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Parallel ecological filtering of ultramafic soils in three distant island floras

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short running title: Ecological filtering of ultramafic soils

**Abstract**

**Aim:** Alexander von Humboldt observed that plant communities on different continents but under similar climatic conditions shared few common species but often contained representatives of the same genera or higher taxonomic groups. To test if this observation can be extended to substrate type, we explored whether a phylogenetic signature could be seen among floras growing on ultramafic substrates that present challenging edaphic conditions for plant growth and are well-known for their distinctive vegetation.

**Location:** Cuba, Madagascar, New Caledonia

**Taxon:** Angiosperms

**Methods:** We compared the floras of Cuba, Madagascar and New Caledonia to test whether the same plant families were under- or over-represented on the ultramafic substrates of the three islands.

**Results:** Pair-wise comparisons showed that plant orders and families tended to have the same behaviour on the three islands, i.e. ultramafic substrates filtered (in favour of or against) the same plant groups in the three biogeographical distinct areas. The COM clade (comprising Celastrales, Oxalidales, and Malpighiales) appears to be over-represented on ultramafic substrates in all three islands and contains over half of the world’s known nickel hyperaccumulators.

**Main conclusions:** Our analyses provide support for Humboldt’s observation by showing that ecological sorting can favor the same plant lineages in similar environments in different biogeographical regions.

**KEYWORDS:** ecological filtering, disharmony, exaptation, island, niche conservatism, nickel hyperaccumulator, serpentine
1 | INTRODUCTION

“Quand la nature n’offre pas les mêmes espèces, elle se plaît à répeter les mêmes genres »

[When Nature does not offer the same species, she likes to repeat the same genera]

A. von Humboldt (1814, p. 601)

During his many travels, Alexander von Humboldt was able to observe the floras of many regions of the world with contrasting climates. He noticed that the same grass species could be found in Switzerland or along the Magellan strait, and the same violet occurred on top of the volcano on Tenerife and in the Pyrenees Mountains (Humboldt & Bonpland, 1814, p. 601). He was puzzled by the possible migration of plants across oceans or areas with an inhospitable climate. He acknowledged that the number of species shared by the Old and New Continents may not be as great as previously thought, but speculated that the highest mountains of tropical America probably have plantains, valerians, sandworts, buttercups, medlars, oaks, and pines that look like the European ones but belong to different species (Humboldt & Bonpland, 1814, p. 602). Thus, the same genera or closely-related genera belonging to the same tribe (Humboldt & Bonpland, 1814, p. 602) are to be expected in regions with the same climatic conditions.

Long distance dispersal is now acknowledged as a major force in biogeography (de Queiroz, 2005), and even improbable dispersal between islands in different oceans has been shown (Le Roux et al., 2014). When plants disperse over long distances from continents to islands, they will often occupy areas with similar ecological conditions (Carlquist, 1974 p. 81). Lineages have a tendency to retain the same ecological traits or niche characteristics through time and speciation events, which has been termed phylogenetic niche conservatism (Ackerly, 2003; Crisp & Cook, 2012; Wiens et al., 2010). It has been shown, for example,
that plants tend to occupy similar biomes after transoceanic dispersal across southern
continents (Crisp et al., 2009). The assemblage of a community such as the Mediterranean-
type woody vegetation of California (chaparral) involved not only adaptation but also niche
conservatism (Ackerly, 2004). Indeed, the small leaves and low specific leaf area of several
plant lineages, characteristic of Mediterranean vegetation, are features that were often already
present in their ancestors before dispersal from their ancestral subtropical biome. The greater
success of lineages that already possess a suitable combination of functional traits for their
novel niche has been termed ecological sorting (Ackerly, 2003, 2004).

