor gene are associated with schizophrenia/schizoaffective disorder in a Spanish isolate population. *Biol. Psychiatry* **58**, 435–439 (2005).
- Elia, J. *et al.* Candidate gene analysis in an on-going genome-wide association study of attention-deficit hyperactivity disorder: suggestive association signals in ADRA1A. *Psychiatr. Genet.* **19**, 134–141 (2009).
- Liu, Y. R. *et al.* ADRA1A gene is associated with BMI in chronic schizophrenia patients exposed to antipsychotics. *Pharmacogenomics*. **10**, 30–39 (2010).
- Freitas, S. R., Pereira, A. C., Floriano, M. S., Mill, J. G. & Krieger, J. E. Association of alpha1a-adrenergic receptor polymorphism and blood pressure phenotypes in the Brazilian population. *BMC. Cardiovasc. Disord* **8**, 40 (2008).
- Lochman, J., Balcar, V. J., Stastny, F. & Sery, O. Preliminary evidence for association between schizophrenia and polymorphisms in the regulatory Regions of the ADRA2A, DRD3 and SNAP-25 Genes. *Psychiatry. Res.* **205**, 7–12 (2013).
- Comings, D. E., Gonzalez, N. S., Cheng Li, S. C. & MacMurray, J. A "line item" approach to the identification of genes involved in polygenic behavioral disorders: the adrenergic alpha2A (ADRA2A) gene. *Am. J. Med. Genet. B. Neuropsychiatr. Genet.* **118B**, 110–114 (2003).
- Perroud, N. *et al.* Genetic predictors of increase in suicidal ideation during antidepressant treatment in the GENDEP project. *Neuropsychopharmacology* **34**, 2517–2528 (2009).
- Sober, S. *et al.* Targeting 160 candidate genes for blood pressure regulation with a genome-wide genotyping array. *PLoS One* **4**, e6034 (2009).

31. Jin, T. & Liu, L. Minireview: The Wnt signaling pathway effector TCF7L2 and type 2 diabetes mellitus. *Mol. Endocrinol.* **22**, 2383–2392 (2008).
32. Migliorini, A. & Lickert, H. Beyond association: A functional role for Tcf7l2 in β -cell development. *Mol Metab* **4**, 365–366 (2015).
33. Faisy, C. *et al.* Wnt/beta-catenin signaling modulates human airway sensitization induced by beta2-adrenoceptor stimulation. *PLoS One* **9**, e111350 (2014).
34. Hansen, T. *et al.* At-risk variant in TCF7L2 for type II diabetes increases risk of schizophrenia. *Biol. Psychiatry* **70**, 59–63 (2011).
35. Florez, J. C. *et al.* TCF7L2 polymorphisms and progression to diabetes in the Diabetes Prevention Program. *N. Engl. J. Med.* **355**, 241–250 (2006).
36. Rosengren, A. H. *et al.* Reduced insulin exocytosis in human pancreatic beta-cells with gene variants linked to type 2 diabetes. *Diabetes* **61**, 1726–1733 (2012).
37. Bochdanovits, Z. *et al.* A Functional polymorphism under positive evolutionary selection in ADRB2 is associated with human intelligence with opposite effects in the young and the elderly. *Behav. Genet.* **39**, 15–23 (2009).
38. Lyall, D. M. *et al.* ADRB2, brain white matter integrity and cognitive ageing in the Lothian Birth Cohort 1936. *Behav. Genet.* **43**, 13–23 (2013).
39. Liberzon, I. *et al.* Interaction of the ADRB2 gene polymorphism with childhood trauma in predicting adult symptoms of posttraumatic stress disorder. *JAMA Psychiatry* **71**, 1174–1182 (2014).
40. Rosskopf, D. & Michel, M. C. Pharmacogenomics of G protein-coupled receptor ligands in cardiovascular medicine. *Pharmacol. Rev.* **60**, 513–535 (2008).
41. Cheslack-Postava, K. *et al.* beta2-Adrenergic receptor gene variants and risk for autism in the AGRE cohort. *Mol. Psychiatry* **12**, 283–291 (2007).
42. Freeman, B. *et al.* DNA from buccal swabs recruited by mail: evaluation of storage effects on long-term stability and suitability for multiplex polymerase chain reaction genotyping. *Behav. Genet.* **33**, 67–72 (2003).
43. Ancelin, M. L. *et al.* Angiotensin-converting enzyme gene variants are associated with both cortisol secretion and late-life depression. *Transl. Psychiatry* **3**, e322 (2013).
44. Lambert, J. C. *et al.* Genome-wide association study identifies variants at CLU and CR1 associated with Alzheimer's disease. *Nat. Genet.* **41**, 1094–1099 (2009).
45. Rajagopal, S., Rajagopal, K. & Lefkowitz, R. J. Teaching old receptors new tricks: biasing seven-transmembrane receptors. *Nat. Rev. Drug. Discov* **9**, 373–386 (2010).
46. Kent, J. M., Mathew, S. J. & Gorman, J. M. Molecular targets in the treatment of anxiety. *Biol. Psychiatry* **52**, 1008–1030 (2002).
47. Wang, G. S. & Cooper, T. A. Splicing in disease: disruption of the splicing code and the decoding machinery. *Nat. Rev. Genet.* **8**, 749–761 (2007).
48. Litonjua, A. A. *et al.* Very important pharmacogene summary ADRB2. *Pharmacogenet. Genom* **20**, 64–69 (2010).
49. Thakkinstian, A. *et al.* Systematic review and meta-analysis of the association between β 2-adrenoceptor polymorphisms and asthma: a HuGE review. *Am. J. Epidemiol.* **162**, 201–211 (2005).
50. Taylor, M. R. Pharmacogenetics of the human beta-adrenergic receptors. *Pharmacogenomics. J* **7**, 29–37 (2007).
51. Ayalew, M. *et al.* Convergent functional genomics of schizophrenia: from comprehensive understanding to genetic risk prediction. *Mol. Psychiatry* **17**, 887–905 (2012).
52. Huang, K. *et al.* No association found between the promoter variants of ADRA1A and schizophrenia in the Chinese population. *J. Psychiatr. Res.* **42**, 384–388 (2008).
53. Saliba, L. F. *et al.* Obesity-related gene ADRB2, ADRB3 and GHRL polymorphisms and the response to a weight loss diet intervention in adult women. *Genet. Mol. Biol.* **37**, 15–22 (2014).
54. Clark, D., Mata, I., Kerwin, R., Munro, J. & Arranz, M. No association between ADRA2A polymorphisms and schizophrenia. *Am. J. Med. Genet. B. Neuropsychiatr. Genet.* **144**, 341–343 (2007).
55. Burcescu, I. *et al.* Association study of the adrenergic receptors and childhood-onset mood disorders in Hungarian families. *Am. J. Med. Genet. B. Neuropsychiatr. Genet.* **141B**, 227–233 (2006).
56. Ohara, K., Nagai, M., Tani, K., Tsukamoto, T. & Suzuki, Y. Polymorphism in the promoter region of the alpha 2A adrenergic receptor gene and mood disorders. *Neuroreport* **9**, 1291–1294 (1998).
57. Comings, D. E. & MacMurray, J. P. Molecular heterosis: a review. *Mol. Genet. Metab.* **71**, 19–31 (2000).
58. Joos, L. *et al.* Polymorphisms in the beta2 adrenergic receptor and bronchodilator response, bronchial hyperresponsiveness, and rate of decline in lung function in smokers. *Thorax* **58**, 703–707 (2003).
59. Durham, T. A. *et al.* Posttraumatic stress disorders dysphoria dimension and relations with generalized anxiety disorder symptoms. *Psychiatry. Res.* **228**, 150–155 (2015).