Evidence for niche conservatism and ecological sorting in plants comes primarily from
studies on biome preferences (e.g. Ackerly, 2004; Crisp et al., 2009). These mechanisms are
likely to operate in plant responses to edaphic conditions. For example, Stebbins (1974, p. 43)
noted a “selective inertia” leading to a preference of Ericaceae for acid soils, Caryophyllaceae
for mineral-rich substrates, and Chenopodiaceae for saline or sub-saline soils. Habitat filtering
can be expected to be more obvious in extreme environments such as on ultramafic substrates.
Soils derived from ultramafic rocks (including serpentine) offer challenging conditions for
plant development because of their low content in essential nutrients (N, P, K), a strong
imbalance in their Mg:Ca ratio, high concentrations of certain metals (Ni, Co, Cr, etc.), and
low water availability leading to stress, as well as their fire-prone vegetation (Kazakou,
Dimitrakopoulos, Baker, Reeves, & Troumbis, 2008; Proctor, 2003). Outcrops of ultramafic
rocks are often covered with a distinctive vegetation that includes narrowly endemic species,
e.g. in California (Safford, Viers, & Harrison, 2005), Cuba (Borhidi, 1996), and New
Caledonia (Wulff et al., 2013). Previous work has suggested that ultramafic substrates may
have acted as a filter that could explain the over- or under-representations of certain plant
families (i.e. disharmony) on the island of New Caledonia (Pillon, Munzinger, Amir, &
Lebrun, 2010).
To test the ecological filtering effects of ultramafic substrates, Cuba, Madagascar, and New Caledonia represent an ideal comparative system. Ultramafic outcrops are found on all three islands, which occur in three different oceans and belong to distinct floristic kingdoms or subkingdoms (Takhtajan, 1986). They share very few species and are thus almost totally independent systems, but share more higher rank taxa and are sufficiently diverse to permit a broad sampling of the tree of life. In this insular context, the total pool (i.e. the entire island) from which the ultramafic flora is most likely derived is easily defined and characterized. We have intentionally limited our study to tropical islands, thus excluding temperate floras, to limit possible effects of climate as a filtering factor. In order to see if Humboldt’s observation can be expanded to edaphic conditions, we tested whether the same families tended to be selected for or against on the ultramafic substrates of all three islands, and thereby demonstrate whether soil can be a driver of ecological sorting. Assuming that ultramafic floras should be a random subset of the total flora for each island, we measured how each family deviates from this null-model and assessed if their behaviour was consistent between islands.

2 | MATERIAL AND METHODS

2.1 | Model systems

The southwest Pacific island of New Caledonia has a continental basement that emerged above sea level ca. 37 My ago, when it was covered with ultramafic substrates that now occupy one third (5,470 km²) of the surface of Grande Terre, the main island (Cluzel, Chiron, & Courme, 1998; Pelletier, 2006). New Caledonia’s biota is considered to have reached the island via long-distance dispersal from neighbouring areas, particularly Australasia (Grandcolas et al., 2008). Mount Humboldt (1,617 m), the second highest peak of
New Caledonia, entirely comprised of ultramafic rocks, is a nature reserve and a major centre of micro-endemism (Bradford & Jaffré, 2004; Munzinger, McPherson, & Lowry, 2008).

The island of Cuba emerged 30-35 My ago (Iturralde-Vinent & MacPhee, 1999; Iturralde-Vinent, 2006), and according to the GAARlandia hypothesis, ancestors of a portion of the terrestrial biota may have colonized the island through a land bridge with South America (Ali, 2012; Iturralde-Vinent & MacPhee, 1999). Nevertheless, long-distance dispersal seems to have been the dominant source of colonizers among seed plants (Nieto-Blázquez, Antonelli, & Roncal, 2017). Ultramafic substrates, recorded on Cuba by Humboldt (1826), cover c. 7% (2,700 km²) of the island, including areas of ancient origin (10-30 My) in the Western and Eastern regions (Finko, Korin, & Formell, 1967), while Cuba’s central ultramafic area was exposed more recently (< 1My, Zonn, 1968). Alejandro de Humboldt National Park is a UNESCO World Heritage Site in eastern Cuba with great biological and geological diversity, including ultramafic-adapted ecosystems.

Madagascar is a portion of Gondwana that was located between the Tanzania-Mozambique coast, India and Antarctica prior to its detachment from the African continent starting at the end of the Jurassic (about <140 My), followed by the separation of India in the late Cretaceous (<83 My, Fröhlich, 1996). While a small portion of Madagascar’s rich flora may thus have an ancient continental (vicariant) origin, recent reviews indicate that subsequent dispersal has played an important role (Buerki, Devey, Callmander, Phillipson, & Forest, 2013; Yoder & Nowak, 2006). Ultramafic soils are rare in Madagascar, but a lateritic nickel deposit occurs at Ambatovy, which covers an area of 12 × 7 km at an elevation of approximately 1,000 m above sea level on Madagascar’s eastern escarpment (Delbos & Rantoanina, 1961) and has been dated to c. 89-91 My (Melluso et al., 2005). Botanical inventories of the area were recently conducted and several micro-endemic species have been

reported (Phillipson, Lowry, Andriamahefaviro, Antilahimena, & Birkinshaw, 2010), most of which have now been also found in surrounding areas (Madagascar Catalogue, 2019).

2.2 | Data sets

For the flora of New Caledonia, we used the list of native species occurring on ultramafic substrates from Isnard et al. (2016) and the complete checklist of the indigenous flora from FLORICAL (Morat et al., 2012, updated ; Munzinger et al., 2016). For the flora of Madagascar, we used the plant checklist from the Ambatovy-Analamay region (Phillipson et al., 2010), the main ultramafic outcrop of the island, and the complete checklist from the Madagascar Catalogue (Callmander et al., 2011; Madagascar Catalogue, 2019 accessed 15/09/2016) taking into account only native species. In Cuba, we developed a partial checklist of plants (appendix S1 in supporting information) occurring on ultramafic substrate by compiling lists from various regions and sources: Guamuhaya (Glaria, González-Torres, Iturralde, & Granados, 2006) Lomas de Galindo (Lara, López García, García, & Iturralde, 1999; Robledo, Ortega, & Rodríguez, 2009), Yamanigüey (Valdés, Rodríguez, & Iturralde, 1986), Central Cuba (Franco, Herrera, Castañeda, Noa, & Oviedo, 2016), and the Oriental Region of Cuba (Quesada, 2011). We removed synonyms and non-native species using Greuter & Rodríguez (2016), which also served as a checklist for the entire Cuban flora. Our analyses were restricted to flowering plants.

2.3 | Representation indices

To quantify the under- or over-representation of plant families, we defined a representation index. For each plant family and each island, we first calculated the expected number of species ($N_{exp,i}$) that should be observed on ultramafic substrates if they represented a random subset of the entire flora.
\[ N_{\text{exp},i} = N_{T,i} \cdot \frac{M_{\text{um}}}{M_T}, \]

where \( N_{T,i} \) is the total number of species of family \( i \) on the island, \( M_{\text{um}} \) is the total number of species across all families on ultramafic substrates, and \( M_T \) is the total number of species across all families on the island. A representation index (\( R_i \)) was calculated for each family, as follows (neglog transformation):

\[ R_i = \text{sign}(N_{\text{obs},i} - N_{\text{exp},i}) \cdot \ln(1 + |N_{\text{obs},i} - N_{\text{exp},i}|) \]

where \( N_{\text{obs},i} \) is the observed number of species in family \( i \) on ultramafic substrate. \( R_i \) is positive for over-represented families and negative for under-represented families. The more the number of species observed in a family deviates from expectation, the larger the absolute value of the index is. Representation indices were calculated in the same way for each angiosperm order.

We also identified the families that were significantly under- or over-represented by comparing their number of species on ultramafic substrates compared to that expected under a null hypothesis where the flora on this substrate was a random subset of the entire island flora. We tested deviation from a normally distributed sampling, applying a Bonferroni correction for multiple tests.