Acknowledgements

The ESPRIT study was funded by an unconditional grant from Novartis and a grant from the French National Agency (ANR, Project 07 LVIE 004). Xiaobin Zhang is the holder of a doctoral fellowship from the Chinese Government (China Scholarship Council no. 201206940015). Joanne Ryan is the holder of an Early Career Researcher Fellowship (APP1012735) from the National Health and Medical Research Council (NHMRC). The funders had no involvement in any aspect of the study.

Author Contributions

M.L.A. contributed to the conception and design of the study. K.R., J.R. and M.L.A. contributed to the acquisition of the data. X.Z., J.N., I.Ca., I.Ch., J.R. and M.L.A. were involved with the analysis and interpretation of data. X.Z. wrote the first draft of the article and all authors revised it critically and approved the final version.

Additional Information

Supplementary information accompanies this paper at <http://www.nature.com/srep>

Competing financial interests: The authors declare no competing financial interests.

How to cite this article: Zhang, X. *et al.* Preliminary evidence for a role of the adrenergic nervous system in generalized anxiety disorder. *Sci. Rep.* **7**, 42676; doi: 10.1038/srep42676 (2017).

Publisher's note: Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.



This work is licensed under a Creative Commons Attribution 4.0 International License. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in the credit line; if the material is not included under the Creative Commons license, users will need to obtain permission from the license holder to reproduce the material. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>

© The Author(s) 2017

SUPPLEMENTARY INFORMATION

Supplementary Table S1. Multivariate logistic regression analysis^a for the association between *ADR* polymorphisms and GAD

<i>Gene</i>	<i>SNPs and genotype</i>	OR	95%CI	p	
<i>ADRA1A</i>	<i>rs4732682</i>	CC	-	-	
		CT	0.50	0.24-1.04	0.06
		TT	0.38	0.12-1.19	0.10
	<i>rs17426222</i>	CC	-	-	-
		CT	1.66	0.78-3.53	0.18
		TT	3.80	1.40-10.3	0.009
	<i>rs3808585</i>	CC	-	-	-
		CT/TT	0.87	0.44-1.73	0.70
	<i>rs573514</i>	TT	-	-	-
CC		1.43	0.50-4.08	0.50	
CT		4.42	1.53-12.8	0.006	
<i>ADRA2A</i>	<i>rs1800544</i>	CC	-	-	
		CT/TT	0.98	0.50-1.93	0.96
	<i>rs11195419</i>	CC	-	-	-
		AA/AC	1.25	0.54-2.88	0.60
<i>TCF7L2</i>	<i>rs7903146</i>	CC	-	-	
		CT	1.65	0.74-3.69	0.22
		TT	3.13	1.16-8.46	0.024
<i>ADRB2</i>	<i>rs1042713</i>	AA	-	-	
		AG	0.23	0.08-0.62	0.004
		GG	0.67	0.29-1.57	0.36

^a Adjusted for age, sex, body mass index, and major depression