2.4 | Phylogenetic patterns

Since closely related families are expected to be similar in many ways, spurious correlations between characters can be inferred if families are treated as independent replicates. To circumvent this bias, Felsenstein (1985) introduced a method called pairwise independent contrasts. It involves the comparison of the variables (\( X \) and \( Y \)) between two lineages (1 and 2) descending from the same node and the calculation of contrasts (\( X_2 - X_1; Y_2 - Y_1 \)). Contrasts are calculated for pairs of lineages that descend from the same node, but are phylogenetically
independent. A positive correlation between contrasts indicates a positive correlation between X and Y, free of phylogenetic bias. Such an approach requires knowledge of phylogenetic relationships between families. We used the family-level phylogenetic tree of the angiosperms from Magallón et al. (2015), which includes 366 of 416 currently recognized plant families, representing over 99% of global angiosperm species diversity and over 97% of each of the three floras investigated. This tree was also simplified to build a tree of angiosperm orders. Pairwise independent contrasts of the representation indices between the three possible island pairs were calculated using PHYLOCOM 4.2 (Webb, Ackerly, & Kembel, 2008).

2.5 | Concordance between all three islands

Independent contrasts cannot be used to compare three variables. To test concordance between representation of families on the three islands considered, we classified the families naturally present on all of them in four categories, those that have more species than expected on all three islands, on two islands, on a single island, or on none (i.e. under-represented on all islands). We compared this to a null-model distribution, where under- and over-representation is completely independent between islands. For example, if the proportions of under-represented families in Cuba, Madagascar, and New Caledonia are 0.3, 0.5 and 0.46, the expected proportion of families under-represented on all three islands should be $0.3 \times 0.5 \times 0.46 = 0.1403$. We compared the observed distribution and the distribution under the null-model using a $\chi^2$ test. Note that this approach is not free of phylogenetic effects, contrary to independent contrasts.

3 | RESULTS
Between 115 and 136 plant families included in the phylogenetic analysis of Magallón et al. (2015) were shared between pairs of the three islands examined, viz. Cuba, Madagascar and New Caledonia. The relationships between contrasts of representation indices of the floras for each island pair are plotted in Figure 1. Within sister family pairs, the better represented family (based on number of species) on ultramafic substrates on a given island was generally also better represented on ultramafics on the other island, a situation observed in a significant proportion of family pairs (table 1). When we compared orders, we also found a significant relationship between representation indices on each island pair. When we compared the 120 families that were represented on all three islands, more often than expected by chance, these families were over- or under-represented on ultramafic substrates on all three islands ($\chi^2 = 9.017, p = 0.029$, Figure 2). Fabaceae, Malvaceae, and Poaceae were under-represented on all three islands, and significantly so on Madagascar and New Caledonia (Appendix S2 & S3). Clusiaceae and Erythroxylaceae were over-represented on all three islands, and significantly so in Madagascar and Cuba. The same pattern was observed among the 46 orders represented on Cuba, Madagascar and New Caledonia, which were over- or under-represented on ultramafic substrate on all three islands more often than expected by chance ($\chi^2 = 11.777, p = 0.008$, Figure 3).

A group of interest is the COM clade, which comprises the orders Celastrales, Oxalidales, and Malpighiales (figure 3). These three orders are over-represented on all three islands and the clade contains an important number of families that are over-represented on ultramafic substrates on some or all three islands. As a whole, this group has an excess of species on ultramafic substrate, compared to random expectation, of 56% in Madagascar, 50% in Cuba, and 10% in New Caledonia.
This study indicates that the same plant families tend to be over-represented (e.g. Clusiaceae, Phyllanthaceae, Salicaceae) or under-represented (e.g. Acanthaceae, Fabaceae, Malvaceae, Acanthaceae) on the ultramafic substrates of Cuba, Madagascar, and New Caledonia. This pattern was also observed at higher levels, as the same orders tended to be over- or under-represented on ultramafic substrates of the three islands. The phylogenetic signal observed here is rather deep considering that it can be detected at the family and ordinal levels. Indeed, molecular clocks (Magallón et al., 2015) indicate that most angiosperm families are older than the biota of Cuba or New Caledonia and most of that of Madagascar.

Our comparison involves three islands with a high rate of endemism, each located in a different biogeographical setting. It seems unlikely that direct dispersal from ultramafic substrate on one island to another, could account for more than a small portion of the taxonomic similarities between these communities. Recruitment from the local pool of species appears more likely, which suggests that ecological sorting processes (Ackerly, 2003) were at play. Some ancestral characteristics of plant families (e.g. exaptation, Ackerly, 2004; Gould & Vrba, 1982) probably contributed to their greater success on ultramafic substrates on all three islands.

Plants capable of adapting to ultramafic substrates may have descended in most cases from an evolutionary precursor (Marazzi et al., 2012) that belongs to a group in which this adaptation is more likely to evolve. The traits, or exaptation (Gould & Vrba, 1982), that would confer on a plant a greater success on ultramafic substrates remain to be identified. The constrains of such environment are multiple, including low nutrients, high Mg:Ca ratio, high concentrations of metals, etc. (Kazakou et al., 2008; Proctor, 2003). Sclerophylly has been associated with drought tolerance and soil nutrient deficiency (Salleo & Nardini, 2000), and is indeed a common feature in New Caledonia’s scrubland (maquis) on ultramafic substrate.

(Read, Sanson, de Garine-Wichatitsky, & Jaffré, 2006). Recent genetic and genomic studies have revealed in Brassicaceae species that several genes coding for proteins involved in the transport of nutrients (e.g. Ca, Mg, K) and transition metals (e.g. Fe, Ni) are linked to adaptation to serpentine (Arnold et al., 2016; Bradshaw Jr, 2005; Sobczyk, Smith, Pollard, & Filatov, 2017; Turner, Bourne, Von Wettberg, Hu, & Nuzhdin, 2010). Among these candidate genes, transporters of the Ferroportin/IREG family were shown to transport Ni in Brassicaceae and some other plant families (Halimaa et al., 2014; Meier et al., 2018; Merlot et al., 2014; Morrissey et al., 2009; Schaaf et al., 2006), and could therefore constitute a link between adaptation to ultramafic soils and Ni hyperaccumulation. The latter qualifies plants that accumulate particular metals in their leaves to levels that may be hundreds or thousands of times greater than is normal for most plants (Reeves et al., 2018). In a majority of cases, this trait has been recorded on ultramafic substrate and could be seen as an extreme case of specialization to these edaphic conditions. Genes involved in metal transport may thus characterise the evolutionary precursors of plants adapted to ultramafic substrates. Most of our knowledge on adaptation to ultramafic substrates and metal hyperaccumulation is derived from the mostly temperate and herbaceous Brassicaceae. Although this family favours ultramafic substrates elsewhere (Europe, California), a preference was not observed on the tropical islands studies here, where the family is poorly represented (less than 10 species on each island). Further studies on the genes involved in metal transport on a phylogenetically broad range of tropical plants would be necessary to draw any broad conclusions.

The COM clade stands out for its over-representation on ultramafic substrates on all three islands. Members of this clade also seem to have a predisposition to become nickel hyperaccumulators (Pillon et al., 2010), a syndrome strongly associated with ultramafic substrates. The COM clade represents just 7.1% of angiosperm species but 51% of the known nickel hyperaccumulators (Reeves et al., 2018, Global hyperaccumulator database, 2018).
Interestingly, this group is sister to the Cucurbitales-Fabales-Fagales-Rosales clade, also known as the nitrogen-fixing clade (Griesmann et al., 2018; Soltis et al., 1995; Werner, Cornwell, Sprent, Kattge, & Kiers, 2014), generally under-represented on ultramafic substrates (Figure 3). Their exact relationship is nevertheless not fully resolved (Angiosperm Phylogeny Group IV, 2016; Sun et al., 2015). The ancient divergence between these two large clades could be associated with the rise of contrasting nutritional strategies. Similarly the order Caryophyllales is characterized by a prevalence of sodium hyperaccumulators and the high frequency of halophytes (White et al., 2017). This is in line with a certain evolutionary conservatism of nutritional traits, particularly on nutrient-poor soils, suggested by Verboom et al. (2017). Future studies may identify further physiological features that characterise the large clades within angiosperms, which have mostly been defined based on molecular phylogenetic evidence (Angiosperm Phylogeny Group IV, 2016).

Because of the lack of a robust phylogeny of all angiosperm genera, we could not test whether ecological sorting on ultramafic substrates holds true at the generic level. Nevertheless, it can be noted that *Garcinia* (Clusiaceae), *Phyllanthus* (Phyllanthaceae), *Psychotria* (Rubiaceae), and *Weinmannia* (Cunoniaceae) are present on ultramafic substrates in Cuba, Madagascar, and New Caledonia, but represented in each case by distinct island-endemic species. Remarkably, nickel hyperaccumulation has been recorded in *Psychotria* and *Phyllanthus* on both Cuba and New Caledonia (Reeves, 2003), but has not yet been investigated on Madagascar. Our results thus corroborate the observation made by Humboldt (1814) over two centuries ago that the same genera may be found on different continents under similar ecological conditions. Although Humboldt’s comment was mostly inspired by climatic considerations, our study shows that it can be extended to edaphic preferences. Modern comparative approaches enable statistical tests of Humboldt’s statement, and future
genetic studies may identify exaptations that underly ecological sorting at broad biogeographic scales.

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DATA AVAILABILITY STATEMENT

The partial checklist of plants occurring on ultramafic substrate in Cuba is provided in Appendix 1.

Authors’ contributions. Y.P. conceived the study and conducted the analyses; D.A.B., H.R., P.P.L. and T.J. contributed data; D.A.B., H.R., P.P.L., S.M., T.J. and Y.P. drafted the manuscript.

SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

BIOSKETCH
The authors have broad interest in the ecology, systematics and evolution of the flora of Cuba, Madagascar, and New Caledonia, including the ecosystems on ultramafic soils, their restoration, and metal accumulating plants.

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IUCN Red List criteria is urgently needed in New Caledonia. Botanical Journal of the Linnean Society, 157, 775–783.


Goodman, S.M., Mass, V.


Table 1: Results of independent comparisons between representation indices (Ri) on Cuba, Madagascar, and New Caledonia.

<table>
<thead>
<tr>
<th>Level</th>
<th>Island 1</th>
<th>Island 2</th>
<th>Number of positive contrasts</th>
<th>Total number of independent contrasts</th>
<th>p</th>
</tr>
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<tr>
<td>Family</td>
<td>Madagascar</td>
<td>New Caledonia</td>
<td>85</td>
<td>127</td>
<td>8.10^{-5}</td>
</tr>
<tr>
<td>Family</td>
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<td>Cuba</td>
<td>83</td>
<td>135</td>
<td>4.810^{-3}</td>
</tr>
<tr>
<td>Family</td>
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<td>Cuba</td>
<td>70</td>
<td>114</td>
<td>9.410^{-3}</td>
</tr>
<tr>
<td>Order</td>
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<td>New Caledonia</td>
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<td>44</td>
<td>5.310^{-5}</td>
</tr>
<tr>
<td>Order</td>
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<td>Cuba</td>
<td>33</td>
<td>46</td>
<td>2.310^{-3}</td>
</tr>
<tr>
<td>Order</td>
<td>New Caledonia</td>
<td>Cuba</td>
<td>31</td>
<td>45</td>
<td>8.10^{-3}</td>
</tr>
</tbody>
</table>
Figure 1. Relationships between contrasts (differences between sister families) of representation indices on ultramafic substrates between each island pair. Points above the x axis represent a positive association.

Figure 2. Representation on ultramafic substrates of 120 plant families present on all three islands (Cuba, Madagascar, and New Caledonia). Families tended to respond accordingly more often than expected by chance.
Figure 3. Phylogenetic patterns in under- and over-representation on the ultramafic substrates of Cuba, Madagascar, and New Caledonia. Excesses or deficits in the number of species for each order compared to a null model are mapped on the phylogenetic tree of Magallón et al. (2015). The orders Acorales, Petrosaviales, Trochodendrales, Berberidopsidales, Bruniales (absent on all three islands) and Metteniuisales (not included in Magallón et al., 2015) are not shown. The shaded branch corresponds to the COM clade (Celastrales, Oxalidales, Malpighiales). The photograph shows *Phyllanthus peltatus* (Phyllanthaceae, Malpighiales) on ultramafic substrate in New Caledonia.